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ABSTRACT

Selected information is displayed in the form of charts, tables, and graphs about: (1) the State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs; (2) the primary state agency or organization responsible for administering these programs; and (3) the general state characteristics relating to student financial aid. A series of profiles give information in nine general areas: (1) office relationships and program responsibilities; (2) office program management; (3) consumer-oriented efforts; (4) brief program summaries; (5) criteria for determination of need, award, size, etc.; (6) counts of eligibles, recipients, applicants, etc.; (7) program financing; (8) counts of state populations, students, and migration; and (9) state and federal financing of education. (MSE)

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Profiles of State Student Financial Aid Programs

Vol. III North Carolina-Wisconsin

HE009487

U.S. DEPARTMENT OF HEALTH,
EDUCATION & WELFARE
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National Center for Higher Education
Management Systems

Education Commission of the States

STATE DESCRIPTIVE PROFILES
OF
STATE STUDENT-ASSISTANCE PROGRAMS

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FOREWORD

The State Descriptive Profiles are one publication of a series sponsored by the Office of Planning. The others are Issues in State Student Grant Programs, Summary Description of the State Student Incentive Grant Programs and Other State Student Assistance Programs. There is a data tape available as well. Unfortunately, we were unable to provide information on the states of Mississippi and Wyoming. We did not include information on Puerto Rico and other outlying areas due to limitations on time and funds.

The project was a joint effort by the Education Commission of the States (ECS) and the National Center for Higher Education Management Systems (NCHEMS). The Project Director was John Lee of ECS and the Project Manager was Kent Weldon of NCHEMS.

A number of people assisted on the project but special mention should be made of Dr. Joseph Boyd, who has collected more information on state student aid programs than anybody and who shared his knowledge and data with unfailing enthusiasm.

INTRODUCTION

This document is one of a series of documents (one for each state and the District of Columbia) which displays selected information in the form of charts, tables, and graphs about:

- The State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs;
- The primary state agency or organization responsible for administering these programs; and
- The general state characteristics relating to student financial aid -- college age population, postsecondary education enrollments, characteristics of applicants for and recipients of state student aid monies, etc.

This collection of data represents an information base which can be built upon and used to study the SSIG and other state student aid programs in the context in which they operate. The intent was to assemble data from a wide variety of existing data sources and to develop as broad a set of data as was possible and yet one which was manageable and comprehendable in size. The purpose was not to develop a data base to be used to address any one specific problem or issue in the context of state student financial aid, but to develop an information base which would be useful in beginning analyses of any of the current issues in this context.

This document presents information pertaining only to one state. For comparisons between states, the reader is urged to obtain the State Descriptive Profiles pertaining to each of the states of interest. There is also a companion document to this series of individual state profiles which presents summary data on all fifty states and the District of Columbia.

Data Sources

The data presented in the profiles were taken from a number of sources. A complete discussion of data sources is contained in an appendix to this document.

The Profiles

The remainder of this document contains twenty-six profiles which present information in nine general areas. Each of these areas are briefly described below, including the titles of the profiles presented in each of the areas.

A. Office Relationships and Program Responsibilities

These profiles present a statewide view of the agencies/organizations involved in administering the state student financial aid programs.

1. Descriptions of Program Offices and Agencies and Corresponding Program Relationships
2. Relationships of State Agencies

B. Office Program Management

A detailed look at the agency/organization which is primarily responsible for the management of the SSIG and possibly other state programs, and the context in which this agency operates is given.

1. State Agency Organization
2. Management Relationships Between the State Agency and Other State Agencies/Institutions
3, State Program Office Definitions for Selected Terms
4. Information Flow Between the State Program Office and Various Federal Offices
5. Management Staffing of State Program Offices
6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year
7. Program and Fiscal Controls of Programs Operated by State Agency

C. Consumer-Oriented Efforts

An explanation of the content of and the mechanisms through which information about programs is disseminated to students and parents.

1. Basic Need-Based Program Information Dissemination Procedures Used for State Agency Programs

D. Brief Program Summaries

These profiles present individual descriptions of the state sponsored programs.

1. Descriptions of State-Sponsored Student Assistance Programs
2. Basic State Program Information

E. Criteria for Determination of Need, Award Size, Etc.

These profiles present more specific information about the operation of each of the programs.

1. Student Eligibility Criteria for State Programs
2. Institutional Eligibility Criteria for State Student-Assistance Programs
3. Need Determination Criteria for State Programs
4. Award Size Determination Criteria for State Programs

F. Counts of Eligibles, Recipients, Applicants, Etc.

These profiles present statistics about the applicants and recipients of program awards.

1. Number of Need-Based Grant Program Applicants
2. Number of Need-Based Grant Program Recipients and Average Award Size

G. Program Financing

Information about state support for student financial aid programs is presented.

1. Sources and Distribution of Need-Based Grant Program Funds
2. Historic State Expenditures on Student-Assistance Programs

H. Counts of State Populations, Students, Etc.

These profiles present information about the potential target population of the student aid programs.

1. State Population Profile
2. State Postsecondary Education Student Enrollments
3. Interstate Migration of Students

I. State and Federal Financing of Education

These profiles provide additional information about state support of student financial aid as well as information about federally supported programs for an overall perspective of student aid within the state.

1. State Expenditure on Postsecondary Education Student Assistance
2. Gross Costs to Students Attending School by Postsecondary Education Sectors
3. Levels of Federal and State Program Funding by Institutional Sector
4. Relative Importance of Federal and State Program Funding by Sector

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The State Education Assistance Authority was created by the General Assembly for the purpose of operating and administering statewide programs of student assistance for postsecondary education. The Board of Directors is composed of seven members appointed by the Governor for staggered terms. The General Administration of the University of North Carolina provides the secretariat.

1. Student Incentive Grants
2. Insured Student Loan Program
3. Legislative Tuition Grants
4. Board of Governors' Medical Scholarship Program
5. Turrentine Foundation Scholarships
6. Brooks Foundation Scholarships

The Department of Human Resources is an executive department of state government responsible for most state level government programs designed to assist residents in the field of human welfare.

1. Educational Loans for Medical and Related Studies
2. Plan Assuring College Education in North Carolina (PACE, Inc.)

Division of Vocational Rehabilitation Services of the Department of Human Resources

1. Vocational Rehabilitation Training Programs

Department of Military and Veterans Affairs, Division of Veteran's Affairs

1. Scholarships for Children of War Veterans

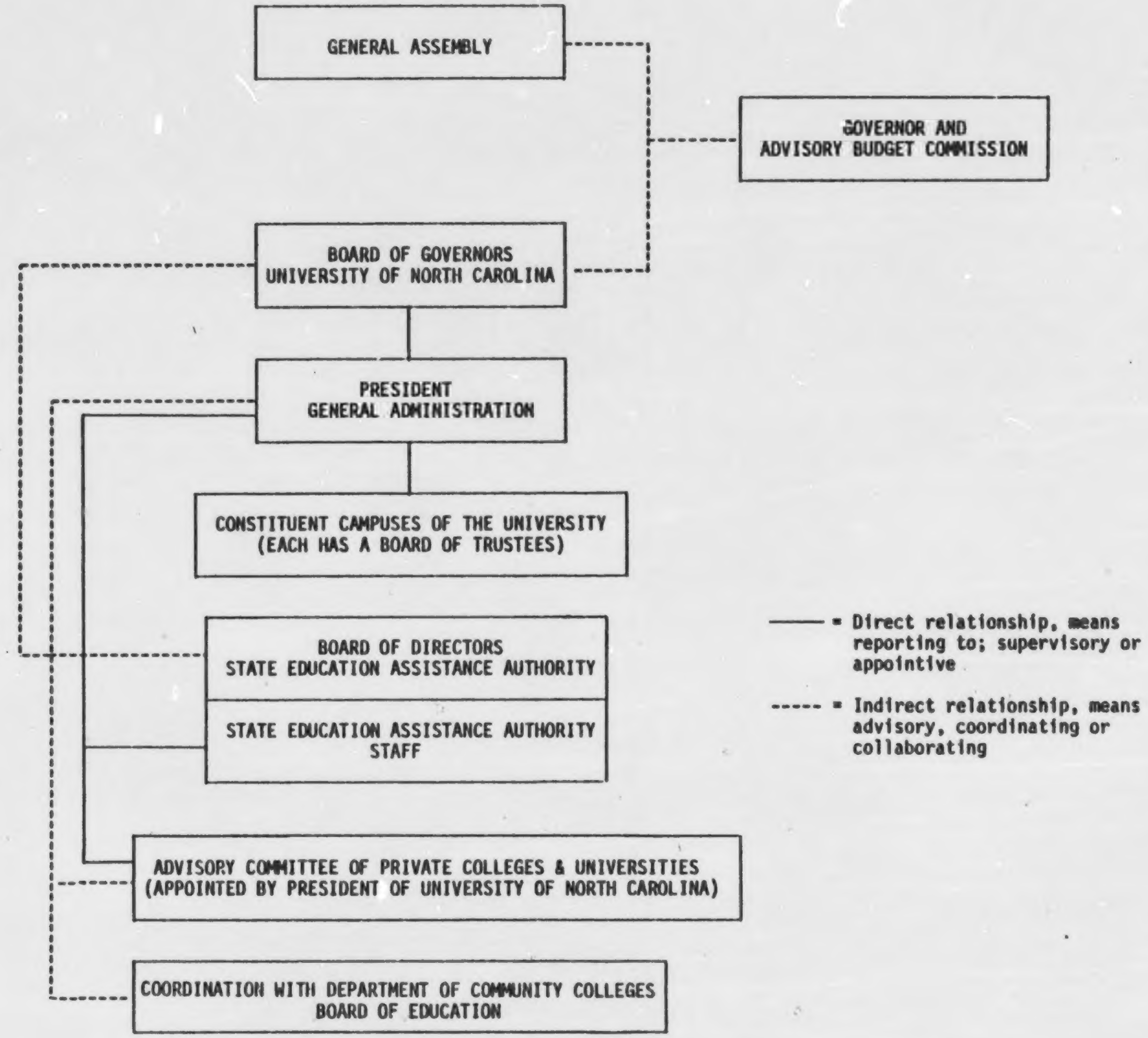
Department of Education

1. State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded

The University of North Carolina, General Administration

1. State Contractual Scholarship Fund for Needy North Carolinians enrolled in N.C. private colleges and universities.

RELATIONSHIPS OF STATE AGENCIES



GENERAL ASSEMBLY

GOVERNOR AND
ADVISORY BUDGET COMMISSION

BOARD OF GOVERNORS
UNIVERSITY OF NORTH CAROLINA

PRESIDENT
GENERAL ADMINISTRATION

CONSTITUENT CAMPUSES OF THE UNIVERSITY
(EACH HAS A BOARD OF TRUSTEES)

BOARD OF DIRECTORS
STATE EDUCATION ASSISTANCE AUTHORITY

STATE EDUCATION ASSISTANCE AUTHORITY
STAFF

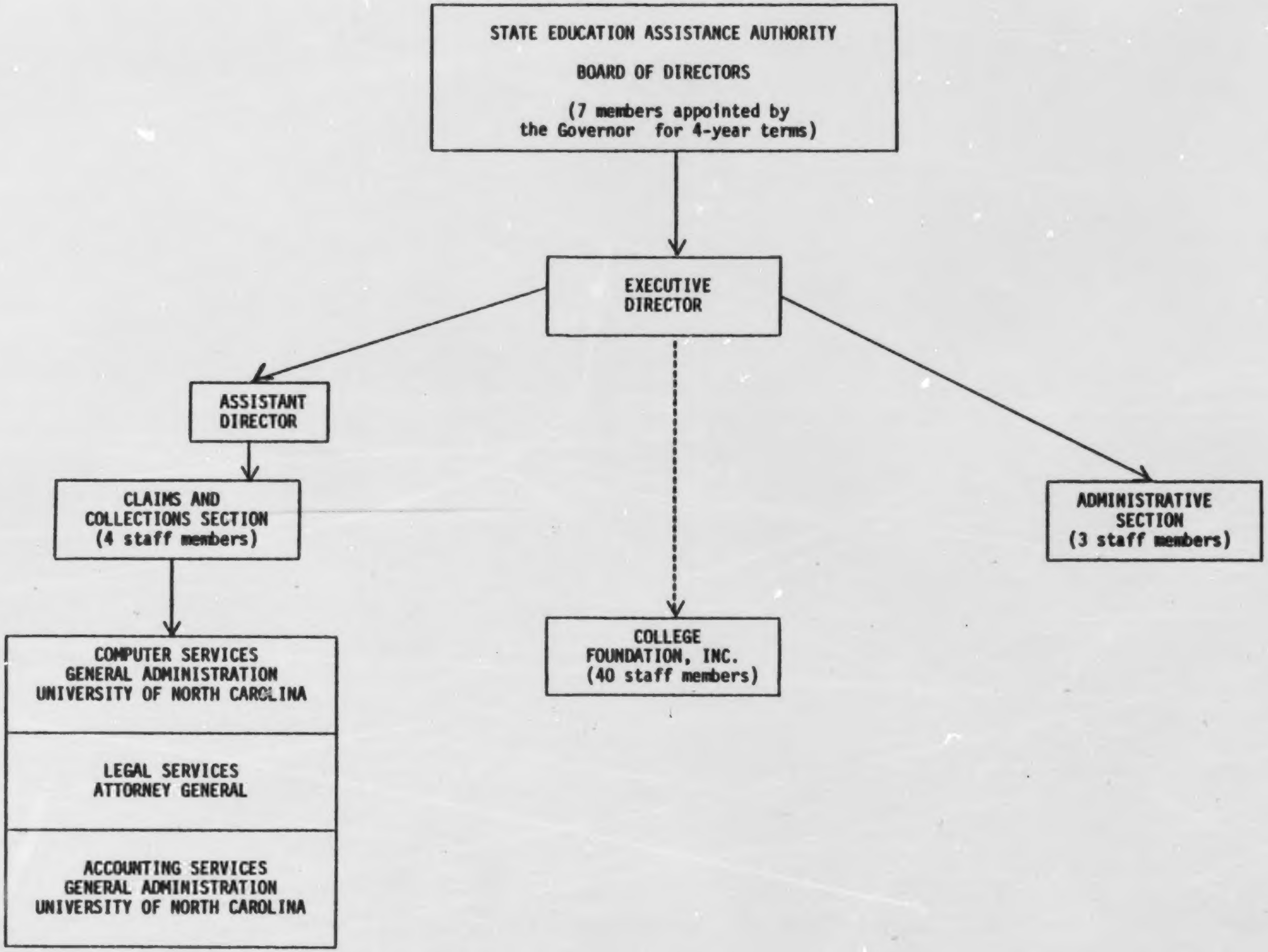
ADVISORY COMMITTEE OF PRIVATE COLLEGES & UNIVERSITIES
(APPOINTED BY PRESIDENT OF UNIVERSITY OF NORTH CAROLINA)

COORDINATION WITH DEPARTMENT OF COMMUNITY COLLEGES
BOARD OF EDUCATION

—— = Direct relationship, means reporting to; supervisory or appointive

----- = Indirect relationship, means advisory, coordinating or collaborating

STATE AGENCY ORGANIZATION


STATE EDUCATION ASSISTANCE AUTHORITY
BOARD OF DIRECTORS
(7 members appointed by the Governor for 4-year terms)
EXECUTIVE DIRECTOR
ASSISTANT DIRECTOR
CLAIMS AND COLLECTIONS SECTION (4 staff members)
COMPUTER SERVICES GENERAL ADMINISTRATION UNIVERSITY OF NORTH CAROLINA
LEGAL SERVICES ATTORNEY GENERAL
ACCOUNTING SERVICES GENERAL ADMINISTRATION UNIVERSITY OF NORTH CAROLINA
COLLEGE FOUNDATION, INC. (40 staff members)
ADMINISTRATIVE SECTION (3 staff members)

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X[1]		
• Identification of Eligible Recipients	X[1]		
• Determination of Award Size	X[1]		
• Assignment of Priority to Awards	X[1]		
• Formally Approves Award	X[1]		
Makes Payment to Student (or Credits Student Account)	X[1]		
Makes Post-Award Adjustments	X[1]		
Initiates the Prosecution of Possible Fraudulent Student applications	X[2]		
Publicizes Award Availability	X	X	

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[1] College Foundation, Inc. (CFI) is a private, non-profit educational corporation chartered by the North Carolina Council of State for the purpose of statewide student aid administration. The State Education Assistance Authority contracts with CFI for certain operational duties in administering the Authority's aid programs. The Foundation also acts as a service agency for associations, colleges and universities, foundations, corporations and other organizations sponsoring student aid programs. The Foundation acts for and on behalf of the programs administered for the State under policy set by the Authority Board.

[2] State Attorney General.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Not applicable--A student enrolled for the equivalent of 12 college quarter or semester hours of academic credit is defined as full-time.	Interpretation			Yes[1]
State Resident - A student must be legally domiciled in the State for the immediately preceding 12 months and whose presence in the State is for a purpose other than enrollment in a postsecondary educational institution in this State.	Law and Interpretation	Yes	Yes	Yes
Independent Student -	Interpretation[2]			
Non-Profit Institution - A public institution created by an appropriate public governing authority or a corporation or institution which qualifies as non-profit under paragraph 501(c)(3) of the Internal Revenue Code.	Interpretation			
Satisfactory Academic Progress - The program requires that recipients be in "good standing" and enrolled and matriculated in an approved program at the eligible institution. North Carolina accepts the respective institution's definition of satisfactory academic progress.	Interpretation			
United States Citizenship - The program requires citizenship or a permanent resident alien visa and North Carolina legal residence.[3]	Law and Interpretation	Yes	Yes	Yes

[1] Except insured student loan program.

[2] Accepts the definition of the U.S. Office of Education.

[3] Certain Indochina refugees are included under an act of the 1977 General Assembly.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office	Not Applicable	Summaries Regulations	Marginal
Regional OE Student-Assistance Office (Campus-Based Programs)	Not Applicable	Not Applicable	No Contact
Washington OE Office (Campus-Based Programs)	Not Applicable	Summaries Regulations	Marginal
FISL/GSL Office	Summaries Regulations	Summaries Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Regulations Recipients Terminations	Summaries Verifications	Adequate
Private Institutions	Summaries Regulations Recipients Terminations	Summaries Verifications	Adequate
Governor/Budget Office	Summaries	Not Applicable	Adequate
State Legislature (Including Committee Staffs)	Summaries[1]	SSIG Matching	Adequate

[1] Plus specific data requests.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	50[1]	From institutions as required by Federal and State regulations.
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10[1]	Institutions--limited
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	2[1]	State audits, institutions
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	10[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	23[1]	

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[1] Response considered a "best guess."

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

	MONTH/YEAR	EVENTS
Biennial budget (1975-77)	NOVEMBER - 1974	Agency budget presented to governors office or budget bureau (as a part of the unified budget request of the University of North Carolina system).
	JANUARY - 1975	Agency budget presented to legislature
	JANUARY - 1976	Agency makes student-aid applications available
	MARCH - 1976	Agency completes planning workshops for students or counselors
	APRIL - 1976	Deadline for student-aid applications
	JUNE - 1976	Legislature appropriates student assistance funds
After the Legislature passes the budget	JUNE-JULY - 1976	Agency (or institutions) announces award winners
	MAY - 1977	Last date at which award changes and adjustments are made

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PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes, All	Institution, State	Note 1
4. Warnings of Prosecution?	Yes, All	State	
5. Prosecution?	Yes, Any	State	Refer to Attorney General
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	Note 1
2. Check on Mid-Term Attendance?	Yes, All[2] (multiple disbursement)		
3. Check on Course Completion?	Yes, All[2]	State	
4. Check on Academic Progress Before Award?	Yes, All[2]	State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?	Yes, All	Institution, State	
4. Second Review of Aid Packages?	Yes[3]	State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?	Not Applicable	Not Applicable	
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?	Yes, Top Management	State	
3. Double Signatures on All Fund Transactions?	Yes, All	State	

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[1] Proof required of individual to clarify situation.

[2] On loan program only.

[3] Only if indication of overawarding.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X[1]	X	X		X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office		X	X			
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies (Selected)	X	X	X		X	
9. Other (Please Specify)						

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[1] Grant only.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

North Carolina Student Incentive Grants - A grant program to meet up to one-half of remaining "need" of students with "substantial financial need" after BEOG, EPC (Expected Parental Contribution), and other non-institutional financial aid sources are considered. Recipients must be state residents attending in-state schools, including proprietary schools with associate degree programs only, and licensed by the Board of Governors, The University of North Carolina.

North Carolina Insured Student Loan Program - A program to provide low interest, long term student loans to resident students showing the need to meet educational costs. Recipients may attend in-state or out-of-state schools. This program is the Guaranteed Student Loan Program in North Carolina.

North Carolina Legislative Tuition Grants - A program for capitation grants to residents who desire to enroll in a state private college or university on a full-time basis in any area of study except religious study.

Board of Governors' Medical Scholarship Program (BGMS) - A grant program to provide a competitive scholarship for financially disadvantaged resident students who desire the degree of doctor of medicine from an in-state medical school and who intend to practice medicine in North Carolina.

Turrentine Foundation Scholarships - A grant fund established by the late Dr. John W. Turrentine to encourage residents of Alamance County (North Carolina) to enroll at any of three campuses of the University of North Carolina system--Chapel Hill, Greensboro or North Carolina State University. This is a need-based scholarship (grant).

Brooks Foundation Scholarships - A program of competitive scholarships available to residents of eleven North Carolina counties if those residents are accepted at and enroll in any of three campuses of the University of North Carolina system--Chapel Hill, Greensboro, North Carolina State University. Recipients must exhibit character, financial need, leadership, and determination to succeed.

State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded - A scholastic conditional scholarship/loan program to encourage enrollment of resident students in in-state teacher education programs which have manpower shortages and mentally retarded areas.

Educational Loans for Medical and Related Studies - A scholastic conditional scholarship/loan program to encourage resident students to enter the health professions to fill shortages in medically underserved areas of North Carolina. Recipients must enroll in primary medical care at public or private universities and four-year institutions, either in-state or some selected out-of-state schools.

North Carolina Scholarships for Children of War Veterans - A grant program consisting of five programs designed to assist resident dependents of Veterans/POWs/MIAs to meet the expenses of postsecondary education. Recipients must exhibit need, scholastic ability, and community activity and enroll in in-state schools.

State Contractual Scholarship Fund for Needy North Carolinians - A grant program to assist needy North Carolinians to attend in-state private colleges and universities for any area of study except religious study. Participating private colleges receive appropriations based on FTE North Carolina enrollment to administer as a scholarship fund. Schools select recipients and set award amount.

Plan Assuring College Education in North Carolina (PACE-I.N.C.) - A term-time and summer work-study program placing college and university students in jobs in local community agencies (non-profit). Institutions put up Federal CWSP funds which are matched (20%) by local agencies. This is a need-based program.

Vocational Rehabilitation Training Programs - A grant program to provide assistance to the financially needy handicapped for training to the entry level of the vocation desired.

Tuition Remission Programs - Programs permitting reduced tuition rates in the public in-state senior institutions for students of special talent who are recruited by the institutions for their talent, for older citizens and for other specialized categories.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
North Carolina Student Incentive Grants (NCSIG)	1975-76	1,099	$ 778	$ 854,964	Resident Undergraduates
Board of Governors' Medical Scholarship Program (BGMS)	1974-75	15	Note 1	$ 89,826	Resident Medical Graduate Students
	1975-76	28	Note 1	$ 168,944	
Turrentine Foundation Scholarships	1974-75	115	$ 631	$ 72,550	Alamance County Residents Attending Selected Schools
	1975-76	136	$ 754	$ 102,485	
Brooks Foundation Scholarships	1970-71	52	$ 800	$ 41,600	Selected County Residents Attending Selected Schools
	1975-76	52	$ 900	$ 46,800	
State Contractual Scholarship Fund for Needy North Carolinians[2]	1972-73	--	--	$1,016,848	Resident Undergraduates
	1973-74	--	--	$4,380,032	
	1974-75	--	--	$4,230,900	
	1975-76	8,835	$ 477	$4,214,855	
Vocational Rehabilitation Training Programs[3]	1970-71	3,198	Not Applicable	$1,322,822	All Handicapped Students
	1975-76	3,563		$1,234,591	
Loans					
North Carolina Insured Student Loan Program (NCISLP)	1970-71	4,812	$ 700	$3,400,000	All Resident Students
	1975-76	5,936	$1,090	$6,400,000	
State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded	1970-71	538/55[4]	$600/900[4]	$322,800/49,500[4]	Resident Undergraduate Teachers in Shortage Programs and of Mentally Retarded
	1975-76	216/27	$ 900	$194,400/24,300	
Educational Loans for Medical and Related Studies	1970-71	524	$1,224	$ 641,139	Selected Health Profession Resident Students
	1975-76	536	$2,764	$1,481,383	
Work-Study					
Plan Assuring College Education in North Carolina, PACE-I.N.C.	1970-71	2,156	$ 700	$1,700,000	All Students
	1975-76	2,270	$ 615	$1,397,008	

CONTINUED

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Non Need-Based					
North Carolina Legislative Tuition Grants (NCLTG)	1975-76	22,238	$200	$4,029,065	Resident Undergraduates Attending Private Institutions in N.C.
North Carolina Scholarships for Children of War Veterans	1970-71[5] 1975-76	429 650	Not Applicable	$1,154,780 $2,041,293	Resident Dependents of Veterans/POW/MIA
Tuition Remission Programs	1975-76	2,381	Not Applicable	$2,771,809	Special Selected Students

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[1] Each BGMS award pays tuition and required fees at the North Carolina medical school of the student's choice plus a $4,000 per year stipend.

[2] Before 1975-76, participating schools had to agree to spend an amount at least equal to the amount provided by the state. In 1975-76, a special fund was required to be established at each school. Grants to students are then made from this fund. Under this program, the state contracts with the private colleges to administer a fund to assist the needy residents who wish to enroll. The schools select the recipients and set the award level. They must use a standard need analysis process.

[3] A needs test of a kind is applied in that the family's financial resources are reviewed to determine the extent of the assistance needed. The test is one used by the agency for this program.

[4] Left column = program for teachers; Right column = program for teachers of the mentally retarded.

[5] Awards are calendar year; dollars are fiscal year.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
North Carolina Student Incentive Grants (NCSIG)	Residents attending In-State	Full-Time	All Undergraduates	Yes	$15,000[1]	$400
Board of Governors' Medical Scholarship (BGMS)	Residents attending In-State	Full-Time	MD Graduates	Not Applicable	Not Applicable	Not Applicable
Turrentine Foundation Scholarships	Alamance County Residents Only	Full-Time	All Undergraduates	Yes	Not Applicable	$200/year
Brooks Foundation Scholarships	Residents of 11 Selected N.C. Counties	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
State Contractual Scholarship Fund for Needy North Carolinians	Residents attending In-State	Part-Time	All Undergraduates	Not Applicable	See Note 2 - Table D2	
Vocational Rehabilitation Training Programs	Residents attending In/Out of State & Non-Residents	Full-Time	All Students	Yes	Not Applicable	Not Applicable
Loans						
North Carolina Insured Student Loan Program (NCISLP)	Residents attending In/Out of State	Full-Time Half-Time	All	Yes	$25,000[2]	$200/year
State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Educational Loans for Medical and Related Studies	Residents attending In/Out of State	Full-Time	All Students (except Ph.D.)	Yes	Not Applicable	Not Applicable
Work-Study						
Plan Assuring College Education in North Carolina (PACE-I.N.C.)	Residents attending In/Out of State & Non-Residents	Half-Time	All Students	No	Not Applicable	Not Applicable

CONTINUED

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Non Need-Based						
North Carolina Legislative Tuition Grants (NCLTG)	Residents attending In-State	Full-Time	All Undergraduates	Not Applicable	Not Applicable	Not Applicable
North Carolina Scholarships for Children of War Veterans	Residents attending In-State	Full-Time	All Students	No	Not Applicable	Not Applicable
Tuition Remission Programs	Residents attending In-State and Non-Residents	Full-Time	All Students	Not Applicable	Not Applicable	Not Applicable

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[1] Unless unusual circumstances.

[2] Adjusted Family Income.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
North Carolina Student Incentive Grants (NCSIG)	Public Private	Public	Public	Yes[1]	Yes[1]
Board of Governors' Medical Scholarship Program (BGMS)	Public Private	No	No	No	4-Year Institutions with Medical Schools
Turrentine Foundation Scholarships	Public[2]	No	No	No	No
Brooks Foundation Scholarships	Public[2]	No	No	No	No
State Contractual Scholarship Fund for Needy North Carolinians	Private	Private	No	No	No
Vocational Rehabilitation Training Programs	Public Private	Public	Public	Yes	No
Loans					
North Carolina Insured Student Loan Program (NCISLP)	Public Private	Public	Public	Yes	Yes
State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded	Public[3] Private[3]	Public[3] Private[3]	No	No	No
Educational Loans for Medical and Related Studies	Public Private	No	No	No	No
Work-Study					
Plan Assuring College Education in North Carolina (PACE-I.N.C.)	Public Private	Public	Public	Yes	No
Non Need-Based					
North Carolina Legislative Tuition Grants (NCLTG)	Private	Private	No	No	No
North Carolina Scholarships for Children of War Veterans	Public Private	Public	Public	No	No
Tuition Remission Programs	Public	No	No	No	No

[1] Associate Degree programs only. Must be licensed by Board of Governors, The University of North Carolina.

[2] Only UNC-Chapel Hill, UNC-Greensboro, or N.C. State University.

[3] Only those with teacher education programs.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
North Carolina Student Incentive Grants (NCSIG)	EPC of $600 or less	Yes	Uniform Methodology	Tuition/Fees Room/Board[1]
Board of Governors' Medical Scholarship Program (BGMS)	Neediest of 30 submissions plus other factors	Not Applicable	GAPSFAS	Tuition/Fees Room/Board Books Transportation General Student Costs
Turrentine Foundation Scholarships	Determined by each participating school	Yes	Uniform Methodology	Tuition/Fees Room/Board Books Transportation General Student Costs
Brooks Foundation Scholarships	Defined by the receiving school	No	Uniform Methodology	Tuition/Fees Room/Board Books
State Contractual Scholarship Fund for Needy North Carolinians	Determined by school consistent with their student aid program	Note 2	Decided by each school[3]	Tuition/Fees Room/Board Books Transportation General Student Costs
Vocational Rehabilitation Training Programs	Information Not Given	Yes	Not Given	Tuition/Fees Room/Board Books Transportation General Student Costs
Loans				
North Carolina Insured Student Loan Program (NCISLP)	Inadequate financial resources to meet cost of education	No	CSS Standard	Tuition/Fees Room/Board Books Transportation General Student Costs

CONTINUED

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
State Teachers Scholarship/Loans for Prospective Teachers and Teachers of Mentally Retarded	Need of at least $900 under standard need analysis	No	CSS Standard	Tuition/Fees Room/Board Books Transportation General Student Costs
Educational Loans for Medical and Related Studies	Information Not Given	No	ACT Standard	Tuition/Fees Room/Board Books Transportation General Student Costs
Work-Study				
Plan Assuring College Education in North Carolina (PACE-I.N.C.)	Defined by each participating school	No	None	Tuition/Fees Room/Board Books Transportation General Student Costs

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[1] Can also meet Books, Transportation and General Student Costs--but usually covers those listed above.

[2] If school requires it.

[3] Each school must use a need analysis system approved by the State Education Assistance Authority (CSS or ACT standards).

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
North Carolina Student Incentive Grants (NCSIG)	$1,500	Relative need basis; costs less EPC, self-help (minimum of student's summer earnings expectation), BEOG, other identified non-institutional aid.	(1) Renewals; (2) Entering freshmen; (3) All other enrolled students: considered in order by date completed, lowest EPC considered first.	State
Board of Governors' Medical Scholarship Program (BGMS)	$4,000/Year stipend plus tuition and required fees	Stipend ($4,000) plus tuition and fees at selected medical school.	(1) Greatest need; (2) Desire to practice in N.C. after graduation.	Institution and State
Turrentine Foundation Scholarships	$1,200	Individual student aid package determined by participating schools.	(1) Renewals; (2) New Alamance County freshmen; (3) Any one-time (on a year-by-year basis) awards to Alamance County residents.	Institution
Brooks Foundation Scholarships	$900/Year	A fixed amount	Best 13 candidates from 39 nominees submitted by selected counties.	Brooks Foundation Trustees
State Contractual Scholarship Fund Fund for Needy North Carolinians	Not set by State	Varies with aid practice of each participating school.	Varies with aid practice of each participating school.	Institution
Vocational Rehabilitation Training Programs	$1,500 for room & Board + Tuition not to exceed tuition at public institutions	Cost of instruction desired by individual subject to "Maximum Award Size."	Most severely handicapped served first.	State
Loans				
North Carolina Insured Student Loan Program (NCISLP)	$2,500/Year; $15,000 Total all years	Comparison of financial resources with cost of education--not more than one-half cost of an undergraduate can be met by loan during Freshman year.	(1) Renewals; (2) All others in order of date completed.	State through the Lender

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Loans (Continued)				
State Teachers Scholarships/Loans for Prospective Teachers and Teachers of Mentally Retarded	$ 900	Cost of education vs. student and family financial resources.	By academic area; the neediest who have applied within stated period of time.	State
Educational Loans for Medical and Related Studies	$4,000/Year	(1) Need; (2) Area of medical study; (3) Intent to practice in N.C.; (4) Personal attributes.	Applicants are arranged by medical field and neediest within fields are aided until funds are exhausted.	State
Work-Study				
Plan Assuring College Education in North Carolina (PACE-I.N.C.)	Not Applicable	Defined by each participating school consistent with aid package.	Defined by each participating school.	Institution
Non Need-Based				
North Carolina Legislative Tuition Grants (NCLTG)	$300/Year	A capitation system--fixed amount by term.	All students at an eligible campus who are (1) N.C. residents; (2) Enrolled in degree program on full-time basis;* (3) Who file an application with the school within specified period.	State
North Carolina Scholarships for Children of War Veterans	$1,500 Public/ $930 per Year	State agency establishes classifications; then ranges of aid are subject to classification	Not Applicable	State
Tution Remission Programs	$ 600	Not Applicable	Not Applicable	Not Applicable

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* Degree programs designed primarily for career preparation in a religious vocation are not eligible.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over

DEPENDENTS

Income Level

DATA NOT APPLICABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT APPLICABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

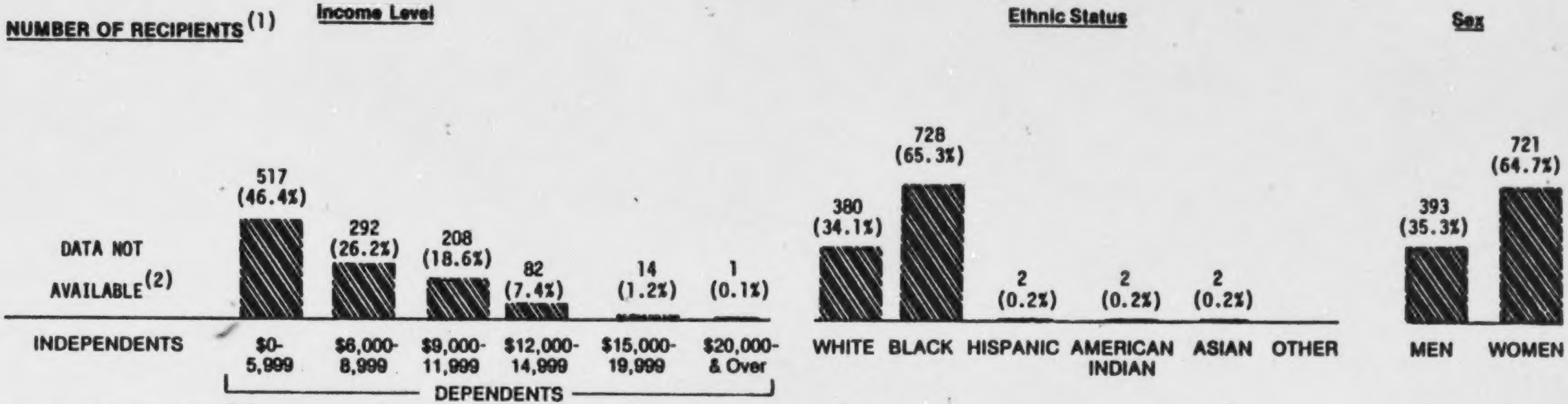
F2

NORTH CAROLINA

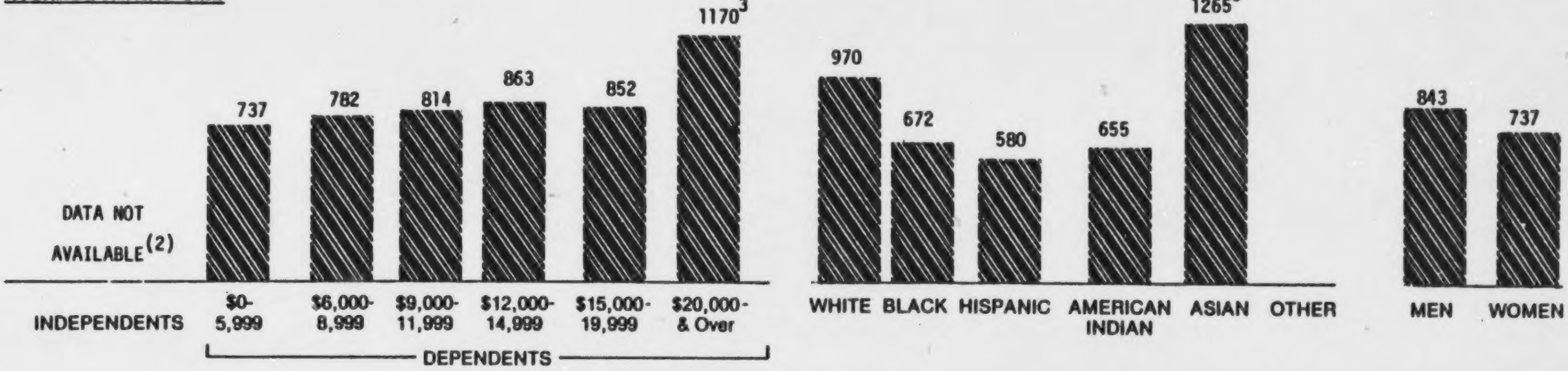
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- NORTH CAROLINA STUDENT INCENTIVE GRANTS

NUMBER OF RECIPIENTS (1)



AVERAGE AWARD SIZE (1)



(1) These data does not include cancellations and adjustments.

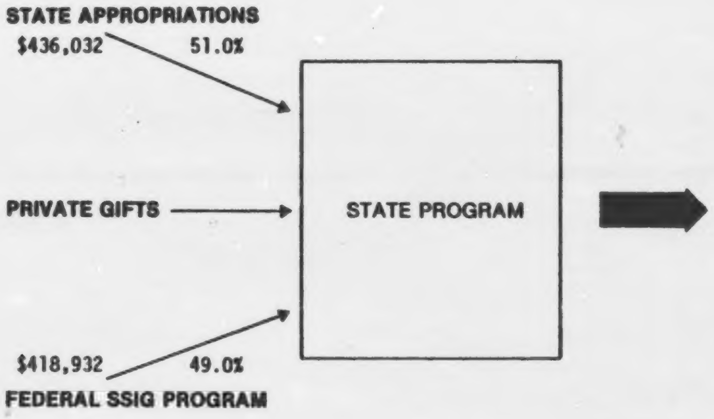
(2) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

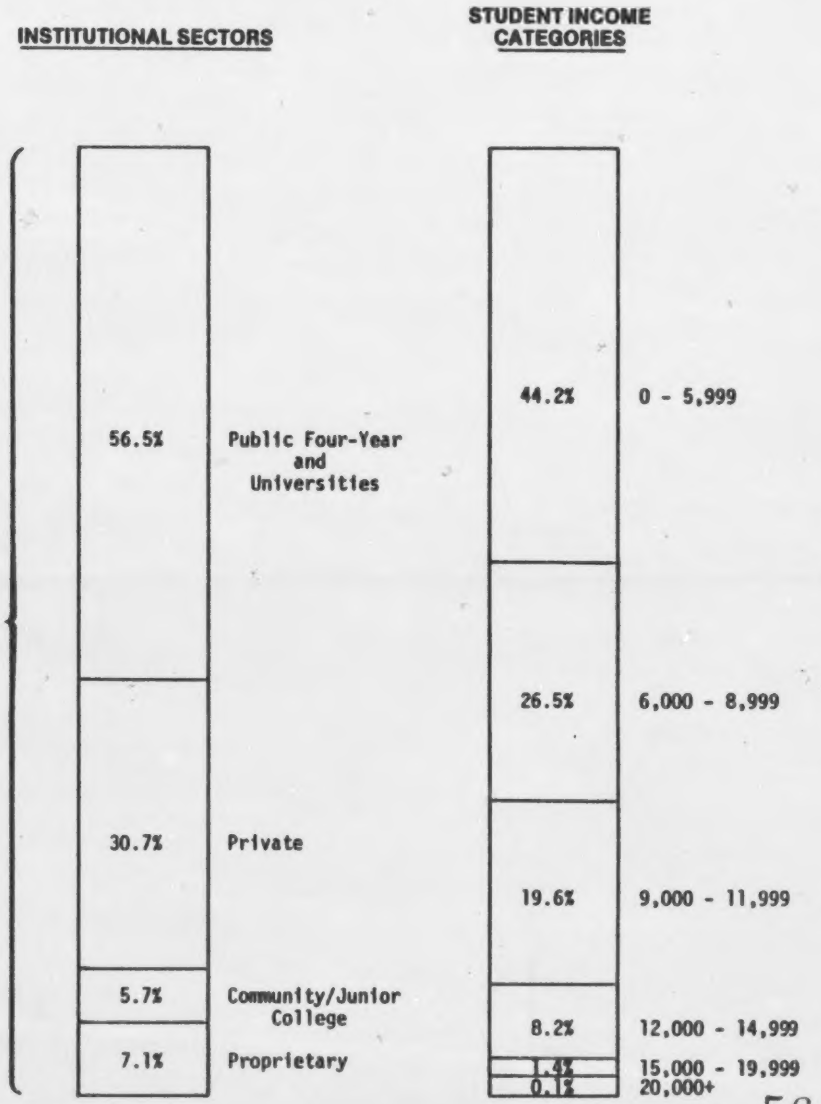
(3) Small sample.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- NORTH CAROLINA STUDENT INCENTIVE GRANTS

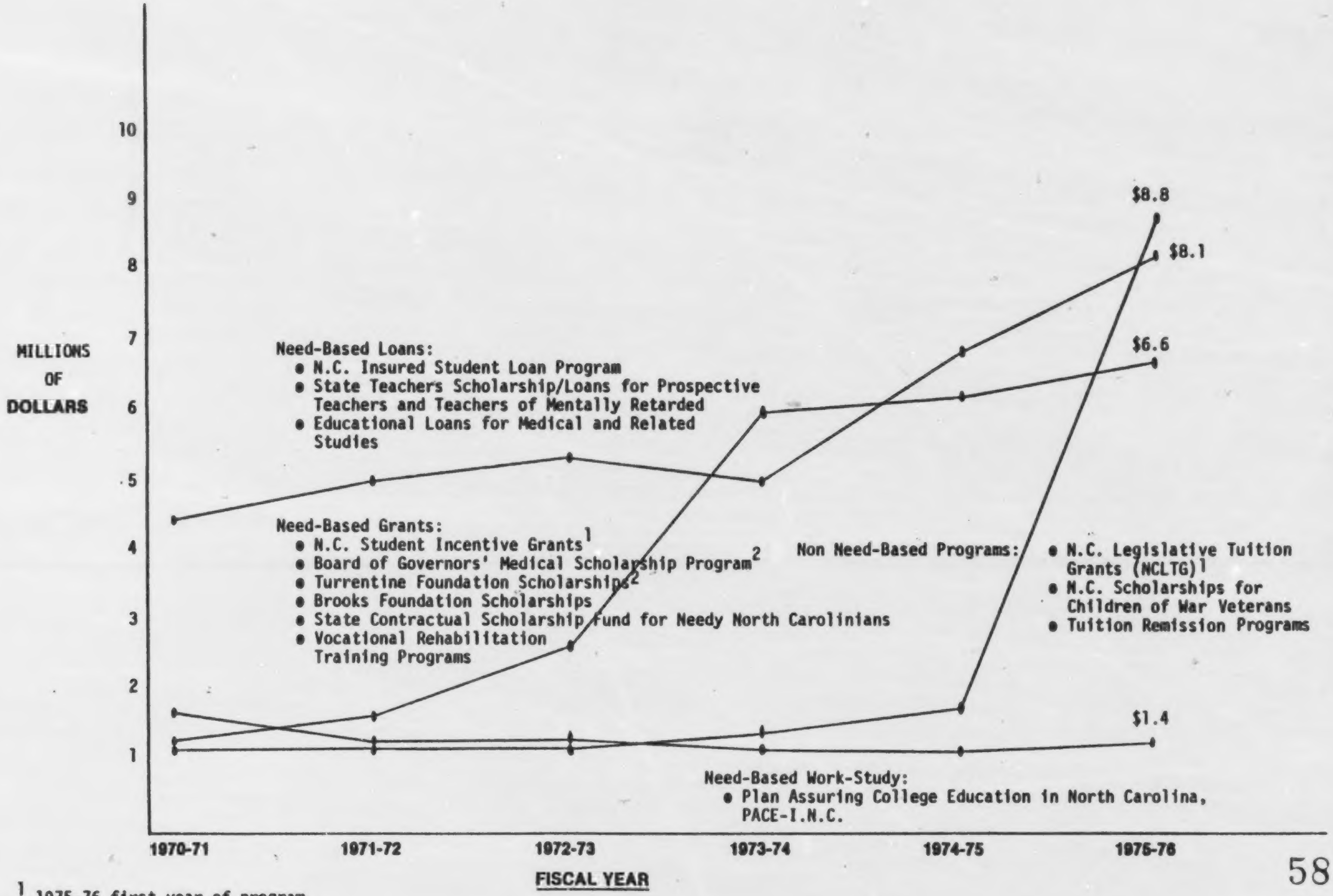




The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

Data for student income categories does not include cancellations and adjustments.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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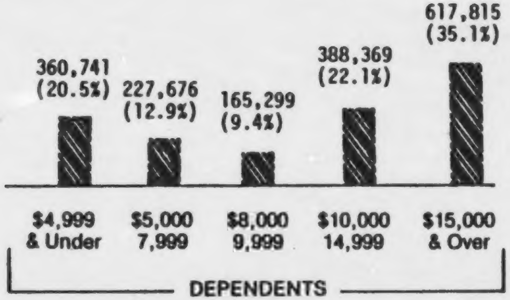
[1] 1975-76 first year of program.
[2] 1974-75 first year of program.
[3] 1972-73 first year of program.

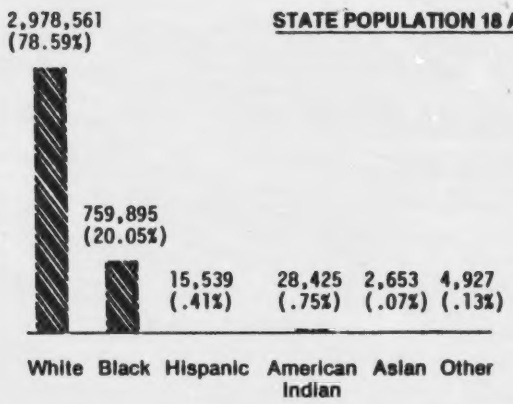
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

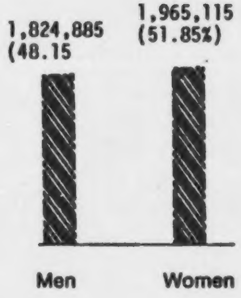


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

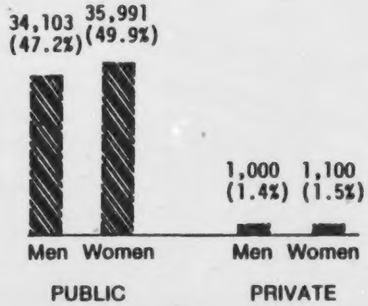


Ethnic Status



Sex

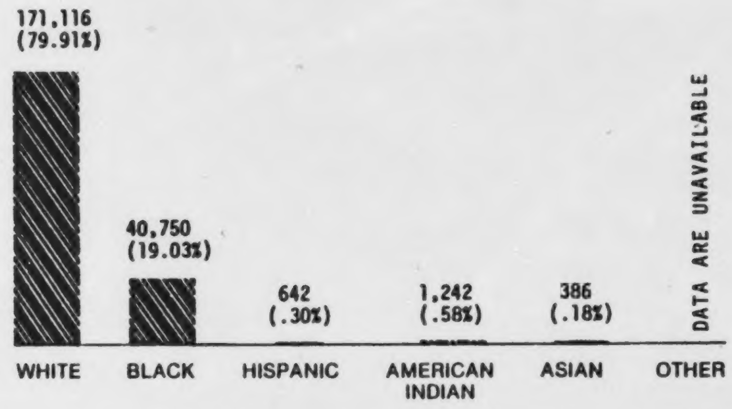
STATE HIGH SCHOOL GRADUATES



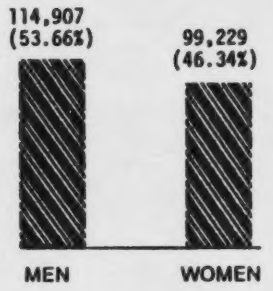
Sex

[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

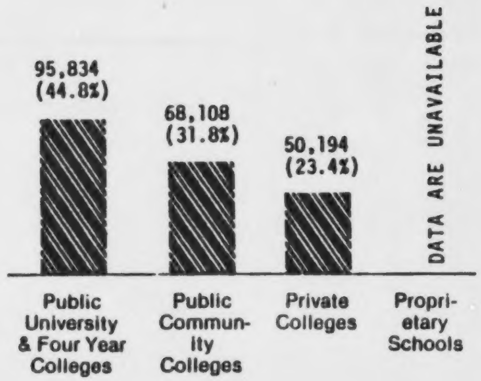
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



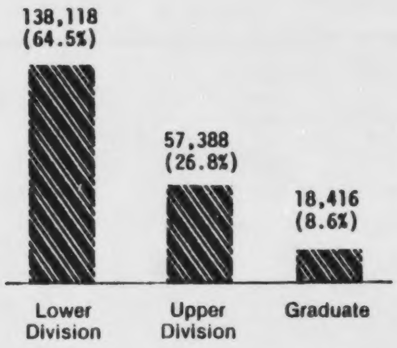
Ethnic Status



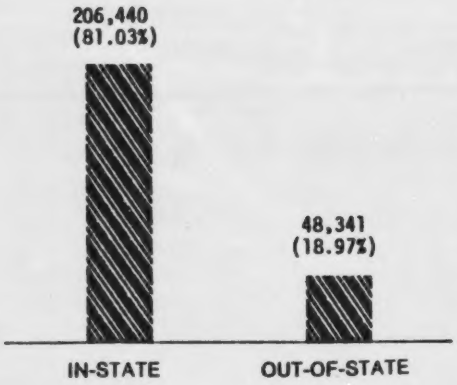
Sex



Institutional Sector



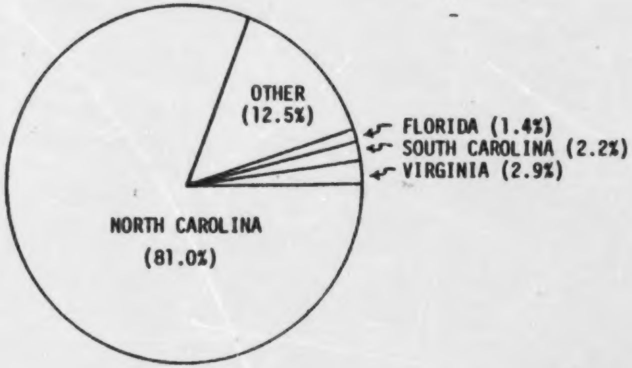
Student Level



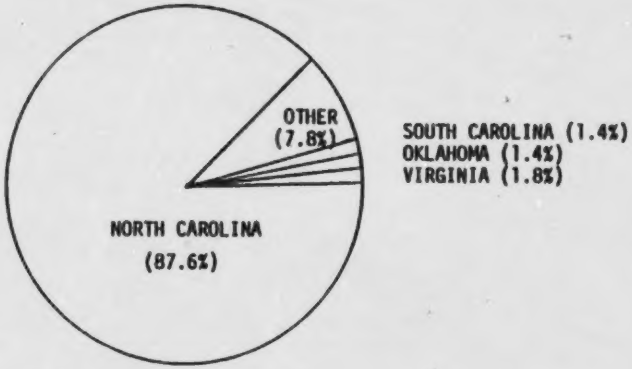
Residency

INTERSTATE MIGRATION OF STUDENTS

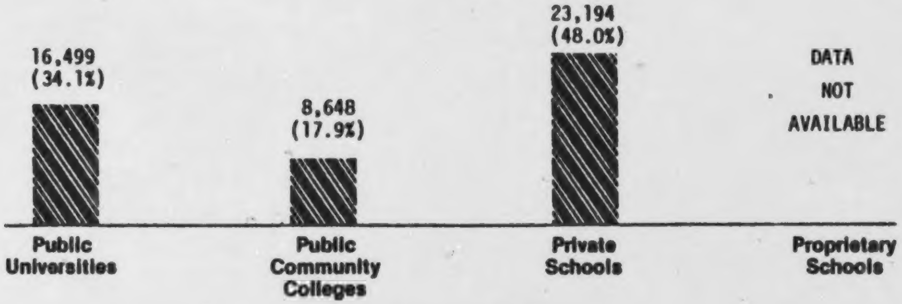
1975-76



STUDENTS ATTENDING IN-STATE INSTITUTIONS



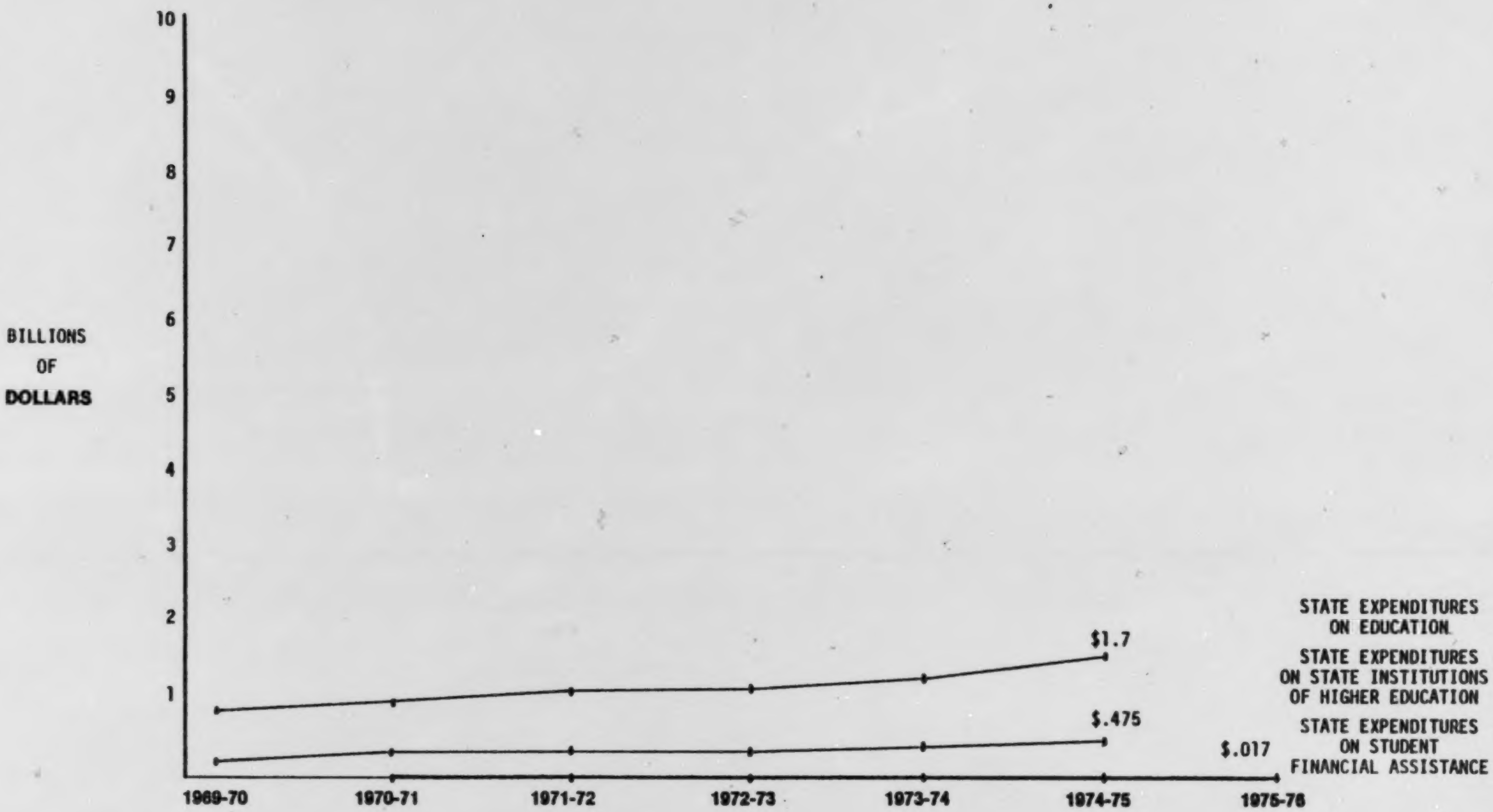
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

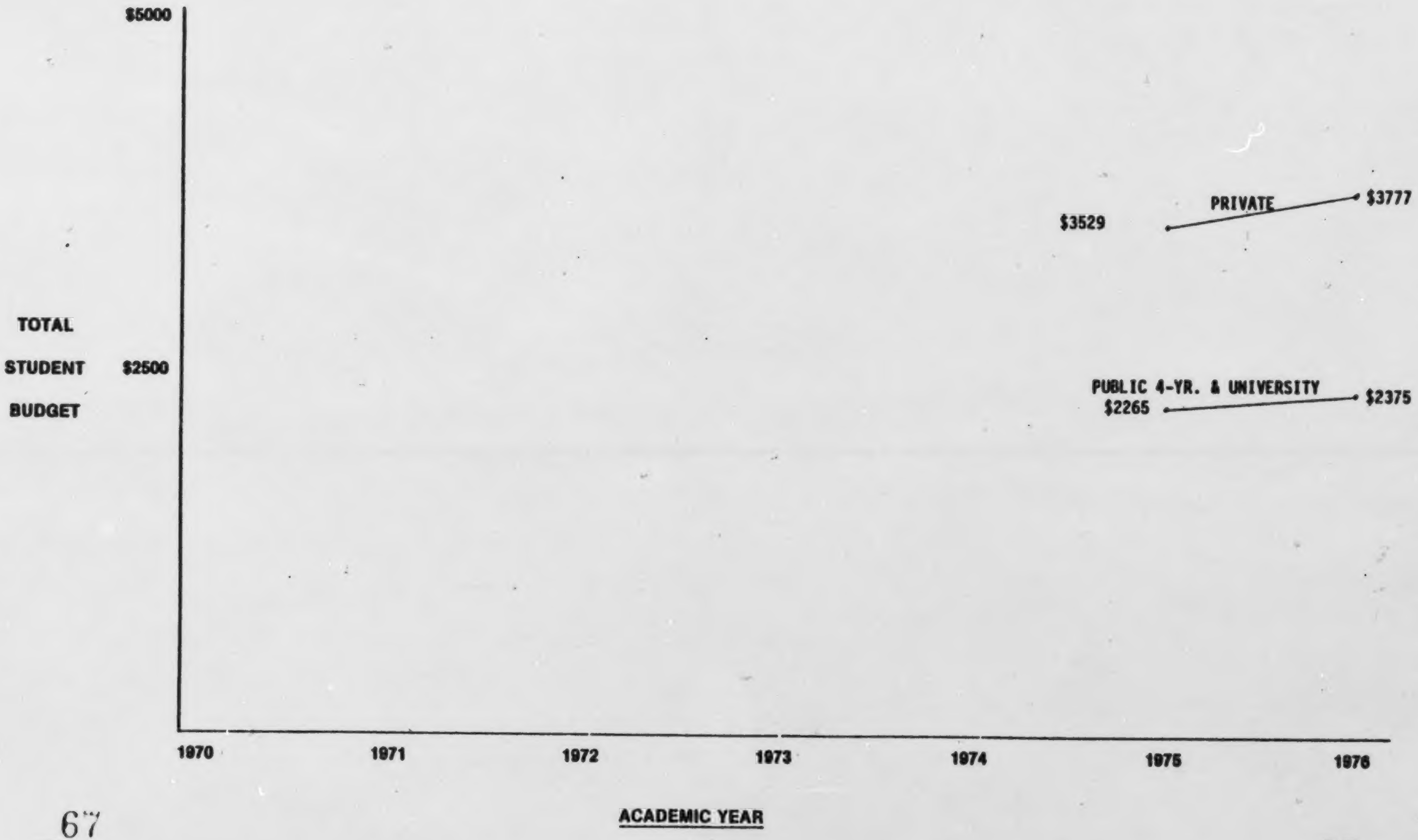


THESE DATA PERTAIN TO:

- N.C. STUDENT INCENTIVE GRANTS
- BOARD OF GOVERNORS' MEDICAL SCHOLARSHIP PROGRAM
- TURRENTINE FOUNDATION SCHOLARSHIPS
- BROOKS FOUNDATION SCHOLARSHIPS
- STATE CONTRACTUAL SCHOLARSHIP FUND FOR NEEDY NORTH CAROLINIANS
- VOCATIONAL REHABILITATION TRAINING PROGRAMS
- PLAN ASSURING COLLEGE EDUCATION IN N.C., PACE-I.N.C.
- N.C. LEGISLATIVE TUITION GRANTS
- N.C. SCHOLARSHIPS FOR CHILDREN OF WAR VETERANS
- TUITION REMISSION PROGRAMS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

NORTH CAROLINA 12

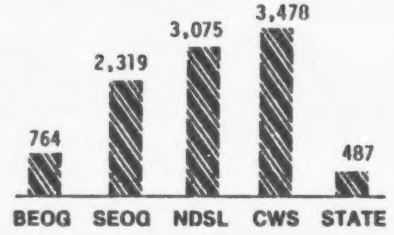


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING
BY INSTITUTIONAL SECTOR

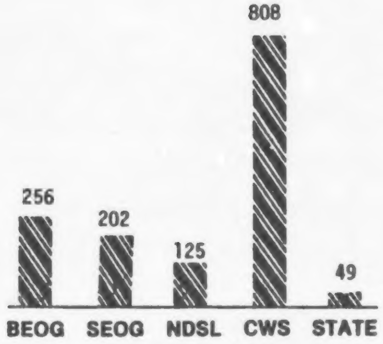
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

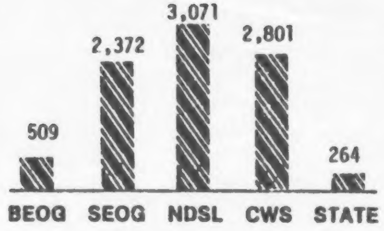
- NORTH CAROLINA STUDENT INCENTIVE GRANTS



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

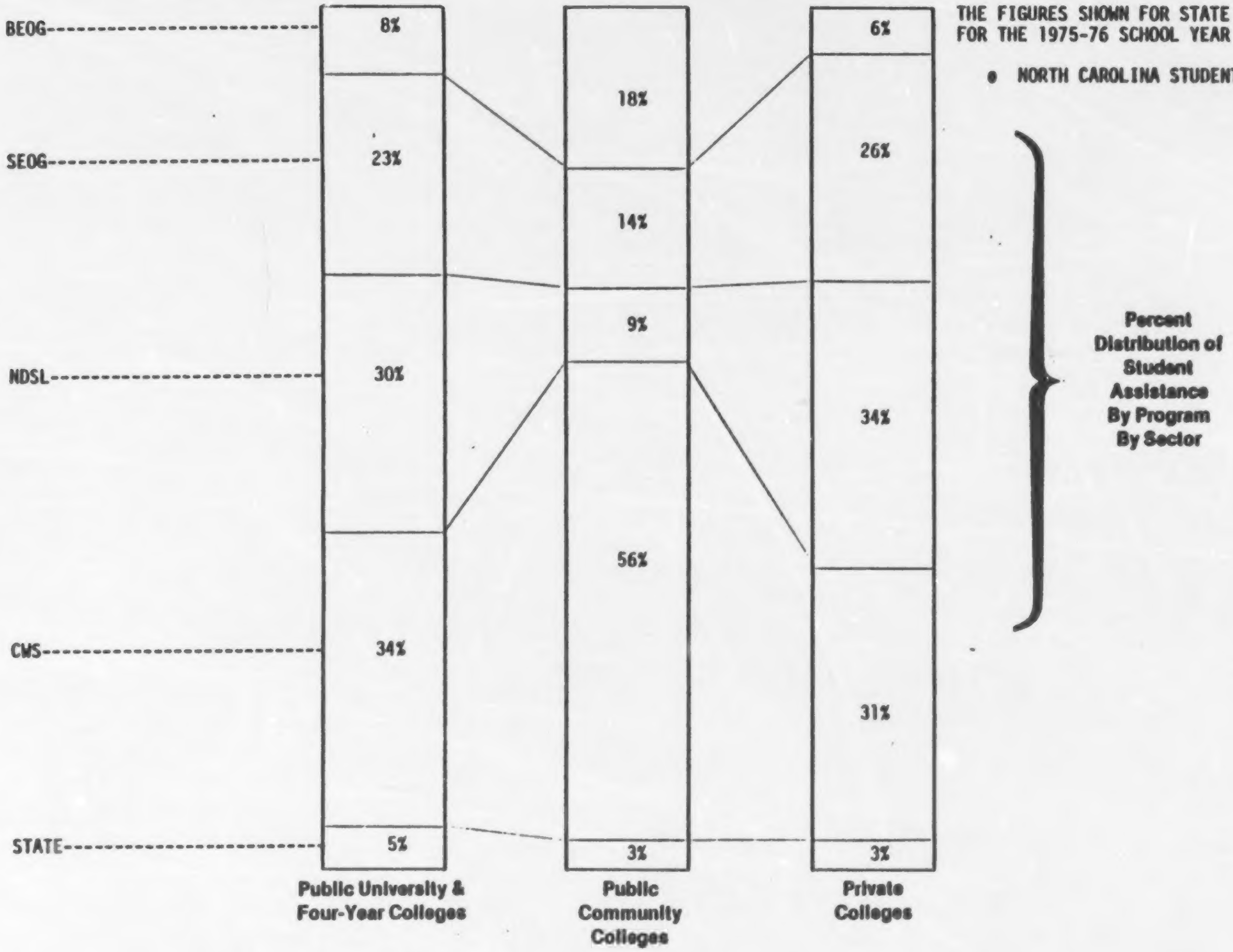
State funds were also awarded to the following types of institutions:

- Proprietary - $61,328

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- NORTH CAROLINA STUDENT INCENTIVE GRANTS



State funds were also awarded to the following types of institutions:

- Proprietary - $61,328

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

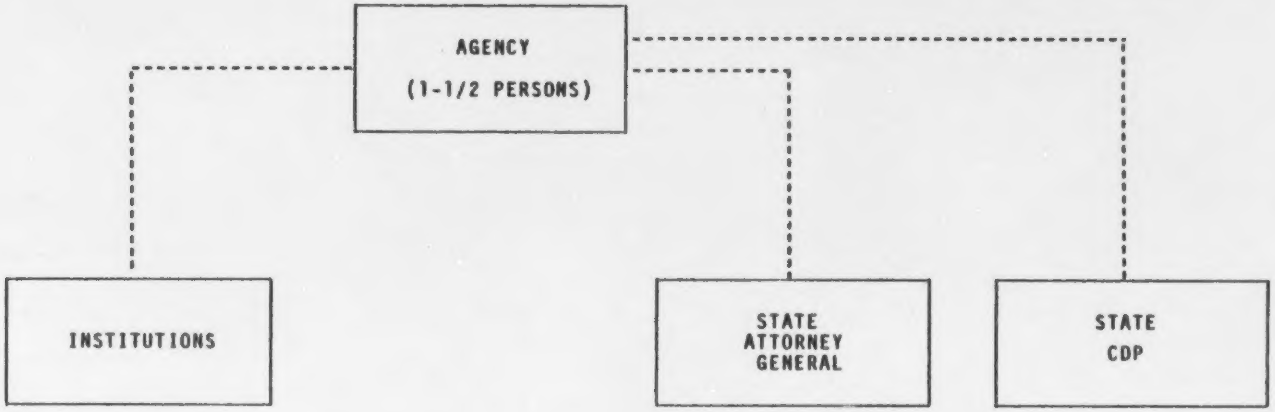
The North Dakota Student Financial Assistance Agency is responsible, by law, to the State Board of Higher Education. The State Board has seven appointed members, each serving seven years, and has consitutional responsibility for the operation of the state colleges and universities. The relationship to institutions is coordinative.

1. North Dakota Student Financial Assistance Program

RELATIONSHIPS OF STATE AGENCIES

The Student Financial Assistance Agency is responsible, by law to the State Board of Higher Education. The State Board has seven appointed members, each serving seven years, and has constitutional responsibility for the operation of the state colleges and universities. The relationship to institutions is coordinative, North Dakota has no formal relationship with the 1202 Commission, and does not have a state operated guaranteed loan agency.

STATE AGENCY ORGANIZATION



The North Dakota Student Financial Assistance Agency (NDSFAA) is a one-professional operation using the services of a half-time secretary. The director is responsible for all phases of the program's activities. The State Central Data Processing Department provides computer services. The State Attorney General provides legal services, when necessary. The institutions disburse checks to students and verify enrollments. The NDSFAA also has undertaken responsibility for statewide dissemination of financial aid information.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	X

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student	Not Applicable			
State Resident	Law	Yes	Yes	Yes
Independent Student	Interpretation	Yes	Yes	Yes
Non-Profit Institution	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress	Interpretation	Yes	Yes	Yes
United States Citizenship	Law	Yes	Yes	Yes

NORTH DAKOTA B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Regulations Applications Summaries (Reports)	Adequate[1]
BEOG Office		Summaries Tapes	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)		Little information[2]	Marginal
Washington OE Office (Campus-Based Programs)	None	Little information[3]	Marginal
FISL/GSL Office	None	Summaries (Quarterly interest)	No Contact
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations Terminations	Summaries Terminations	Adequate
Private Institutions	Summaries Recipients Applications Regulations Terminations	Summaries Terminations	Adequate
Governor/Budget Office	Summaries[4]	None	Adequate
State Legislature (Including Committee Staffs)	Summaries[4]	None	Adequate

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[1] SSIG staff has been most cooperative.
[2] Seldom receive campus-based information from regional office.
[3] Would like to keep abreast of campus-based to enhance work with high school counselors.
[4] Requests from Governor, legislature revolve around budget requests, annual reports.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	40[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	20[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	20[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	15[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

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[1] Response is considered a "best guess."

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

	MONTH/YEAR	EVENTS
For 1977-79 biennium (North Dakota expenditures are assigned for two years at a time)	OCTOBER — 1976	Agency budget presented to governor's office or budget bureau
1) For 1977-79 biennium 2) For awards to be made in May, for use in September, 1977	JANUARY — 1977	1) Agency budget presented to legislature 2) Agency makes student-aid applications available
	FEBRUARY — 1977	Agency completes planning workshops for students or counselors
For 1977-79 biennium	MARCH — 1977	Legislature appropriates student assistance funds
For awards to be made in May, for use in September 1977	APRIL — 1977	Deadline for student-aid applications
	MAY — 1977	Agency (or institutions) announces award winners
	ONGOING —	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sampled	State	Those that are flagged on ACT will ask for 1040 data - make needed changes
2. Match Against BEOG Applications?	Yes, for information	State	
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Go after funds if received and then skipped.
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

NORTH DAKOTA C1

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	
2. College Financial-Aid Officers	X	X	X			
3. Direct Mailings to Graduating High School Students or Parents	X	X	X		X	
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X			
6. Newspaper Ads		X	X		X	
7. Radio, Television Ads		X	X		X	
8. Social Service Agencies	X	X	X			
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

North Dakota Student Financial Assistance Program - A need-based grant program to provide an incentive for resident students to begin first-time postsecondary training at in-state schools, including hospital schools of nursing.

North Dakota Indian Scholarship Program - A scholastic grant program for Native Americans enrolled in North Dakota state tribes to attend in-state schools. A C-average must be maintained by the students.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
North Dakota Student Financial Assistance Program	1973-74	579	$250	$144,708	First-time Postsecondary Residents
	1975-76	838	$279	$233,650	
North Dakota Indian Scholarship Program	1970-71	16	$846	$ 13,543	Native Americans of state enrolled tribes
	1975-76	39	$717	$ 27,949	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
North Dakota Student Financial Assistance Program	Residents attending In-State	Full-Time	Freshmen	No	Not Applicable	Not Applicable
North Dakota Indian Scholarship Program	Residents attending In/Out of State & Non-Residents of State Enrolled Tribes	Full-Time	All undergraduates	Yes	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
North Dakota Student Financial Assistance Program	Public Private[1]	Public	No	No	Hospital Schools of Nursing
North Dakota Indian Scholarship Program	Public[2] Private	Public[2]	Yes[2]		

---

[1] Four-year only.

[2] In-state only.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
North Dakota Student Financial Assistance Program	Applicants ranked based on parental contribution & severa other criteria; awards are made until funds are expended.	No	Consensus	Tuition/Fees Room/Board Transportation General Student Costs
North Dakota Indian Scholarship Program	Need = Budget - Other anticipated income	No	Student submitted budget figure	Supplementary for all General Student Costs

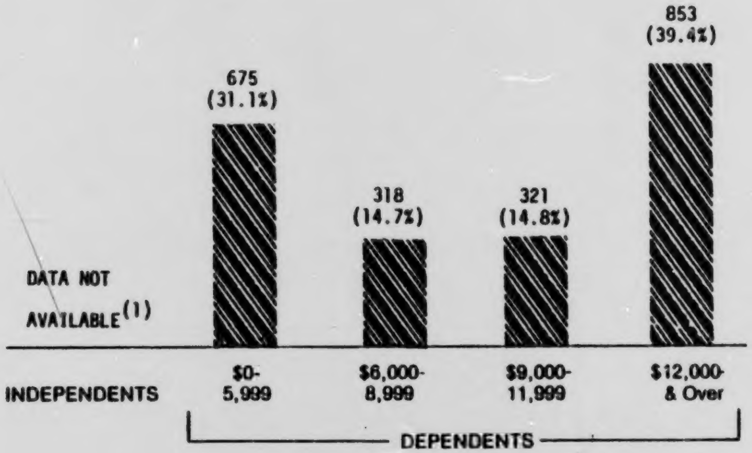
AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
North Dakota Student Financial Assistance Program	$ 500	Set amount determined by Advisory Board to program using available funds	Ranked by parental contribution; start at "0," go until funds are exhausted	State
North Dakota Indian Scholarship Program	$1,500	Application examination by Scholarship Board	By need and potential as a continuing, above-average student	3-Member Board

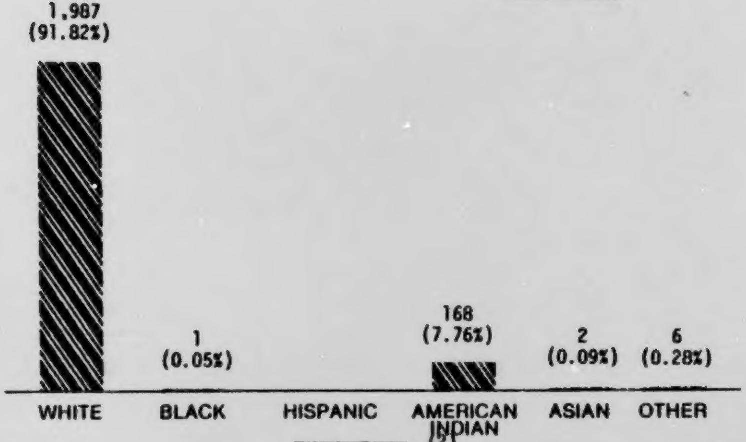
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

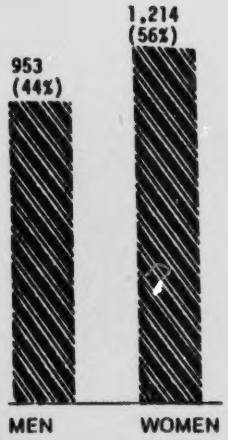
- NORTH DAKOTA STUDENT ASSISTANCE PROGRAM



Income Level



Ethnic Status (2)



Sex

(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

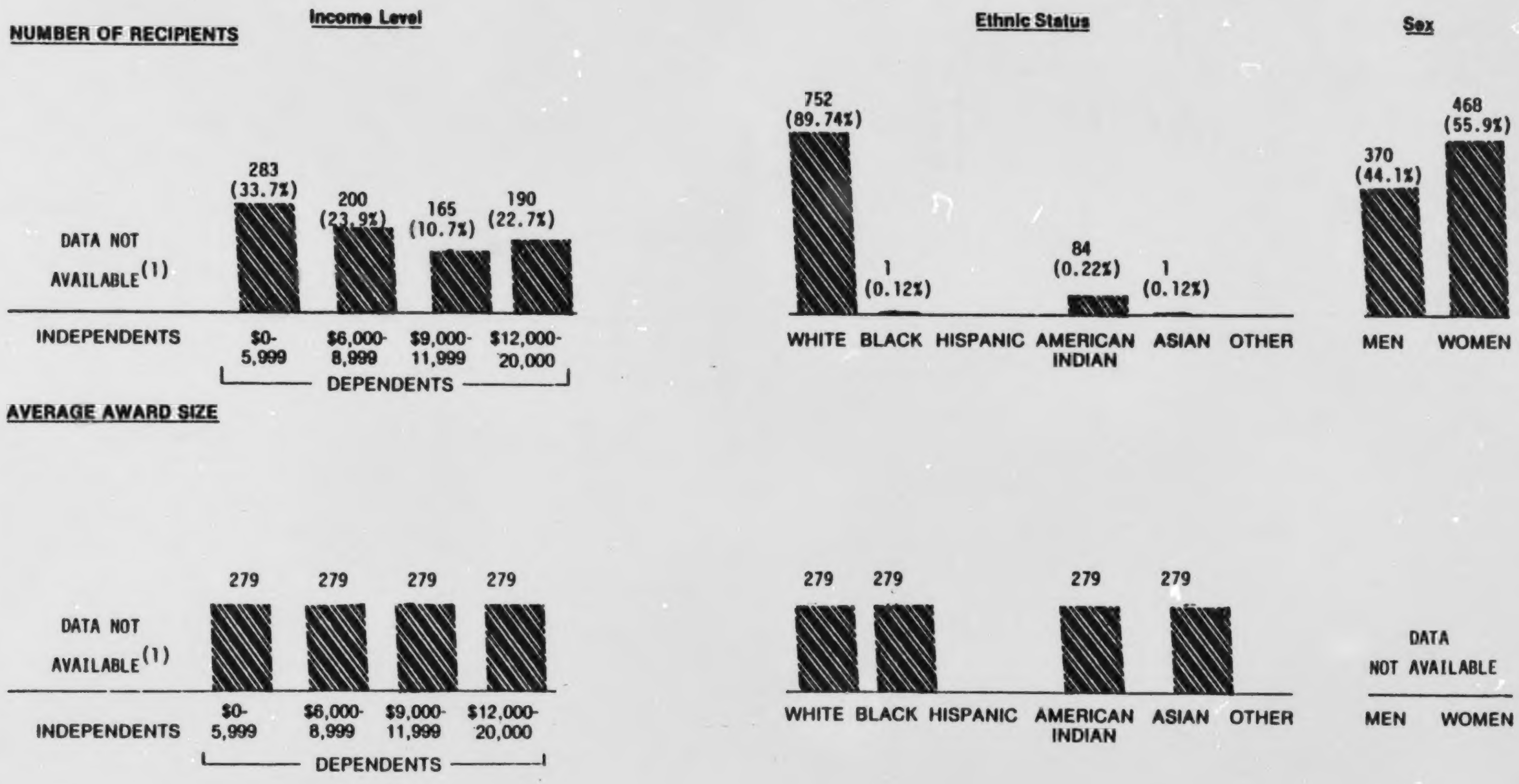
(2) There were 3 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
NORTH DAKOTA

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- NORTH DAKOTA STUDENT FINANCIAL ASSISTANCE PROGRAM

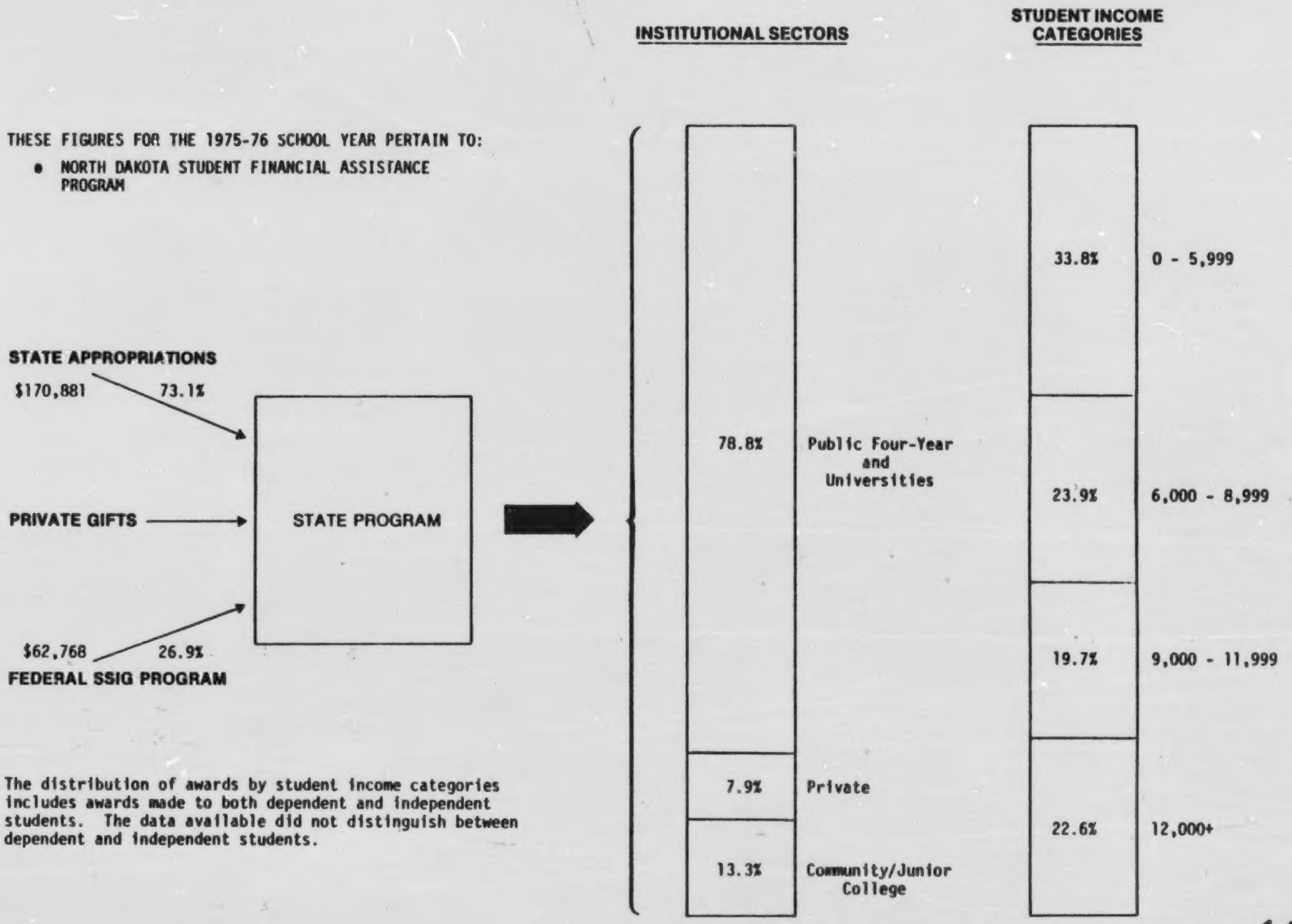


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

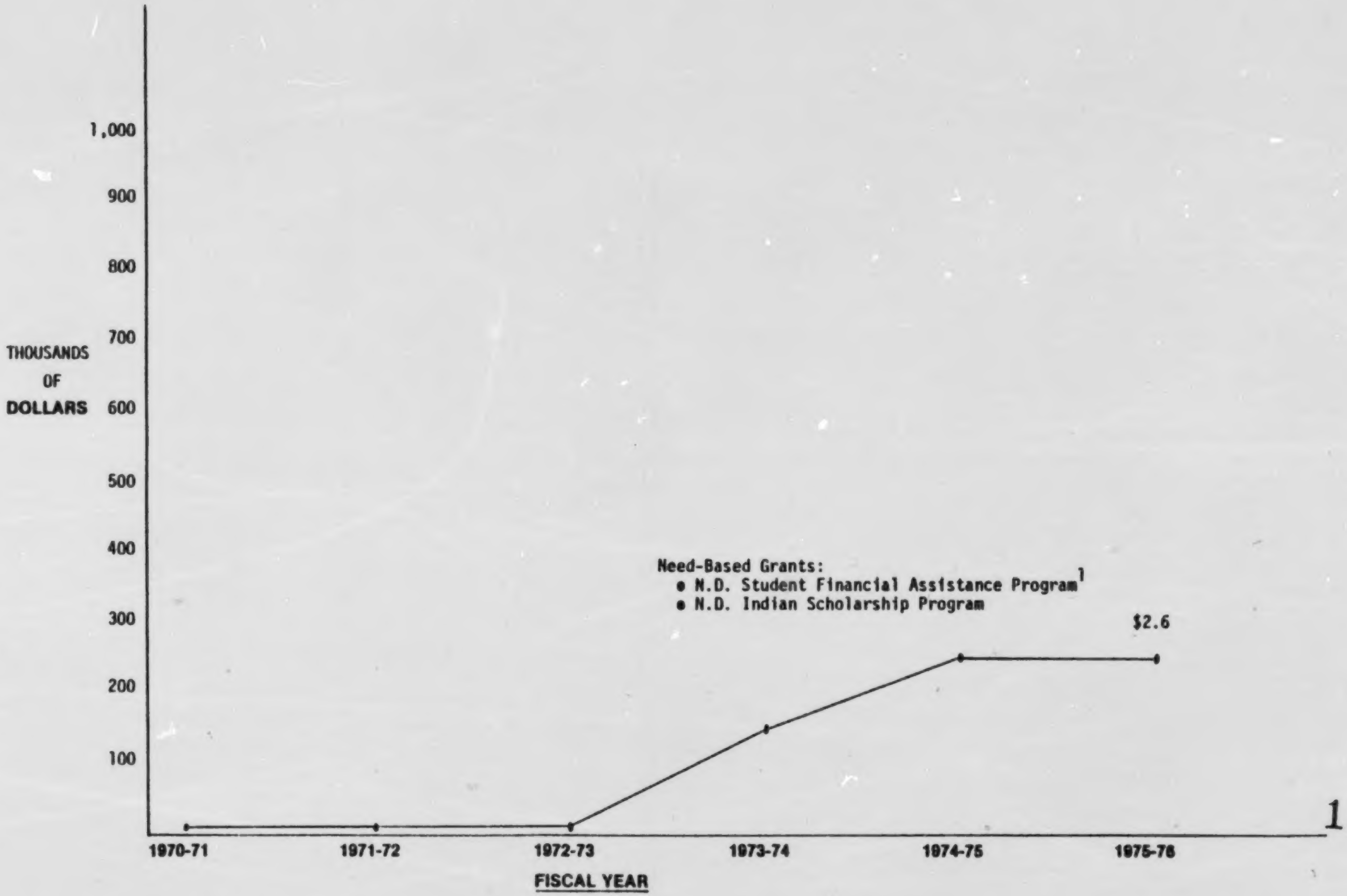
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- NORTH DAKOTA STUDENT FINANCIAL ASSISTANCE PROGRAM



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

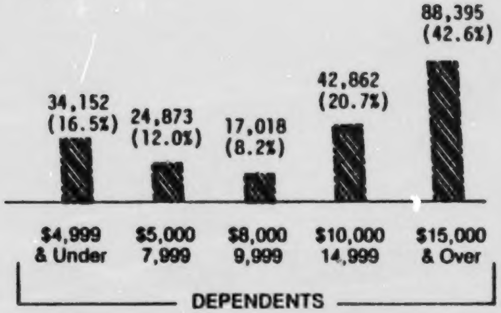
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1973-74 first year of program.

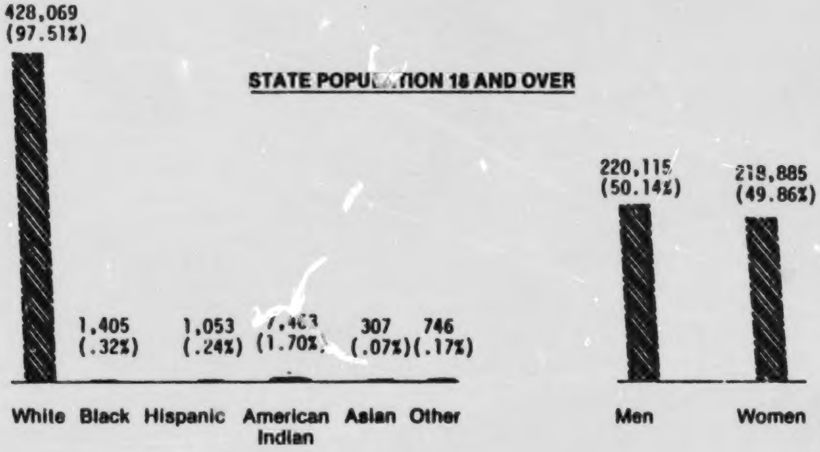
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS



Effective Buying Income[1]

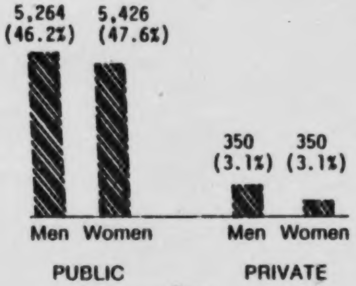
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES

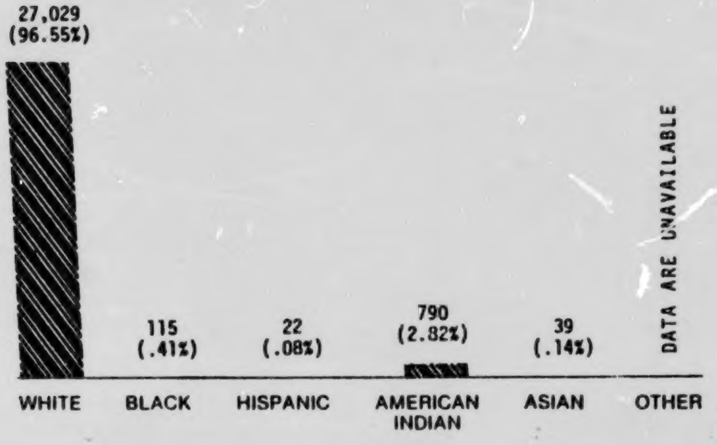


Sex

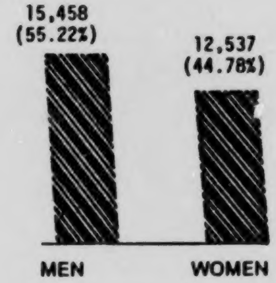
The high school graduation figures do not include 937 persons granted a high school equivalency certificate.

[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

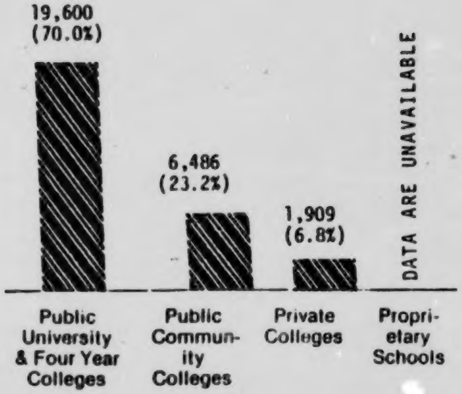
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



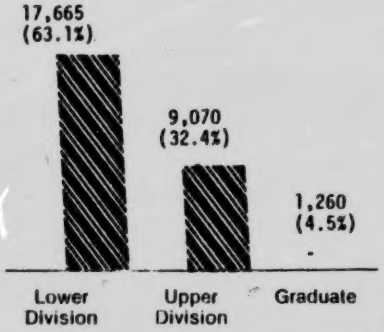
Ethnic Status



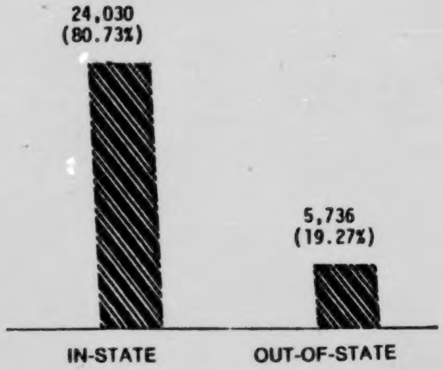
Sex



Institutional Sector

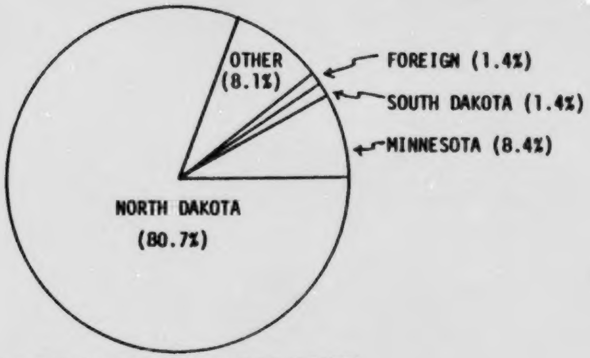


Student Level

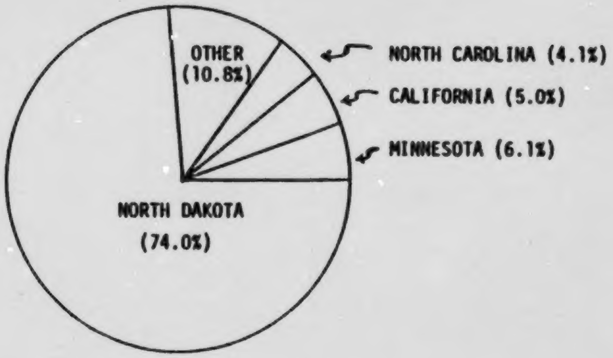


Residency

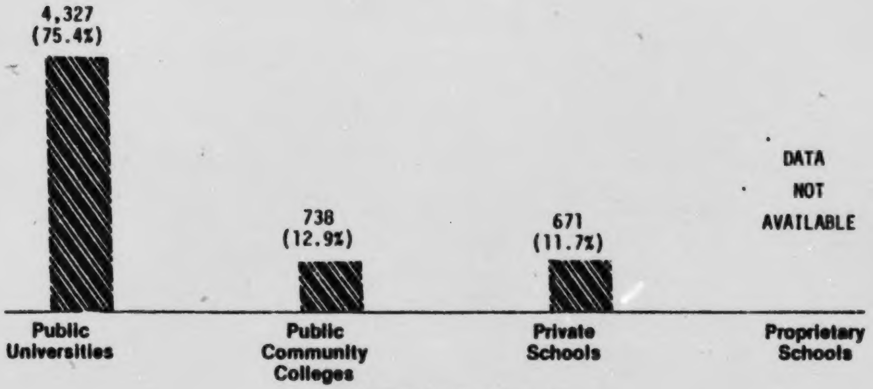
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



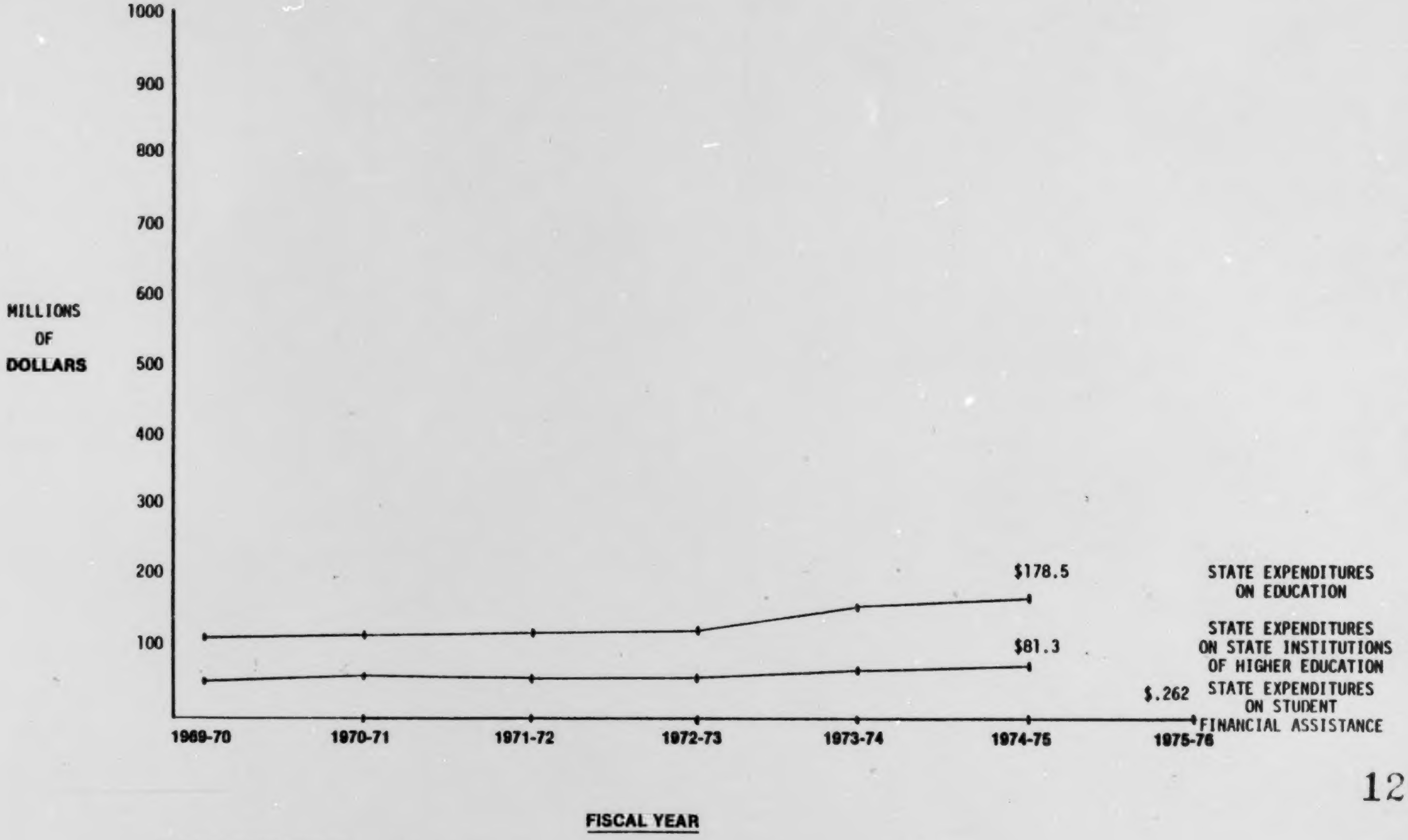
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

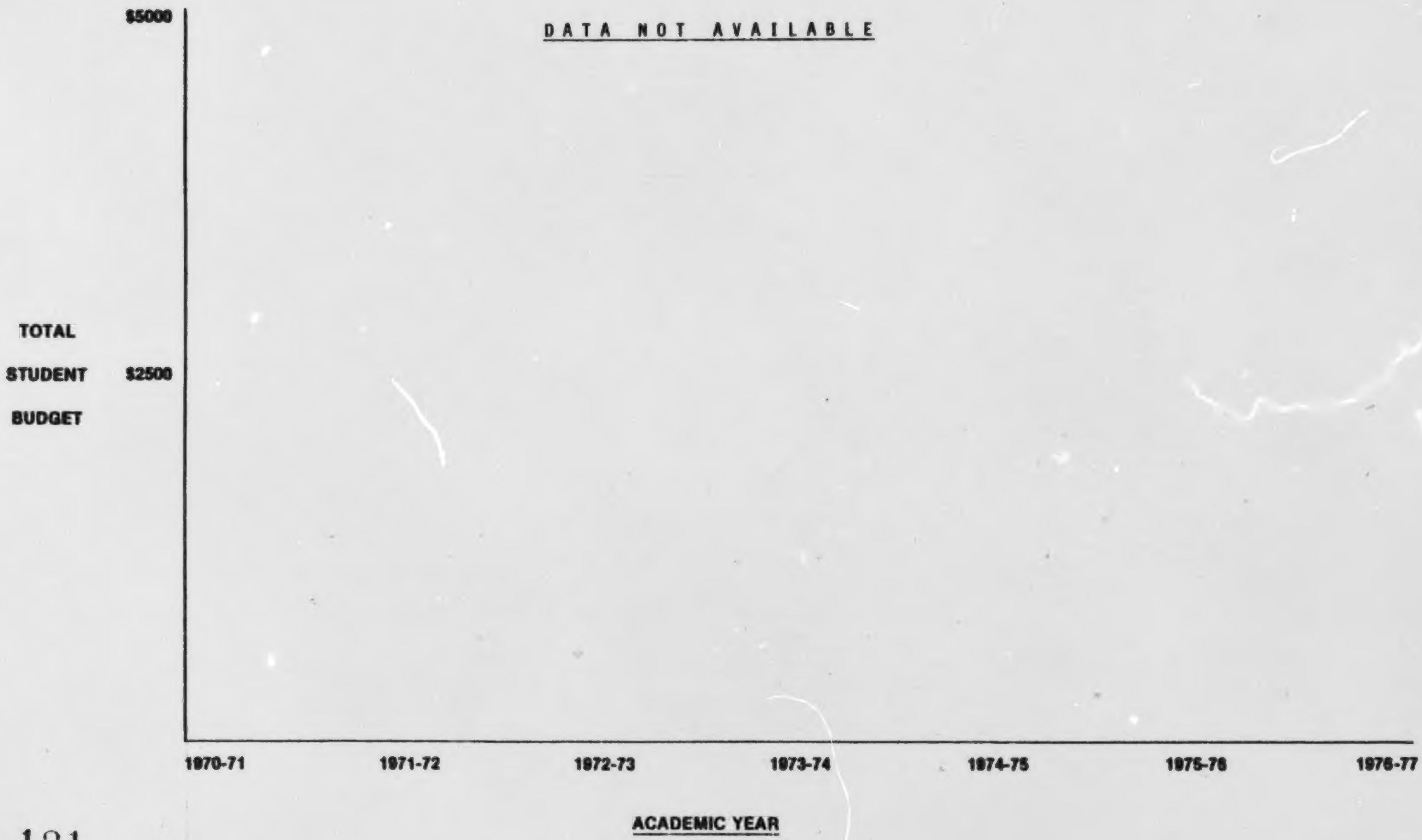
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- N.D. STUDENT FINANCIAL ASSISTANCE PROGRAM
- N.D. INDIAN SCHOLARSHIP PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

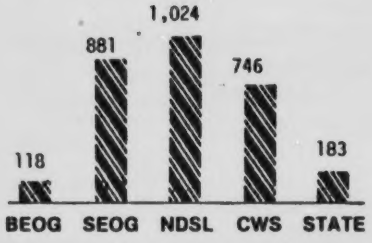


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

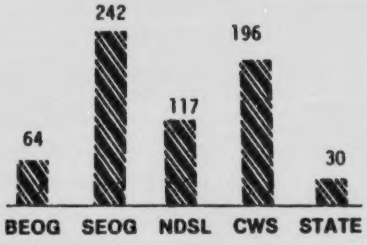
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

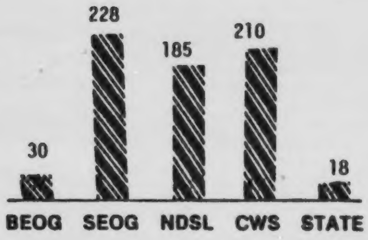
- NORTH DAKOTA STUDENT FINANCIAL ASSISTANCE PROGRAM



Public University and Four-Year Colleges



Public Community Colleges

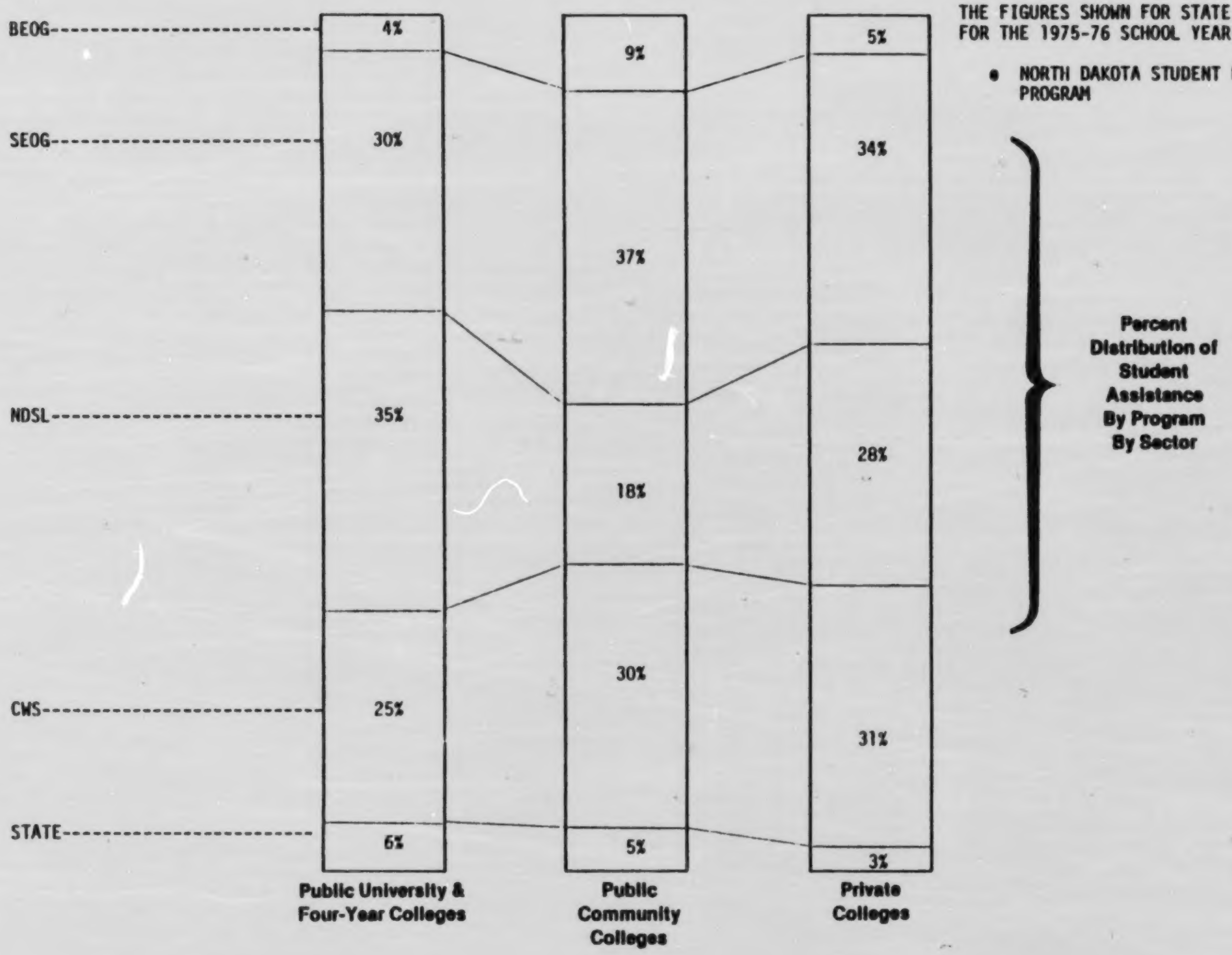


Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- NORTH DAKOTA STUDENT FINANCIAL ASSISTANCE PROGRAM



DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The members of the Ohio Board of Regents are appointed by the Governor. The State Legislature enacts the laws and provides appropriations. The Board of Regents serves as the 1202 Commission. Other non-Board members serve on the 1202 Commission.

1. Ohio Instructional Grants Program

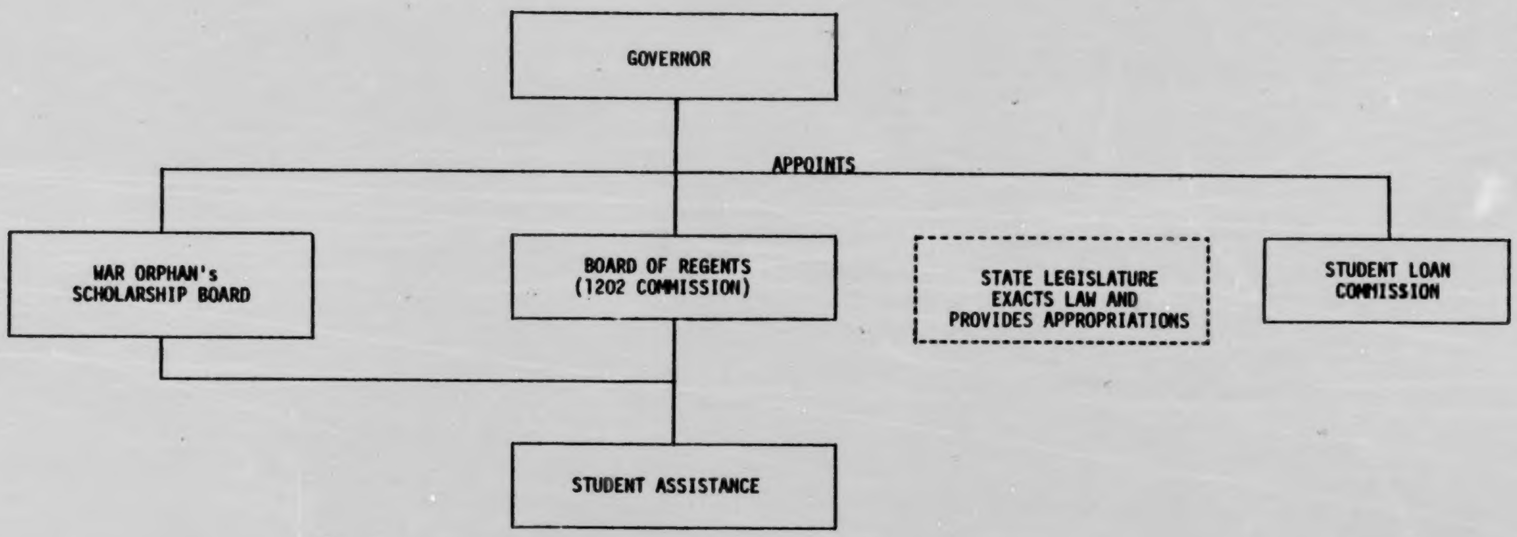
The members of the War Orphans Scholarship Board are selected by the Veterans organizations, and two elected representatives are appointed by the legislative leaders in both houses. The Chancellor of the Board of Regents is the Secretary to this Board. The Student Assistance Office of the Board of Regents is responsible for the management of the scholarship program.

1. War Orphans Scholarship Program

The members of the Student Loan Commission are appointed by the Governor. The state legislature enacts the laws and provides the appropriations for the program.

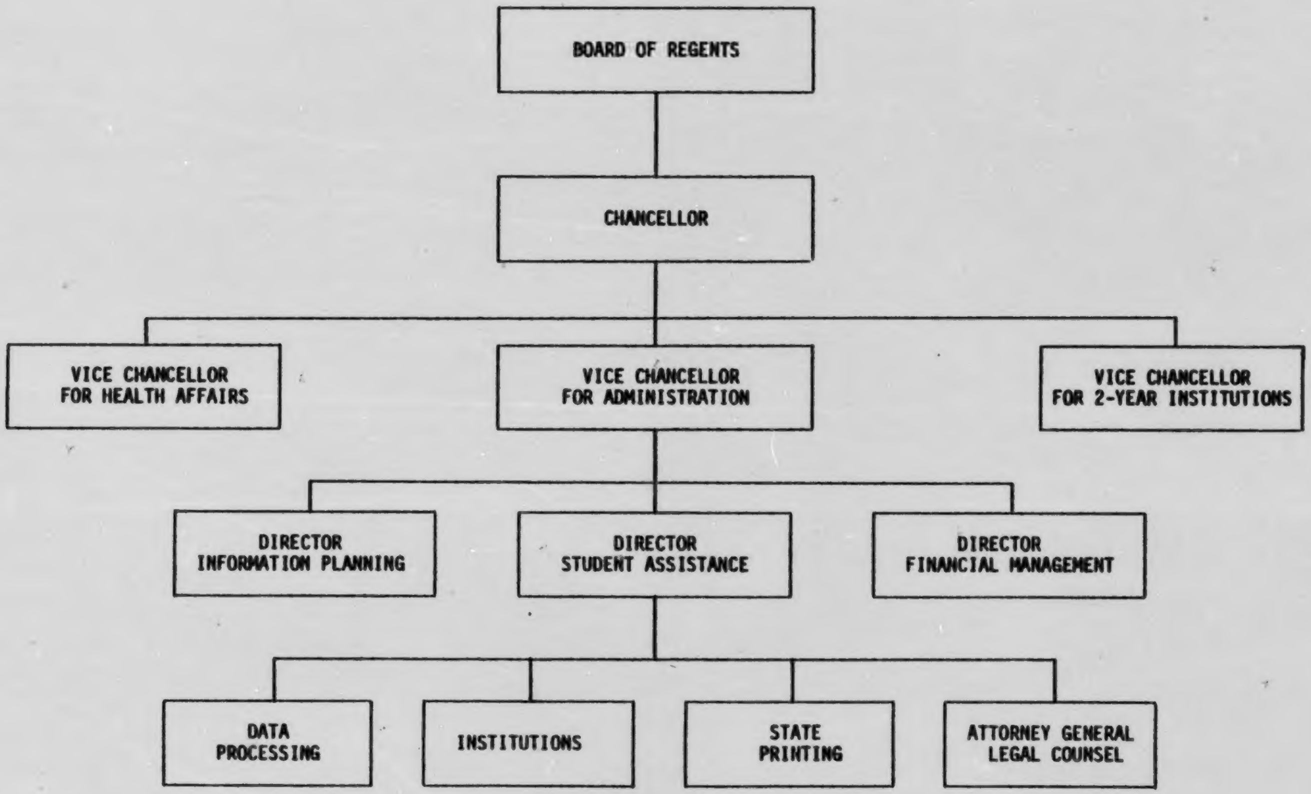
1. Ohio Guaranteed Student Loan Program

RELATIONSHIPS OF STATE AGENCIES


GOVERNOR
APPOINTS
WAR ORPHAN's SCHOLARSHIP BOARD
BOARD OF REGENTS (1202 COMMISSION)
STATE LEGISLATURE EXACTS LAW AND PROVIDES APPROPRIATIONS
STUDENT LOAN COMMISSION
STUDENT ASSISTANCE

Members of the Board of Regents and the Student Loan Commission are appointed by the Governor. Members of the War Orphan's Scholarship Board are selected by the Veteran's organizations and two elected representatives are appointed by the legislative leaders in both houses. The Chancellor of the Board of Regents is secretary to this Board. The Student Assistance Office of the Board of Regents is responsible for the management of the state grants program and the War Orphan's Scholarship Program. The Student Loan Commission manages the Guaranteed Loan Program. The Board of Regents serves as the 1202 Commission. Other non-Board members serve on the 1202 Commission.

STATE AGENCY ORGANIZATION


BOARD OF REGENTS
CHANCELLOR
VICE CHANCELLOR FOR HEALTH AFFAIRS
VICE CHANCELLOR FOR ADMINISTRATION
VICE CHANCELLOR FOR 2-YEAR INSTITUTIONS
DIRECTOR INFORMATION PLANNING
DIRECTOR STUDENT ASSISTANCE
DIRECTOR FINANCIAL MANAGEMENT
DATA PROCESSING
INSTITUTIONS
STATE PRINTING
ATTORNEY GENERAL LEGAL COUNSEL

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X	X	
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student taking less than 12 credit hours but no less than 6 credit hours.	Interpretation			Yes
State Resident - Parents of dependent students have been legal residents for previous 12 consecutive months prior to enrollment. Independent student has been legal resident for 12 consecutive previous months.	Interpretation	Yes		Yes
Independent Student - 1) Student has not been claimed by "parents" as tax exemption the year prior to enrollment, nor will be claimed during period for which grant is provided; 2) Has not resided with parents previous year, nor will reside with parents during period for which grant is provided; and 3) Has received no financial support from parents during previous year or for period of grant.	Interpretation			Yes
Non-Profit Institution - An institution which has received a certificate of authorization from the Board of Regents; a degree-granting institution.	Law and Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - Student is accepted by the institution and is allowed to attend the institution each quarter or semester and is enrolled for 12 credit hours or more each quarter or semester.	Interpretation			Yes
United States Citizenship - Natural born, or holds immigration visa or refugee status.	Interpretation	Yes		Yes

OHIO B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office		Summaries Recipients	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	Summaries	Summaries Regulations	Adequate
Washington OE Office (Campus-Based Programs)	---	---	No Contact
FISL/GSL Office	---	---	No Contact
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Regulations Recipients Terminations	Terminations	Adequate
Private Institutions	Summaries Regulations Recipients Terminations	Terminations	Adequate
Governor/Budget Office	Summaries Regulations		Adequate
State Legislature (Including Committee Staffs)	Summaries Regulations		Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

OHIO B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	70	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	5	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	10	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

OHIO B8

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
JANUARY - 1975	1) Agency budget presented to governors office or budget bureau 2) Agency budget presented to legislature
JUNE - 1975	Legislature appropriates student assistance funds
ONGOING -	Agency completes planning workshops for students or counselors
DECEMBER - 1975	Agency makes student-aid applications available
JANUARY-ONGOING - 1976	Agency (or institutions) announces award winners
AUGUST - 1976	1) Deadline for student-aid applications 2) BEOG applications information received from federal office
DECEMBER - 1976	Deadline for receiving applications for partial awards
JANUARY - 1977	Last date at which award changes and adjustments are made

Every 30 days beginning January--
no other preferable schedule

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, 10%	State	Note 1
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?	Yes	State	
5. Prosecution?			
6. Withdrawal of Award	Yes	State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Note 2
2. Check on Mid-Term Attendance?	Yes	Institution, State	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	Institution	
2. Match Against BEOG, Campus-Based Award Files?	Yes	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes	State[3]	
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State[3]	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

---

[1] Grant withdrawn or reduced.

[2] Award withdrawn for period not in attendance.

[3] Done by State Auditor.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X		X	X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X		X	X
4. High School Meetings or Workshops	X	X	X		X	X
5. College Admissions Office						
6. Newspaper Ads		X	X		X	
7. Radio, Television Ads		X	X		X	
8. Social Service Agencies	X	X	X		X	X
9. Other (Please Specify) Pharmacies	X	X	X		X	X

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Ohio Instructional Grants Program - A grant program to assist low-income and middle-income resident students in achieving financial access to Ohio public and private non-profit institutions of higher education.

War Orphans Scholarship Program - A grant program for dependents of Veterans, POWs, MIAs, to provide financial assistance in attending in-state public schools.

Ohio Guaranteed Student Loan Program -

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Ohio Instructional Grants Program	1970-71	14,904	$ 306	$ 4,571,588	Resident Undergraduates
	1975-76	47,124	$ 421	$19,845,913	
Non Need-Based					
War Orphans Scholarship Program	1970-71	156	$ 681	$ 106,270	Dependents of Veterans/ POW/MIA
	1975-76	208	$ 926	$ 192,809	
Ohio Guaranteed Student Loan Program	1970-71	19,536	$1,141	$22,300,000	All Students
	1975-76	21,473	$1,503	$32,277,601	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Accept-able Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Ohio Instructional Grants Program	Residents attending In-State	Full-Time	All Under-graduates	No	$16,999 Family Gross Income	Not Applicable
Non Need-Based						
War Orphans Scholarship Program	Residents attending In-State	Full-Time	All Under-graduates	No	Not Applicable	Not Applicable
Ohio Guaranteed Student Loan Program	Residents attending In/Out of State & Non-Residents	Full-Time Half-Time	All	Not Applicable	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Ohio Instructional Grants Program	Public Private	Public	No	No	No
Non Need-Based					
War Orphans Scholarship Program	Public	Public	No	No	No
Ohio Guaranteed Student Loan Program[1]	Public Private	Public Private	Yes	Yes	Yes

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[1] All types of institutions except Correspondence.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Ohio Instructional Grants Program	Family Income less than $17,000.	No	Not Applicable	Tuition/Fees

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Ohio Instructional Grants Program	$1,500 - Private; $600 - Public	Family gross income and number of dependent children in family is structured in tables provided by law. Institution will reduce if amount exceeds tuition and fees.	Descending order of need	Institution
Non Need-Based				
War Orphans Scholarship Program				
Ohio Guaranteed Student Loan Program				

NUMBER OF SSIG PROGRAM APPLICANTS

OHIO F1

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000 8,999	$9,000 11,999	$12,000 14,999	$15,000 19,999	$20,000 & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

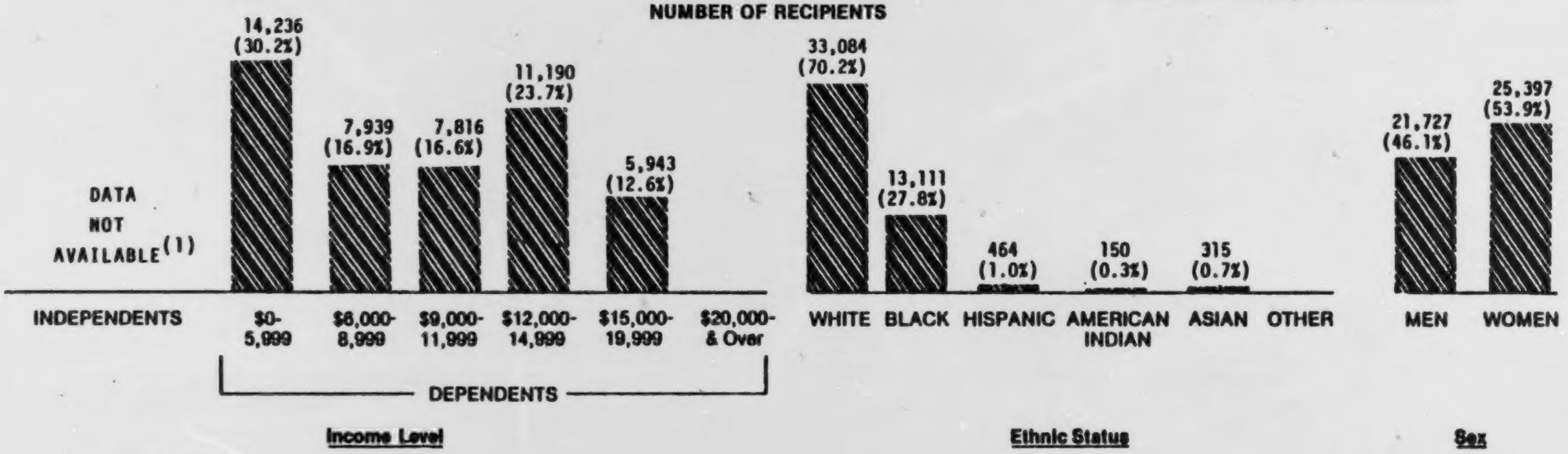
Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

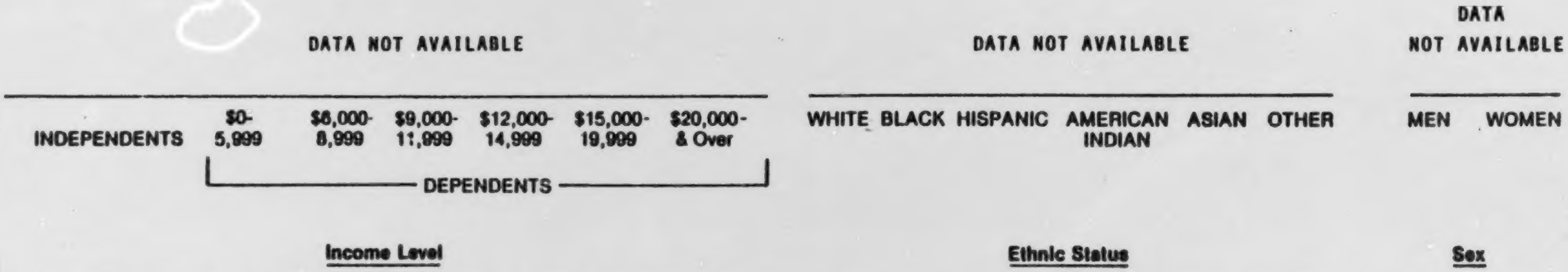
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- OHIO INSTRUCTIONAL GRANTS PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

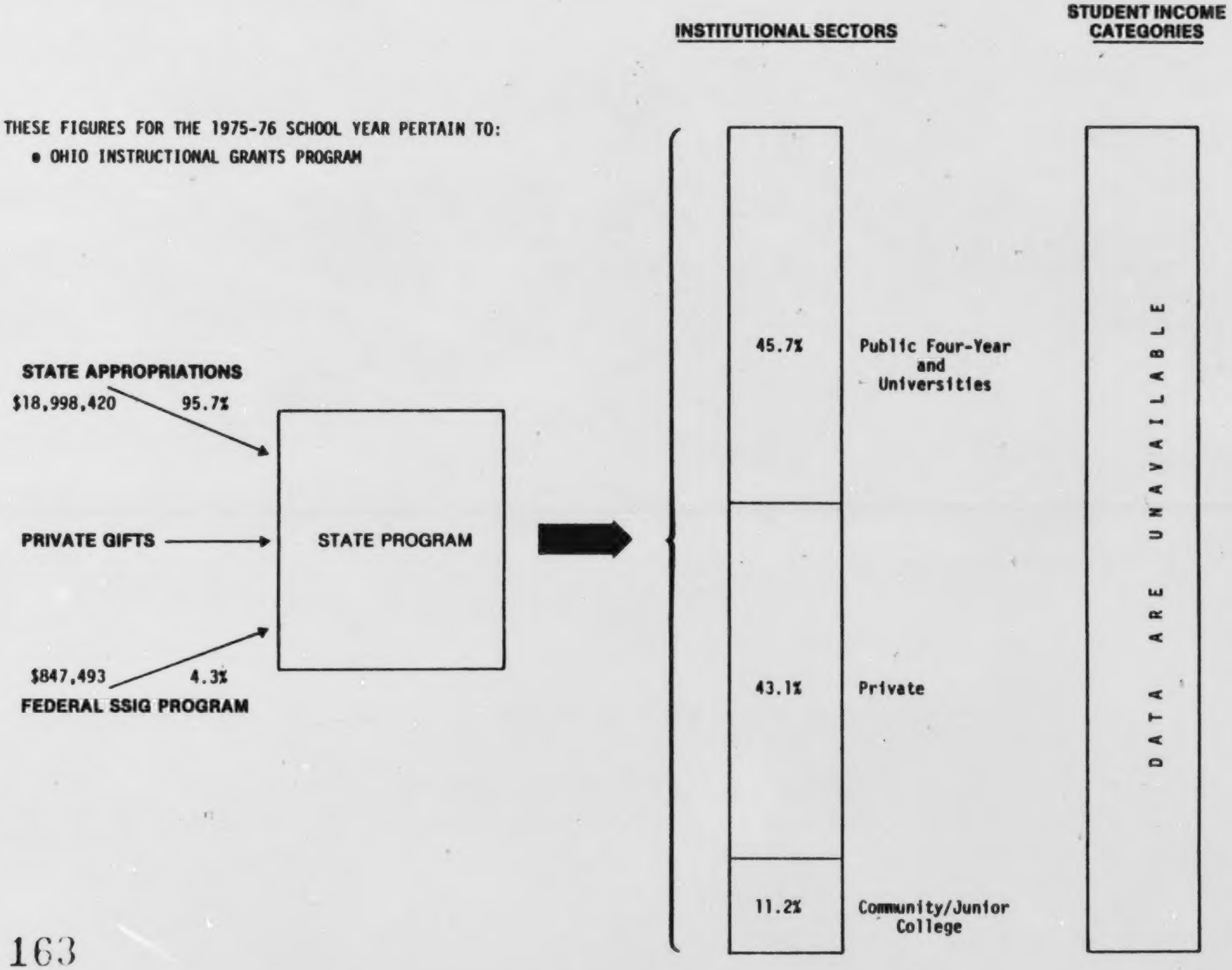


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- OHIO INSTRUCTIONAL GRANTS PROGRAM



INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES

STATE APPROPRIATIONS
$18,998,420 95.7%

PRIVATE GIFTS

$847,493 4.3%
FEDERAL SSIG PROGRAM

STATE PROGRAM

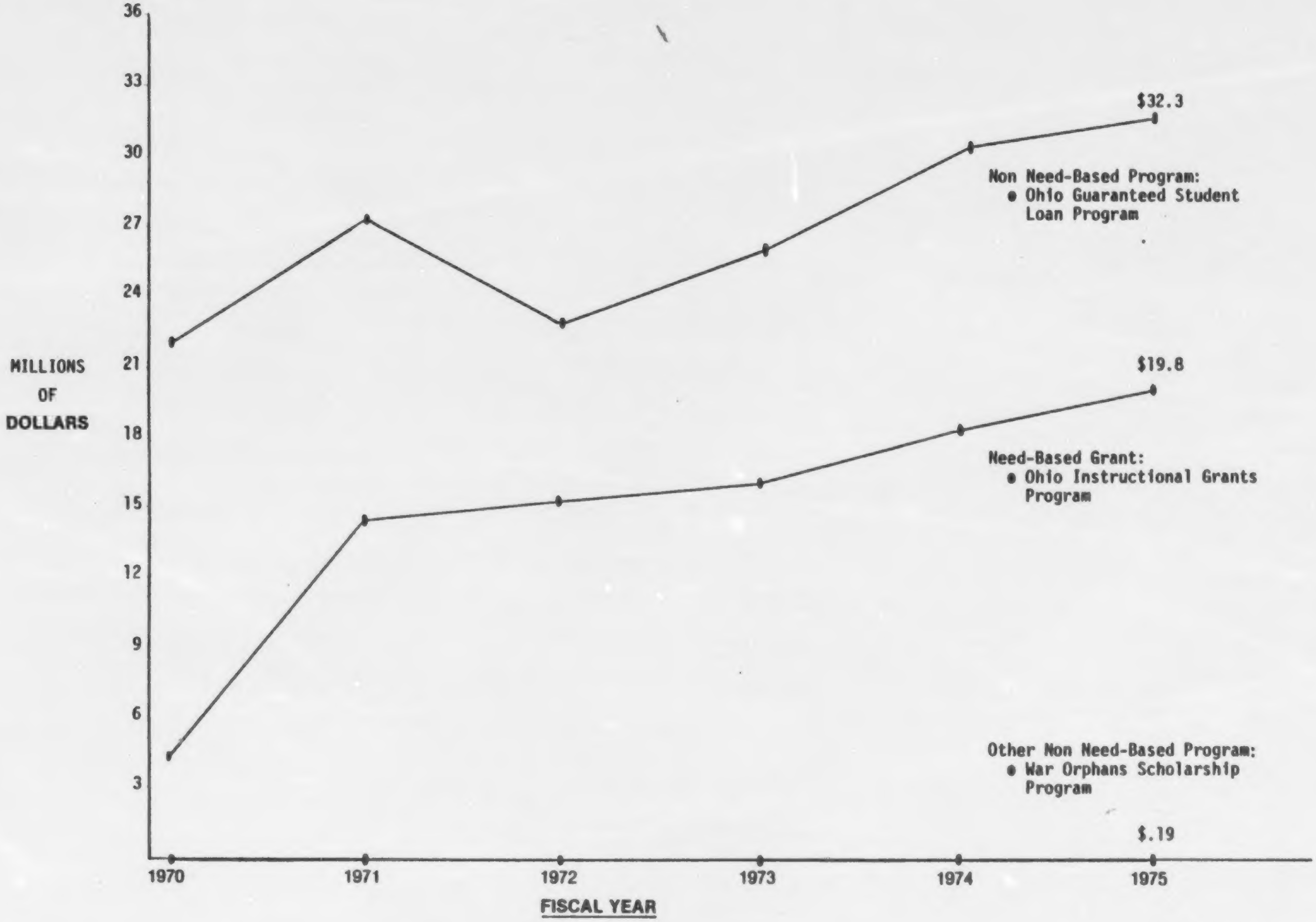
45.7% Public Four-Year and Universities

43.1% Private

11.2% Community/Junior College

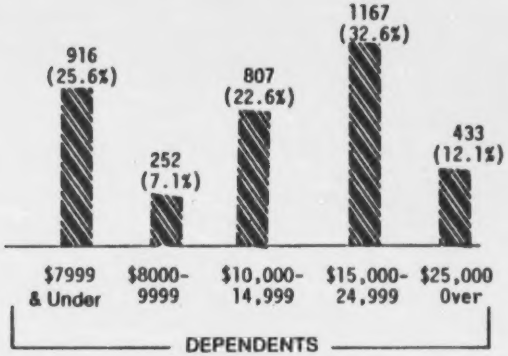
DATA ARE UNAVAILABLE

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



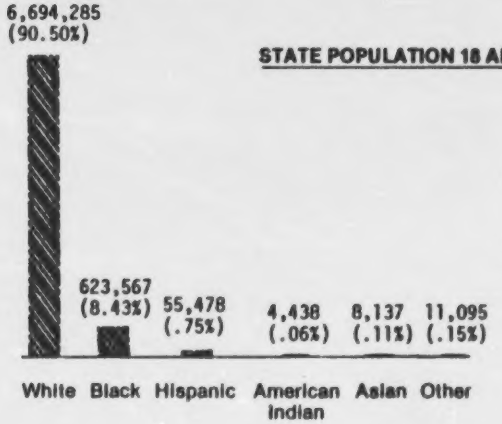
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

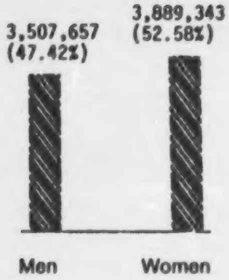


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

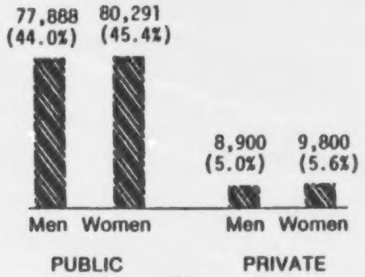


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 460 persons granted a high school equivalency certificate, nor 1,525 persons graduated from other than regular day school programs.

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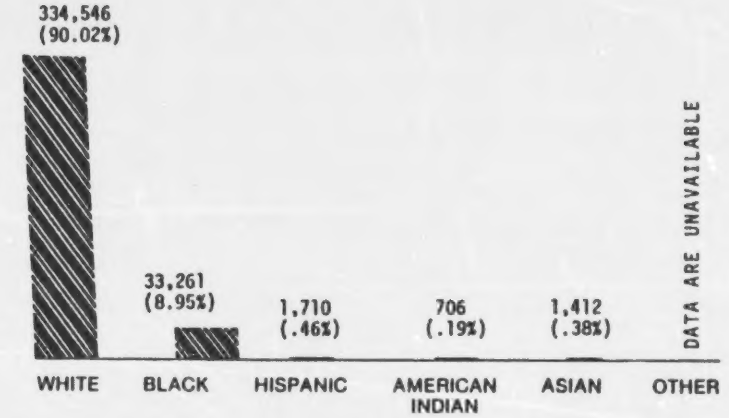
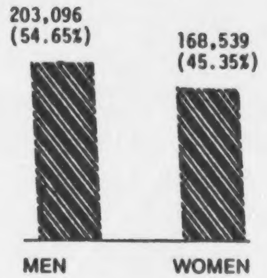
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

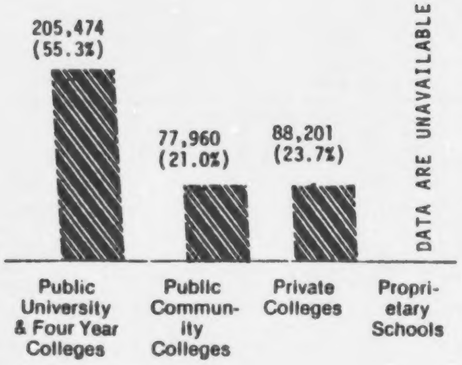
OHIO H2



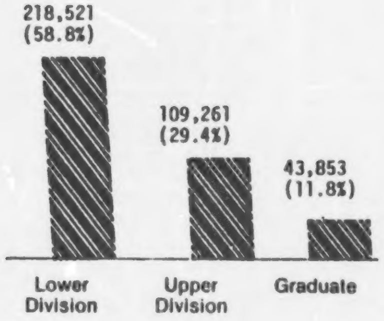
Ethnic Status



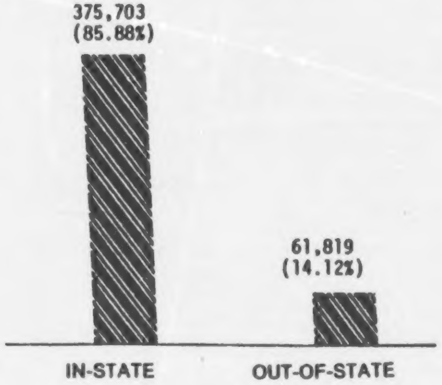
Sex



Institutional Sector

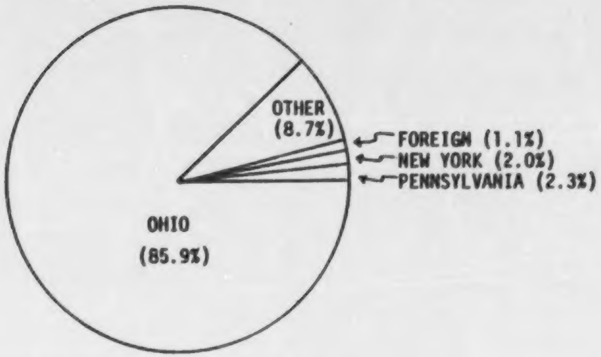


Student Level

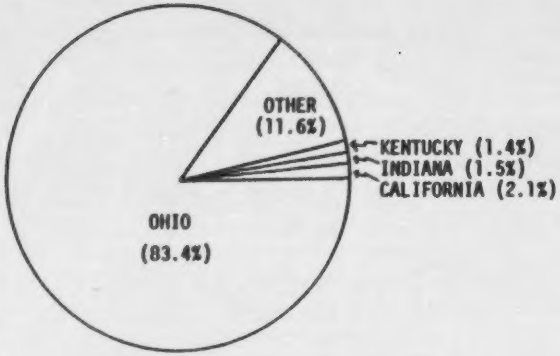


Residency

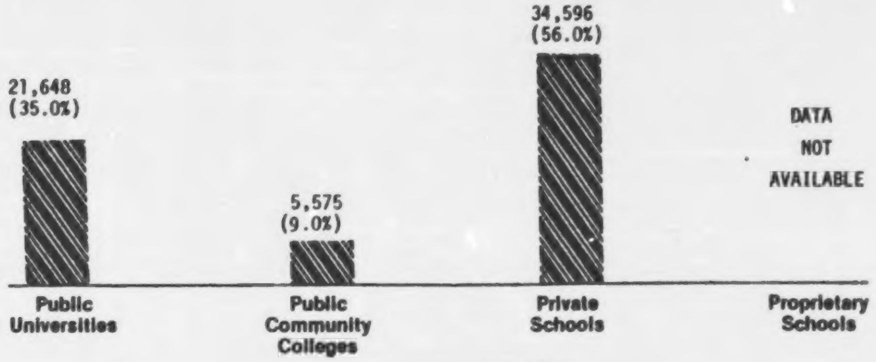
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



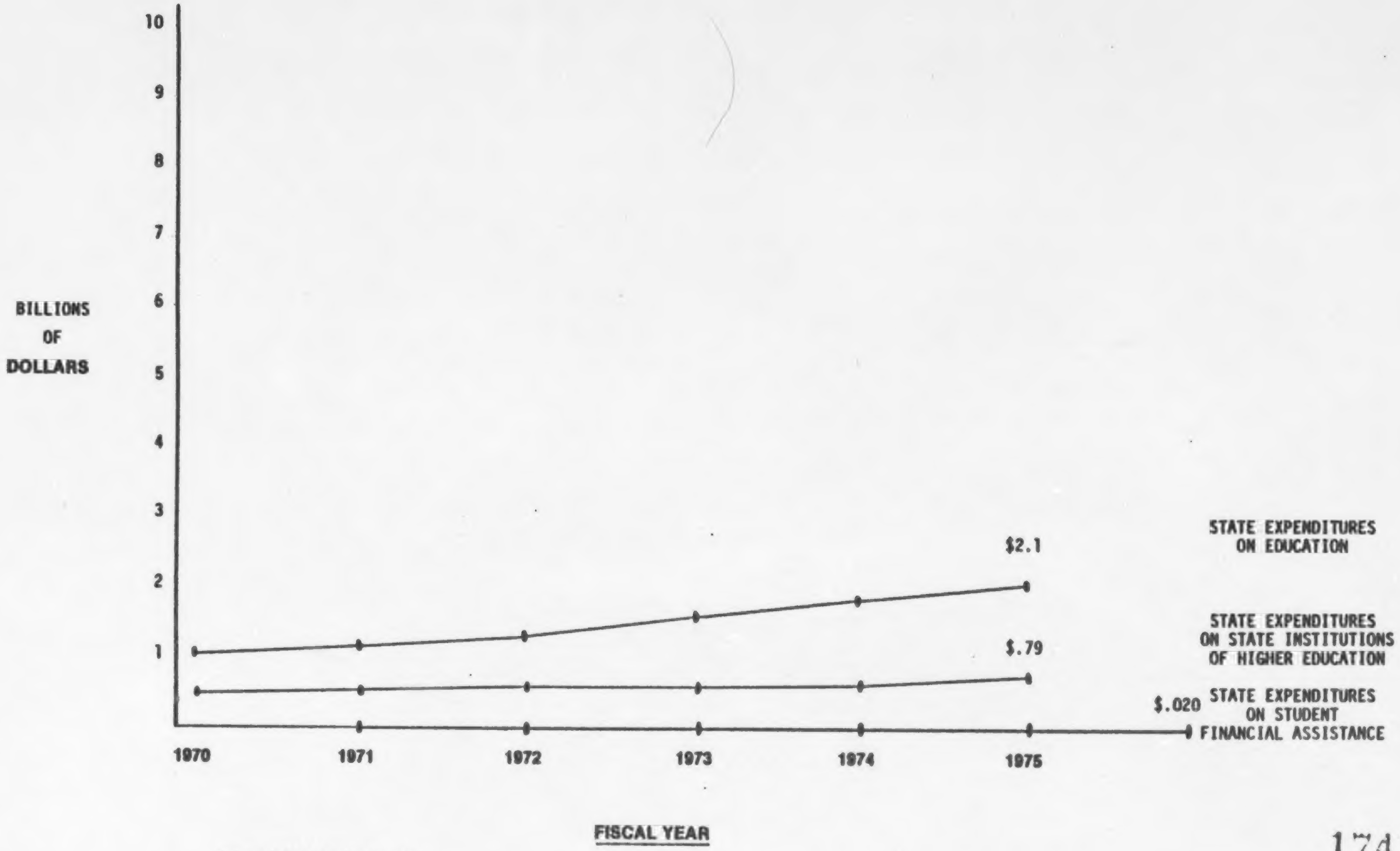
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

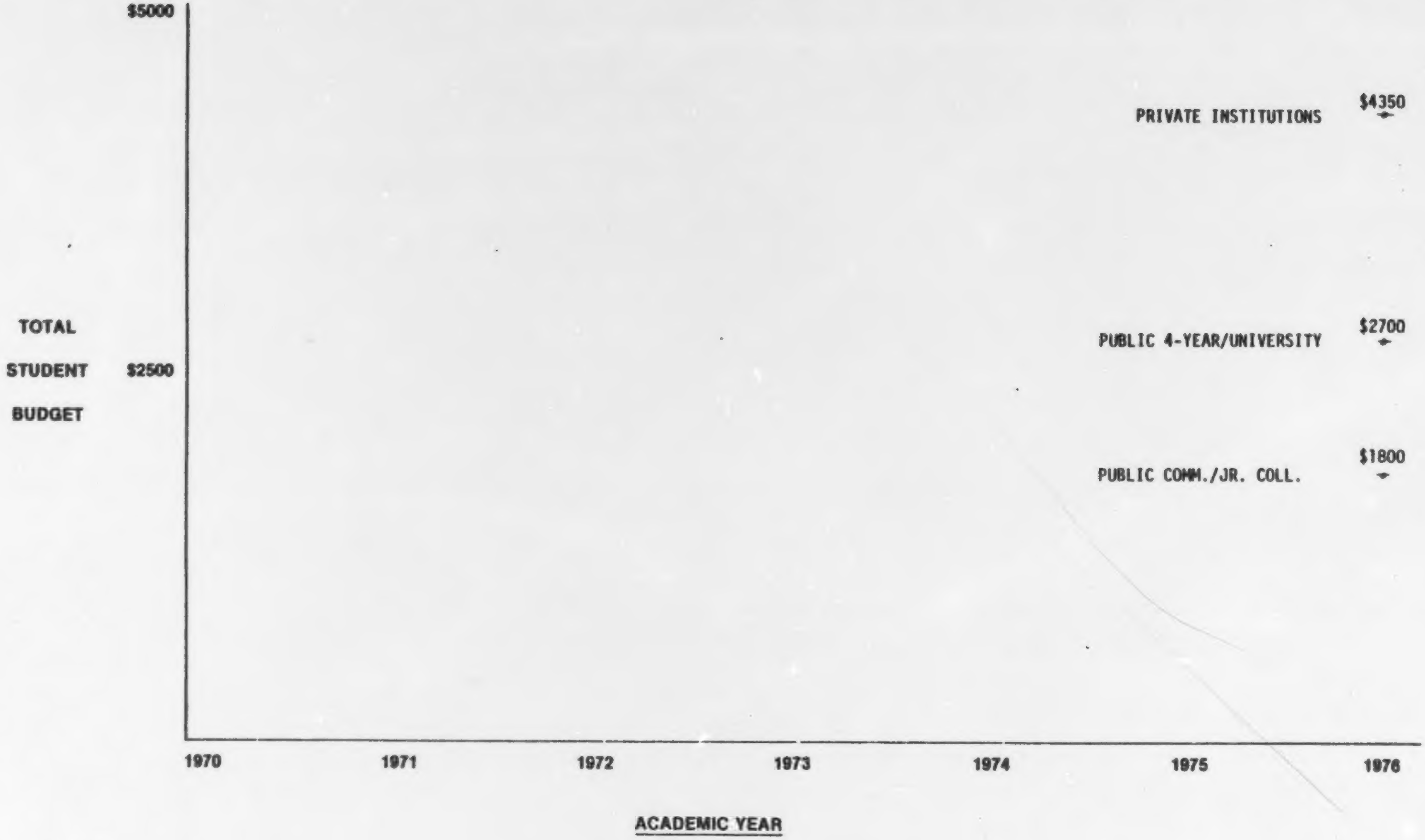
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- OHIO INSTRUCTIONAL GRANTS PROGRAM
- WAR ORPHANS SCHOLARSHIP PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

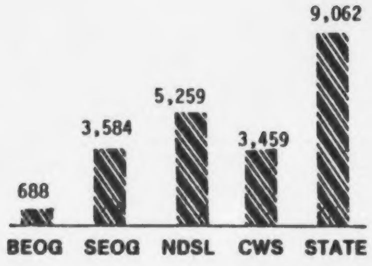


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

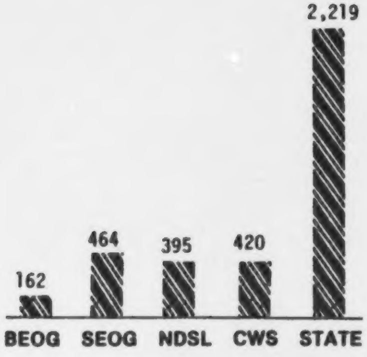
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

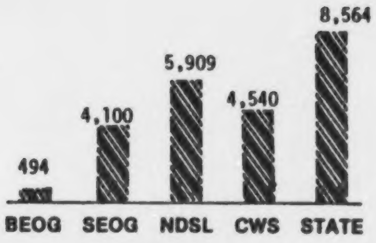
- OHIO INSTRUCTIONAL GRANTS PROGRAM



Public University and Four-Year Colleges

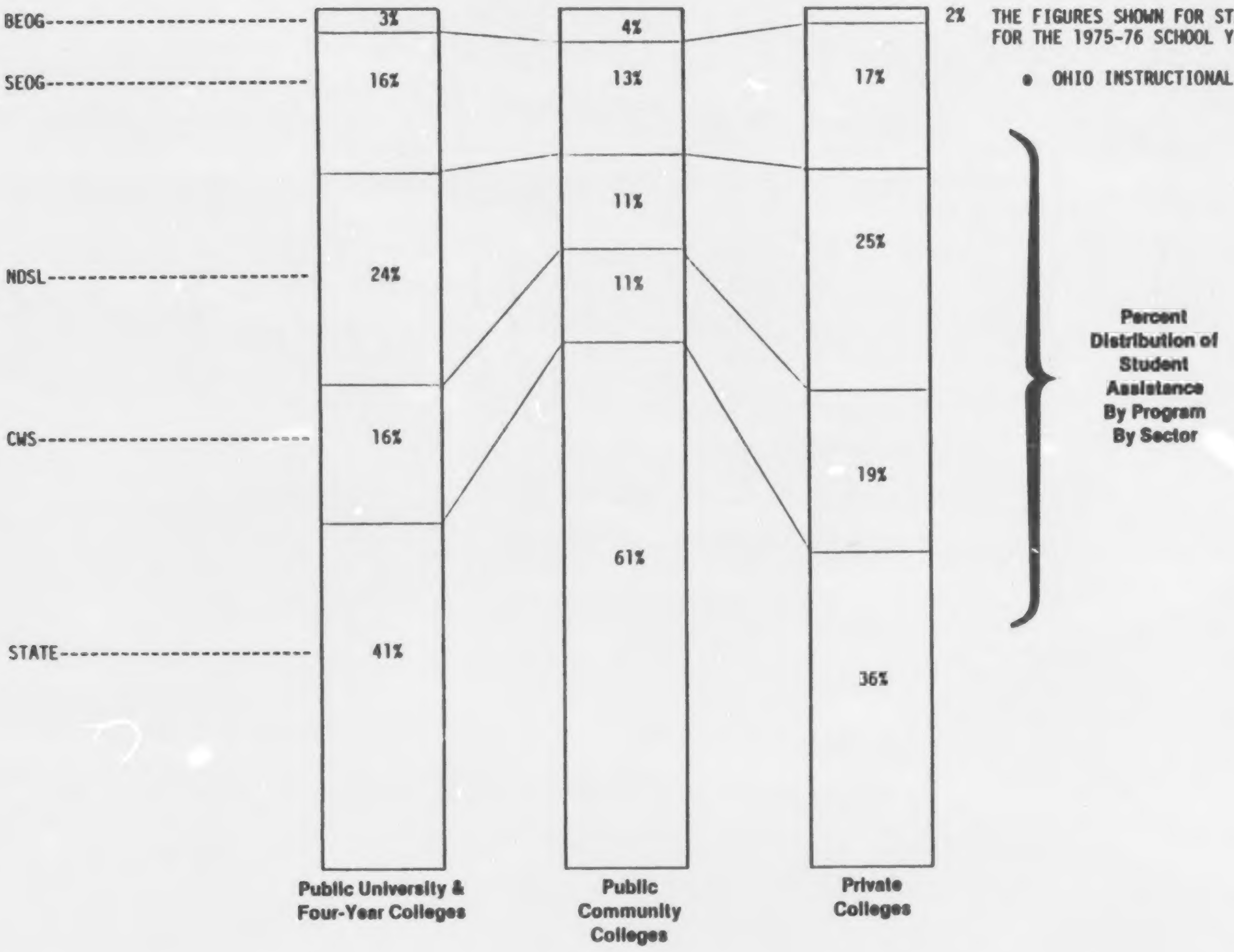


Public Community Colleges



Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

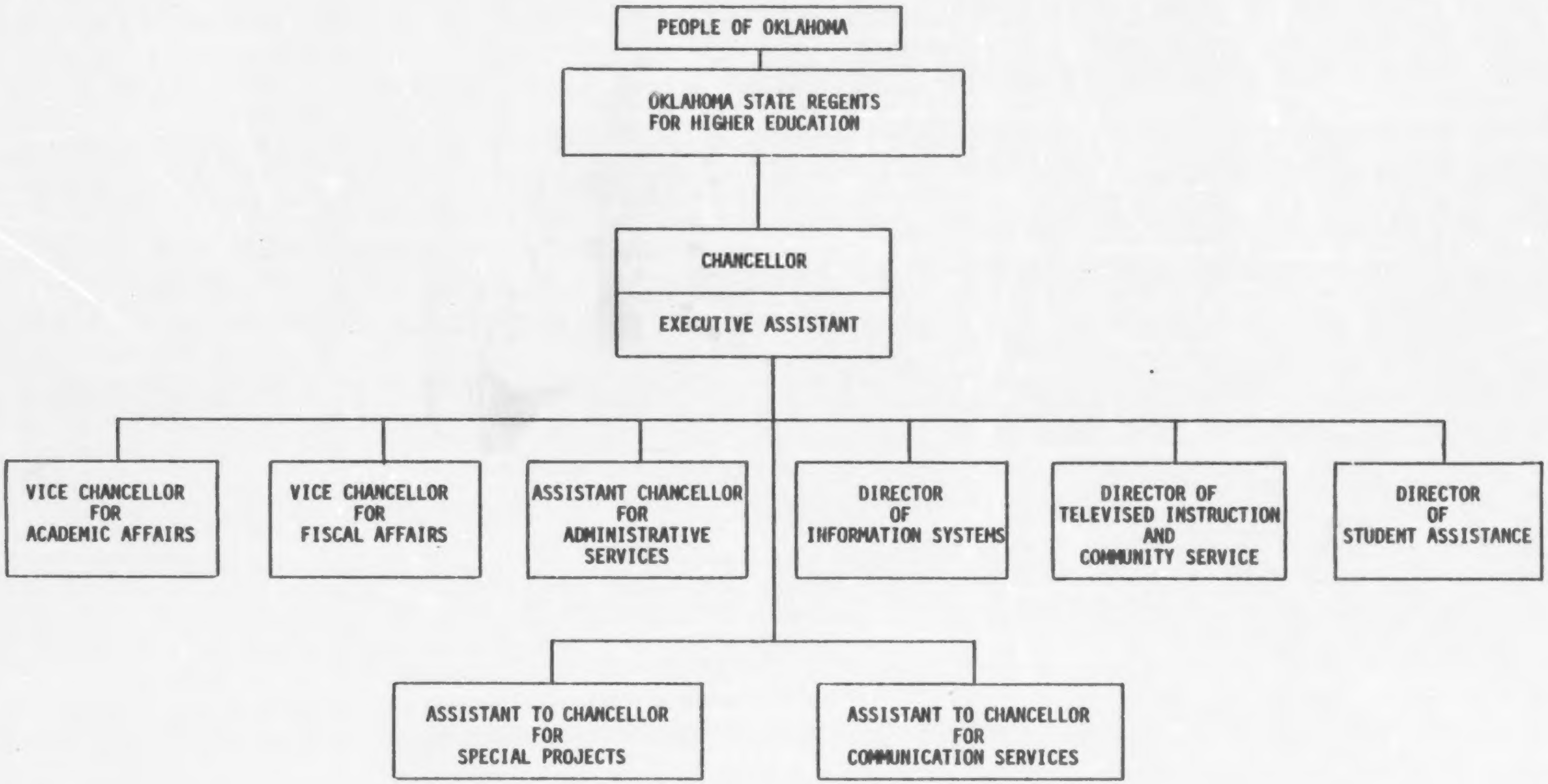
- OHIO INSTRUCTIONAL GRANTS PROGRAM

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Oklahoma State Regents for Higher Education is responsible for administering the Guaranteed Student Loan Program (GSLP) and functions as a state guarantee agency; it also functions as a lender administering the Oklahoma Student Loan Program operating under GSLP guidelines, utilizing funds created by the Oklahoma Student Loan Authority. The State Regents work closely with campus Financial Aid Officers and commercial lending institution representatives. The State Regents also administers the Tuition Aid Grant/SSIG Program.

1. Tuition Aid Grant Program/SSIG
2. Oklahoma Student Loan Program
3. Guaranteed Student Loan Program

RELATIONSHIPS OF STATE AGENCIES

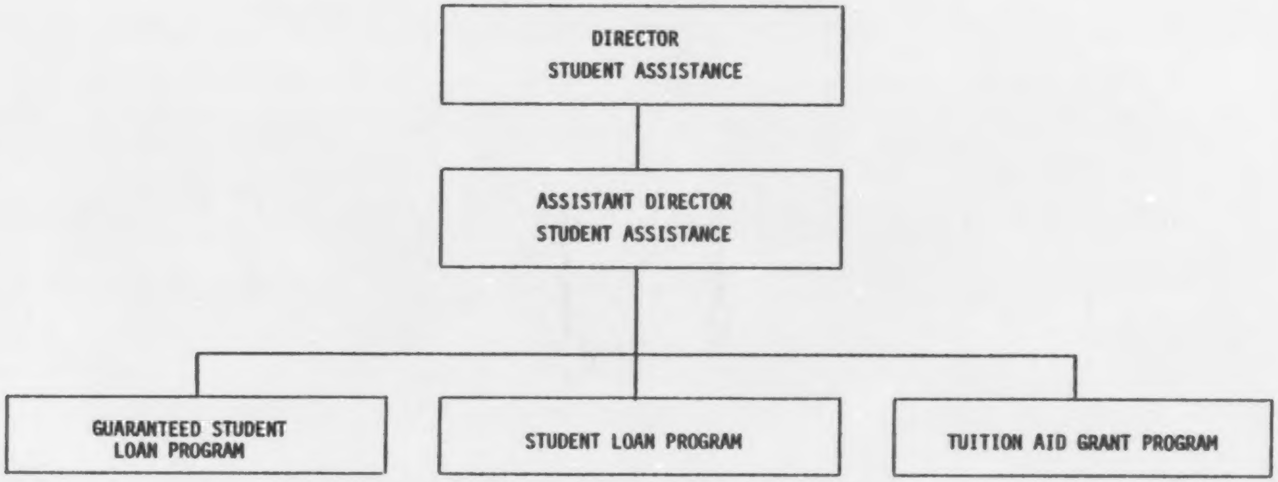

PEOPLE OF OKLAHOMA
OKLAHOMA STATE REGENTS FOR HIGHER EDUCATION
CHANCELLOR
EXECUTIVE ASSISTANT
VICE CHANCELLOR FOR ACADEMIC AFFAIRS
VICE CHANCELLOR FOR FISCAL AFFAIRS
ASSISTANT CHANCELLOR FOR ADMINISTRATIVE SERVICES
DIRECTOR OF INFORMATION SYSTEMS
DIRECTOR OF TELEVISED INSTRUCTION AND COMMUNITY SERVICE
DIRECTOR OF STUDENT ASSISTANCE
ASSISTANT TO CHANCELLOR FOR SPECIAL PROJECTS
ASSISTANT TO CHANCELLOR FOR COMMUNICATION SERVICES

The Oklahoma State Regents for Higher Education is responsible for administering the Guaranteed Student Loan Program and functions as a state guarantee agency. The State Regents office also administers a student loan program operating under GSLP guidelines, acting as a lender, utilizing funds created by the Oklahoma Student Loan Authority. The State Regents also administer the SSIG/Tuition Aid Grant Program.

The State Regents work closely with campus Financial Aid Officers and commercial lending institution representatives to coordinate the GSLP. Of course, campus Financial Aid Officers play an important role in processing GSLP applications as well as SSIG/TAG.

STATE AGENCY ORGANIZATION

DIVISION OF STUDENT ASSISTANCE



MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X	X	
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Six hours enrollment.	Interpretation	Yes	Yes	Yes
State Resident - Oklahoma State Regents has a published residency policy. Pays in-state fees; established domicile in state for 12 months minimum. Types of evidence used in establishing Oklahoma residency: ownership of property, registration for and voting in state general elections, filing an Oklahoma income tax return, and payment of ad valorem property taxes.	Interpretation	Yes	Yes	Yes
Independent Student - Self-supporting; does not reside with parents; is not claimed as a dependent on parent's income tax return; does not receive in excess of $600 from parents.				
Non-Profit Institution - Not for profit.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - GPA 24 to 36 semester hours attempted - 1.40 minimum 37 to 72 semester hours attempted - 1.60 minimum 73 to 108 semester hours attempted - 1.80 minimum Further study after 108 hours - 2.00 minimum	Interpretation	Yes		Yes
United States Citizenship - National of the U.S.; be a citizen; or be in the U.S. for more than a temporary purpose, and intend to become a permanent resident of the U.S.				

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate Inadequate[1]
BEOG Office	Not Applicable		
Regional OE Student-Assistance Office (Campus-Based Programs)	Not Applicable		
Washington OE Office (Campus-Based Programs)	Not Applicable		
FISL/GSL Office	Summaries Regulations	Summaries Regulations	Adequate Inadequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Regulations Summaries Applications Recipients	Summaries Terminations	Adequate
Private Institutions	Regulations Summaries Applications Recipients	Summaries Terminations	Adequate
Governor/Budget Office	Not Applicable		
State Legislature (Including Committee Staffs)	Not Applicable		

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[1] OE seems reluctant to furnish.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

DATA ARE UNAVAILABLE

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

OKLAHOMA

MONTH/YEAR	EVENTS
OCTOBER & APRIL - 1975-76	Agency completes planning workshops for students or counselors
MARCH - 1976	Agency makes student-aid applications available
JUNE - 1976	Deadline for student-aid applications
JUNE-JULY - 1976	Agency (or institutions) announces award winners
Exact date depends on reawarding because of "no shows"	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sample	Institution, State	Note 1
2. Match Against BEOG Applications?	Yes	Institution	
3. Match Against Institutional Student Records?	Yes	Institution	
4. Warnings of Prosecution?	Yes		
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	Application may be rejected. Award may be canceled. Applicant may be ruled ineligible.
2. Check on Mid-Term Attendance?	Yes, All	Institution, State	
3. Check on Course Completion?	Yes, All	Institution, State	
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?	Yes, All	Institution, State	
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?			

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[1] Only used for Needs Analysis to determine federal interest benefits on guaranteed student loans; it is being incorporated for use with SSIG/TAG usage.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors		X	X			
2. College Financial-Aid Officers	X	X	X		X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office	X	X	X			
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Tuition Aid Grant Program (Oklahoma State Regents for Higher Education) - A grant program to assist Oklahoma students with demonstrated financial need to meet the cost of attendance at Oklahoma institutions of higher education.

Oklahoma Student Loan Program (Oklahoma State Regents for Higher Education) - A loan program to assist Oklahoma students in financing postsecondary educational efforts at in-state schools.

Guaranteed Student Loan Program (Oklahoma State Regents for Higher Education) - A loan program for guaranteeing student loans for Oklahoma students and lenders in an effort to facilitate financing of postsecondary education for interested students attending in-state and out-of-state schools.

Veterans Benefit Program - None at state regents' level.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Tuition Aid Grant Program	1974-75	2,949	$189	$ 558,357	Resident Undergraduates
	1975-76	2,179	$244	$ 532,190	
Non Need-Based					
Oklahoma Student Loan Program	1972-73	734	$543	$ 398,300	Resident Students
	1975-76	2,803	$964	$2,703,300	
Guaranteed Student Loan Program	1970-71	5,119	$783	$4,008,760.66	Resident Students
	1975-76	3,573	$869	$3,104,886	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Tuition Aid Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	$20,000	Not Applicable
Non Need-Based						
Oklahoma Student Loan Program	Residents attending In-State	Full-Time[1]	All	No	Not Applicable	Not Applicable
Guaranteed Student Loan Program	Residents attending In/Out of State	Full-Time	All	No	Not Applicable	Not Applicable

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[1] Half-time or full-time 1973 through June 1976 when it was FISLP type. Currently only full-time under GSL program.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Tuition Aid Grant Program	Public Private	Public Private	Some	No	No
Non Need-Based					
Oklahoma Student Loan Program	Public Private	Public Private	Public	Yes	No
Guaranteed Student Loan Program	Public Private	Public Private	Public	Yes	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Tuition Aid Grant Program	Percentage of award eligibility determined by effective family income and number of dependent children in family.	No	Not Applicable	Tuition/Fees

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Tuition Aid Grant Program	$500	Percentage of eligibility is assessed against the required enrollment fees at the intended school of attendance.	Applicants are required to meet pre-set deadline.	Oklahoma Regents for Higher Education
Non Need-Based				
Oklahoma Student Loan Program	$1,800 Undergraduate $3,000 Graduate	Applicant request; estimated educational costs at approved institution; adheres to maximum loan guidelines.	First-come, first-served within application submission deadlines and among eligible applicants.	Lender[1]
Guaranteed Student Loan	$1,800 Undergraduate $3,000 Graduate	Request based on estimated costs of attendance at approved institution.	First-come, first-served.	Lender[1]

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[1] Within guidelines set by Oklahoma State Regents for Higher Education (Guarantee Agency).

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

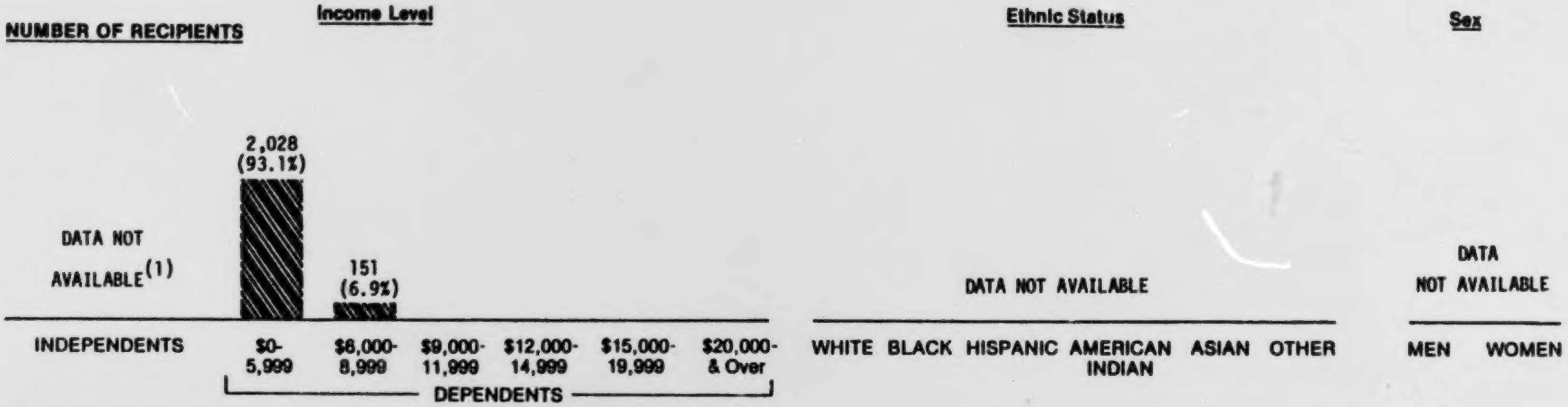
F2

OKLAHOMA

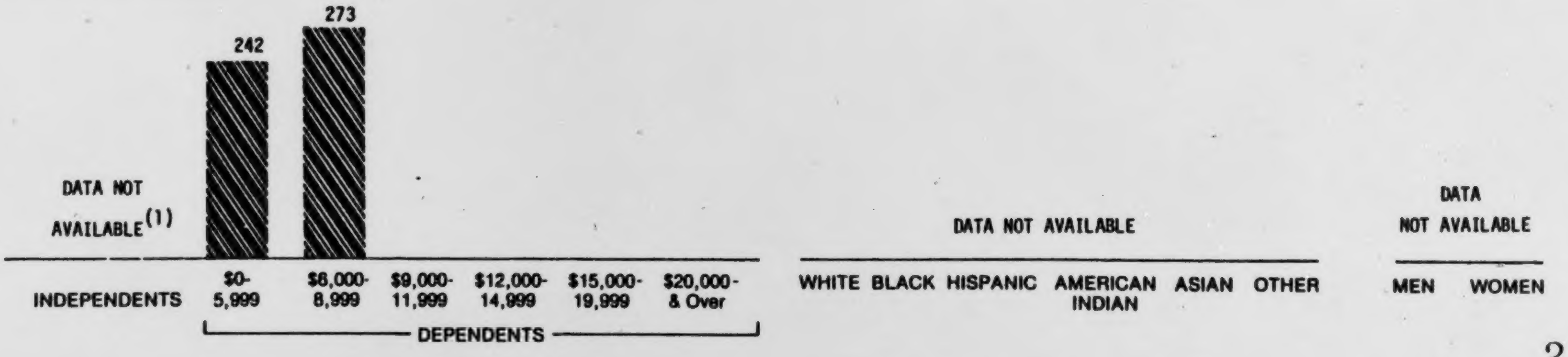
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

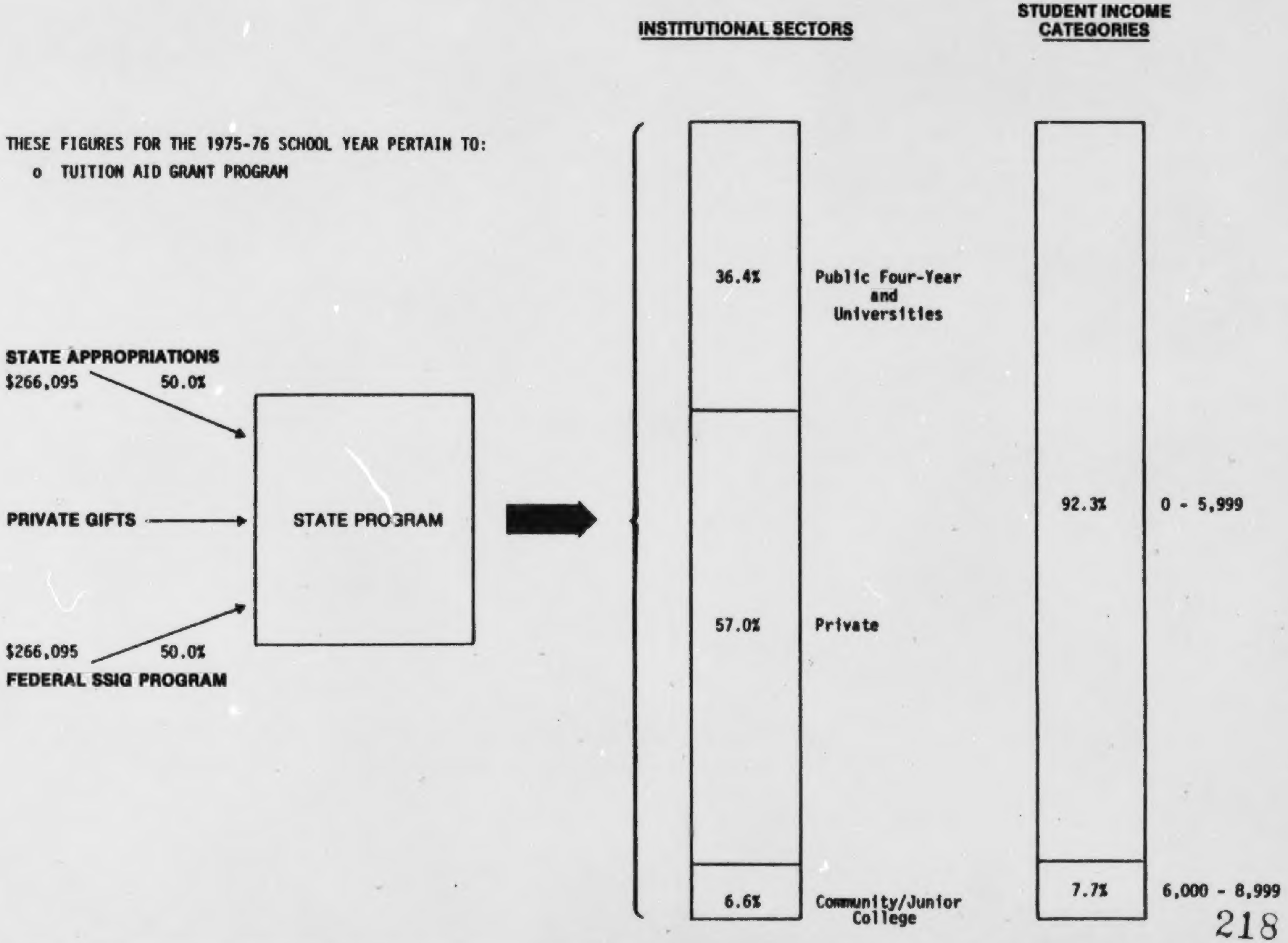


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

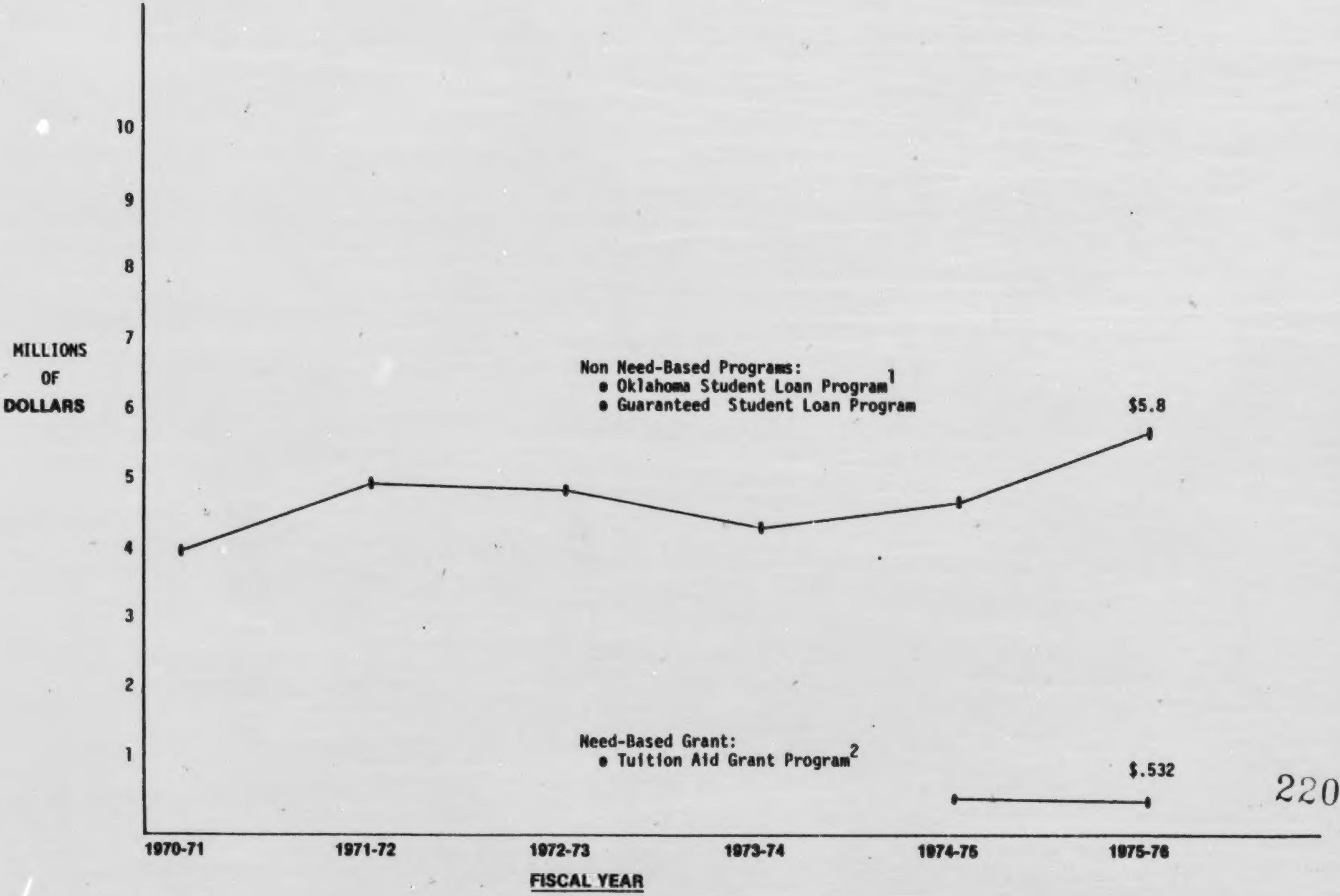
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- TUITION AID GRANT PROGRAM



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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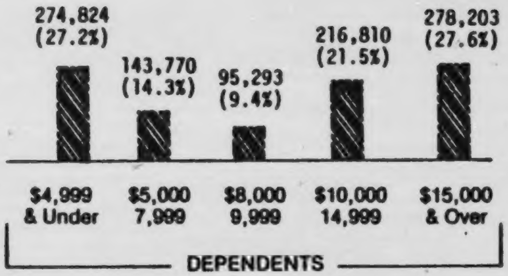
[1] 1972-73 first year of program.

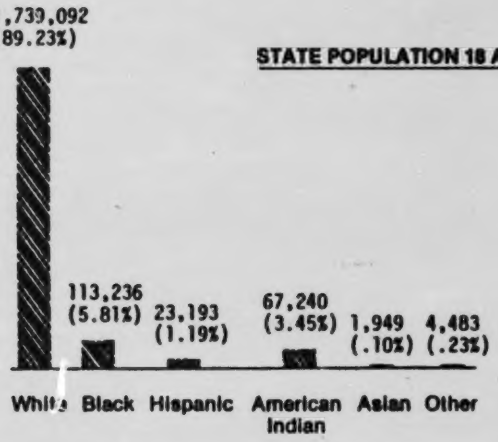
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

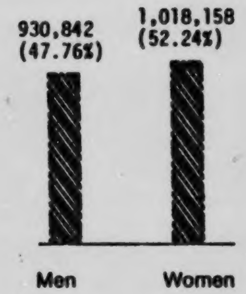


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

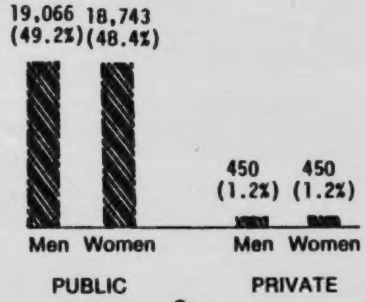


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES

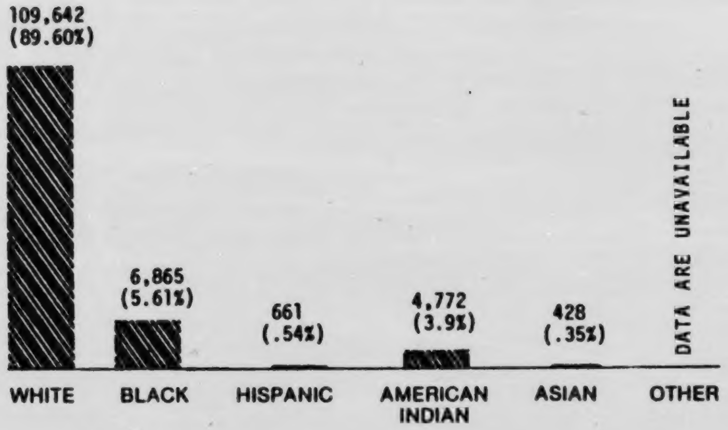


Sex

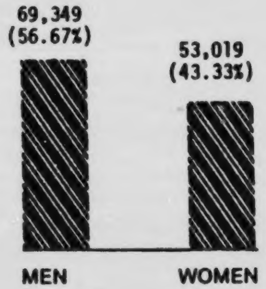
The high school graduation figures do not include 4,213 persons granted a high school equivalency certificate.

[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

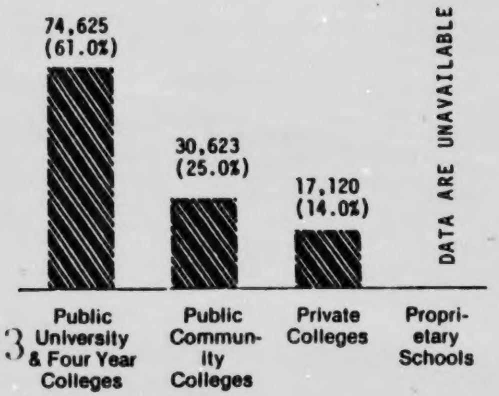
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



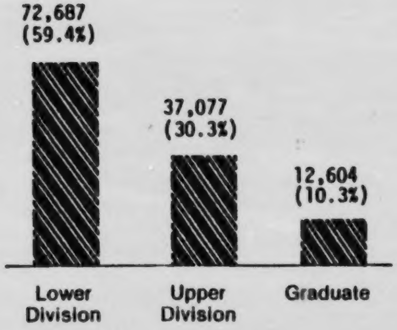
Ethnic Status



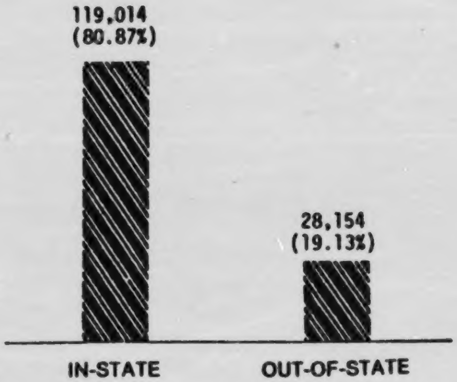
Sex



Institutional Sector

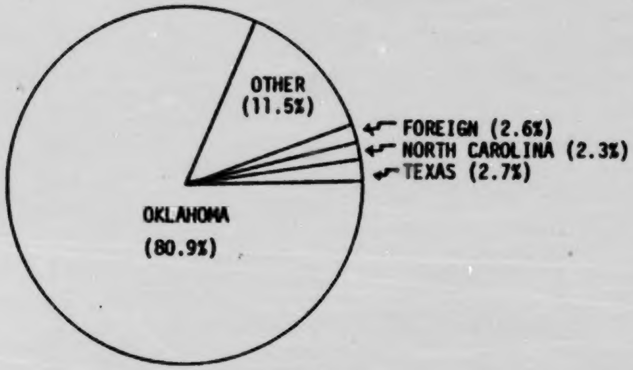


Student Level

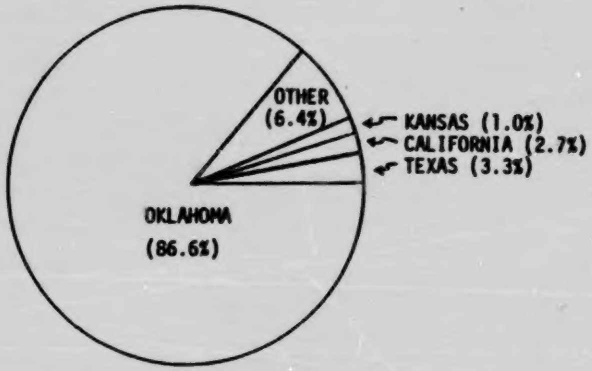


Residency

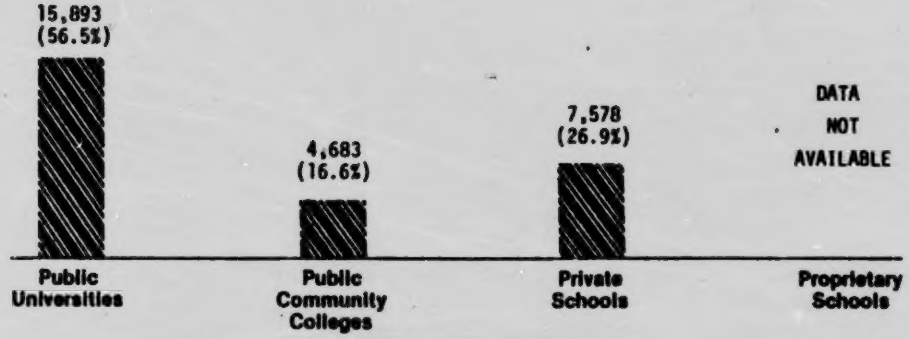
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



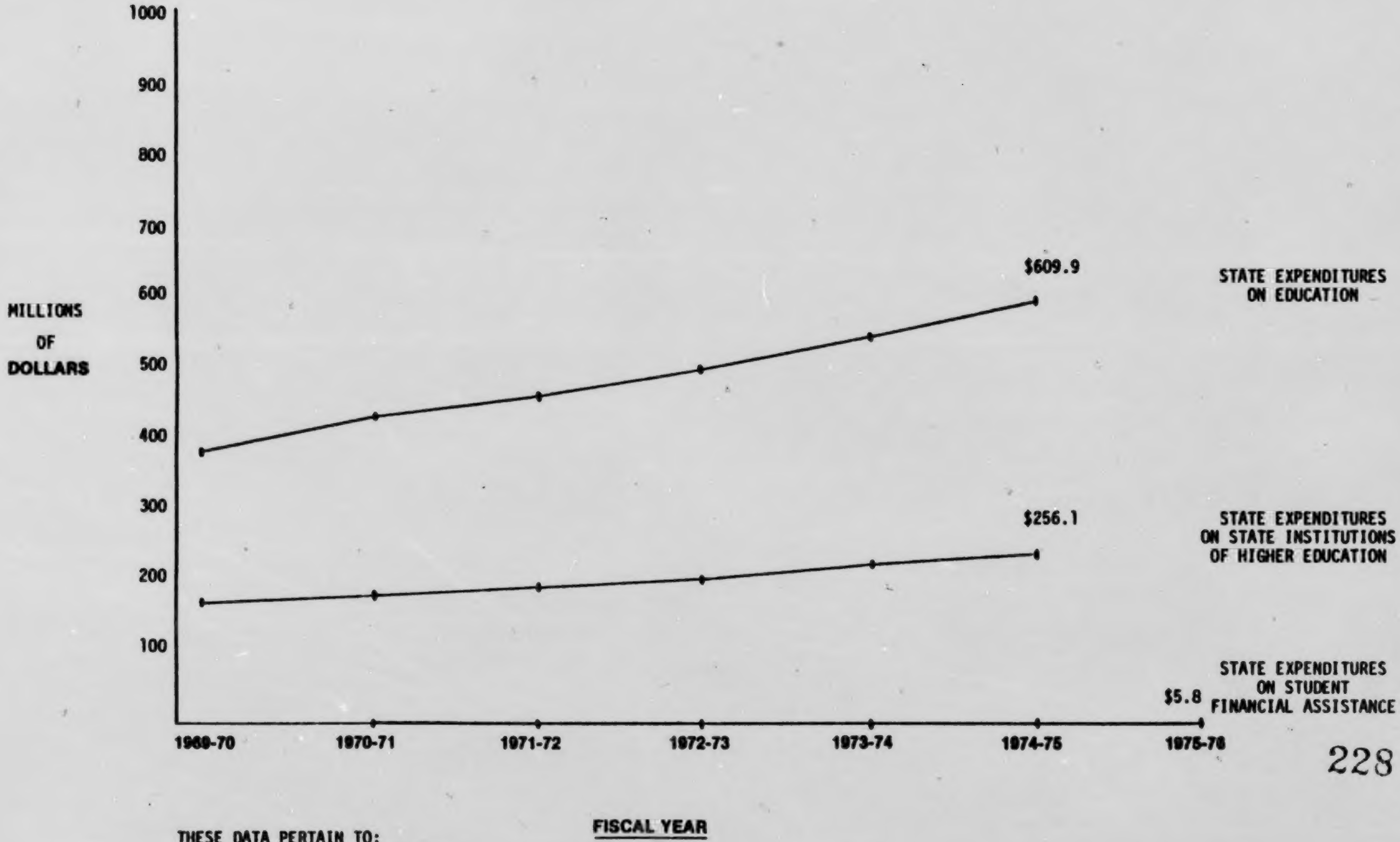
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

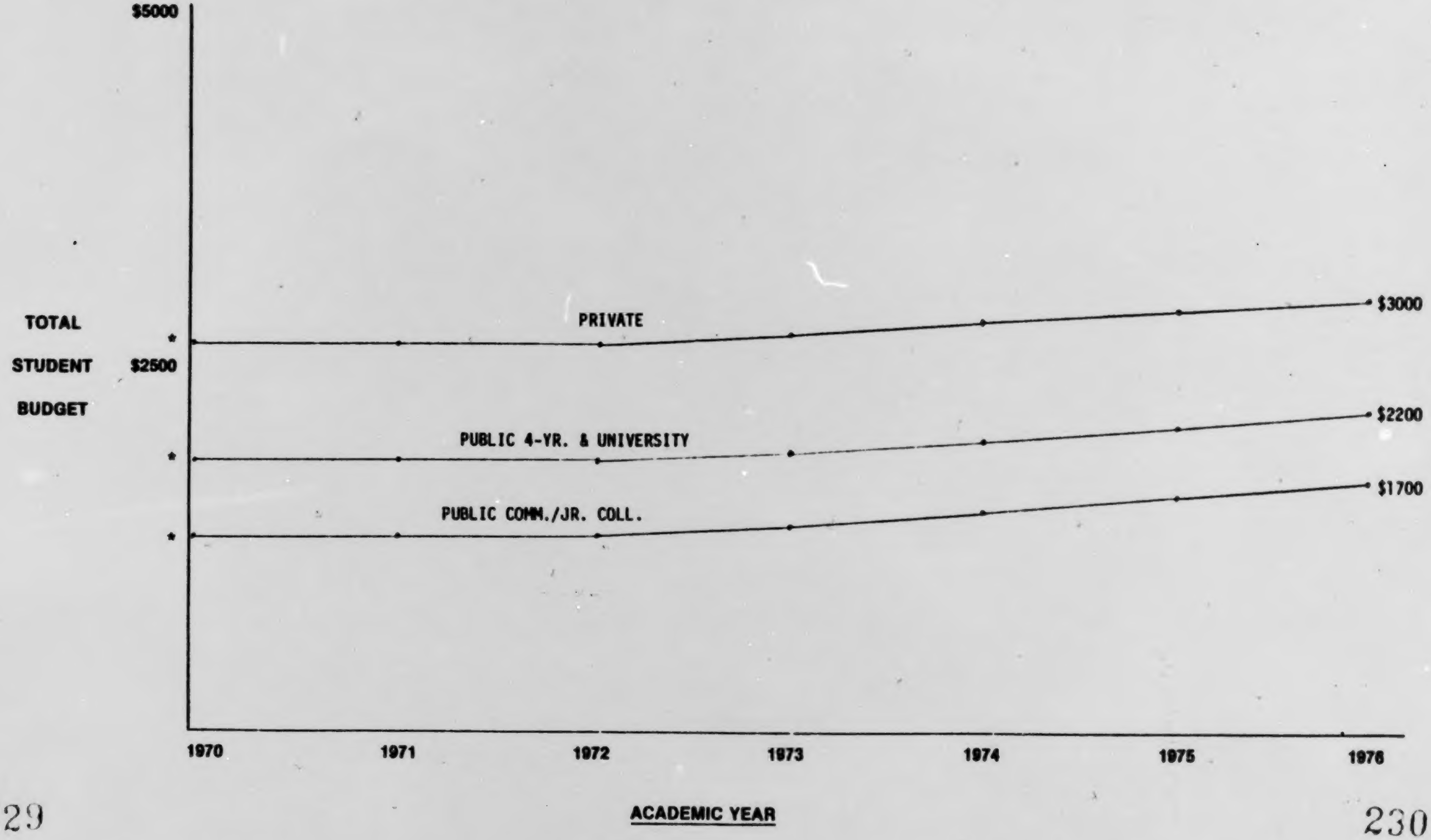
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- TUITION AID GRANT PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



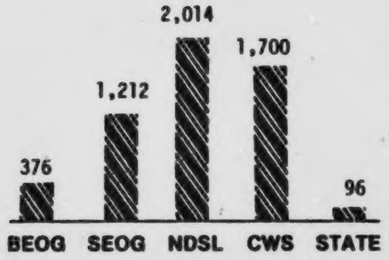
* Response is considered a "best guess."

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

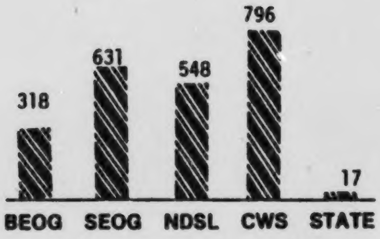
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

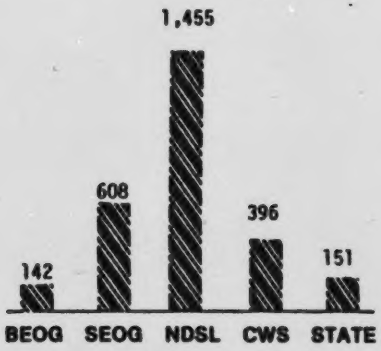
- TUITION AID GRANT PROGRAM



Public University and Four-Year Colleges

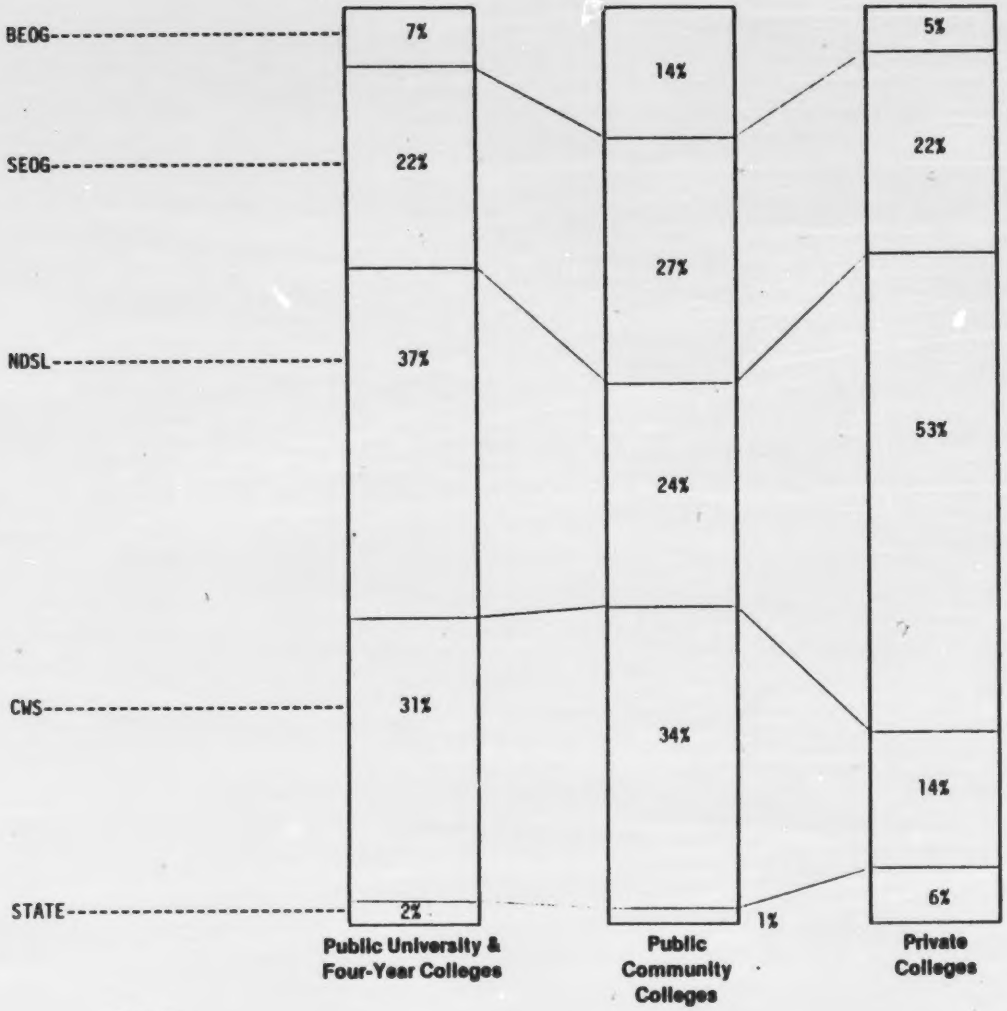


Public Community Colleges



Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- TUITION AID GRANT PROGRAM

Percent Distribution of Student Assistance By Program By Sector p

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The State Scholarship Commission establishes administrative rules and policies to administer statutes relating to student assistance. Makes program and budget recommendations to Governor and legislature. Has fiscal or accounting control over expenditure of state funds at collegiate institutions. The Commission has coordinative relationships with all other state and private educational boards and institutions and has coordinative relationship with GSL lending institutions as a Guarantee Agency. It is charged with the review and evaluation of all federal and state financial aid programs.

1. Need Grant Program
2. Cash Grant Program
3. Foreign Student Fee Remission Program
4. State 2-1/2% Fee Remission Program at Eastern Oregon College
5. Guaranteed Student Loan Program
6. Private Awards

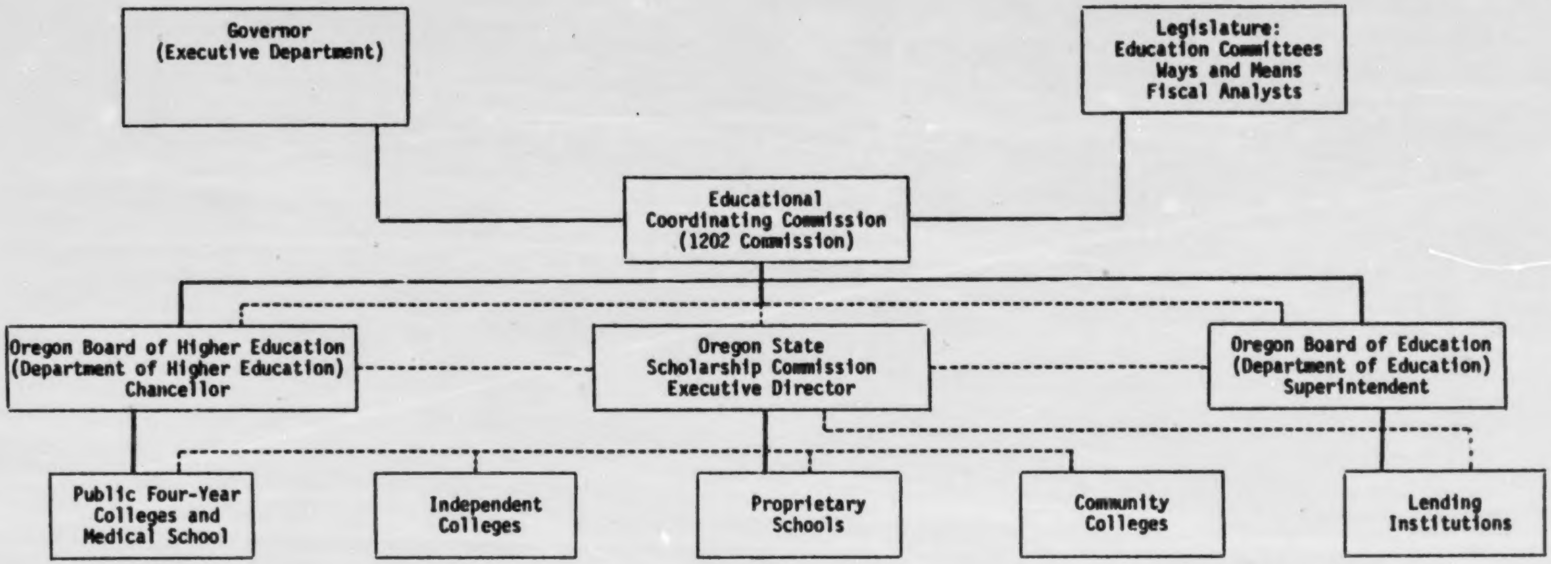
Veteran's Affairs Department

1. State Veteran's Educational Benefits

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

1. WICHE Student Exchange Program

RELATIONSHIPS OF STATE AGENCIES



Functions and Relationships

Governor and Executive Department. Reviews Commission budget requests and makes program and funding level recommendations to the legislature. Shares control over all public education board agencies, and institutions with legislature.

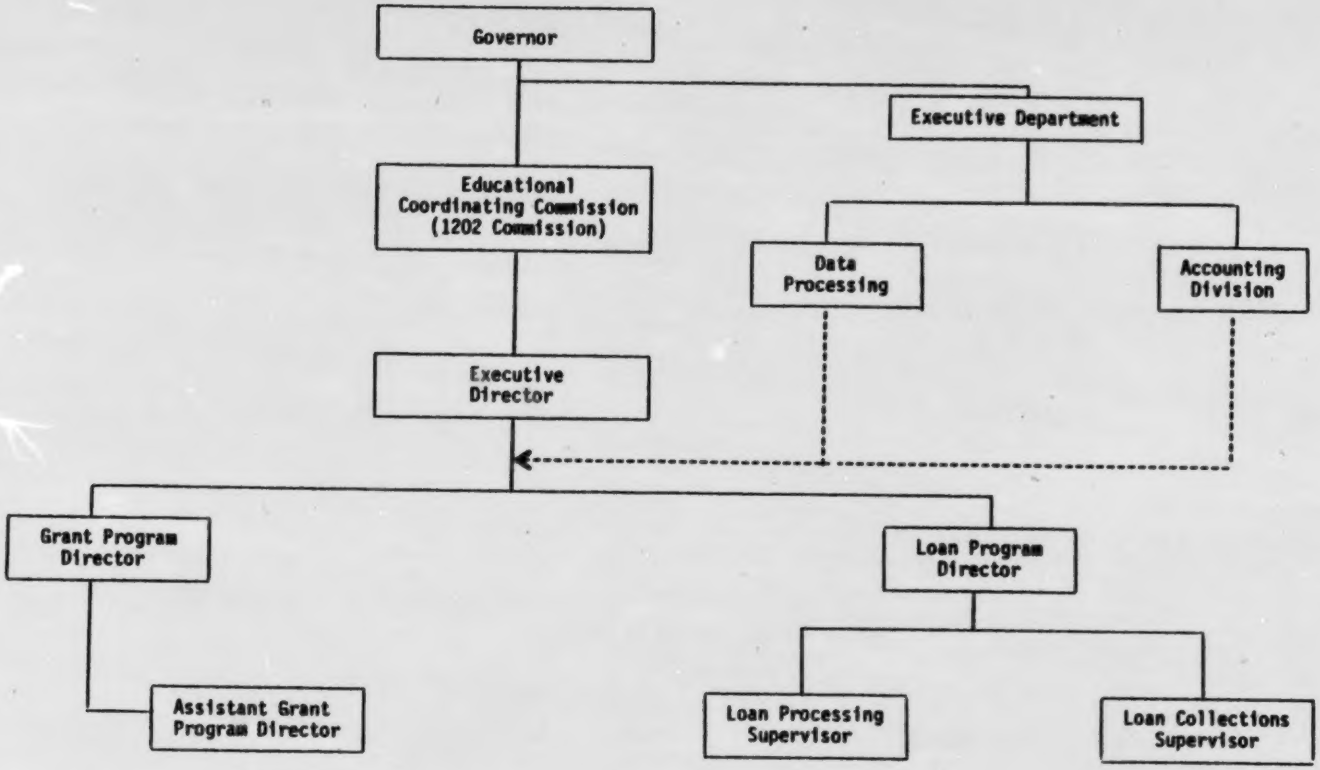
Leglislative Assembly. Approves and/or initiates programs. Reviews budget requests using advice from fiscal analysts. Sets expenditure levels. Exerts control over public educational boards, agencies, and institutions through appropriations and statutes governing programs.

Scholarship Commission. Establishes administrative rules and policies to administer statutes relating to student assistance. Makes program and budget recommendations to Governor and legislature. Has fiscal or accounting control over expenditure of state funds at collegiate institutions. Has coordinative relationship with all other state and private educational boards and institutions. Has coordinative relationship with GSL lending institutions as a Guarantee Agency. Charged with the review and evaluation of all federal and state financial aid programs.

Educational institutions. Have direct relationship with award recipients through review of progress, disbursement of individual awards, publicizing of eligibility, and accounting for expenditures.

Educational Coordinating Commission. By State statute has coordinative relationship with all educational boards and institutions. Conducts studies for and makes recommendations to Executive Department and legislature. Recommends program development, modification or improvement to Executive Department and legislature.

STATE AGENCY ORGANIZATION


Governor
Executive Department
Educational Coordinating Commission (1202 Commission)
Data Processing
Accounting Division
Executive Director
Grant Program Director
Loan Program Director
Assistant Grant Program Director
Loan Processing Supervisor
Loan Collections Supervisor

OREGON B2

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X	X	Educational Institutions, Welfare Division, Vocational Rehabilitation Division, Employment Division
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	Educational Institutions
Makes Post-Award Adjustments	X	X	Educational Institutions
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	High School Counselors, High School College Relations Office

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Undergraduate = 6-11 hours; Graduate = 0-6 hours.	Interpretation	Yes	Yes	Yes
State Resident - Dependents--State of residence considered to be that state where parents reside; Independents--Six months' residence in state if student came for purposes other than education, or 12 months if student came to attend school.	Interpretation	Yes	Yes	Yes
Independent Student - USOE definition.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - A not-for-profit institution supported by other than public funds.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - 36 quarter hours or 24 semester hours per academic year.	Interpretation			Yes
United States Citizenship - - natural born citizen - permanent resident - immigrant visa - naturalized refugee	Interpretation			Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Regulations Summaries	Adequate
BEOG Office	None	Summaries Regulations Applications	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	None	None	Marginal
Washington OE Office (Campus-Based Programs)	None	None	Marginal
FISL/GSL Office	Summaries	Summaries	Marginal
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations	Recipients	Adequate
Private Institutions	Summaries Recipients Applications Regulations	Recipients	Adequate
Governor/Budget Office	Regulations Summaries	Regulations	Adequate
State Legislature (Including Committee Staffs)	Summaries	Summaries	Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	45	.3 FTE -- Data services provided by contractual agreement with Executive Department.
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	20	.3 FTE -- Accounting services provided by contractual agreement with Executive Department.
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	10	

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

	MONTH/YEAR	EVENTS
	AUGUST - 1974	Agency budget presented to governors office or budget bureau
Budget may be heard any time from Jan. to June	JANUARY - 1975	Agency budget presented to legislature
Effective July 1	JUNE - 1975	Legislature appropriates student assistance funds
1) Conducted at several locations throughout the state	OCTOBER - 1975	1) Agency completes planning workshops for students or counselors 2) Agency makes student-aid applications available
Tapes used to cross reference	ONGOING	BEOG applications information received from federal office
Preferential date is Feb. 1	ONGOING	Deadline for student-aid applications
Award letter sent to each awardee by agency	ONGOING	Agency (or institutions) announces award winners
Students must sign for disbursement within 30 days of registration	ONGOING	Disbursement of awards
Students can transfer institution up until registration	ONGOING	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, All	Institution, State	Adjust student award.
2. Match Against BEOG Applications?	Yes, All	Institution, State	Refer discrepancy to USOE.
3. Match Against Institutional Student Records?	Yes, All	Institution	Refund required on dropouts.
4. Warnings of Prosecution?	Yes, All	Institution, State	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes, All	Institution, State	
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	Note 1
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	State	
2. Match Against BEOG, Campus-Based Award Files?	Yes	State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
5. State Award Amount Related to BEOG	Yes, All	State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, All	State	Note 2
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

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[1] Renewal denied if no satisfactory progress.

[2] State agency reports audit findings to educational institution.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
	TYPE OF INFORMATION PROVIDED					
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents	X[1]	X	X	X	X	X
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X		X	
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X	X	X	
9. Other (Please Specify)						

[1] BEOG.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Need Grant Program - A need-based grant program to provide aid to needy students at public and private university and four-year schools, community and junior colleges, and hospital schools of nursing. It is intended to help equalize educational opportunity to all income levels.

Cash Grant Program - A need-based grant program to provide aid to students at public and private university and four-year schools, community and junior colleges, and hospital schools of nursing on the basis of their academic aptitude, achievement and need.

Foreign Student Fee Remission Program - A need-based tuition-remission program to enrich the cultural mix at public four-year schools by providing financial assistance to needy students from foreign countries.

State 2½% Fee Remission Program at Eastern Oregon College - A need-based tuition-remission program to encourage and facilitate the enrollment of undergraduates at Eastern Oregon State College. Prior to 1973, such a program was available at all public, four-year schools.

Oregon Guaranteed Student Loan Program - A loan program to insure access for all Oregon students with financial need to loan resources.

Private Awards - The Oregon State Scholarship Commission assists organizations and private donors in the administration of privately funded aid programs.

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend.

State Veteran's Educational Benefits - A non need-based grant program to provide assistance to students (veterans) who are no longer eligible for federal educational benefits. In addition to public and private university and four-year schools, community and junior colleges, proprietary and vocational-education institutions, this program is intended for home study, apprenticeships, on-the-job training and flight schools.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Need Grant Program	1971-72	1,291	$ 419	$ 541,263	Not Applicable
	1975-76	5,418	$ 448	$2,430,414	
Cash Grant Program	1970-71	Unavailable		$ 160,875	Not Applicable
	1975-76	829	$ 449	$ 372,902	
Loans					
Oregon Guaranteed Student Loan Program	1970-71	11,757	$ 769	$9,036,230	Not Applicable
	1975-76	7,531	$1,089	$8,204,088	
Tuition-Remission					
Foreign Student Fee Remission Program	1970-71	785	Not Given	$ 570,450	Residents of Foreign Countries
	1975-76	850		$ 793,750	
State 2½% Fee Remission Program at Eastern Oregon College	1970-71	2,715	$ 303	$ 821,666	Not Applicable
	1975-76	50	$ 477	$ 23,828	
Non Need-Based					
WICHE Student Exchange Program	1970-71	56	$1,710	$ 95,767	Not Applicable
	1975-76	139	$2,958	$ 411,202	
State Veterans' Educational Benefits	1970-71	292	$ 132	$ 38,593	Veterans
	1975-76	291	$ 201	$ 58,365	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Need Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	$200
Cash Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	$200
Loans						
Oregon Guaranteed Student Loan Program	Residents attending In/Out of State	At least Half-Time	All	Yes[1]	Not Applicable	Not Applicable
Tuition-Remission						
Foreign Student Fee Remission Program	Non-Residents	Full-Time	All	Yes	Not Applicable	Not Applicable
State 2½% Fee Remission Program at Eastern Oregon College	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Tuition
Non Need-Based						
WICHE Student Exchange Program	Residents attending In/Out of State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
State Veteran's Educational Benefits	Residents attending In/Out of State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable

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[1] Response is considered a "best guess."

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Need Grant Program	Public Private	Public	No	No	Hospital Schools of Nursing
Cash Grant Program	Public Private	Public	No	No	Hospital Schools of Nursing
Loan					
Oregon Guaranteed Student Loan Program	Public Private	Public	Yes	Yes	Correspondence Schools
Tuition-Remission					
Foreign Student Fee Remission Program	Public	No	No	No	No
State 2½% Fee Remission Program at Eastern Oregon College	Public	No	No	No	Eastern Oregon State College Only
Non Need-Based					
WICHE Student Exchange Program	Public Private	No	No	No	No
State Veteran's Educational Benefits	Public Private	Public	Yes	Yes	Home-Study, Apprenticeships, On-the-Job Training, Flight Schools

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Need Grant Program	Need = Budget - FC[1]	Yes	Consensus	Tuition/Fees Room/Board Books Transportation General Student Costs
Cash Grant Program	Need = Budget - FC	Yes	Consensus	Tuition/Fees Room/Board Books Transportation General Student Costs
Loan				
Oregon Guaranteed Student Loan Program	Cost of Education minus Other Financial Aid Awarded	No	None	Tuition/Fees Room/Board Books Transportation General Student Costs Debt Service
Tuition-Remission				
Foreign Student Fee Remission Program	Institutional Budget less student resources which may include parent's contribution	No	Consensus	Tuition
State 2½% Fee Remission Program at Eastern Oregon College	Educational Costs - Family Contribution = Need	No	Consensus	Tuition/Fees

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[1] Awards given on basis of Family Contribution (FC). FC level set each year according to availability of funds--1976-77 FC cut-off $1,100.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Need Grant Program	$1,000 or half need[1]	Eligibility determined on basis of Family Contribution with institutional award related to educational cost, but not exceed one-half need.	Renewals given first priority--initial awards to students beginning with those from lowest family contribution.	State
Cash Grant Program	$500 or half need[1]	Students are ranked in order of predicted GPA, a factor of high school GPA and SAT or ACT scores. Awards are made beginning with highest PGPA.	Renewals receive first priority followed by those initials with highest predicted college GPA. Awards equal to $500 or one-half need with minimum award of $100.	State
Loan				
Oregon Gauranteed Student Loan Program	Information not given----------			
Tuition-Remission				
Foreign Student Fee Remission Program	$2,130	Award determined by difference between educational costs and student resources.	Priority is given to students whose country or geographical area is under-represented at the institution.	Institution
State 2½% Fee Remission Program at Eastern Oregon College	$ 483	Award amount equals tuition charges or lesser amount, but never in excess of financial need.	Returning students given priority according to college GPA, initials given to students with highest predicted college GPA, a factor of high school GPA and SAT.	Institution
Non Need-Based				
WICHE Student Exchange Program	Varies by Program and School	Up to amount of non-residents.	State Residency	WICHE Compact
State Veteran's Educational Benefits	$50/Month Undergraduate; $35/Month Graduate	Maximum eligibility for full-time and prorated for less than full-time.	Not Applicable	State

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[1] Whichever is less.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

OREGON F1

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

OREGON

NUMBER OF RECIPIENTS

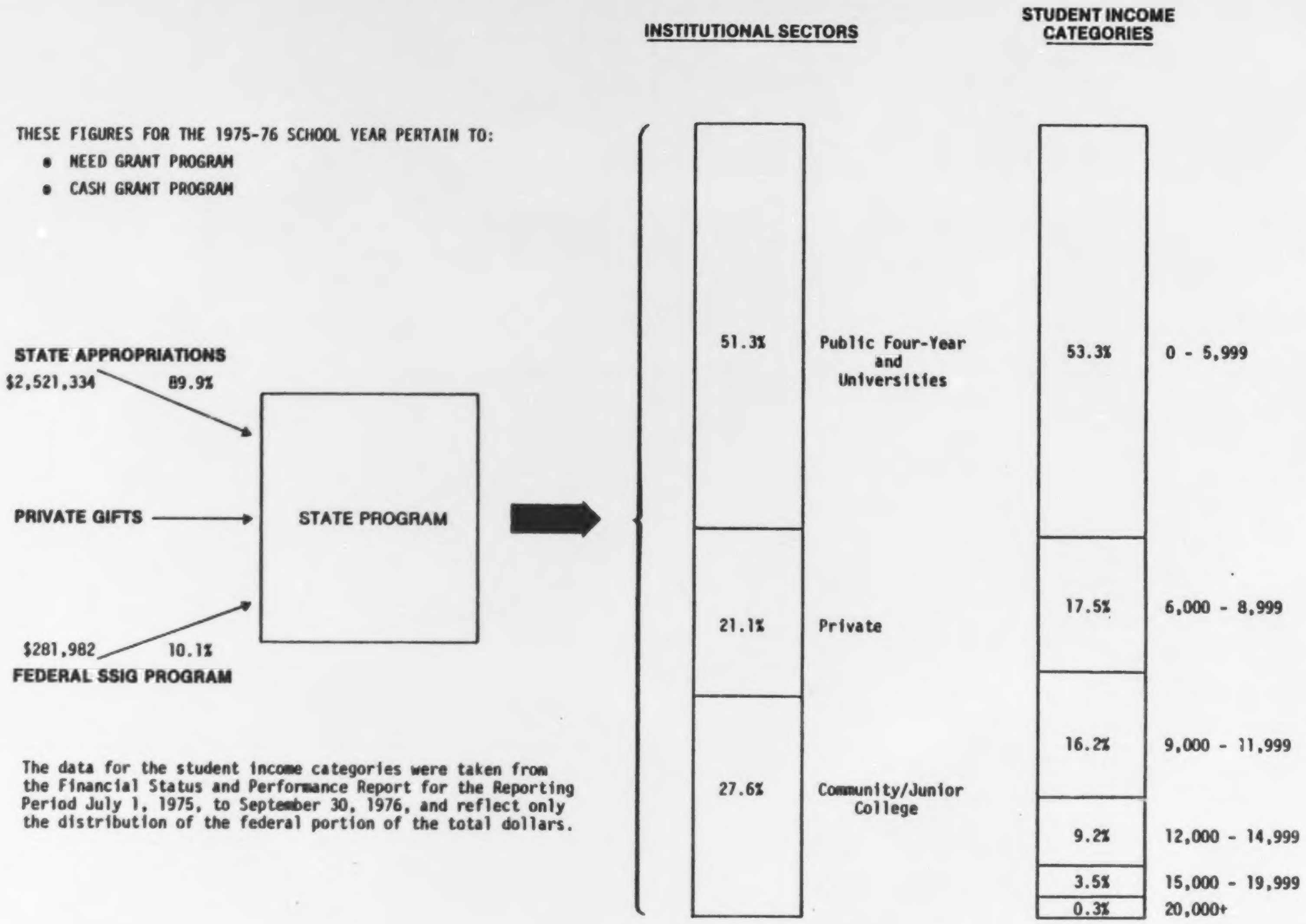
Income Level							Ethnic Status						Sex	
DATA NOT AVAILABLE							DATA NOT AVAILABLE						DATA NOT AVAILABLE	
INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over	WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER	MEN	WOMEN
	DEPENDENTS													

AVERAGE AWARD SIZE

DATA NOT AVAILABLE							DATA NOT AVAILABLE						DATA NOT AVAILABLE	
INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over	WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER	MEN	WOMEN
	DEPENDENTS													

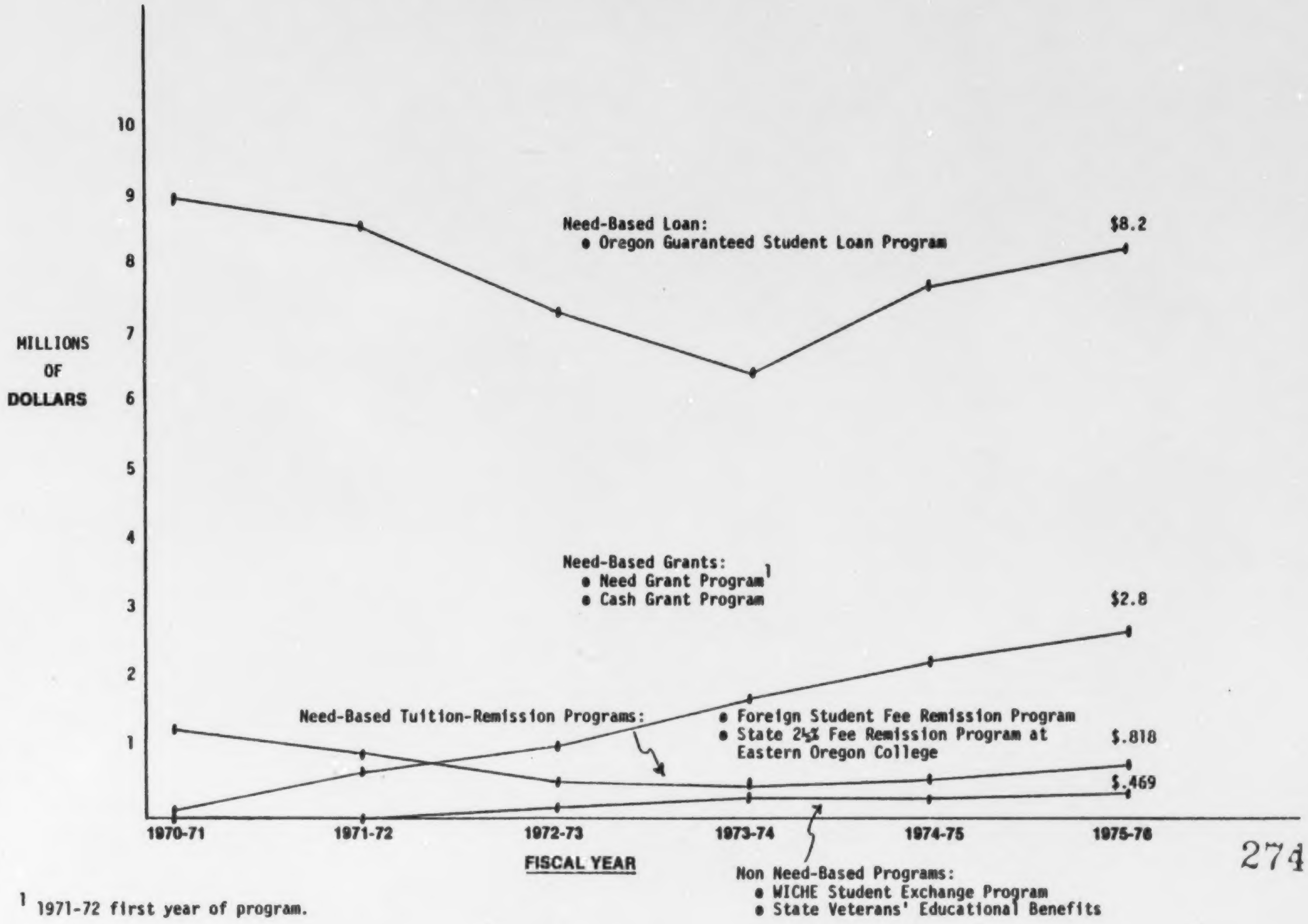
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:
- NEED GRANT PROGRAM
- CASH GRANT PROGRAM



The data for the student income categories were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and reflect only the distribution of the federal portion of the total dollars.

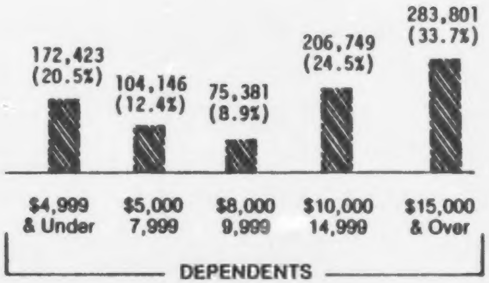
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1971-72 first year of program.

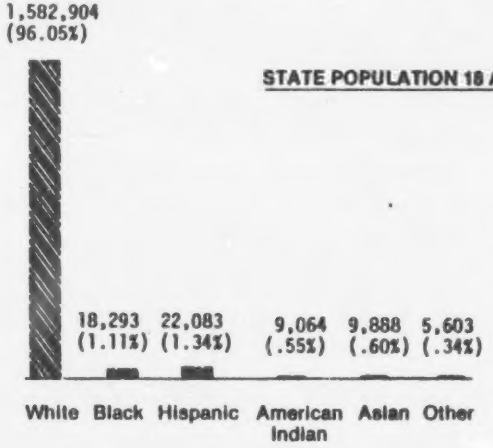
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

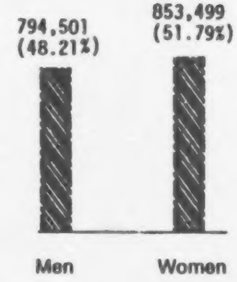


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

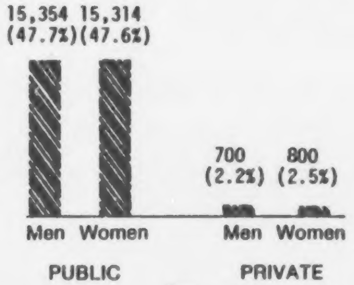


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 4,271 persons granted a high school equivalency certificate.

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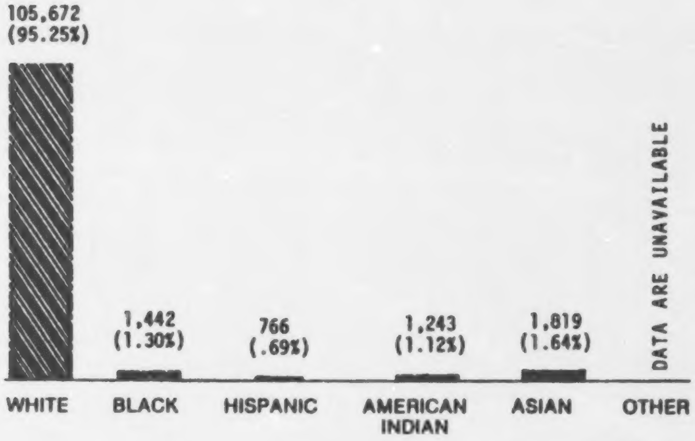
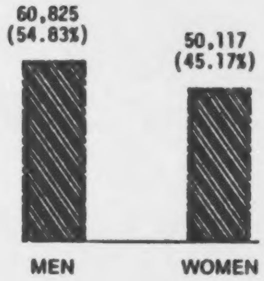
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

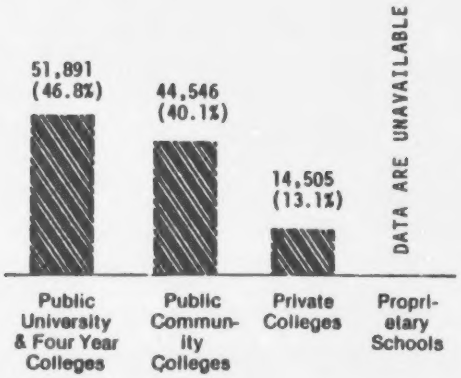
OREGON H2



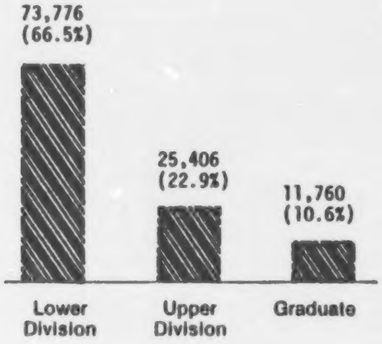
Ethnic Status



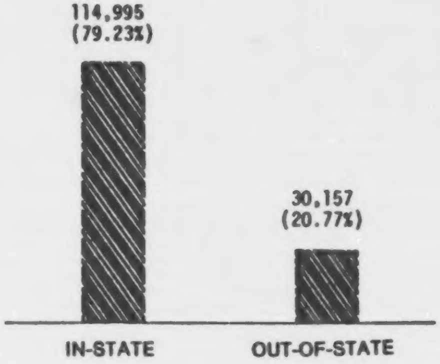
Sex



Institutional Sector

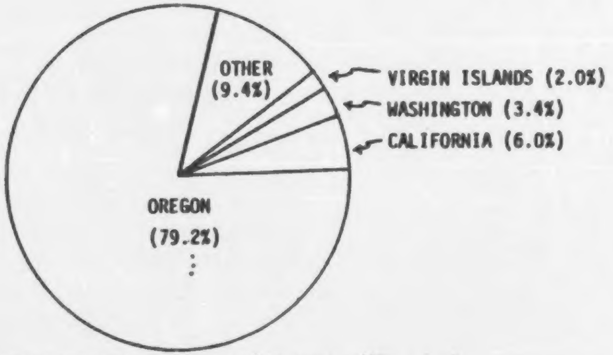


Student Level

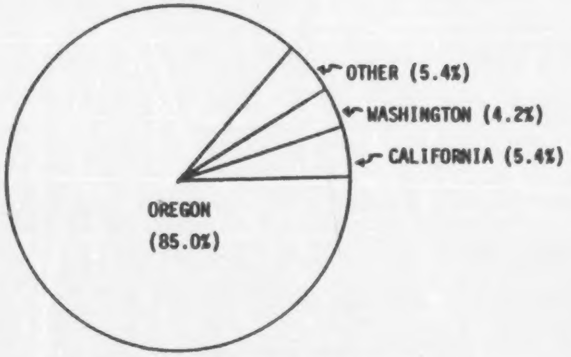


Residency

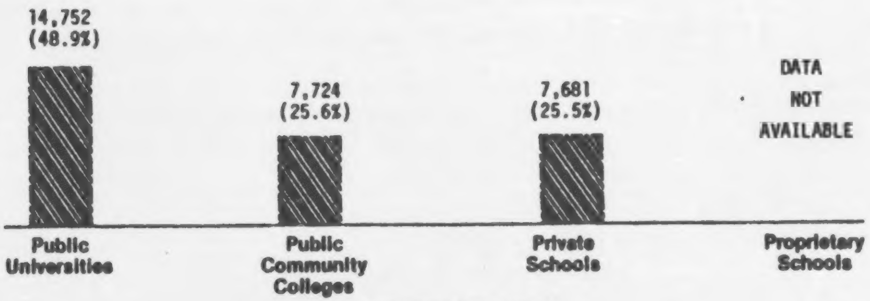
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



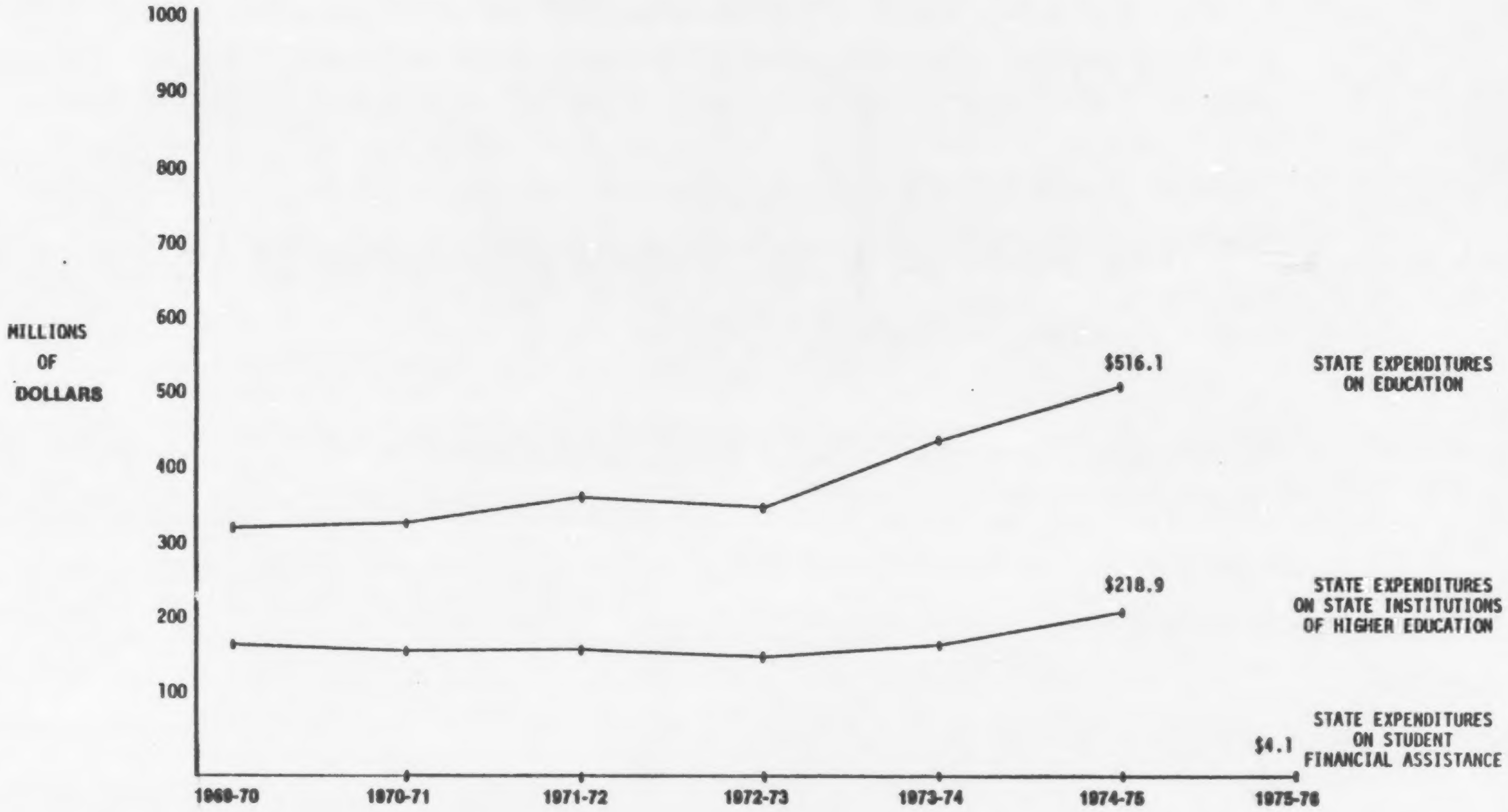
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

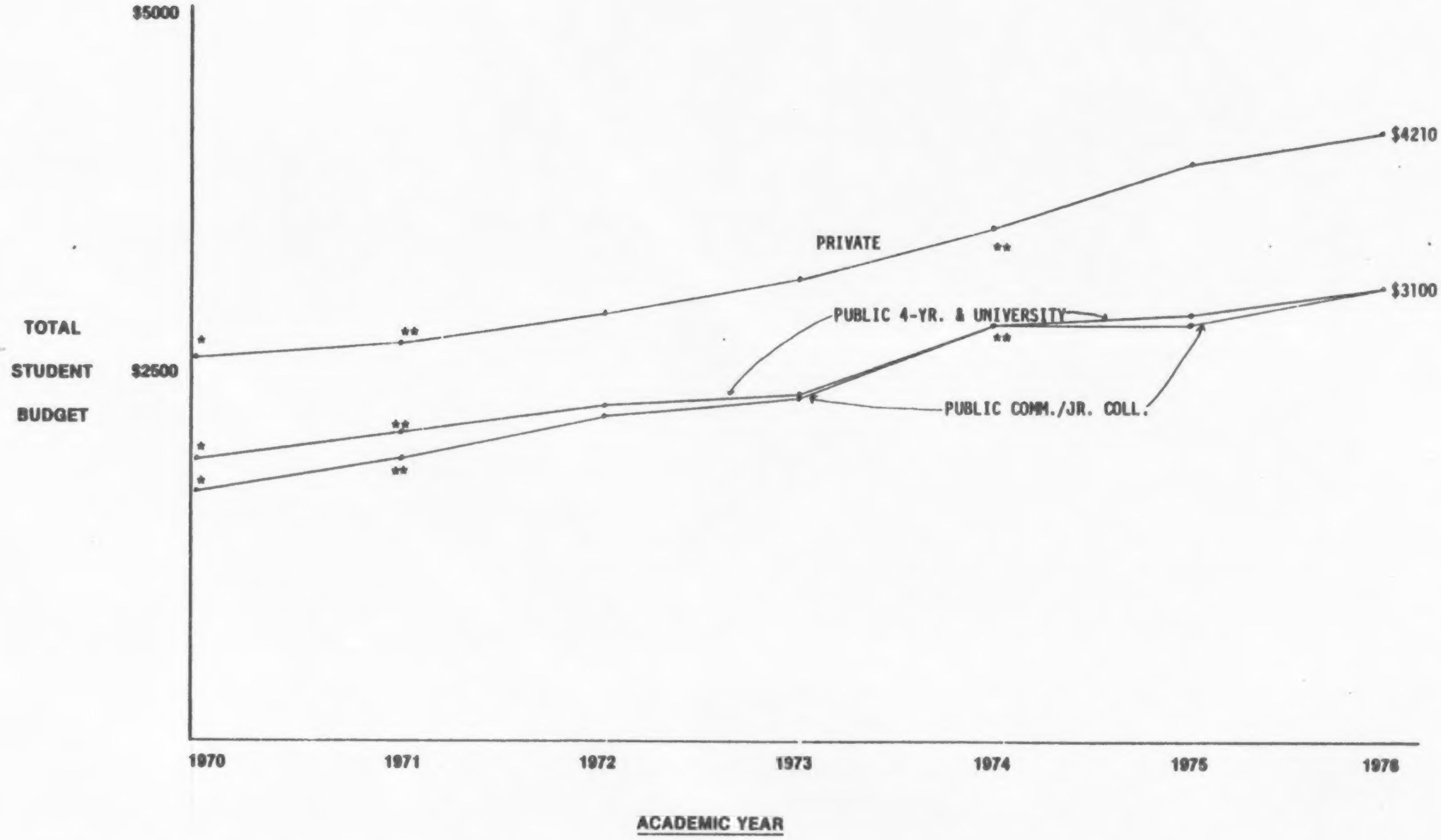
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- NEED GRANT PROGRAM
- CASH GRANT PROGRAM
- FOREIGN STUDENT FEE REMISSION PROGRAM
- STATE 2½% FEE REMISSION AT EASTERN OREGON COLLEGE
- WICHE STUDENT EXCHANGE PROGRAM
- STATE VETERANS' EDUCATIONAL BENEFITS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



283

* Response is not based on fact, but is reasonably certain.
** Response is based on a reasonable estimation or approximation.

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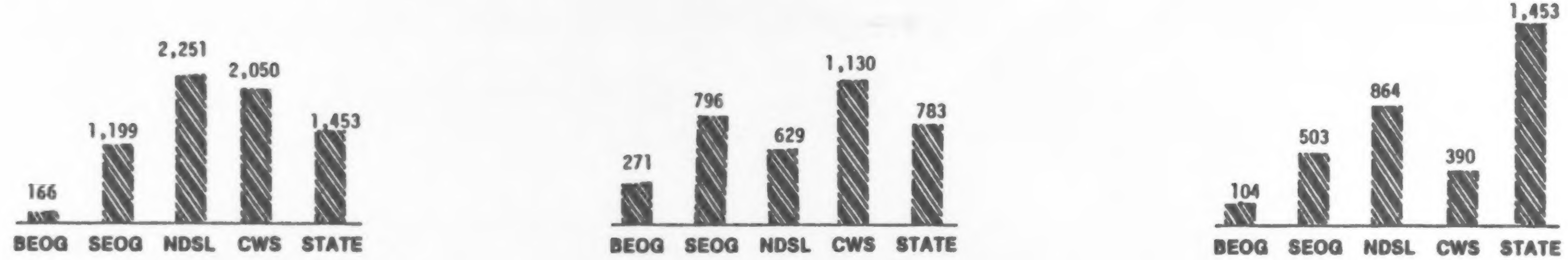
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- NEED GRANT PROGRAM
- CASH GRANT PROGRAM



Public University and Four-Year Colleges

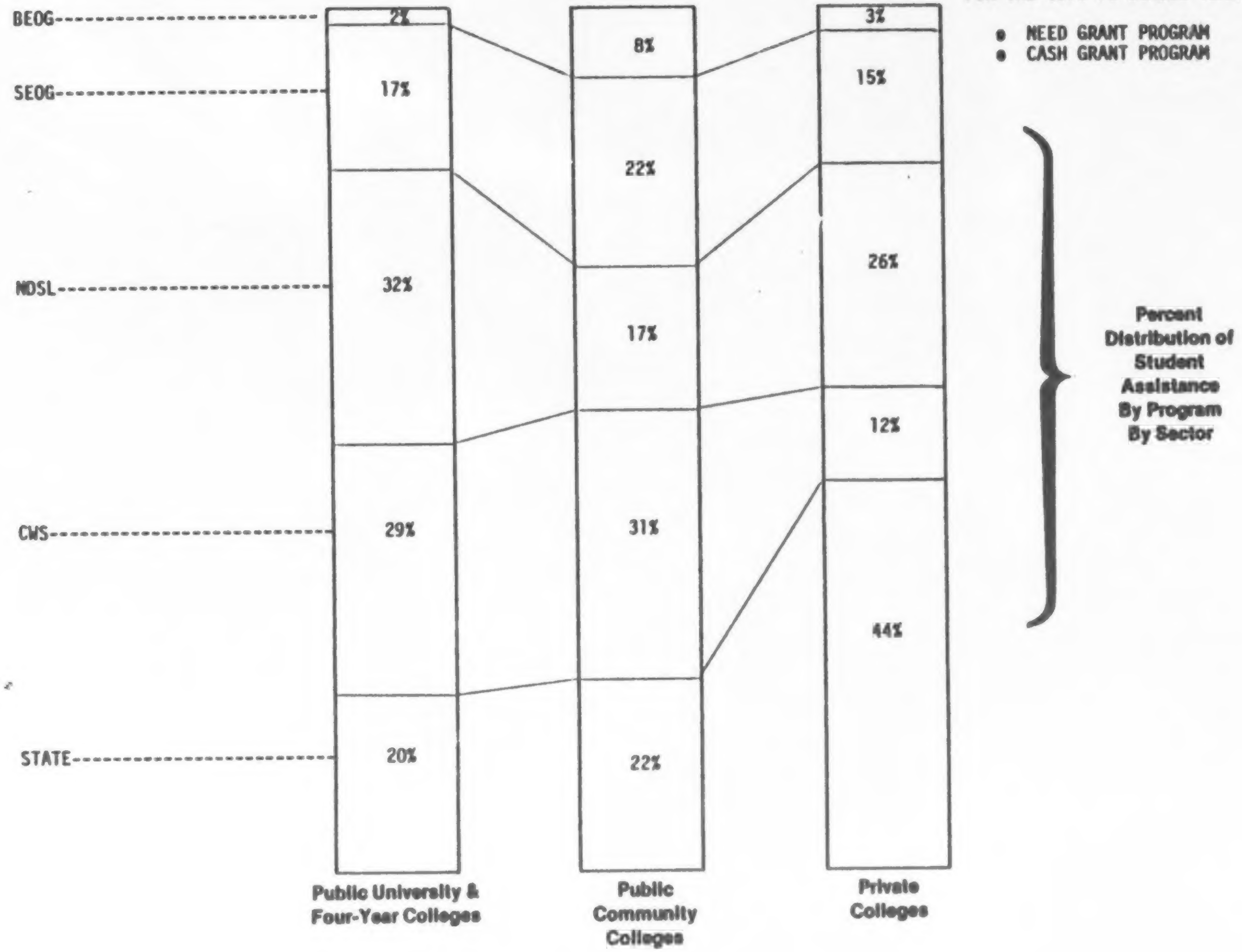
Public Community Colleges

Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- NEED GRANT PROGRAM
- CASH GRANT PROGRAM

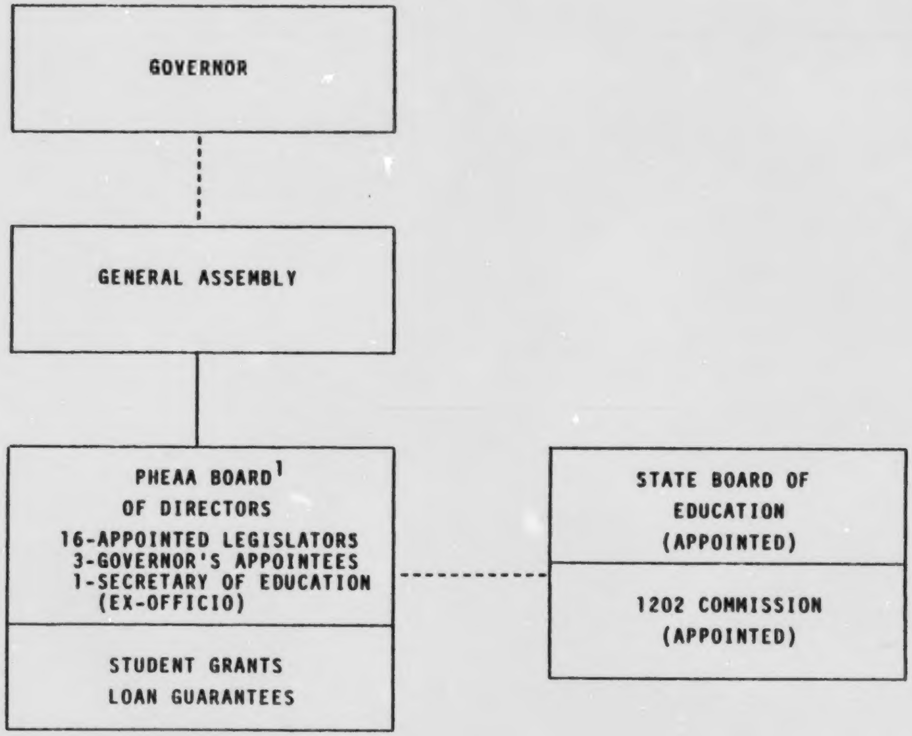


DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Pennsylvania Higher Education Assistance Agency consists of a Board of Directors made up of 16 appointed legislators, three governor's appointees, and one ex-officio secretary of education. The Agency is responsible for student grants and loan guarantees.

1. State Higher Education Grant Program
2. Student Loan Guaranty Program
3. Institutional Assistance Grants Program
4. Statewide Summer College Work-Study Program

RELATIONSHIPS OF STATE AGENCIES



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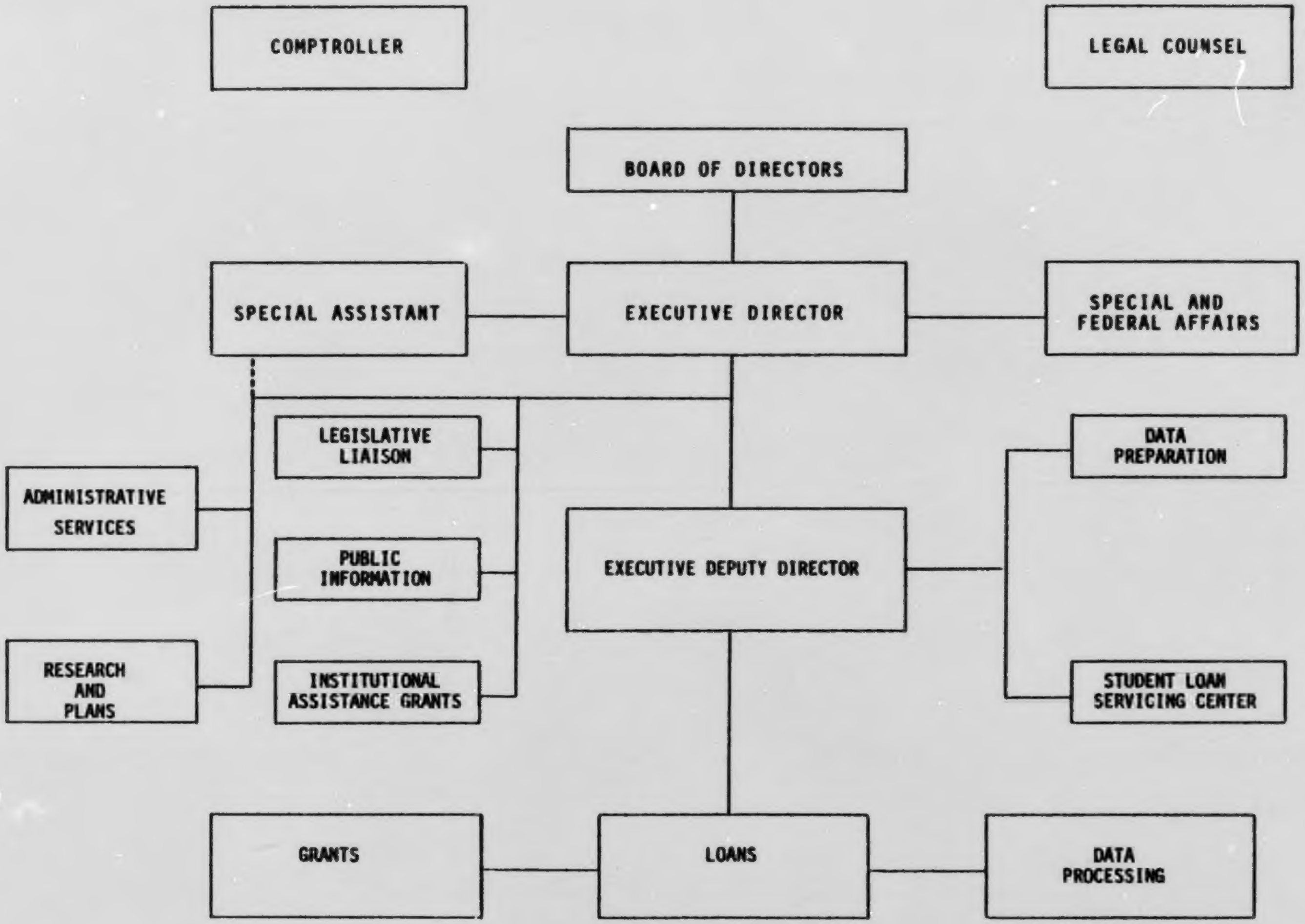
[1] Pennsylvania Higher Education Assistance Agency

STATE AGENCY ORGANIZATION



MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X		

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Enrolled for the equivalent of at least six semester credits or 225 clock hours of instruction per academic term.	Interpretation	Yes	Yes	Yes
State Resident - Applicant (if 18 or older) or applicant's parents (if applicant is under 18) must be a bonafide domiciliary of the state for at least 12 months immediately preceding the date of receipt of the application by the agency, exclusive of any period of time during which the applicant is enrolled as a student in a Pennsylvania educational institution.	Law			Yes
Independent Student - (A) Veteran of the U.S. Armed Services. (B) Whereabouts of parents are unknown. (C) Orphan. (D) Ward of the court. (E) Graduated from high school at least six years or applicant was not claimed as an exemption on parents' federal tax form for preceding and current tax years, applicant received less than $200 from parents, and did not live with parents (except for holiday periods) for each of the same two years unless at least $100 room and board per month was paid.	Interpretation			Yes
Non-Profit Institution - Not applicable.				
Satisfactory Academic Progress - Normal academic progress from year to year to meet educational objective (degree, certificate or diploma).				
United States Citizenship - Not applicable, by ruling of State Attorney General.				

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office	Summaries	Summaries Regulations	Marginal
Regional OE Student-Assistance Office (Campus-Based Programs)	Summaries	No Contact	Adequate
Washington OE Office (Campus-Based Programs)	No Contact	Summaries	Marginal
FISL/GSL Office	Summaries Regulations	Summaries Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Regulations Applications Recipients	Recipients Terminations	Adequate
Private Institutions	Summaries Regulations Applications Recipients	Recipients Terminations	Adequate
Governor/Budget Office	Summaries Recipients	No Contact	Adequate
State Legislature (Including Committee Staffs)			

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	10	Not Applicable
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	27	Not Applicable
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	Not Applicable - Most program reviews are carried out by regional office directors (8). These same persons also are predominately involved in parent/teacher/student workshops.
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	27	Not Applicable
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) : Data Processing	26	Not Applicable

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

OCTOBER - 1975 Agency makes student-aid applications available

NOVEMBER - 1975 Agency budget presented to governor's office or budget bureau

Regional Office Directors are invited to most college nights and parent/student financial aid workshops and regional counselor organization meetings held throughout the state.

ONGOING - Agency completes planning workshops for students or counselors

FEBRUARY - 1976
1) Agency budget presented to legislature
2) BEOG applications information received from federal office

1) Forward funding date. Prior year appropriation can only be increased after May 1.
2) Began making announcements about May 15, on an ongoing basis.

MAY - 1976
1) Legislature appropriates student assistance
2) Agency announces award winners

May 1 for college-bound, August 1 for non-degree schools.

MAY-AUGUST - 1976 Deadline for student-aid applications

Changes and adjustments made on an ongoing basis. Final reconciliation with financial aid officers made in April, May.

APRIL - 1977 Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, All	State	Application processing is delayed.
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes, All	Institution	State notifies applicant of not being on record. Application processing is delayed pending revised college choice.
4. Warnings of Prosecution?	Yes, All	State	
5. Prosecution?	Yes, All	State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Award revoked if recipient is found not to meet eligibility critiera.
2. Check on Mid-Term Attendance?	Yes, All	Institution	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?	Yes, All	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution	State award adjusted to financial need.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, Sample	State	
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?	Yes, All	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X		X	X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X		X	X
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X		X	X
6. Newspaper Ads		X	X			
7. Radio, Television Ads		X	X			
8. Social Service Agencies	X	X	X		X	X
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Higher Education Grant Program - A grant program to assist full-time undergraduate resident students who have demonstrated financial need to attend the approved postsecondary school or college of their choice, including hospital schools of nursing.

Student Loan Guaranty Program - A loan program to provide financial assistance to permit resident students to attend the approved postsecondary school or college of their choice, including hospital schools of nursing.

Institutional Assistance Grants Program - Formula grants to eligible independent, non-profit institutions per State grant recipient enrolled to help preserve a comprehensive system of higher education in the state.

Statewide Summer College Work-Study Program - A work-study program for resident students demonstrating a financial need in attending in-state and out-of-state postsecondary schools of education, including hospital schools of nursing.

Appropriation to Colleges - No accountability of these funds is made by the colleges. Colleges have discretionary powers to use or not to use these funds for scholarships within their total appropriation.

Pennsylvania Scholarships for Veterans Children - A grant program which provides $400 per academic year to resident children of deceased or disabled veterans to attend institutions of higher learning within the commonwealth which are approved by the State Veterans Commission.

Senatorial Awards Program - Line item appropriation funds are not used to support this program. The agreement with the colleges more accurately is one of remission of tuition for "X" number of recipients per senator.

Veterans Scholarship Programs - Program data is provided in State Higher Education Grant Program responses. Funding of veterans' scholarships is within $68.4M appropriation. Governing legislation prohibits consideration of parental financial data.

Program for the Dependents of POW/MIA - Program data is provided in State Higher Education Grant Program responses. Funding of awards to dependent children of POW/MIA is within $68.4M appropriation. Governing legislation prohibits consideration of parental financial data.

Special Programs to Permit Study at Out-of-State Colleges - Awards to these students are accounted for in the State Higher Education Grant Porgram responses.

Special Programs to Permit Out-of-State Study for Technical/Nursing Studies - Awards to these students are accounted for in the State Higher Education Grant Program responses.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Higher Education Grant Program[1]	1970-71	86,772	$ 615	$ 50,746,728	Resident Undergraduates
	1975-76	118,070	$ 640	$ 67,941,676	
Loans					
Student Loan Guaranty Program	1970-71	82,475	$1,078	$ 88,932,503	Resident Students
	1975-76	75,798	$1,468	$111,247,023	
Work-Study					
Statewide Summer College Work-Study Program	1970-71	494	$ 960	$ 474,240	Resident Undergraduates
	1975-76	1,484	$1,231	$ 1,827,010	
Non Need-Based					
Institutional Assistance Grants Program	1974-75	30,677	$ 355[2]	$ 10,890,690[2]	Residents attending eligible institutions
	1975-76	33,640	$ 325[2]	$ 10,933,000[2]	
Pennsylvania Scholarships for Veterans' Children	1970-71	225[3]	$ 400	$ 90,000	Dependent children of deceased and totally disabled veterans
	1975-76	149	$ 400	$ 59,600	

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[1] Program data for the following award programs are included in the State Higher Education Grant Program responses--Veterans Scholarship Programs; Program for the Dependents of POW/MIA; Special Programs to Permit Study at Out-of-State Colleges; Special Programs to Permit Out-of-State Study for Technical/Nursing Studies.

[2] Balance of appropriation held in escrow pending appeal for eligibility by hospital schools of nursing. Case now before the State Supreme Court.

[3] Response based on a reasonable estimation or approximation.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	MINIMUM Need Limits
Need-Based						
Grants						
State Higher Education Grant Program	Residents attending In/Out of State	Full-Time	All Undergraduates	No	$17,999 PHEAA adjusted	$300
Loans						
Student Loan Guaranty Program	Residents attending In/Out of State	At least Half-Time	All	No		
Work-Study						
Statewide Summer College Work-Study Program	Residents attending In/Out of State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
Non Need-Based						
Institutional Assistance Grants Program[1]	Residents attending In-State	Not Applicable	Not Applicable	Not Applicable		
Pennsylvania Scholarships for Veterans' Children	Residents attending In-State	Full-Time	All undergraduates	No		

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[1] This is a formula grant to eligible institutions to help preserve a comprehensive system of higher education in the state.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Higher Education Grant Program	Public Private	Public[1] Private	No	Yes	Hospital schools of nursing
Loans					
Student Loan Guaranty Program	Public Private	Public Private	Yes	Yes	Hospital schools of nursing
Work-Study					
Statewide Summer College Work-Study Program	Public Private	Public Private	No	Yes	Hospital schools of nursing
Non Need-Based					
Institutional Assistance Grants Program	Not Applicable----- (See Note 1, Table E1)				
Pennsylvania Scholarships for Veterans' Children	Public Private	Public Private	No	Yes	Hospital schools of nursing

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[1] In-state only.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Award Cannot Exceed
Need-Based				
Grants				
State Higher Education Grant Program	Need = Educational Costs - EPC - BEOG Award Value	Yes	PHEAA	80% of Tuition General Student Costs
Loans				
Student Loan Guaranty Program	Need = Educational Costs - Family Support - Financial Aid & Other Resources	No	Income Tax, Consensus, BEOG	General Student Costs
Work-Study				
Statewide Summer College Work-Study Program	Need = Educational Costs - Expected Family Resources - Financial Aid from Other Resources	No	Consensus	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

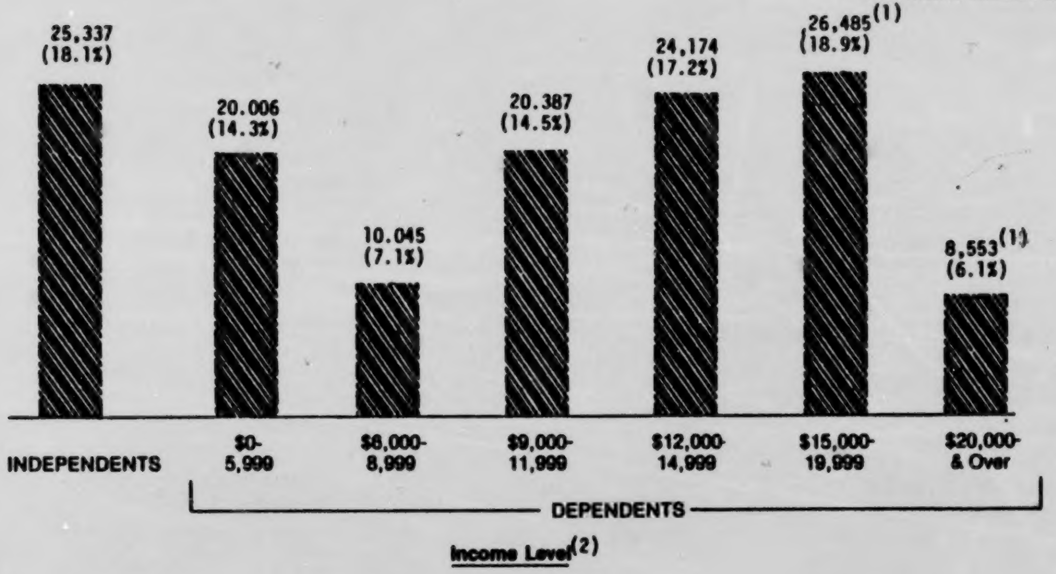
Programs	Maximum Award Size?	What Award Size Determination Method is Used?	How is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Higher Education Grant Program	$1,200 In-State; $ 600 Out-of-State	One-third of financial need not to exceed $1,200 (in-state) or $600 (out-of-state) or 80% of tuition, whichever is less	None used	State
Loans				
Student Loan Guaranty Program	$2,500 Undergraduate; $5,000 Graduate	Calculated financial need not to exceed maximum	None used	Lender
Work-Study				
Statewide Summer College Work-Study Program	Not applicable	Number of days worked times hours per day times wages per hour	Not applicable	Student[1]
Non Need-Based				
Institutional Assistance Grants Program	$ 400	Funds available divided by enrolled state higher education grant recipients at eligible institutions	None used	State
Pennsylvania Scholarships for Veterans' Children	$ 400	Not applicable	None used	State

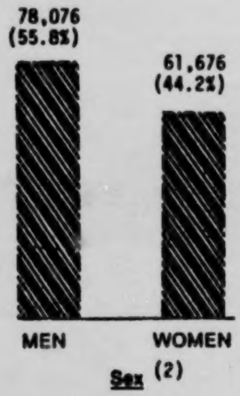
[1] By the job selected and the wages job pays.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- PA. STATE HIGHER EDUCATION GRANT PROGRAM





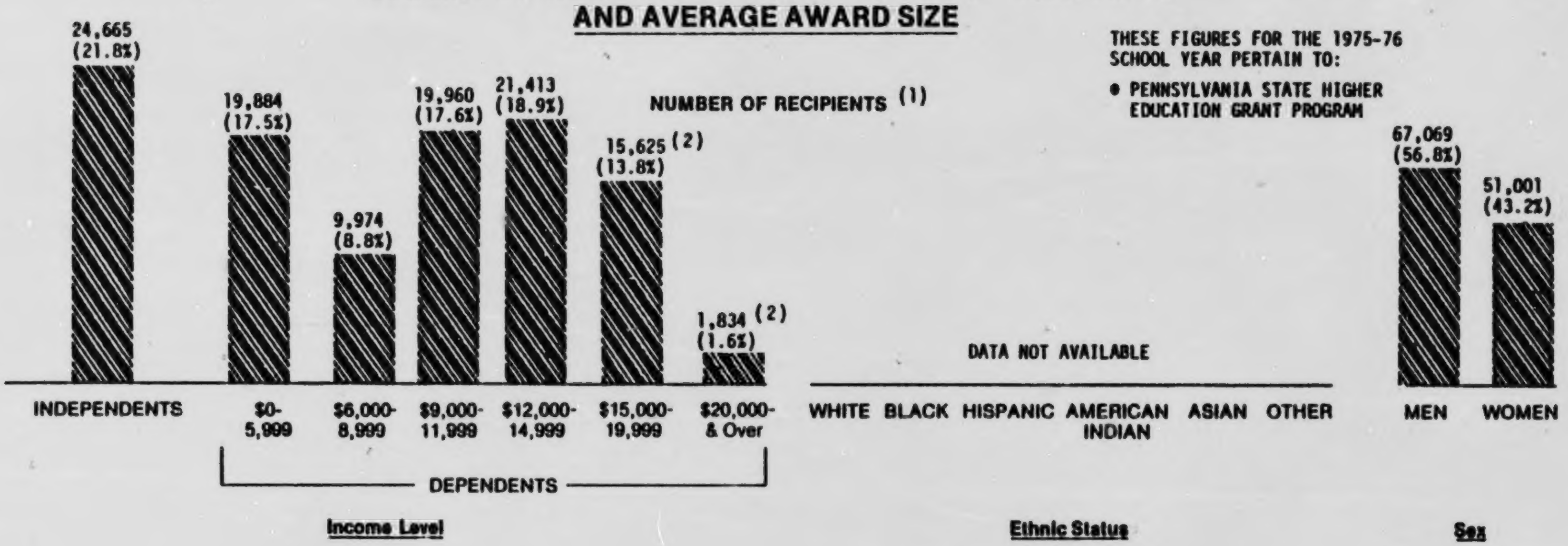
(1) Response is based on a reasonable estimation or approximation.
(2) There is a discrepancy in totals by "Income" and "Sex". However, these were the numbers given in the NASSGP Survey.

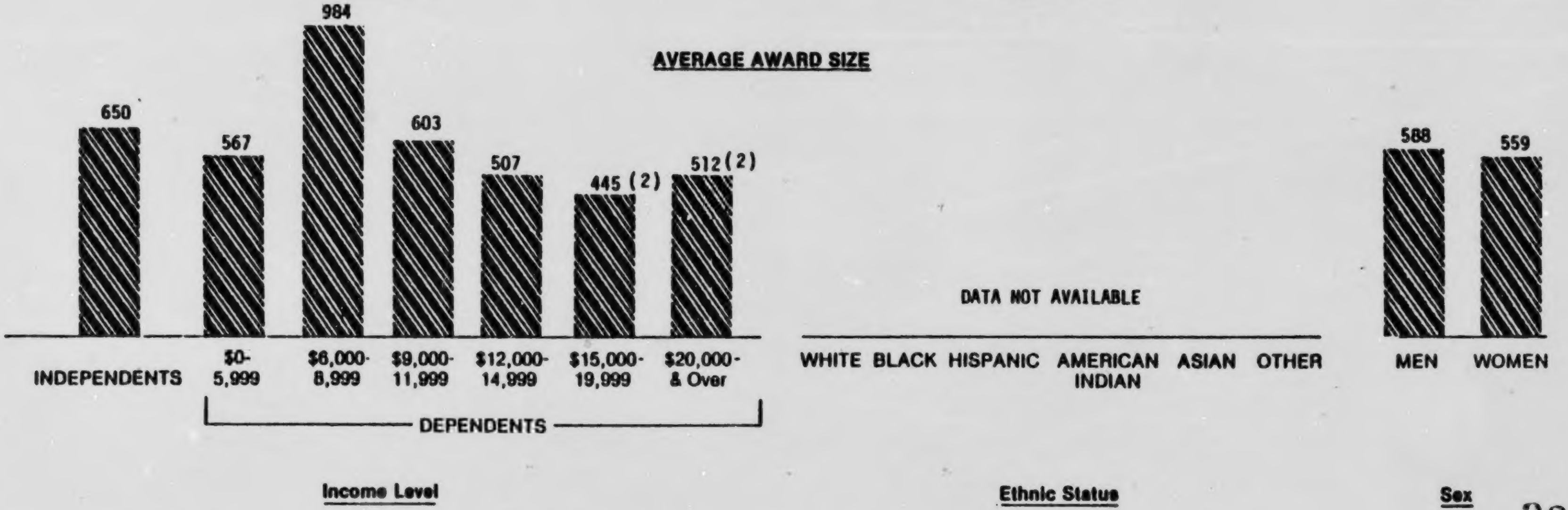
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

PENNSYLVANIA F2

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- PENNSYLVANIA STATE HIGHER EDUCATION GRANT PROGRAM





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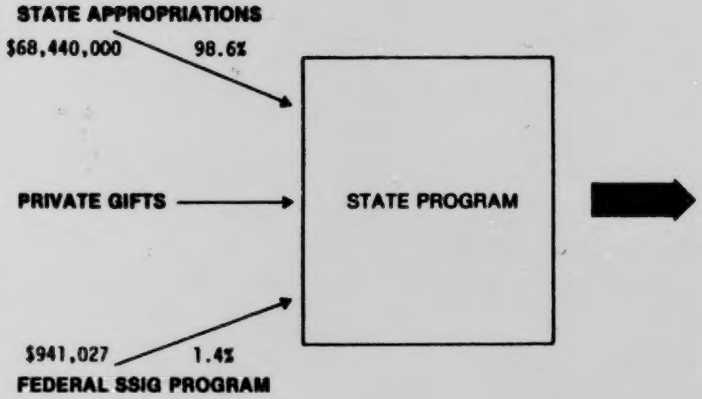
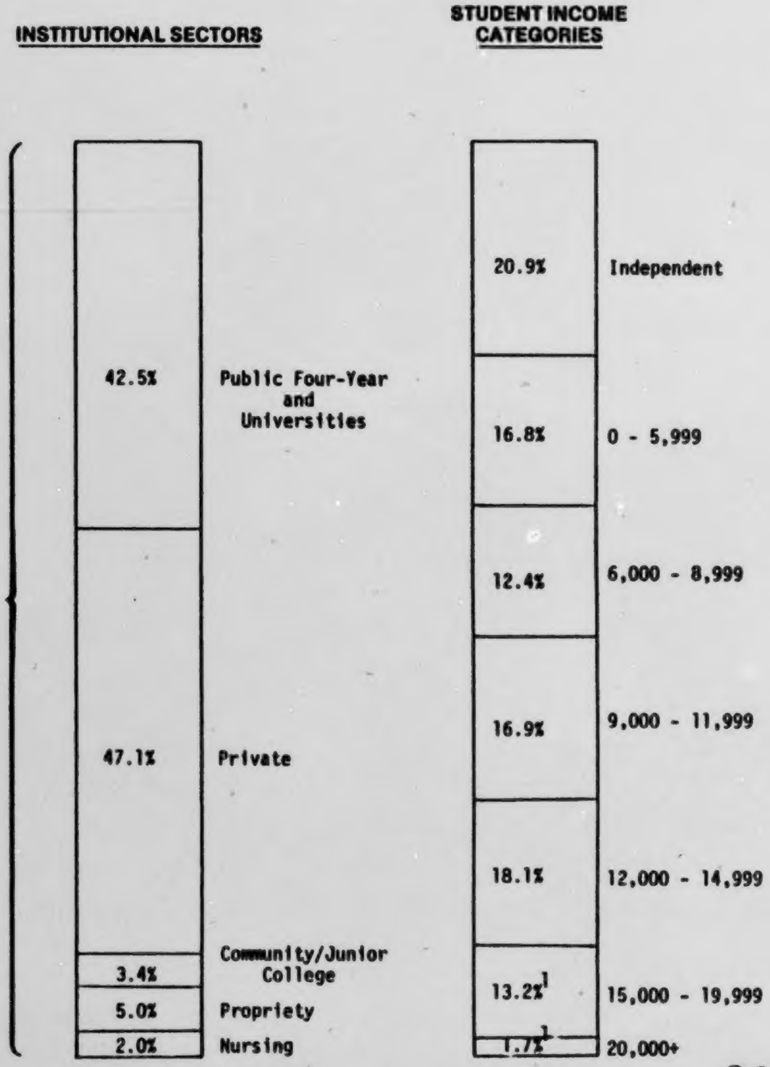
(1) There is a discrepancy in the award totals by "Income" and "Sex". However, these were the numbers given in the NASSGP Survey.
(2) These figures are based on reasonable estimations or approximations.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE HIGHER EDUCATION GRANT PROGRAM





The distribution of dollars by institutional sector includes awards to resident students attending out-of-state institutions.

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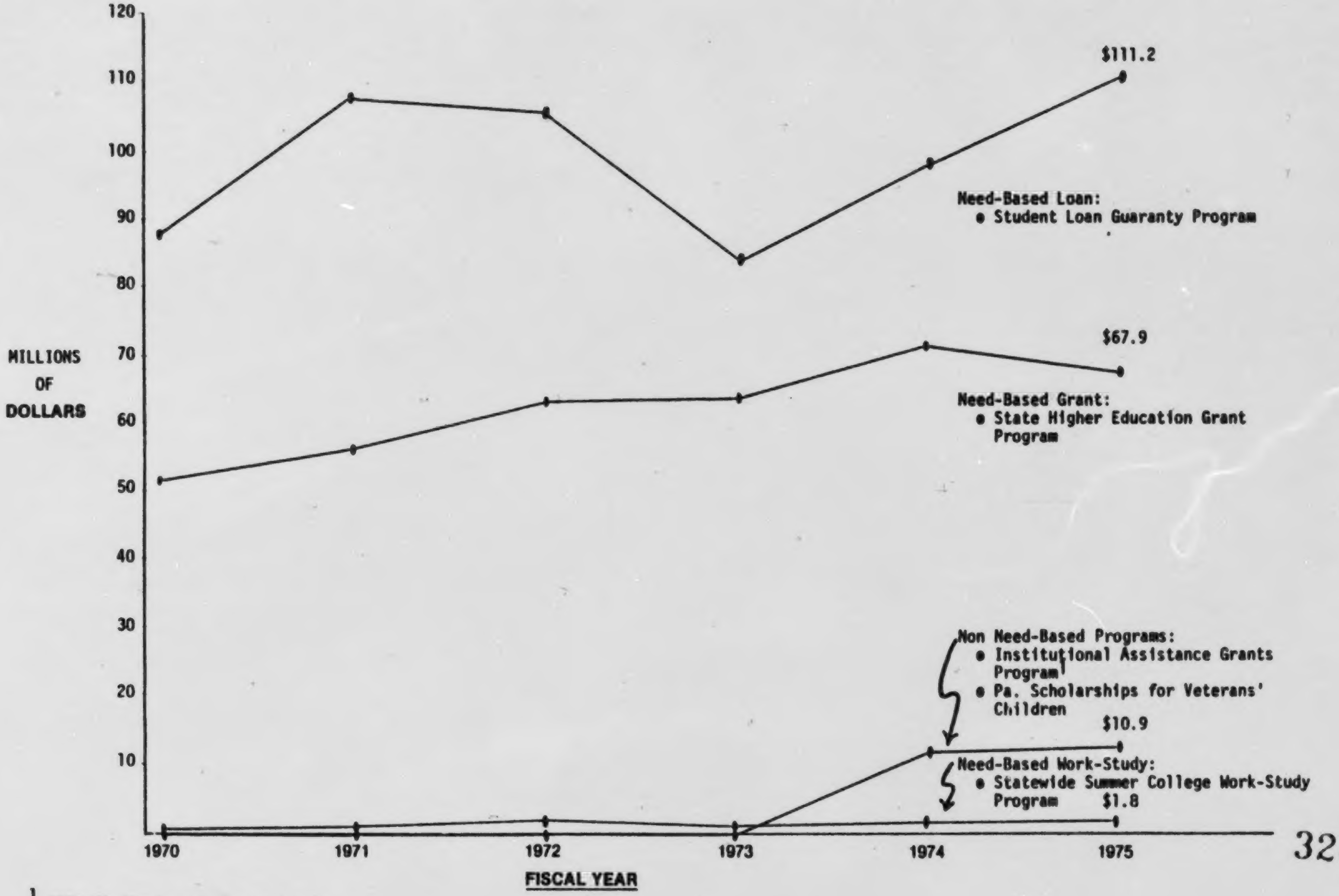
[1] Based on a reasonable estimation of approximation.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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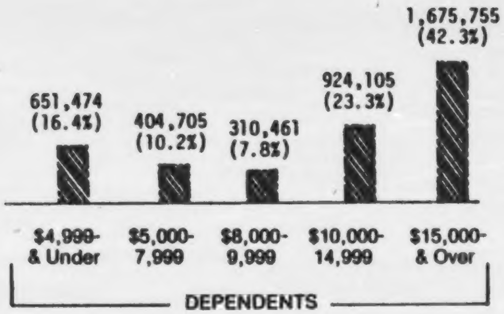
[1] 1974-75 first year for program.

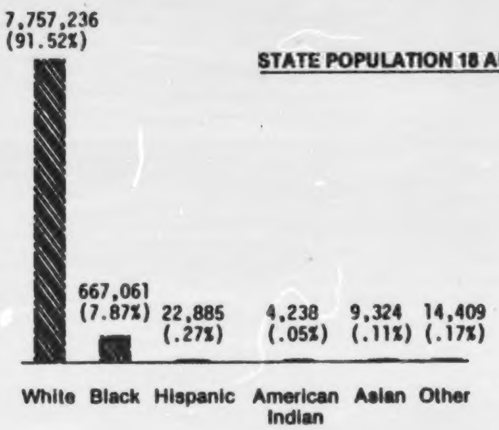
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

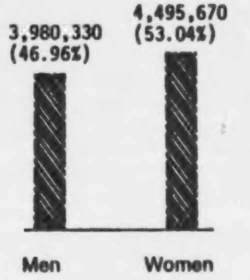


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

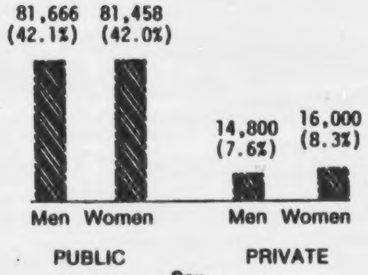


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 11,500 persons granted a high school equivalency certificate.

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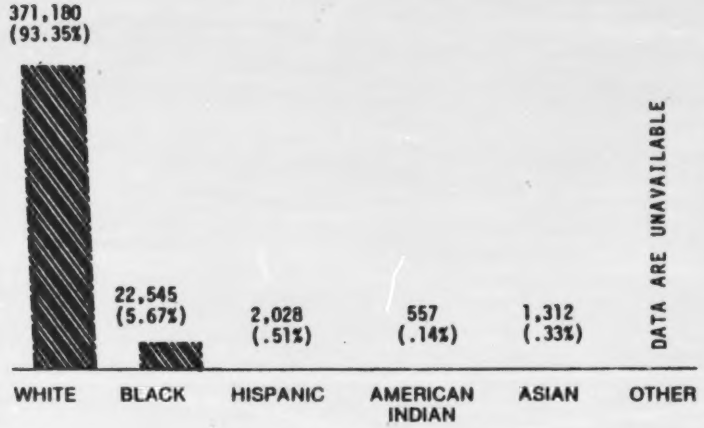
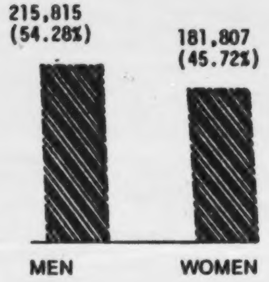
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

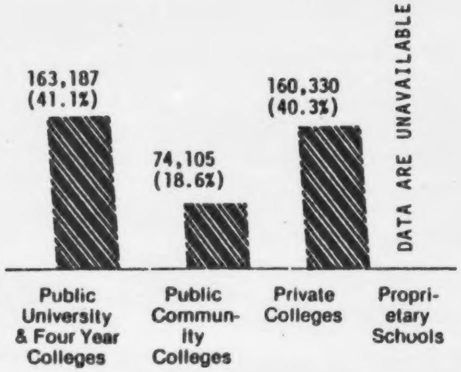
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



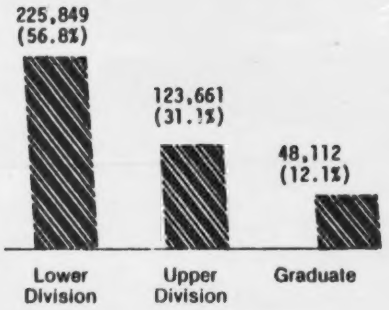
Ethnic Status



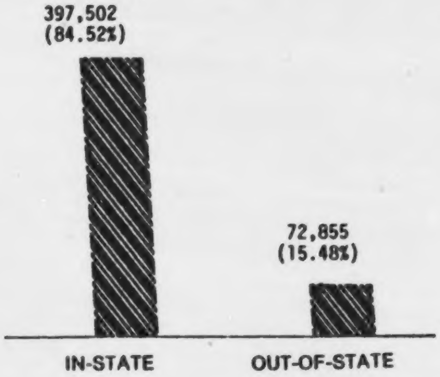
Sex



Institutional Sector

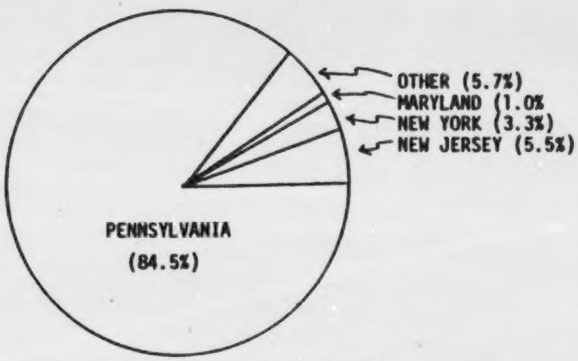


Student Level

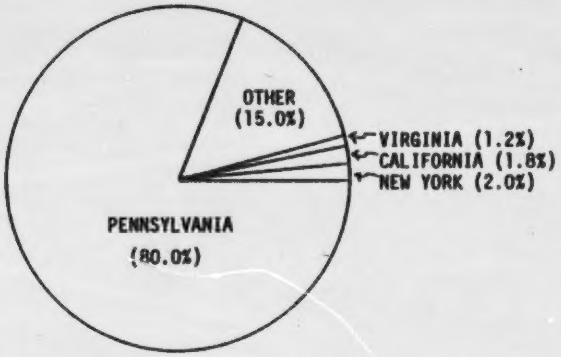


Residency

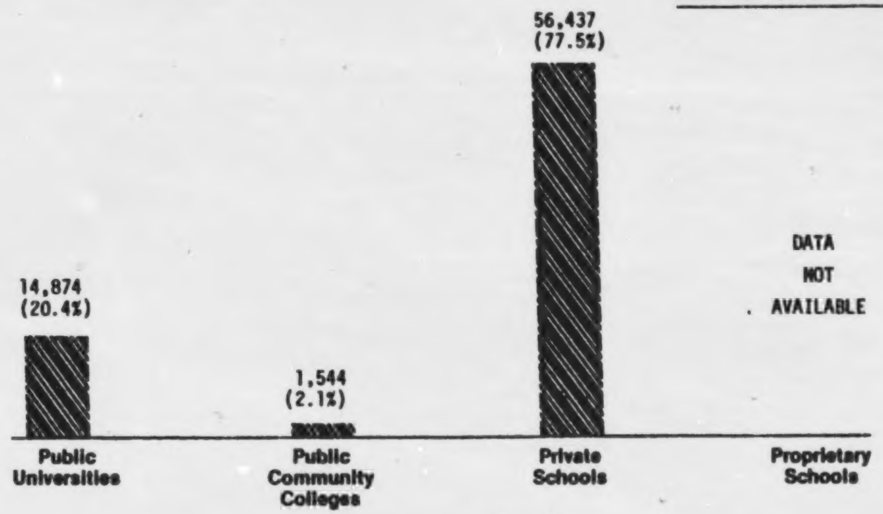
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



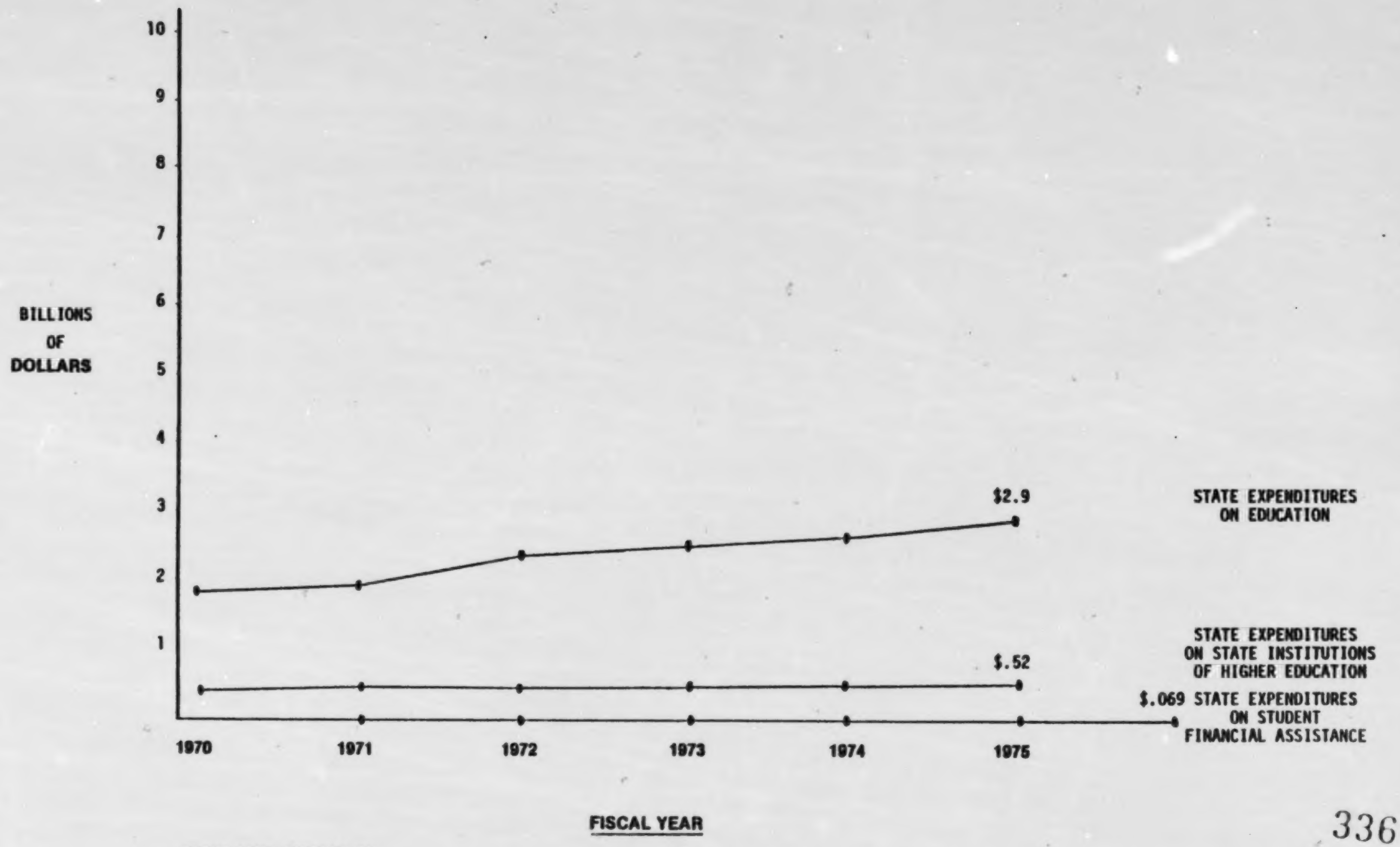
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

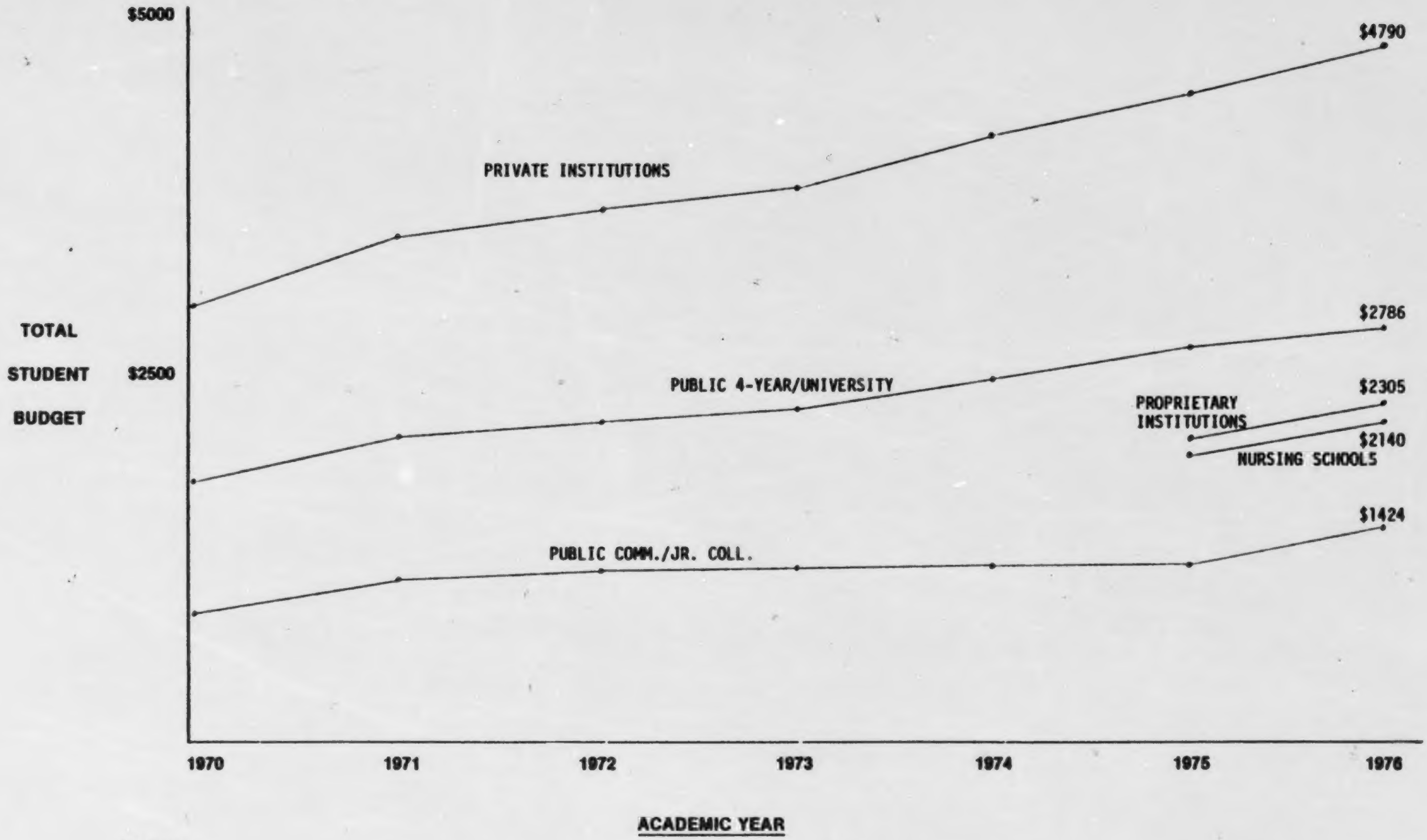
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATE PERTAIN TO:

- STATE HIGHER EDUCATION GRANT PROGRAM
- SCHOLARSHIPS FOR VETERANS' CHILDREN
- STATEWIDE SUMMER COLLEGE WORK-STUDY PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

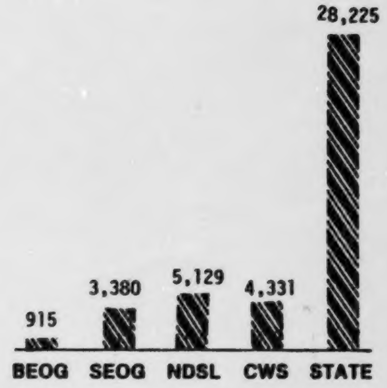


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

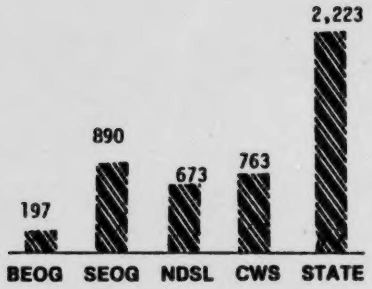
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

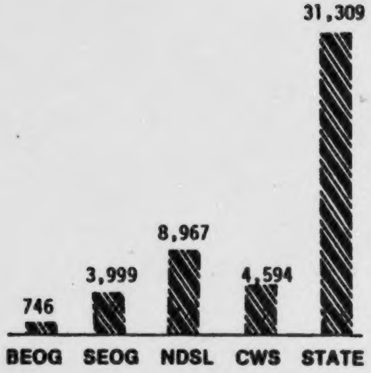
- STATE HIGHER EDUCATION GRANT PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

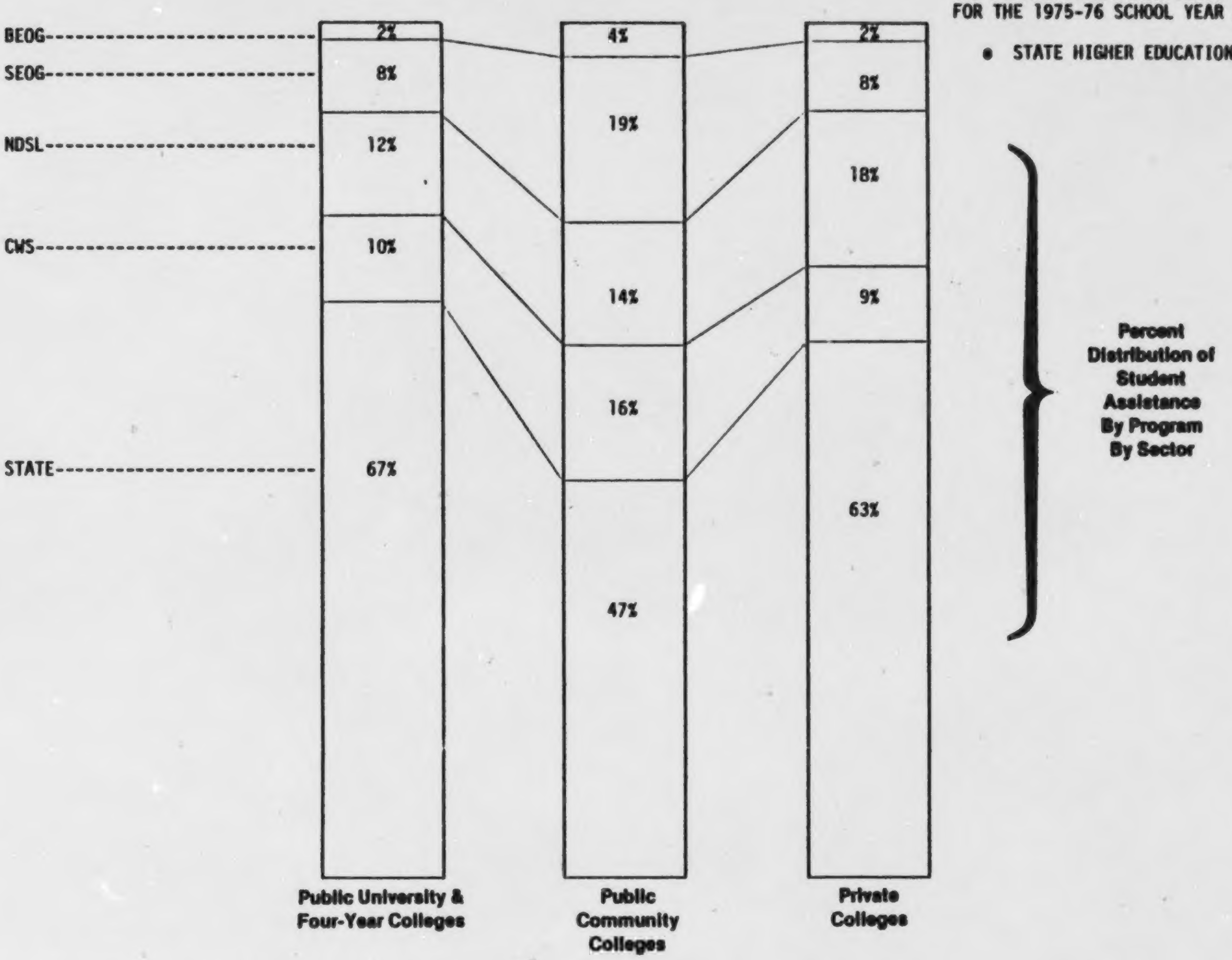
State funds were also awarded to the following types of institutions:

- Special (Nursing) - $1,342,400
- Proprietary - $3,339,386

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE HIGHER EDUCATION GRANT PROGRAM



State funds were also awarded to the following types of institutions:

- Special (Nursing) - $1,342,400
- Proprietary - $3,339,386

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Office of Student Assistance, with authority from the Department of Education through its Bureau of Operations, administers the student assistance programs.

1. State Scholarship Program
2. Nursing Education Scholarship Program
3. Business Education Teachers Scholarship Program
4. War Orphans Scholarship Program

Department of Social and Rehabilitative Services

1. Division of Vocational Rehabilitation

Higher Education Assistance Corporation

1. Higher Education Loan Plan

RELATIONSHIPS OF STATE AGENCIES

Office of Student Assistance
Bureau of Operations

Bureau of Postsecondary Education

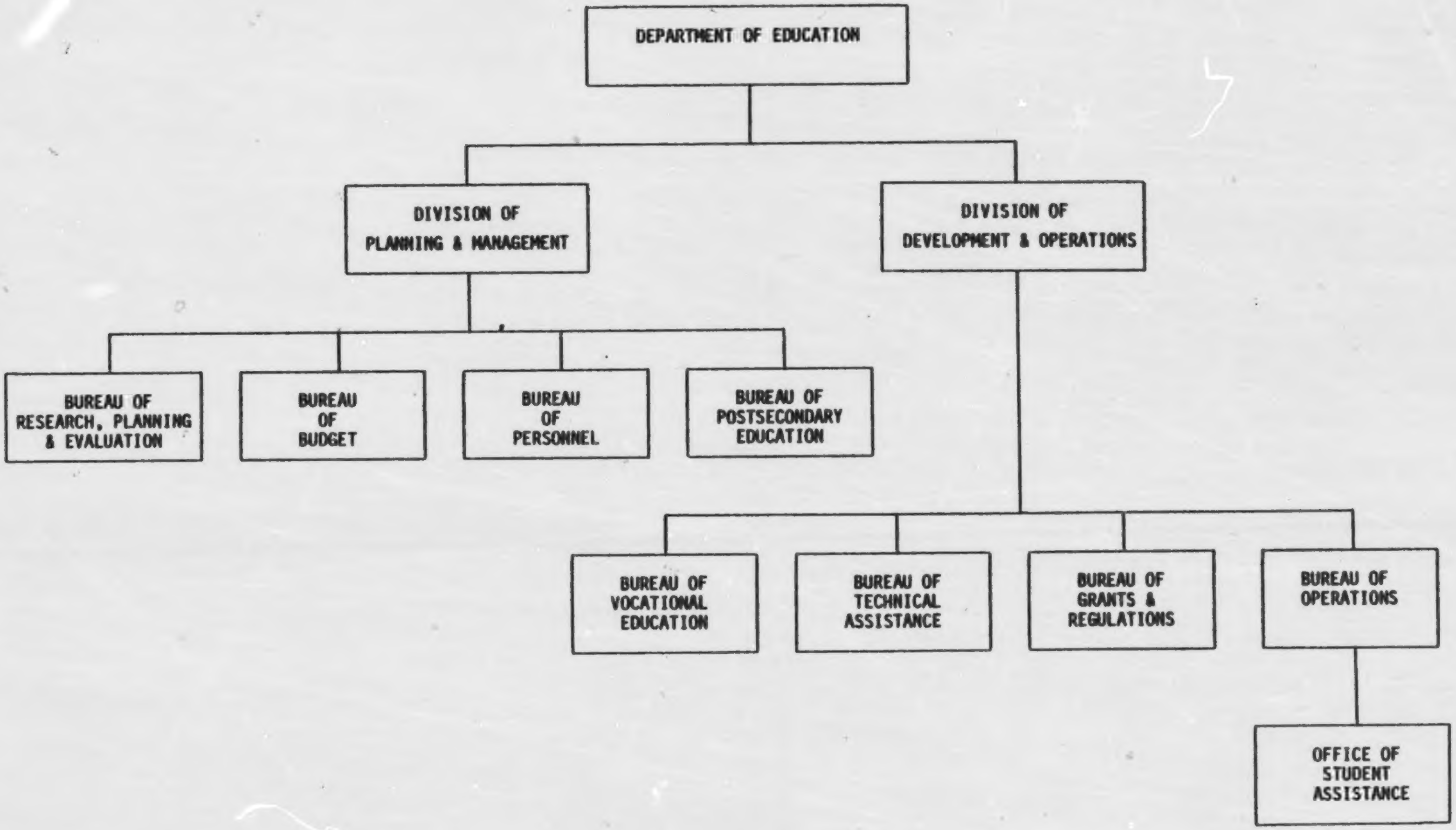
Department of Education

Department of Social and Rehabilitative Services

State Government

Rhode Island Higher Education Assistance Corporation (Private, Non-Private)

STATE AGENCY ORGANIZATION


DEPARTMENT OF EDUCATION
DIVISION OF PLANNING & MANAGEMENT
DIVISION OF DEVELOPMENT & OPERATIONS
BUREAU OF RESEARCH, PLANNING & EVALUATION
BUREAU OF BUDGET
BUREAU OF PERSONNEL
BUREAU OF POSTSECONDARY EDUCATION
BUREAU OF VOCATIONAL EDUCATION
BUREAU OF TECHNICAL ASSISTANCE
BUREAU OF GRANTS & REGULATIONS
BUREAU OF OPERATIONS
OFFICE OF STUDENT ASSISTANCE

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information			
• Identification of Eligible Recipients			X
• Determination of Award Size		X	X
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments			X
Initiates the Prosecution of Possible Fraudulent Student applications		X	
Publicizes Award Availability			X

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Six semester hours of study.	Interpretation	Yes	Yes	
State Resident - One year prior to application.	Regulation			Yes
Independent Student - Not claimed as a deduction on parent's income tax form, did not live with parents, did not receive more than $600 from parents.	Regulation			Yes
Non-Profit Institution - One that is established whereby the monies do not enure to the owner of the corporation.	Law			Yes
Satisfactory Academic Progress - Not on probation.	Regulation			Yes
United States Citizenship - Presently a citizen or in process of becoming one.	Regulation			Yes

RHODE ISLAND B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	SSIG Application Data Requirements, SSIG Fiscal Operations Reports, SSIG Performance Reports.	National Profiles of allocations to States	Adequate
BEOG Office		Full BEOG cumulative magnetic tapes for R.I. Residents Deleted BEOG tapes for non RI students	Excellent
Regional OE Student-Assistance Office (Campus-Based Programs)		Copies of RI institutions FISCOP & Tripartite Applications	Excellent
Washington OE Office (Campus-Based Programs)		Methodologies for calculating state percentages	Excellent
FISL/GSL Office		Methodologies for calculating state percentages	Excellent
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Basic Grant Applications Behavior Status Reports on Tripartite Funding	FISCOP Reports and Tripartite applications	Adequate
Private Institutions	Basic Grant Applications Behavior Status Reports on Tripartite Funding	FISCOP Reports and Tripartite Applications	Adequate
Governor/Budget Office	Budget Reports & Annual Reports		Adequate
State Legislature (Including Committee Staffs)	Budget Reports & Annual Reports		Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	0%	Contracted to ETS
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	30%	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	0%	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	30%	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	40%	Contracted to ETS
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	8%	

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
	DATA ARE UNAVAILABLE

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?		X	
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?		X	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Total		
2. Check on Mid-Term Attendance?	Total		
3. Check on Course Completion?	Total	DATA ARE UNAVAILABLE	
4. Check on Academic Progress Before Award?	Total		
5. Partial Awards?	Total-for renewals		
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?		X	
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?		X	
4. Second Review of Aid Packages?		X	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?	Total		

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X	X	X	X
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X	X	X	X
6. Newspaper Ads	X	X				
7. Radio, Television Ads	X	X				
8. Social Service Agencies	X	X	X	X	X	X
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

The Rhode Island State Scholarship Program (Office of Student Assistance, Department of Education) - A need-based grant awarded to scholastically eligible Rhode Island residents who are secondary school graduates desiring an associate's or bachelor's degree from an accredited college in the U.S. or Canada.

The Nursing Education Scholarship Program (Office of Student Assistance, Department of Education) - A need-based grant program for Rhode Island residents who wish to be trained as registered nurses or who are seeking an undergraduate or graduate degree in nursing. Students may enroll in in-state or out-of-state schools.

Business Education Teachers Scholarship Program (Office of Student Assistance, Department of Education) - A grant program for Rhode Island residents attending Bryant College to become teachers in the field of business education.

War Orphans Scholarship Program (Office of Student Assistance, Department of Education) - A grant program for Rhode Island residents who are children of those serving in any war in which the U.S. has been engaged and died as a result, or were fifty percent disabled. Enrollment must be at in-state schools.

University of Vermont Medical School Agreement - Direct State Law.

University of Pennyslvania/Cornell University Veterinary School Agreement - Direct State Law

Rhode Island Division of Vocational Rehabilitation Training Grants (Department of Social and Rehabilitative Services) - A need-based grant program to assist handicapped resident individuals to overcome said handicap and engage in competitive employment. Enrollment may be at in-state or out-of-state schools. Case service funds are matched--80% federal; 20% state.

Rhode Island Higher Education Loan Plan (Rhode Island Higher Education Assistance Corporation) - A loan program to aid and assist Rhode Island students to obtain a higher education through attendance at in-state or out-of-state schools. Residents must be citizens of U.S.A. or intend to become citizens.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
The Rhode Island State Scholarship Program	1970-71	2,108	$ 750	$1,709,940	Resident Undergraduates
	1975-76	2,771	$ 750	$2,012,800	
The Nursing Education Scholarship Program	1974-75	130	$ 507	$ 65,935	Resident Students
	1975-76	160	$ 423	$ 67,636	
Rhode Island Division of Vocational Rehabilitation Training Grants	1975-76	Unavailable		$ 813,000	Handicapped Students
Non Need-Based					
Business Education Teachers Scholarship Program	1974-75	38	$ 375	$ 14,250	Future Business Teachers
	1975-76	31	$ 375	$ 11,150	
War Orphans Scholarship Program	1974-75	29	Not Given	$ 2,565	Children of Veterans 50% or More Disabled
	1975-76	30		$ 3,000	
University of Vermont Medical School Agreement	INFORMATION NOT GIVEN				
University of Pennsylvania/Cornell University Veterinary School Agreement					
Rhode Island Higher Education Loan Plan	1970-71	5,937	$1,500[1]	$5,573,723	State Residents Who Are U.S. Citizens or Who Intend to Become Citizens
	1975-76	6,209	$1,500[1]	$7,484,771	

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[1] Approximate figure.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
The Rhode Island State Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	$200
The Nursing Education Scholarship Program	Residents attending In/Out of State	Not Given	All	No	Not Applicable	$200
Rhode Island Division of Vocational Rehabilitation Training Grants	Residents attending In/Out of State	Part-Time Half-Time Full-Time	All Undergraduates	Yes[1]	Note 2	Not Applicable
Non Need-Based						
Business Education Teachers Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
War Orphans Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
University of Vermont Medical School Agreement	INFORMATION NOT GIVEN					
University of Pennsylvania/Cornell University Veterinary School Agreement						
Rhode Island Higher Education Loan Plan	Residents attending In/Out of State	Half-Time	All Post-Secondary Students	No	Not Applicable	No Cut-off

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[1] In the event there are problems with funding.

[2] A schedule very similar to the Princeton schedule is used to determine parental contribution if income exceeds $16,000. A minimum grant of $400 is provided. If client receives public assistance, full costs are met, if there are no other resources, such as BEOG.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
The Rhode Island State Scholarship Program	Public Private	Public	No	No	No
The Nursing Education Scholarship Program	Public Private	Public	No	No	Hospital Schools of Nursing
Rhode Island Division of Vocational Rehabilitation Training Grants	Public Private	Public	Public	Yes	Yes
Non Need-Based					
Business Education Teachers Scholarship Program	No	No	No	No	Bryant College
War Orphans Scholarship Program	Public Private	Public	No	Yes	No
University of Vermont Medical School Agreement	INFORMATION NOT GIVEN				
University of Pennsylvania/Cornell University Veterinary School Agreement					
Rhode Island Higher Education Loan Plan	Public Private	Public	Public	Yes	Yes

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
The Rhode State Scholarship Program	Need = College Cost - EFC (Parental Contribution and Self-Help Allowance)	Yes	CSS Family Contribution Method	General Student Costs
The Nursing Education Scholarship Program	Need = College Cost - EFC (Parental Contribution and Self-Help Allowance)	No	CSS Family Contribution Method	General Student Costs
Rhode Island Division of Vocational Rehabilitation Training Grants	A schedule very similar to the Princeton schedule is used to determine parental contribution if income exceeds $16,000. A minimum grant of $400 is provided if client receives public assistance; full costs are met if there are no other resources, such as BEOG.	Yes	Income Tax, BEOG	Tuition/Fees Room/Board Books Transportation
Rhode Island Higher Education Loan Plan	Need = Estimated Cost of Education - Contributions from spouse, parents or student that can reasonably be expected and Other Available Resources or Financial Aid (Grants, Scholarships or Another Loan)[1]	No[2]	CSS--among others. Income Tax Method (Alternative Income Tax Method)	Tuition/Fees Room/Board Books Transportation General Student Costs

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[1] The above relate to whether or not federal interest subsidy will be paid on loan amount if adjusted family income is $25,000/year or more. Under $25,000 adjusted family income per year automatically qualifies for federal interest subsidy.

[2] But encouraged.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
The Rhode Island State Scholarship Program	$1,000	Stipend scale from a minimum of $250 to a maximum of $1,000.	Academic qualifications and financial need.	State
The Nursing Education Scholarship Program	$1,000	Stipend scale from a minimum of $100 to a maximum of $1,000.	Acceptance into a Nursing Program and financial need.	State
Rhode Island Division of Vocational Rehabilitation Training Grants	$1,000[1]	Total cost minus any and all other grants and scholarships.	First-come, first-served basis with emphasis on the severly handicapped.	Rehabilitation Counselor
Non Need-Based				
Business Education Teachers Scholarship Program	$ 375	$15,000 divided by number of applicants.	Not Applicable	State
War Orphans Scholarship Program	$ 125	$3,000 divided by number of applicants.	Not Applicable	State
University of Vermont Medical School Agreement		INFORMATION NOT GIVEN		
University of Pennsylvania/Cornell University Veterinary School Agreement				
Rhode Island Higher Education Loan Plan	$2,500/Year presently $10,000 Maximum	Needs Test Analysis. Recommendation by school and final approval by lender and RIHEAC.	Not Given	Not Given

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[1] A minimum grant of $400 is provided if client receives public assistance; full costs are met if there are no other resources such as BEOG.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

NOT APPLICABLE

Applicants are not required to submit a needs analysis document - only semi-finalists who are first chosen on the basis statement.

INDEPENDENTS $0-5,999 $6,000-8,999 $9,000-11,999 $12,000-14,999 $15,000-19,999 $20,000-& Over

DEPENDENTS

Income Level

DATA NOT AVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER

Ethnic Status

DATA NOT AVAILABLE

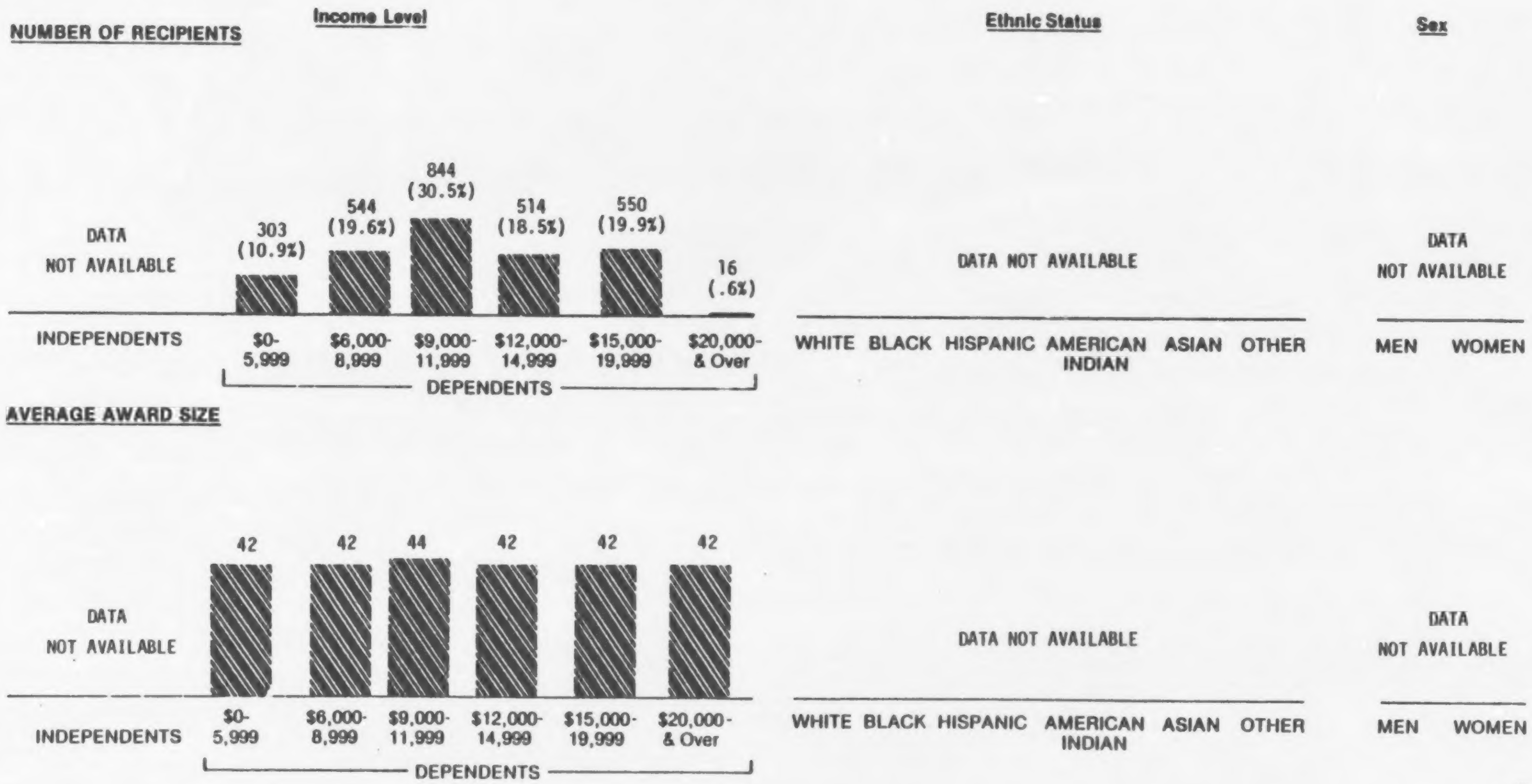
MEN WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

RHODE ISLAND

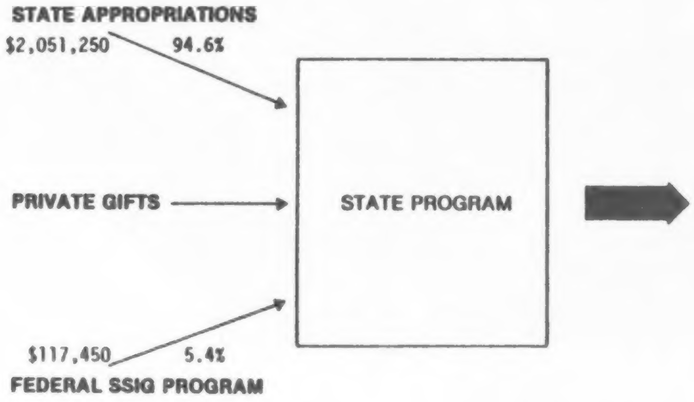


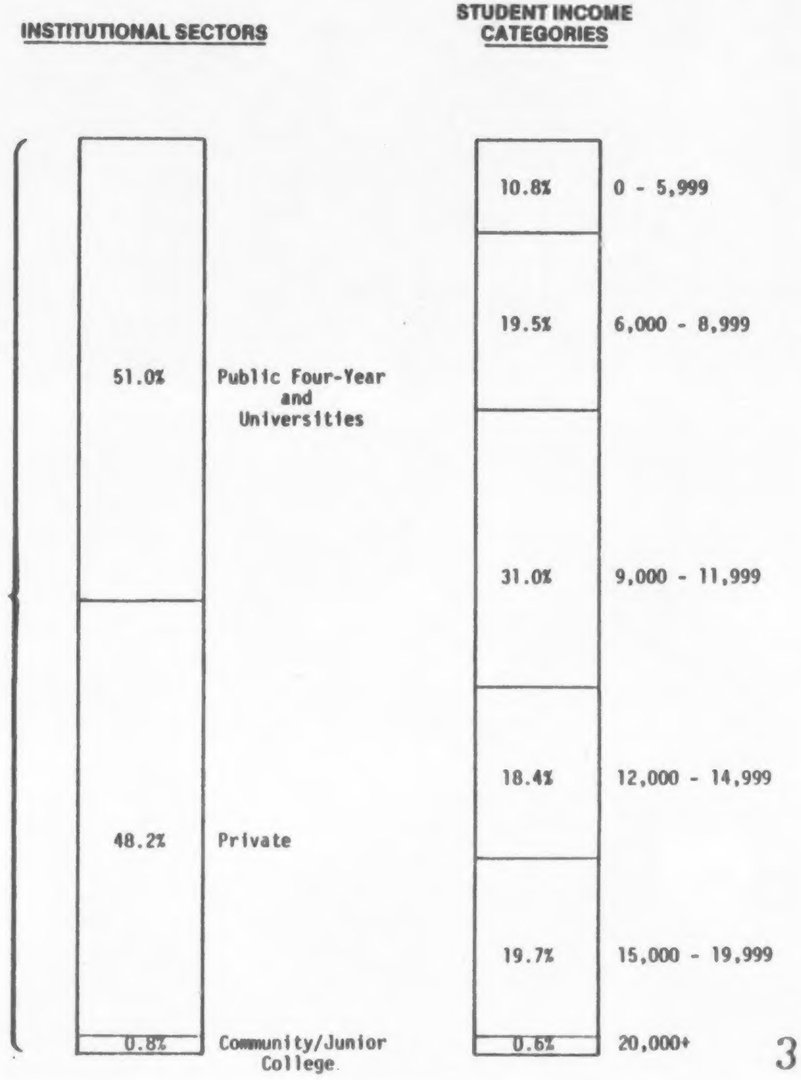
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

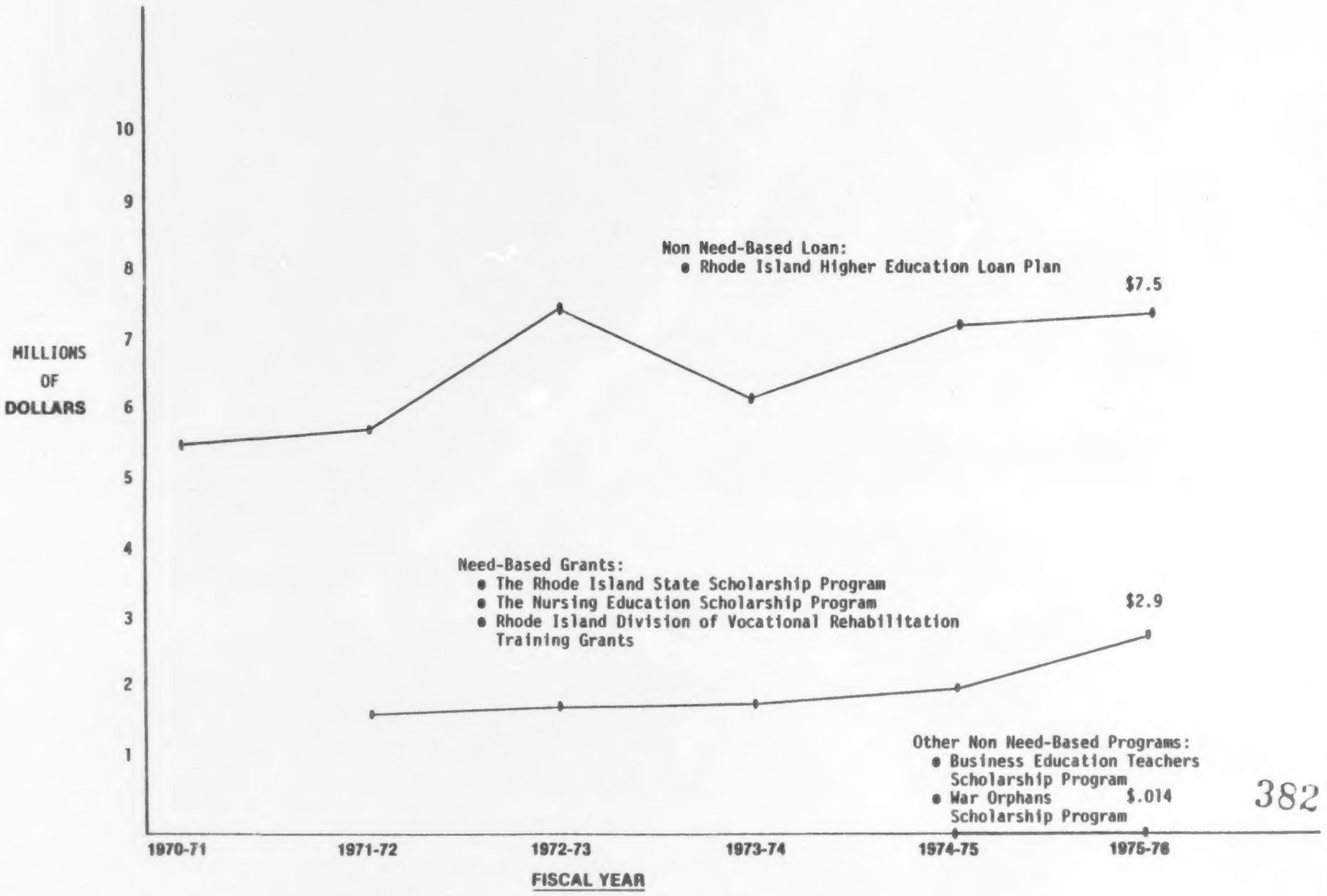
- RHODE ISLAND STATE SCHOLARSHIP PROGRAM





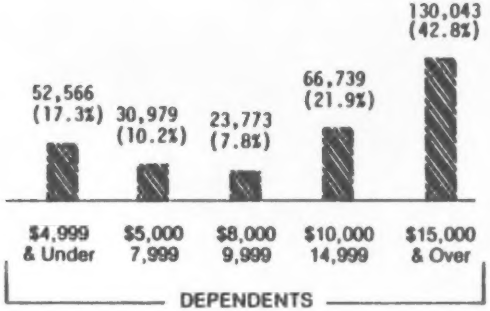
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



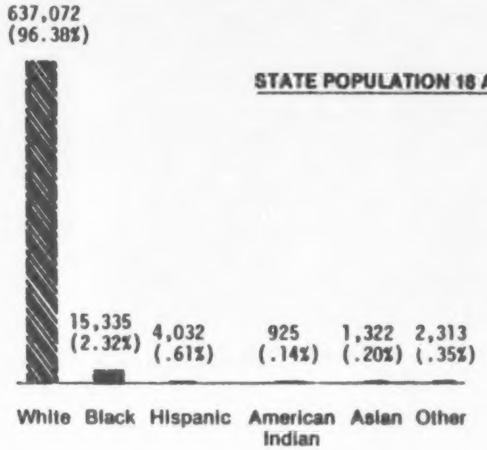
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

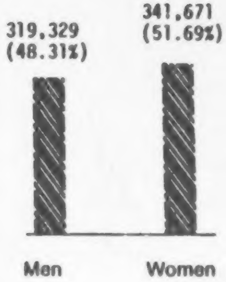


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

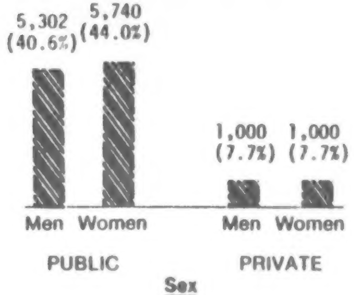


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 2,007 persons granted a high school equivalency certificate.

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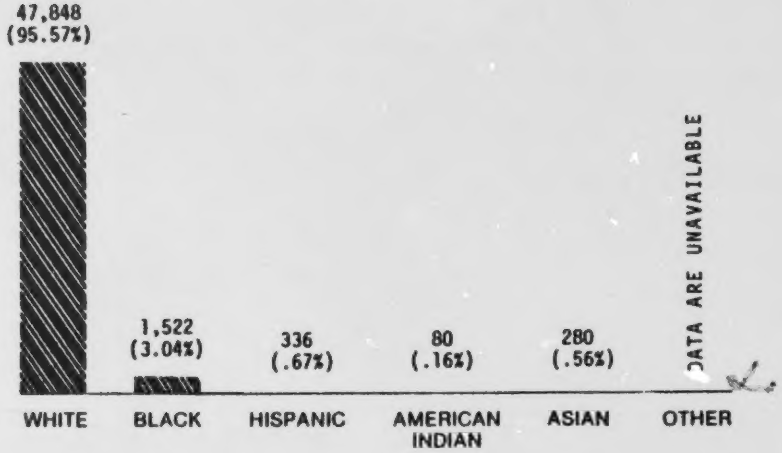
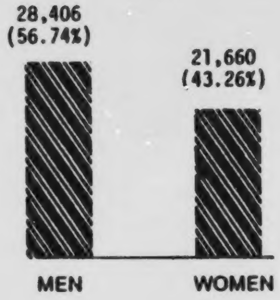
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

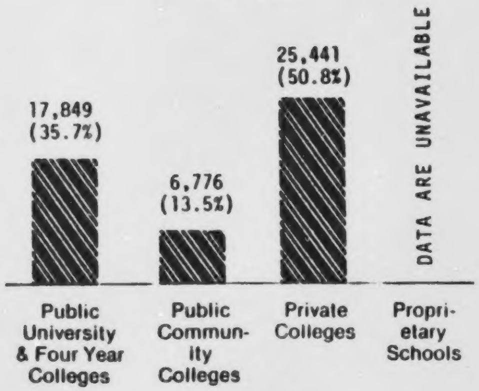
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



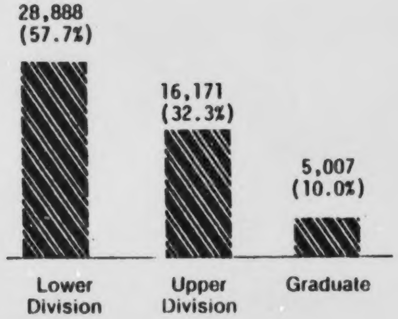
Ethnic Status



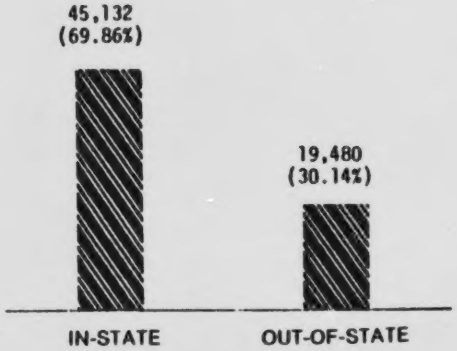
Sex



Institutional Sector

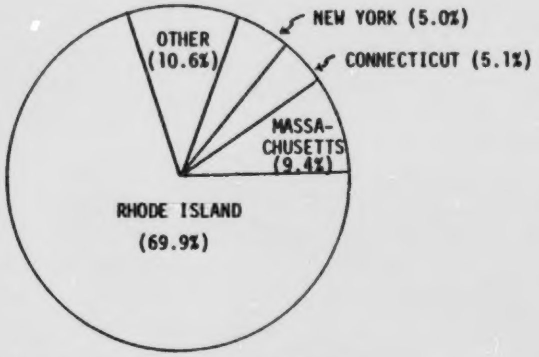


Student Level

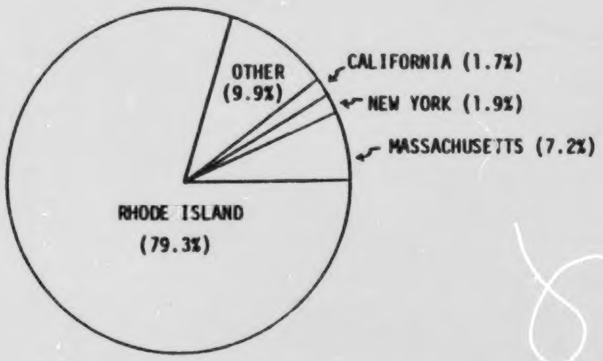


Residency

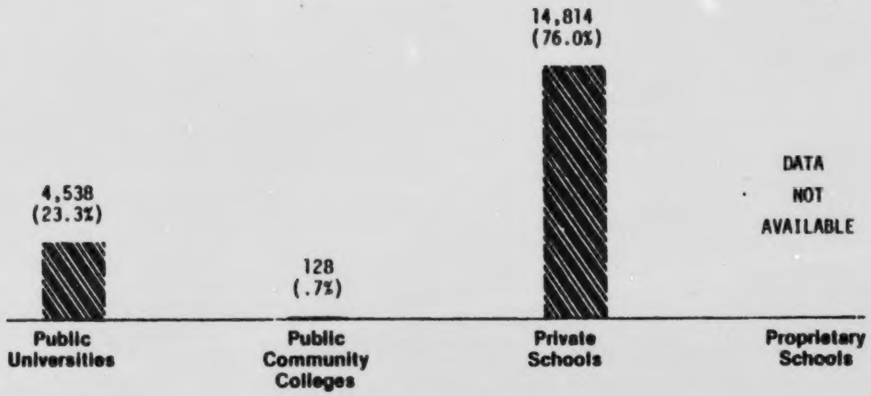
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



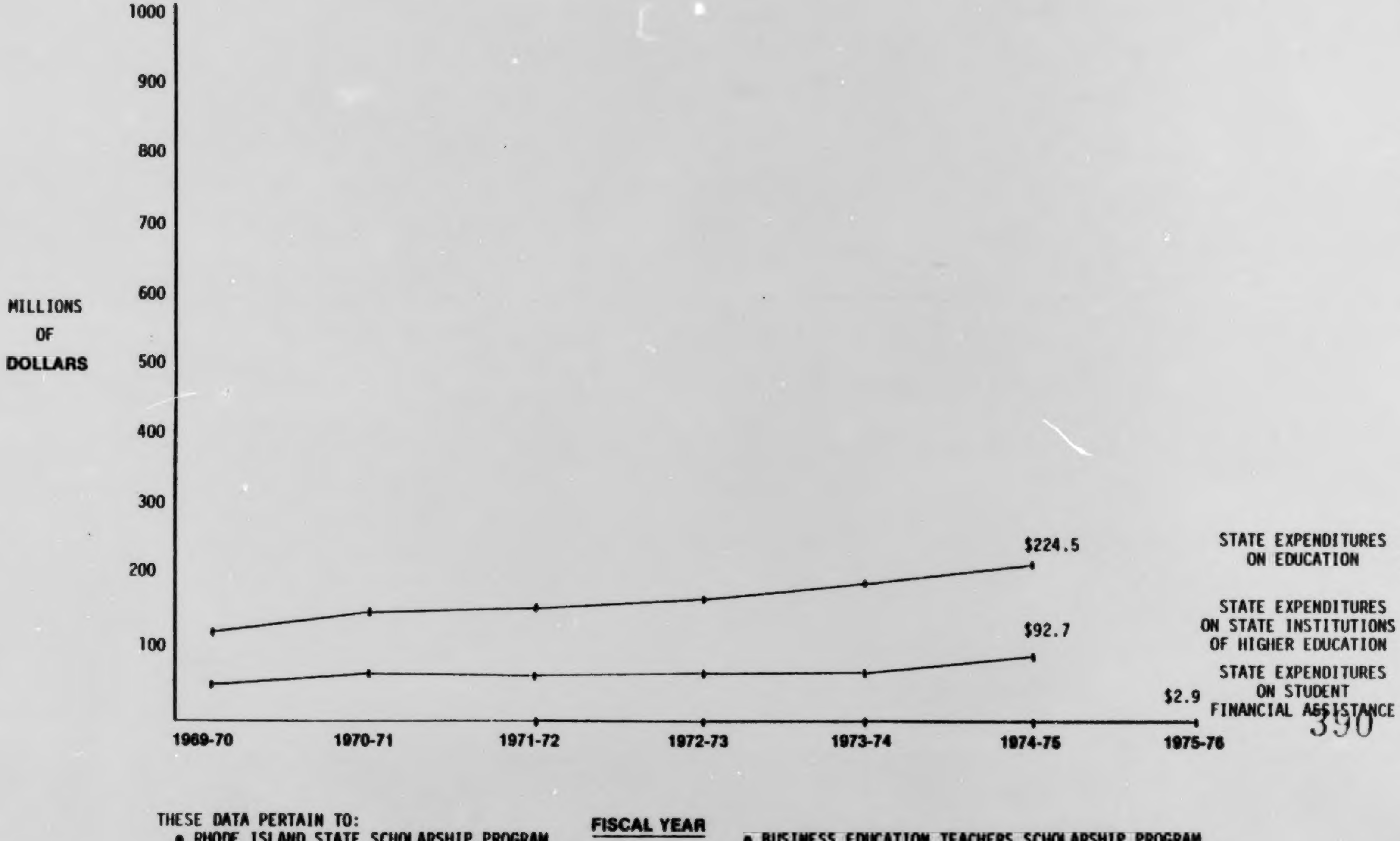
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

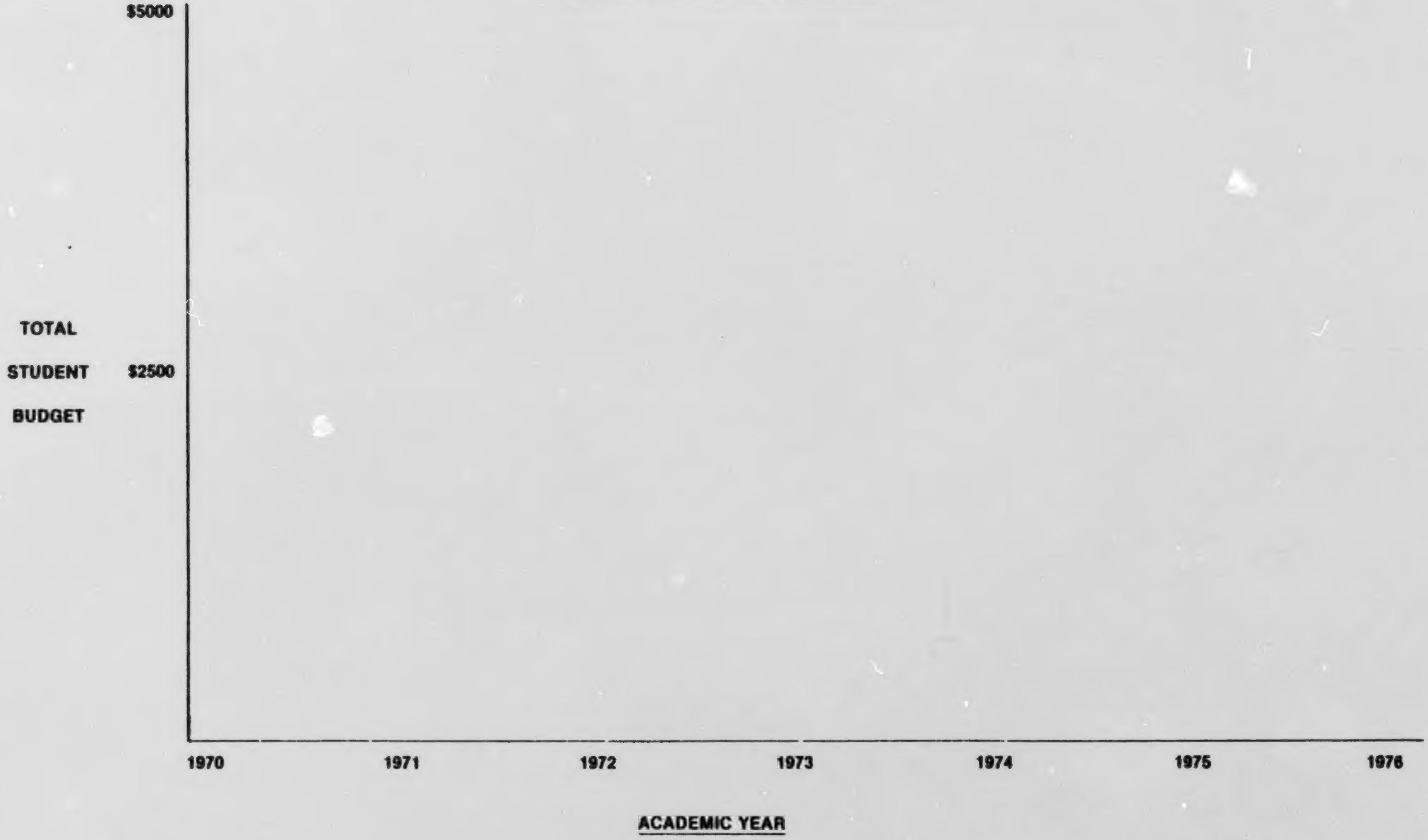


THESE DATA PERTAIN TO:

- RHODE ISLAND STATE SCHOLARSHIP PROGRAM
- THE NURSING EDUCATION SCHOLARSHIP PROGRAM
- R.I. DIVISION OF VOCATIONAL REHABILITATION TRAINING GRANTS
- BUSINESS EDUCATION TEACHERS SCHOLARSHIP PROGRAM
- WAR ORPHANS SCHOLARSHIP PROGRAM

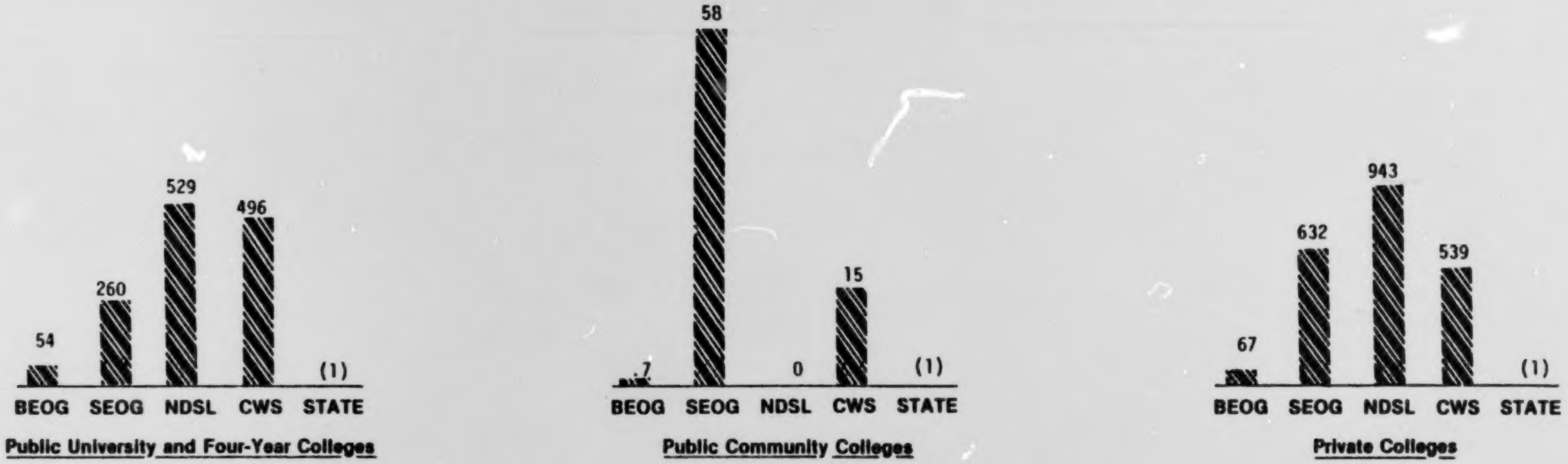
GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

DATA NOT AVAILABLE



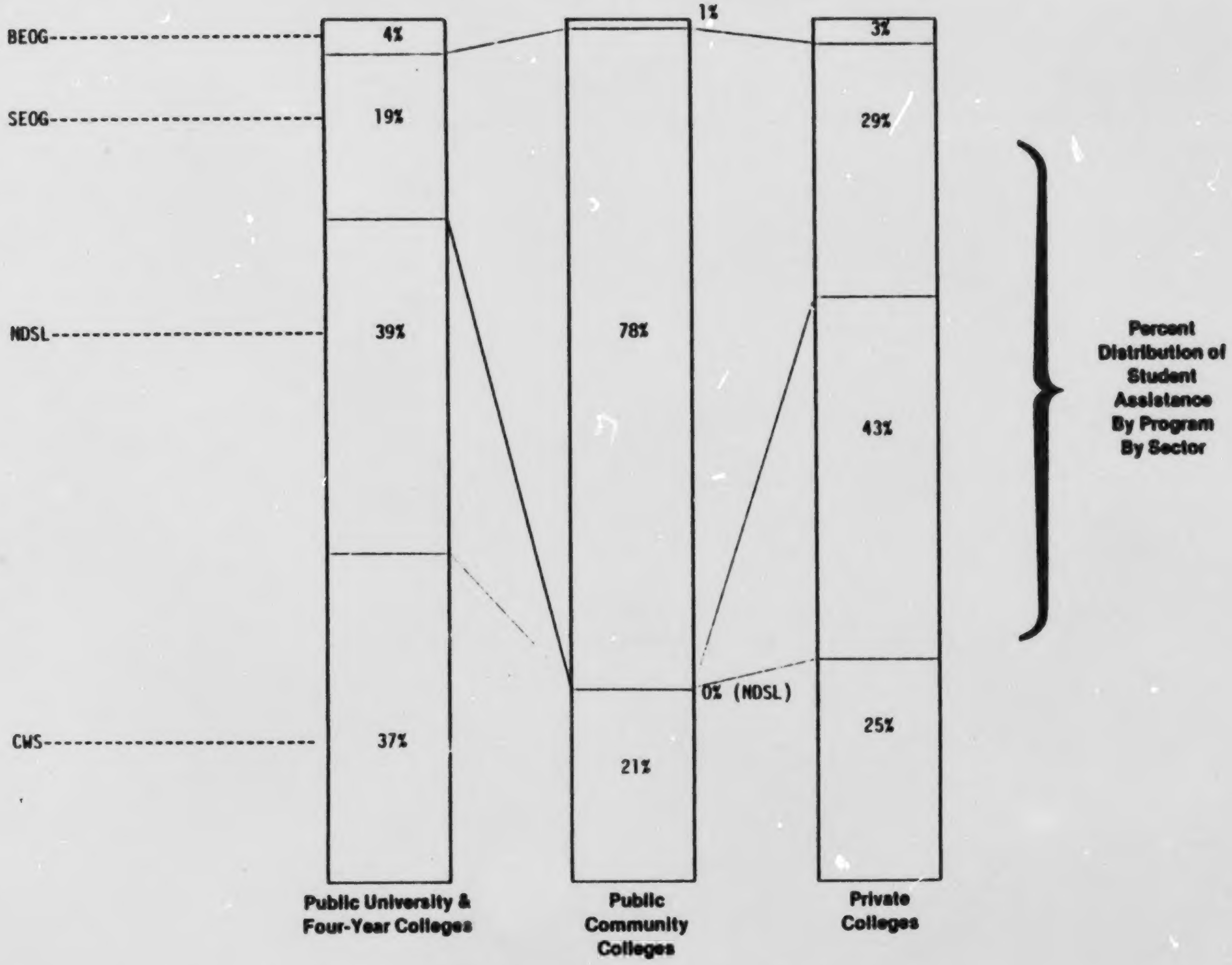
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Tuition Grants Agency is composed of a director and five staff members. It is governed by the ten-member Tuition Grants Committee made up of eight rotating college presidents and two legislative representatives. The Agency is dependent upon the state legislature for funding.

1. Tuition Grants Program

The Student Loan Corporation is governed by the Student Loan Corporation Board and funded by the sale of state bonds.

1. Federal Insured Student Loan Program

The Commission on Higher Education consists of 17 members; nine appointed at large by the Governor plus the chairman of each of the eight governing boards of public institutions. It is the State coordinating agency for higher education. Seventy percent of the time of one member of the professional staff is spent on administration of student aid programs.

1. State Grants Program
2. Contracts for Services (Southern Regional Education Board)
3. Academic Common Market (Southern Regional Education Board)

Department of Veterans Affairs

1. Free Tuition for Certain Veterans' Children

Department of Health and Environmental Control--governed by the State Department of Health and Environmental Control Board.

1. Medical and Dental Scholarship Program

RELATIONSHIPS OF STATE AGENCIES

Tuition Grants Agency - Governed by a ten-member committee, is dependent upon the state legislature for funding, and operates the Tuition Grant Program.

Student Loan Corporation - Governed by Student Loan Corporation Board and funded by the sale of state bonds and operates State Loan Program (FISL).

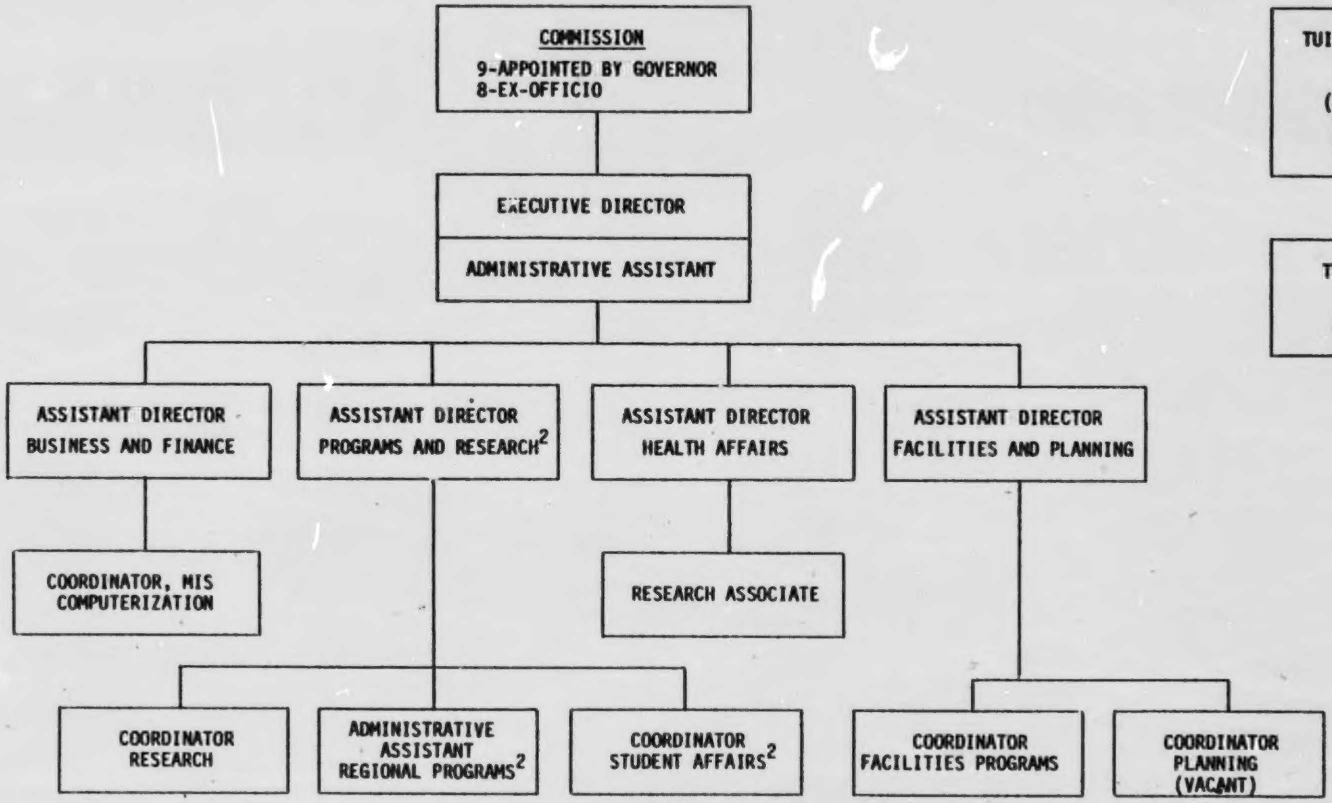
Commission on Higher Education - The Commission consists of nine members appointed by the governor and eight ex-officio; it operates three small financial aid programs: State Student Program, Contracts for Services, and Academic Common Market.

Department of Health and Environmental Control - Governed by the State DHEC Board and operates the Medical and Dental Scholarship Program.

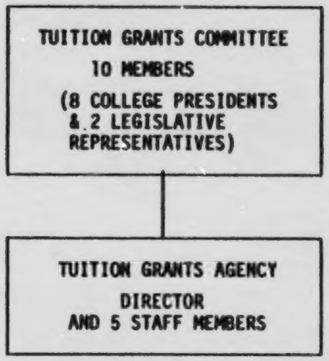
Department of Veterans Affairs - No funding or administration at department level--tuition remitted for certain dependents at certain institutions.

STATE AGENCY ORGANIZATION

COMMISSION ON HIGHER EDUCATION[1]


COMMISSION
9-APPOINTED BY GOVERNOR
8-EX-OFFICIO
EXECUTIVE DIRECTOR
ADMINISTRATIVE ASSISTANT
ASSISTANT DIRECTOR BUSINESS AND FINANCE
ASSISTANT DIRECTOR PROGRAMS AND RESEARCH[2]
ASSISTANT DIRECTOR HEALTH AFFAIRS
ASSISTANT DIRECTOR FACILITIES AND PLANNING
COORDINATOR, MIS COMPUTERIZATION
RESEARCH ASSOCIATE
COORDINATOR RESEARCH
ADMINISTRATIVE ASSISTANT REGIONAL PROGRAMS[2]
COORDINATOR STUDENT AFFAIRS[2]
COORDINATOR FACILITIES PROGRAMS
COORDINATOR PLANNING (VACANT)

TUITION GRANTS COMMITTEE[1,3]



[1] The Commission on Higher Education and the Tuition Grants Committee operate independently of one another.

[2] The Assistant Director has responsibility, among other duties, for administration of student aid programs.

[3] The Tuition Grant Program is the needs-based program for state residents attending independent colleges.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Six semester hours per term.	Interpretation (Policy Board)	Yes	Yes	Yes
State Resident - 12 months' residency.	Interpretation (Policy Board)		Yes	Not Applicable
Independent Student - For prior 12 months, has not: 1) lived with parents; 2) been claimed as dependent; 3) received $600.	Interpretation (Policy Board)	Yes	Yes	Yes
Non-Profit Institution	Interpretation	Not Applicable	Not Applicable	Yes
Satisfactory Academic Progress - For the prior year of college attendance, the student passed a minimal course load.	Interpretation	Not Applicable	Yes	Not Applicable
United States Citizenship	Interpretation	Not Applicable	Not Applicable	Not Applicable

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Regulations	Adequate
BEOG Office	None	Applications Recipients Summaries Regulations	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	None	Not Applicable	Not Applicable
Washington OE Office (Campus-Based Programs)	None	Regulations	Adequate
FISL/GSL Office	None	Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries	Not Applicable	Adequate
Private Institutions	Summaries Recipients Applications Regulations Terminations	Recipients Terminations	Adequate
Governor/Budget Office	Summaries Regulations	Not Applicable	Adequate
State Legislature (Including Committee Staffs)	Summaries Regulations	Regulations	Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	65[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	15[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	10[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
OCTOBER - 1975	Agency budget presented to governors office or budget bureau
NOVEMBER - 1975	Agency makes student-aid applications available
JANUARY - 1976	1) Agency Budget presented to legislature 2) Agency completes planning workshops for students or counselors
FEBRUARY-MARCH - 1976	BEOG applications information received from federal office
MAY - 1976	Deadline for student-aid applications
JULY - 1976	1) Legislature appropriates student assistance funds 2) Agency (or institutions) announces award winners
	Award changes and adjustments are made on a continuous basis throughout the year

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, All	State	Contact parents/students
2. Match Against BEOG Applications?	Yes	Institution/State	Difference reconciled
3. Match Against Institutional Student Records?	Yes, All	Institution	Award recomputed
4. Warnings of Prosecution?	Yes	State	
5. Prosecution?		State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	
2. Check on Mid-Term Attendance?	Yes, All	Institution	
3. Check on Course Completion?	Yes, All	Institution	
4. Check on Academic Progress Before Award?	Yes, All	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution, State	
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?	Yes, Sample	State	
4. Second Review of Aid Packages?	Yes, All	Institution	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, Sample at all institutions	State	
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	
5. College Admissions Office	X	X	X	X	X	
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X		X		X	
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

South Carolina Tuition Grants Program - A state funded grant program providing awards to needy state residents attending in-state private institutions.

South Carolina Student Loan Program (FISL) - Educational loans to financially assist residents students in meeting their educational costs at in-state and out-of-state schools.

Academic Common Market - A tuition-reciprocity program to share among the fourteen states in the area served by the Southern regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis. They must demonstrate a scholastic ability to participate in this program.

Contracts for Services - Through the Southern Regional Education Board, contracts with institutions in the region are arranged to provide guaranteed spaces for State residents in certain degree programs not offered in the State. Contracts in Veterinary Medicine, in Optometry and in Occupational Therapy are currently in force. Students are selected by contracting institutions and receive waiver of out-of-state tuition differentials, or reduced tuition in the case of independent institutions.

South Carolina State Grants Program - A grant program to help provide South Carolina residents opportunities to pursue academic programs not offered in South Carolina by furnishing some amount of financial assistance for attendance at out-of-state degree granting institutions.

South Carolina Free Tuition for Certain Veterans' Children - A tuition-remission program to assist dependents of deceased or totally disabled parents (in line of duty of "service-connected death or disability), POWs and MIAs to attend in-state public schools.

South Carolina Medical and Dental Scholarship Program - A conditional loan program to provide medical and dental resident students with loans, repayable by medical practice or dental practice in "shortage" areas in South Carolina. Students must attend accredited medical and dental colleges in the U.S.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
South Carolina Tuition Grants Program	1971-72 1975-76	43 5,784	$1,162 $1,272	$ 50,000 $7,359,798	Students attending Private Schools
Loans					
South Carolina Student Loan Program (FISL)	1974-75 1975-76	222 1,367	$ 573 $ 989	$ 127,300 $1,272,308	Resident Students
Non Need-Based					
Academic Common Market[1]	1974-75 1975-76	5 3	Not Applicable Not Applicable		Graduate Students attending Out-of-State Schools
Contracts for Services[2]	1970-71 1975-76	51 72		$ 87,573 $ 261,500	Students of Veterinary Medicine and Optometry
South Carolina State Grants Program	1970-71 1975-76	281 259	$ 356 $ 379	$ 100,096 $ 98,119	Students pursuing Degree Programs not offered in South Carolina
South Carolina Free Tuition for Certain Veterans' Children	1974-75 1975-76	320[3] 410[3]		$ 160,000[3] $ 225,500[3]	Specified Dependents of[4] Veterans/POW/MIA
South Carolina Free Tuition for Children of Certain Public Servants	Unavailable--------------------------------- Unavailable---------------------------------				Specified Dependents of[4] Law/Fire Officers, Corrections Officers, Rescue Squad, and Civil Air Patrol Members
South Carolina Medical and Dental Scholarship Program	1974-75 1975-76	19 39	$5,880 $6,200	$ 111,717 $ 241,800	Medical and Dental Students

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[1] In the fourteen-state region served by the Southern Regional Education Board, each state which chooses to participate in the Academic Common Market nominates those post-baccalaureate academic programs in its public institutions which it wishes to open to residents of other SREB states at reduced charges. Each participating state then selects from the offerings of the other "Market" participants the program which it chooses for its residents. There are no out-of-state charges to students in the Academic Common Market programs, even though the students do attend institutions outside of their own states.

[2] Contracts for Services are arranged in those areas viewed by the state as desirable for a number of its residents but in which no degree is offered by South Carolina institutions. Currently, the contracts are in Veterinary Medicine and Optometry, but the program is not limited, on a permanent basis, to any particular programs. Demand and availability determine the areas of study in which contracts are arranged. Other areas of study might be added and contracts now in force might be terminated.

[3] Response is based on a reasonable estimation or approximation.

[4] In-line-of-duty death or total disability of parent(s) makes children eligible for tuition waiver.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
South Carolina Tuition Grants Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
Loans						
South Carolina Student Loan Program (FISL)	Residents attending In/Out of State	Full-Time	All	Yes	Unavailable	Unavailable
Non Need-Based						
Academic Common Market	Residents attending Out of State	Full-Time	Graduates	No	Not Applicable	Not Applicable
Contracts for Services	Residents attending Out of State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
South Carolina State Grants Program	Residents attending Out of State	Full-Time	All	Yes	Not Applicable	Not Applicable
South Carolina Free Tuition for Certain Veterans' Children	Residents attending In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
South Carolina Free Tuition for Children of Certain Public Servants	Residents attending In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
South Carolina Medical and Dental Scholarship	Residents attending In/Out of State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
South Carolina Tuition Grants Program	Private	Private	No	No	No
Loans					
South Carolina Student Loan Program (FISL)	Public Private	Public Private	Yes	No	No
Non Need-Based					
Academic Common Market	Public	No	No	No	No
Contracts for Services	No	No	No	No	Yes[1]
South Carolina State Grants Program	Out-of-State Degree-Granting Institutions				
South Carolina Free Tuition for Certain Veterans' Children	Public	Public	Public	No	No
South Carolina Free Tuition for Children of Certain Public Servants	Public	Public	Public	No	No
South Carolina Medical and Dental Scholarship Program	No	No	No	No	Accredited Medical and Dental Schools in United States

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[1] Currently, two specific schools of Veterinary Medicine and two specific schools of Optometry.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
South Carolina Tuition Grants Program	Need = Cost of Attendance - Family Contribution	Yes	CSS and Own Tables	Tuition/Fees Room/Board
Loans				
South Carolina Student Loan Program (FISL)	Need = Cost - Family Contribution	No	Not Applicable	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
South Carolina Tuition Grants Program	1975-76: $1,500 1976-77: $1,400	The lesser of: 1) Need; 2) Charges for tuition fees; 3) Average per student appropriation at public colleges.	Application cut off dates limits pool of students to be funded.	State
Loans				
South Carolina Student Loan Program (FISL)	$2,500 - Undergraduates; $5,000 - Graduates	Evaluation of application and need for assistance.	Unavailable	S.C. Student Loan Corp.
Non Need-Based				
Academic Common Market	Out-of-State Tuition Waiver	Equal to amount of out-of-state tuition charged.	Not Applicable	Not Applicable
Contracts for Services	Not Applicable	No actual awards to individual students--contract prices and amounts of tuition remission (less than "contract" prices) for all students in a particular program at a particular institution are determined by SREB and the institution.	The participating institution, by their decisions on which S.C. applicants to admit and which to designate as beneficiaries of tuition remissions, determine the priority rankings.	SREB in consultation with out-of-state institutions
South Carolina State Grants Program	$ 500	One-third of cost of tuition/fees or $500, whichever is the lesser.	First come, first served. Completed applications are processed and approved, if eligibility criteria are met, in the order in which they are received.	State
South Carolina Free Tuition for Certain Veterans' Children	Tuition at Institution Attended[1]	Equals tuition at S.C. public institution attended.	No priority ranking. All qualified applicants receive tuition waiver.	Institution[2]

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
South Carolina Free Tuition for Children of Certain Public Servants	Tuition at Institution Attended[1]	Equals tuition at S.C. public institution attended.	No priority ranking. All qualified applicants receive tuition waiver.	Institution[2]
South Carolina Medical and Dental Scholarship Program	$6,200	All recipients receive same ($6,200)[3]	Board of Department of Health and Environmental Control makes rankings on basis of eligibility criteria (character and willingness to serve in shortage area).	State

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[1] Highest tuition at any public institution in South Carolina is currently (1976-77) $720--was $660 in 1975-76.

[2] The Board of each institution sets tuition rates.

[3] This was not so in the first year of the program, when some students received less than the maximum; but beginning in 1975-76, the practice adopted was to grant maximum to every recipient.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over

DEPENDENTS

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

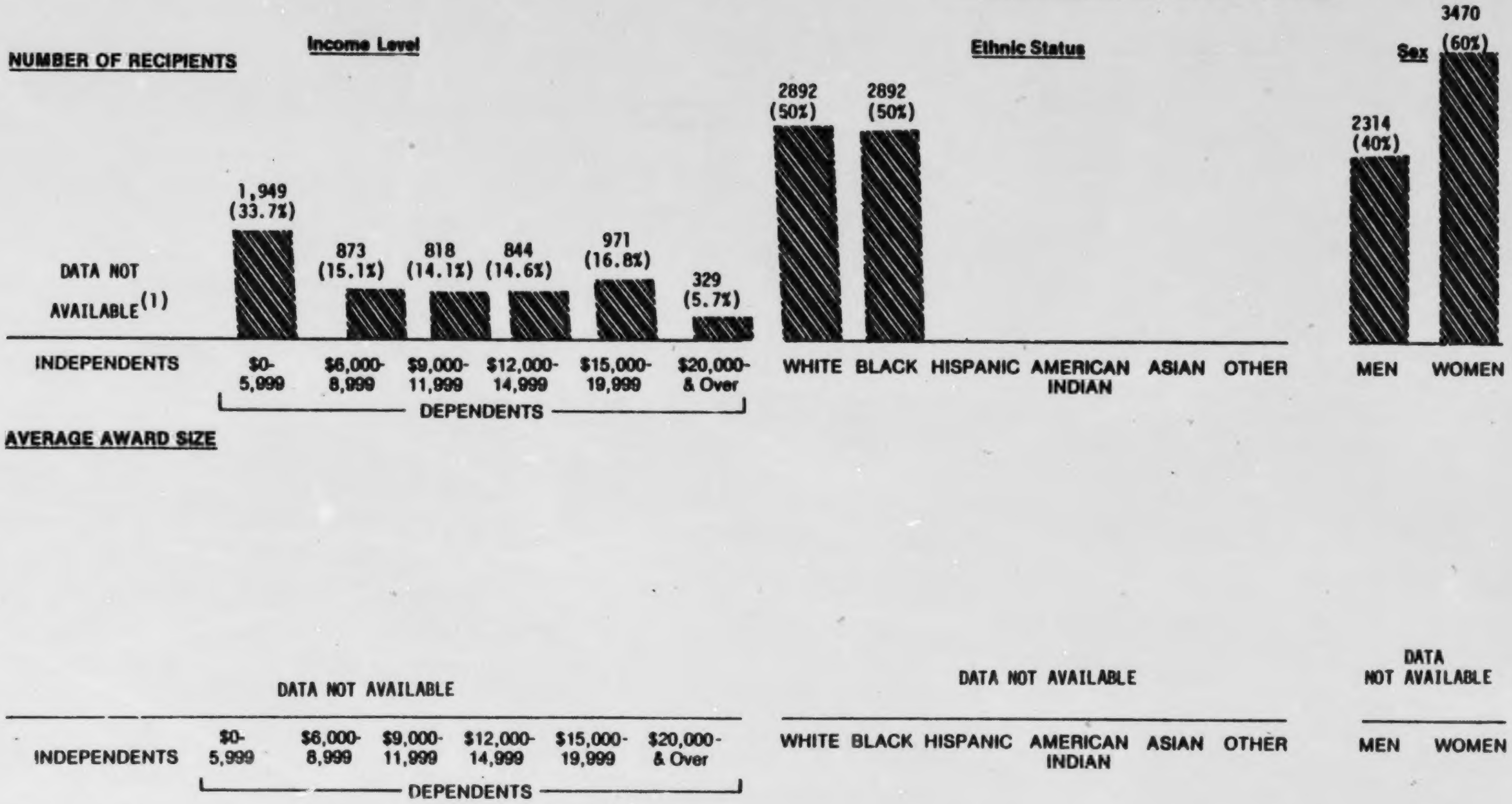
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

SOUTH CAROLINA

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- SOUTH CAROLINA TUITION GRANTS PROGRAM

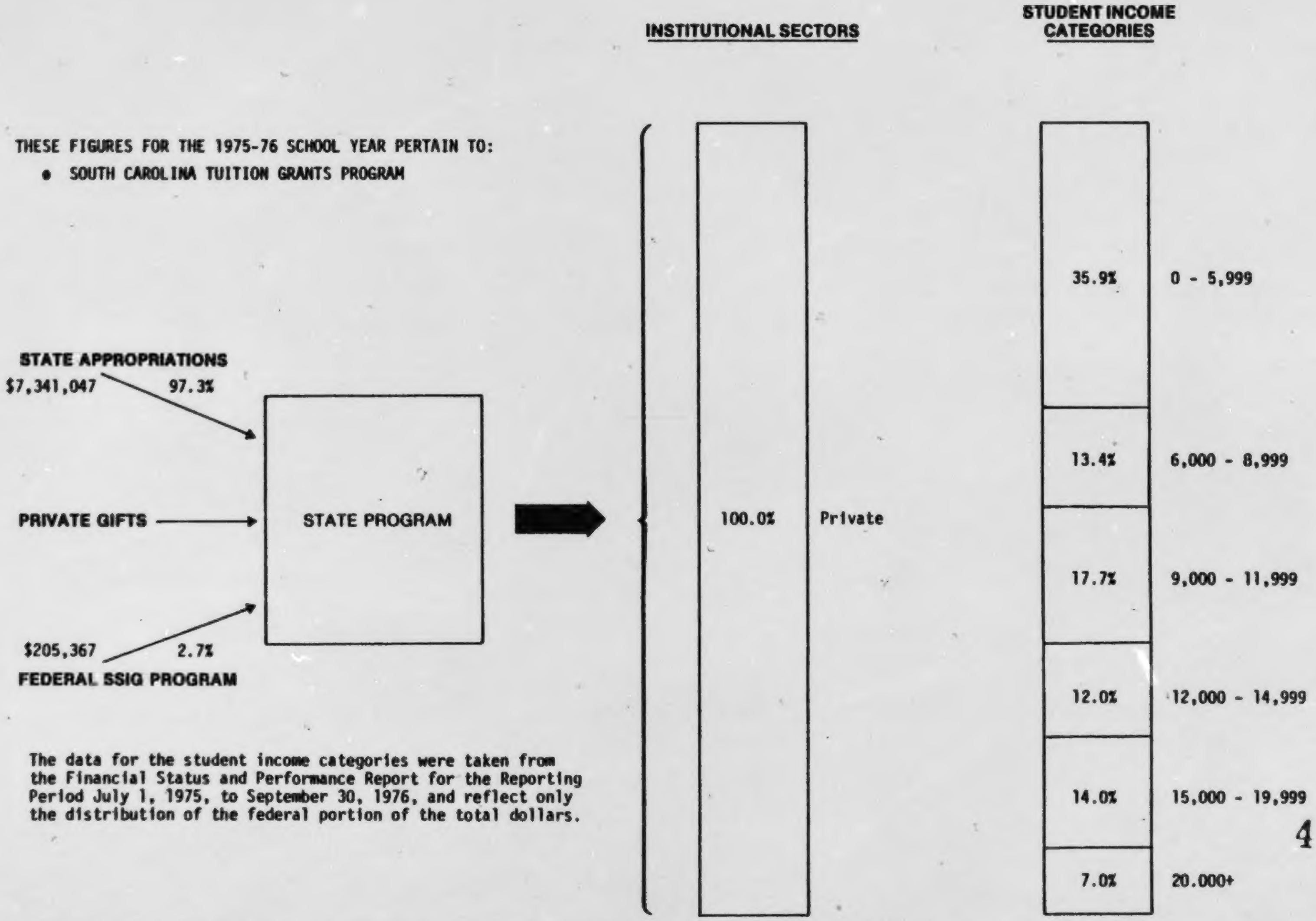


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

(2) This response is considered a "best

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:
- SOUTH CAROLINA TUITION GRANTS PROGRAM



INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES

STATE APPROPRIATIONS
$7,341,047 97.3%

PRIVATE GIFTS

$205,367 2.7%
FEDERAL SSIG PROGRAM

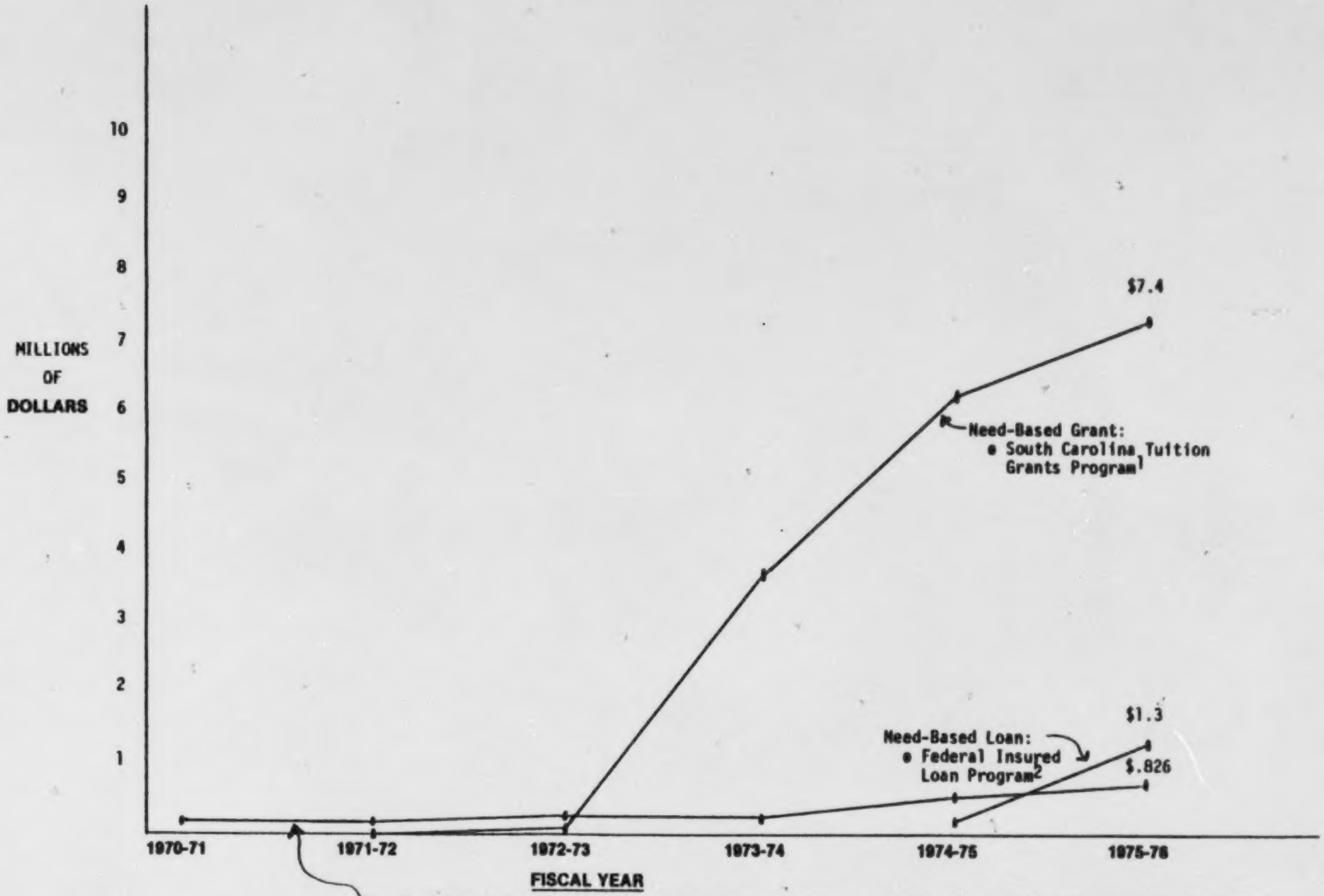
STATE PROGRAM

100.0% Private

35.9%	0 - 5,999
13.4%	6,000 - 8,999
17.7%	9,000 - 11,999
12.0%	12,000 - 14,999
14.0%	15,000 - 19,999
7.0%	20.000+

The data for the student income categories were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and reflect only the distribution of the federal portion of the total dollars.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

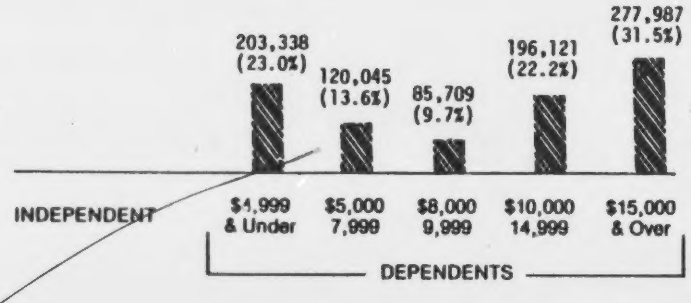


Non Need-Based Programs:
- Contracts for Services
- S.C. State Grants Program
- S.C. Medical and Dental Scholarship Program
- S.C. Free Tuition for Certain Veteran's Children

[1] 1971-73 first year of program.
[2] Spring 1975 first year of program.

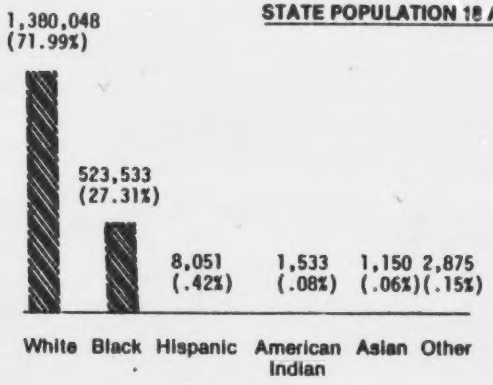
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

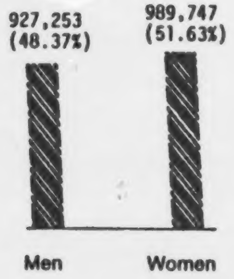


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

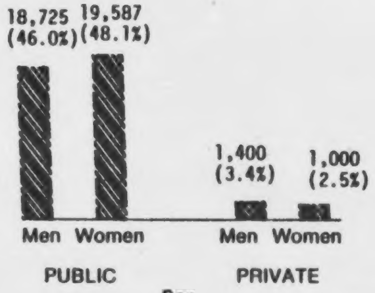


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 2,851 persons granted a high school equivalency certificate, nor 3,952 persons graduated from other than regular day school programs.

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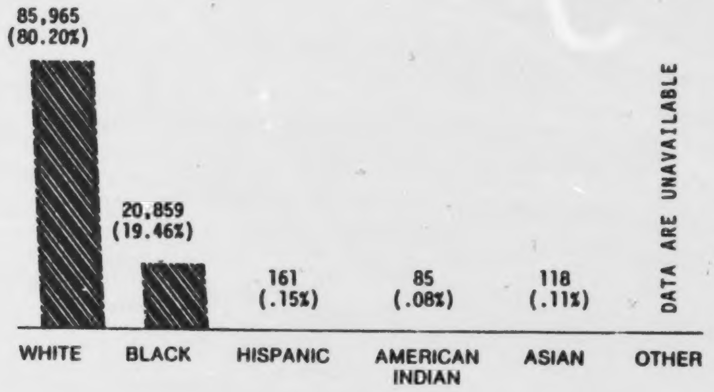
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



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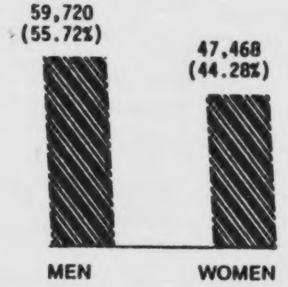
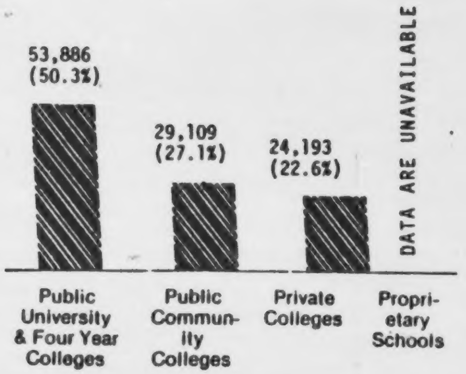
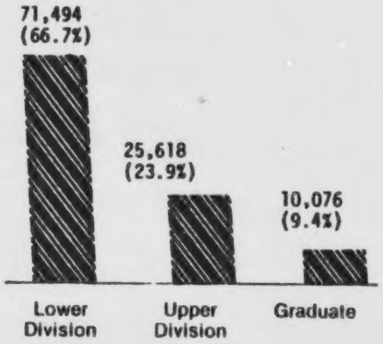


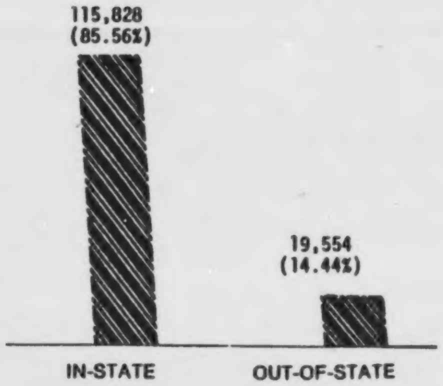
Sex



Institutional Sector

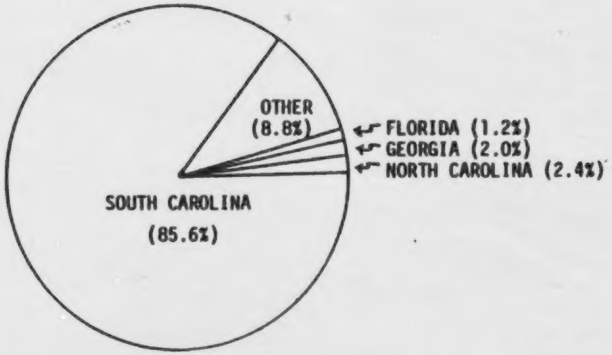


Student Level

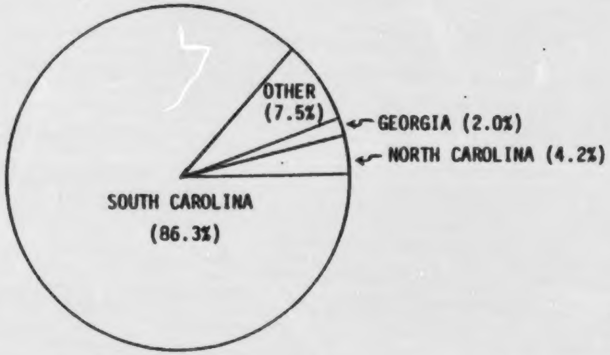


Residency

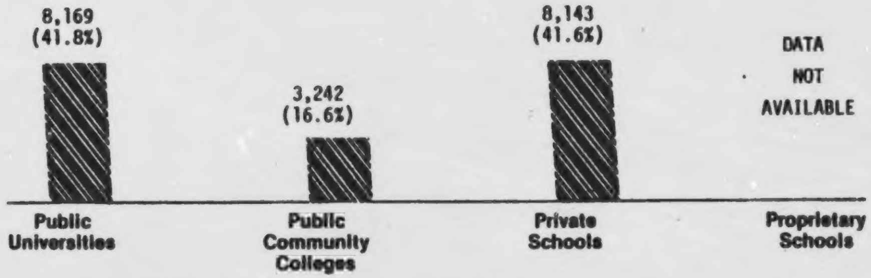
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



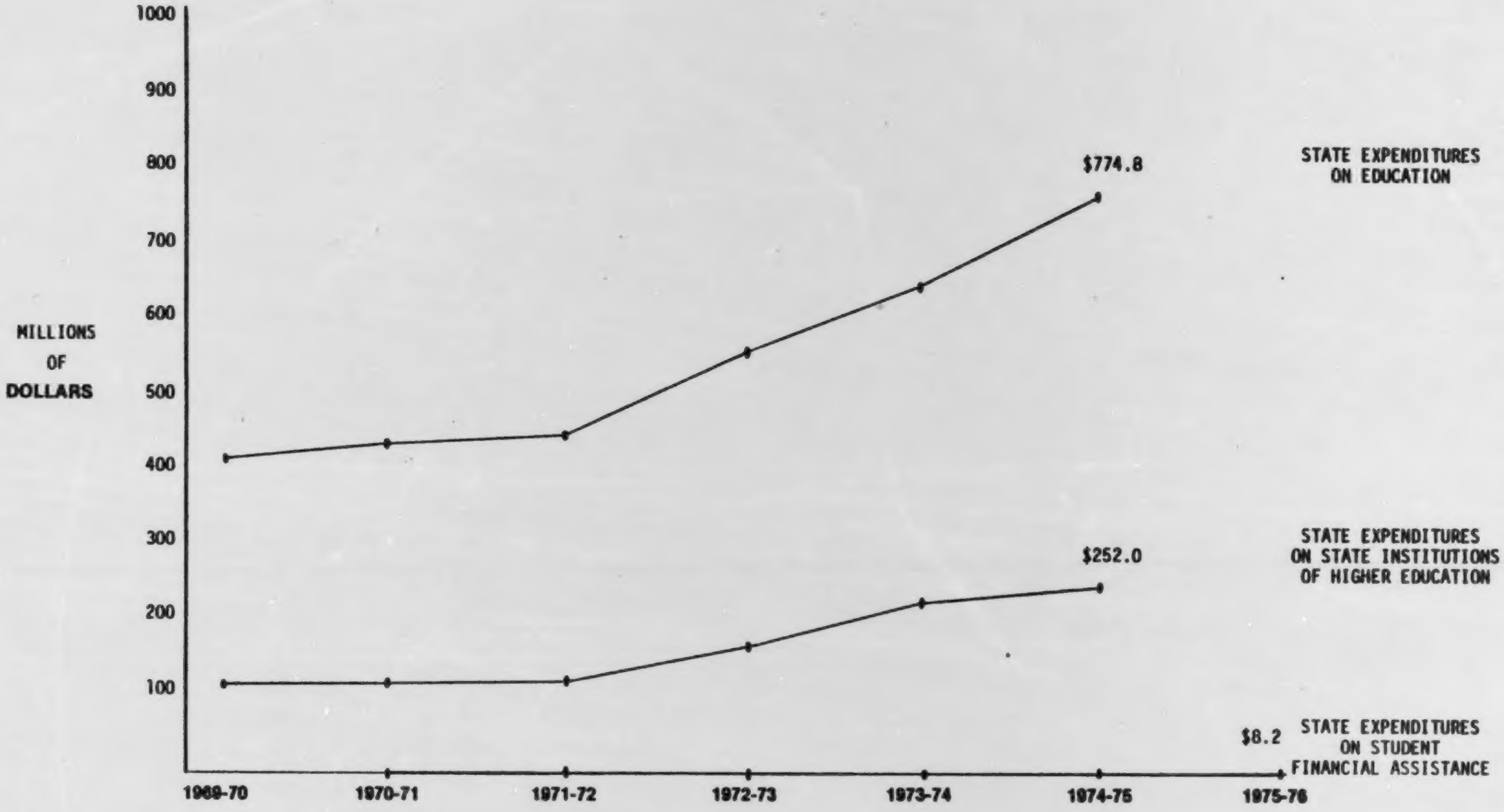
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

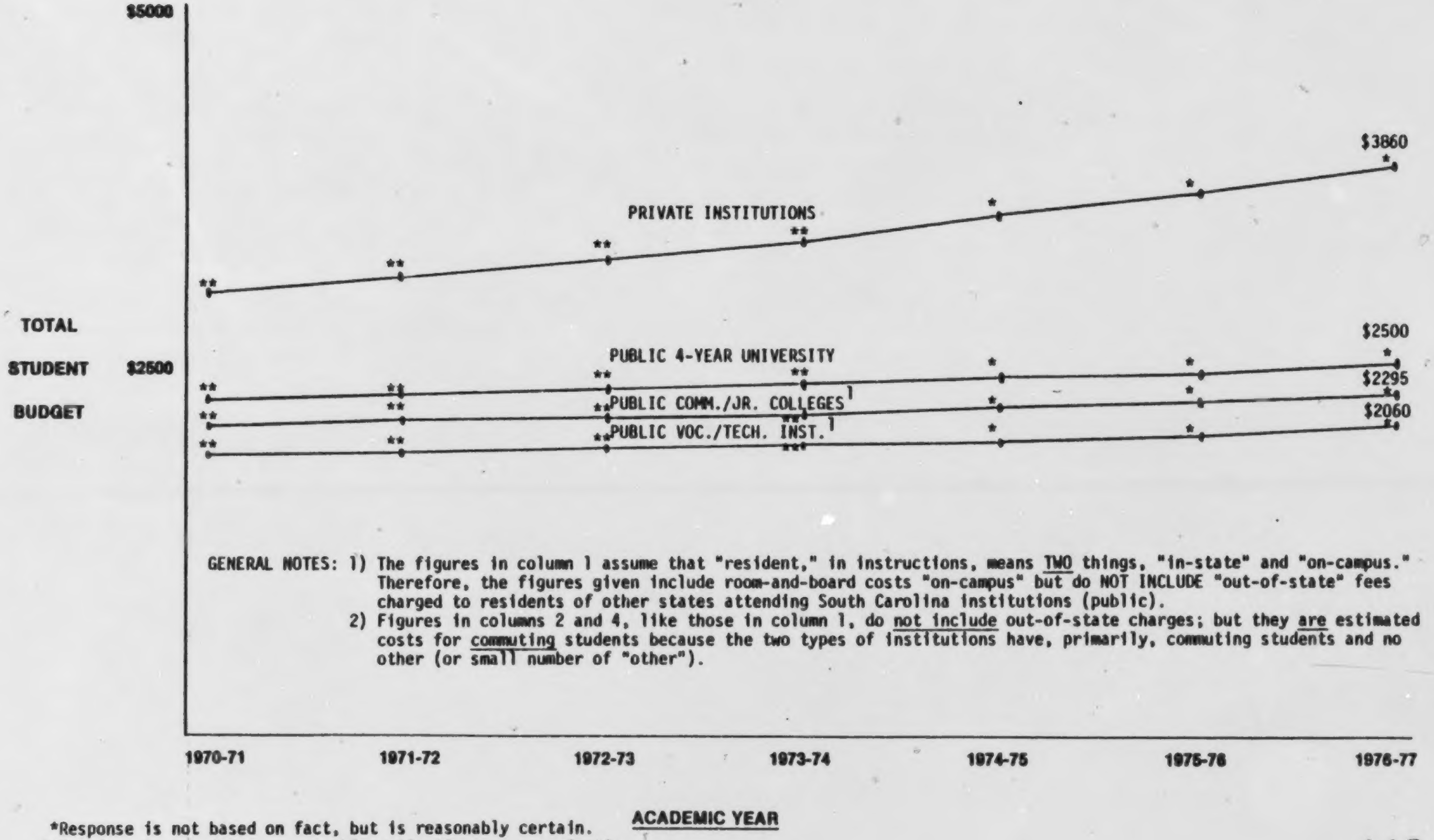


FISCAL YEAR

THESE DATA PERTAIN TO:

- S.C. TUITION GRANTS PROGRAM
- CONTRACTS FOR SERVICES
- S.C. STATE GRANTS PROGRAM
- S.C. FREE TUITION FOR CERTAIN VETERANS' CHILDREN
- S.C. MEDICAL AND DENTAL SCHOLARSHIP PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



GENERAL NOTES: 1) The figures in column 1 assume that "resident," in instructions, means TWO things, "in-state" and "on-campus." Therefore, the figures given include room-and-board costs "on-campus" but do NOT INCLUDE "out-of-state" fees charged to residents of other states attending South Carolina institutions (public).

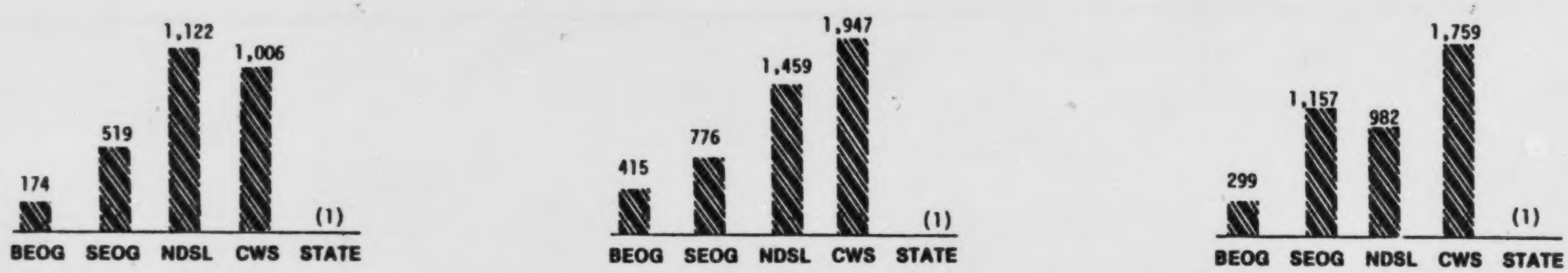
2) Figures in columns 2 and 4, like those in column 1, do not include out-of-state charges; but they are estimated costs for commuting students because the two types of institutions have, primarily, commuting students and no other (or small number of "other").

*Response is not based on fact, but is reasonably certain.
**Response is based on a reasonable estimation or approximation.

[1] These are "commuting" costs, in spite of instructions. These institutions are "commuter" institutions, basically.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



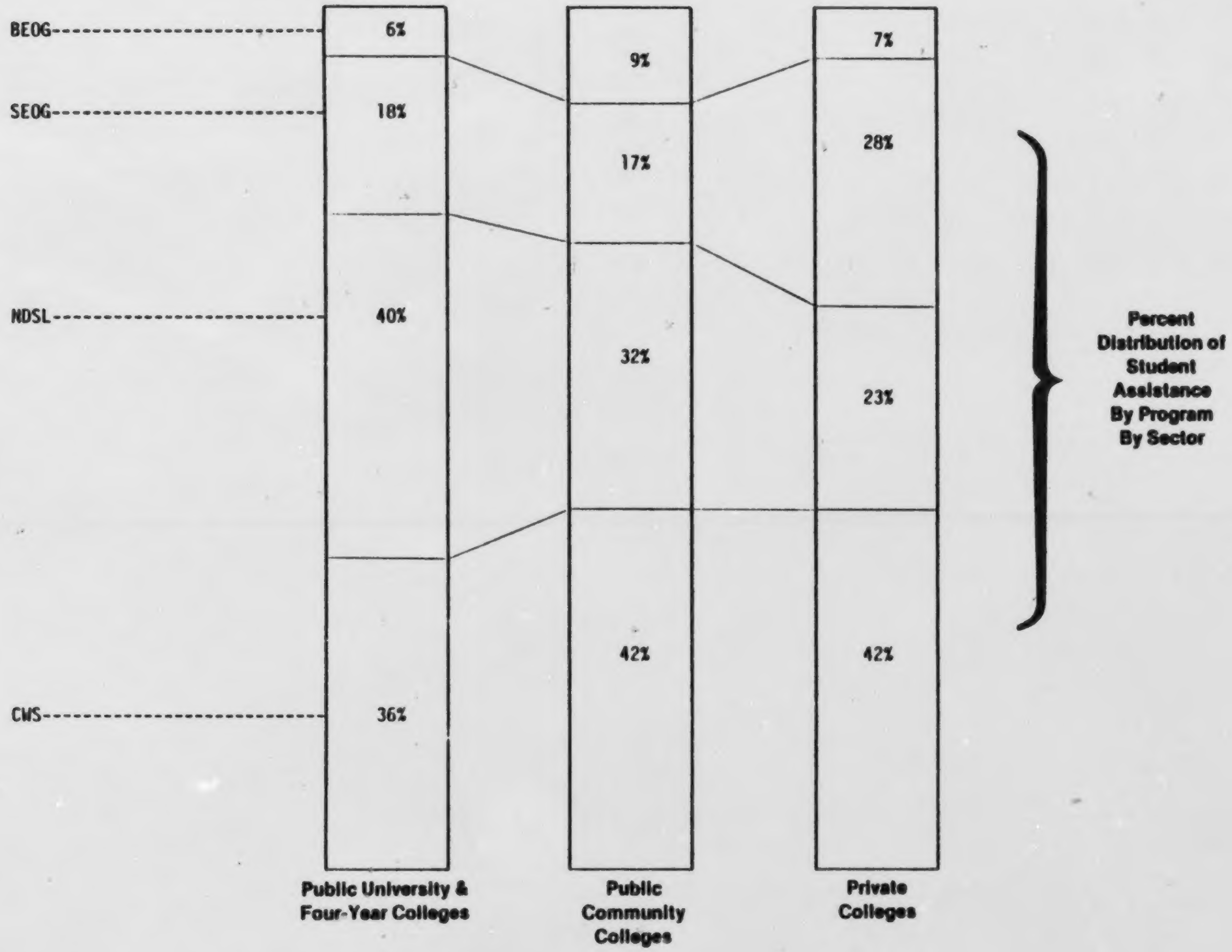
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

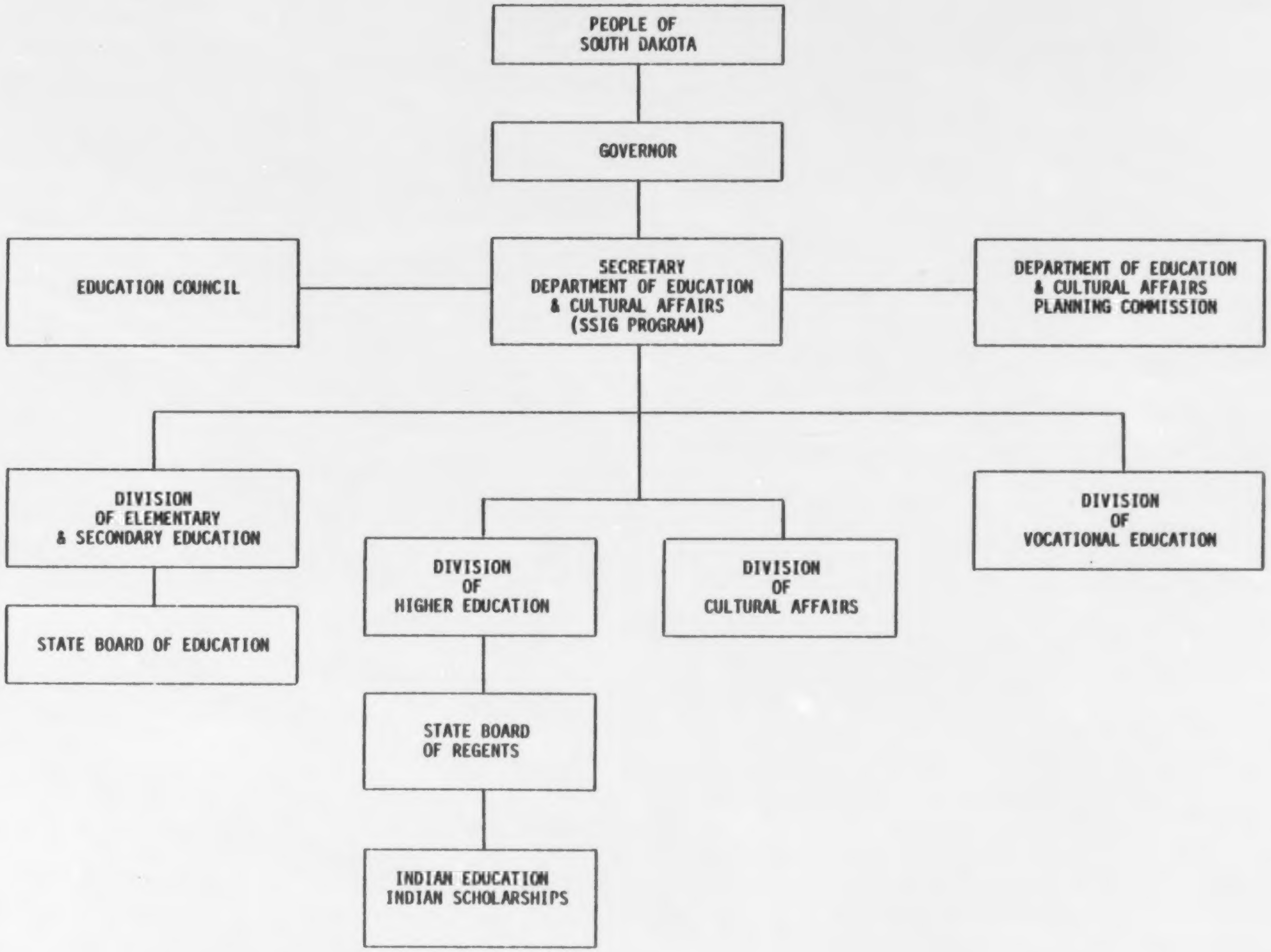
Department of Education and Cultural Affairs

1. State Student Incentive Grants

Board of Regents

1. Health Professions Loan Program

RELATIONSHIPS OF STATE AGENCIES


PEOPLE OF SOUTH DAKOTA
GOVERNOR
EDUCATION COUNCIL
SECRETARY DEPARTMENT OF EDUCATION & CULTURAL AFFAIRS (SSIG PROGRAM)
DEPARTMENT OF EDUCATION & CULTURAL AFFAIRS PLANNING COMMISSION
DIVISION OF ELEMENTARY & SECONDARY EDUCATION
STATE BOARD OF EDUCATION
DIVISION OF HIGHER EDUCATION
STATE BOARD OF REGENTS
INDIAN EDUCATION INDIAN SCHOLARSHIPS
DIVISION OF CULTURAL AFFAIRS
DIVISION OF VOCATIONAL EDUCATION

STATE AGENCY ORGANIZATION

NO DETAILED INTERAGENCY RESPONSIBILITIES GIVEN

457

458

SOUTH DAKOTA B2

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X		

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	

INFORMATION NOT PROVIDED

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Recipients Applications Regulations Terminations	Regulations	Adequate
BEOG Office	Not Applicable	Applications Regulations	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	Not Applicable	Not Applicable	Not Applicable
Washington OE Office (Campus-Based Programs)	Not Applicable	Not Applicable	Not Applicable
FISL/GSL Office	Not Applicable	Not Applicable	Not Applicable
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Recipients Applications Regulations Terminations	Terminations	Adequate
Private Institutions	Recipients Applications Regulations Terminations	Terminations	Adequate
Governor/Budget Office	Recipients Applications Regulations Terminations	Terminations	Adequate
State Legislature (Including Committee Staffs)			

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

SOUTH DAKOTA B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	INAPPROPRIATE INFORMATION PROVIDED	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
NOVEMBER - 1975	Agency budget presented to governors office or budget bureau
JANUARY - 1976	1) Agency budget presented to legislature 2) Agency makes student-aid applications available
ONGOING	Agency completes planning workshops for students or counselors
MARCH - 1976	Legislature appropriates student assistance funds
APRIL - 1976	Deadline for student-aid applications
JULY - 1976	Agency (or institutions) announces award winners
AUGUST - 1976	Last date at which award changes and adjustments are made
JUNE - 1977	BEOG applications information received from federal office

Data printout

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?	Yes	State	
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	State	
2. Check on Mid-Term Attendance?	Yes	State	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?	Yes	State	
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?	Yes	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

SOUTH DAKOTA C1

TYPE OF INFORMATION PROVIDED

INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Student Incentive Grant (Department of Education and Cultural Affairs) - A need-based grant program to assist low-income students in enrollment fees and as an incentive to attend an in-state institution of their choice.

State Indian Scholarship Fund - A need-based grant program for native Americans who are residents of South Dakota and enrolled in in-state schools.

South Dakota Health Professions Loan Program (Board of Regents) - A need-based loan program to encourage South Dakota students in the health professions to attend public and private post-graduate professional out-of-state institutions and return to South Dakota to practice.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Student Incentive Grant	1974-75	329	$ 200	$ 66,742	Low Income Students
	1975-76	1,111	$ 200	$222,200	
State Indian Scholarship Fund	1973-74	Not	Not Given	$ 51,000	Native Americans
	1975-76	Given	$ 375	$ 21,000	
Loans					
South Dakota Health Professions Loan Program	1970-71	77	$1,356	$104,240	S.D. Students at Out-of-State Professional Schools
	1975-76	120	$2,666	$320,000	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Student Incentive Grant	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
State Indian Scholarship Fund	Residents attending In-State	Not Given	All Undergraduates	No	Not Given	Not Given
Loans						
South Dakota Health Professions Loan Program	Residents attending Out-of-State Schools	Full-Time	Graduates	Yes	Not Given	Not Given

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Student Incentive Grant	Public Private	Public	Public	Yes	Indian Colleges
State Indian Scholarship Fund	Public Private	Public	Public	Yes	Indian Colleges
Loans					
South Dakota Health Professions Loan Program	No	No	No	No	Public and Private Post-Graduate Professional Institutions

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Student Incentive Grant	BEOG eligibility index starting at zero and working up as far as the money goes	Yes	BEOG	Part of the Financial Package
State Indian Scholarship Fund	Information Not Given	No	Not Given	Part of the Financial Package
Loan				
South Dakota Health Professions Loan Program	Information Not Given---			Tuition/Fees Books General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Student Incentive Grant	$200	BEOG Eligibility Index	Not Applicable	State
State Indian Scholarship Fund	Information not given---			
Loans				
South Dakota Health Professions Loan Program	$3,000/Year	Awards based on need, educational level, total number of applicants, and total appropriation.	Recipients ranked by need and educational level.	Health Professions Loan Advisory Board

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

SOUTH DAKOTA F1

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

SOUTH DAKOTA

NUMBER OF RECIPIENTS

Income Level

Ethnic Status

Sex

DATA NOT APPLICABLE

DATA NOT APPLICABLE

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over	WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER	MEN	WOMEN
	DEPENDENTS													

AVERAGE AWARD SIZE

DATA NOT APPLICABLE

DATA NOT APPLICABLE

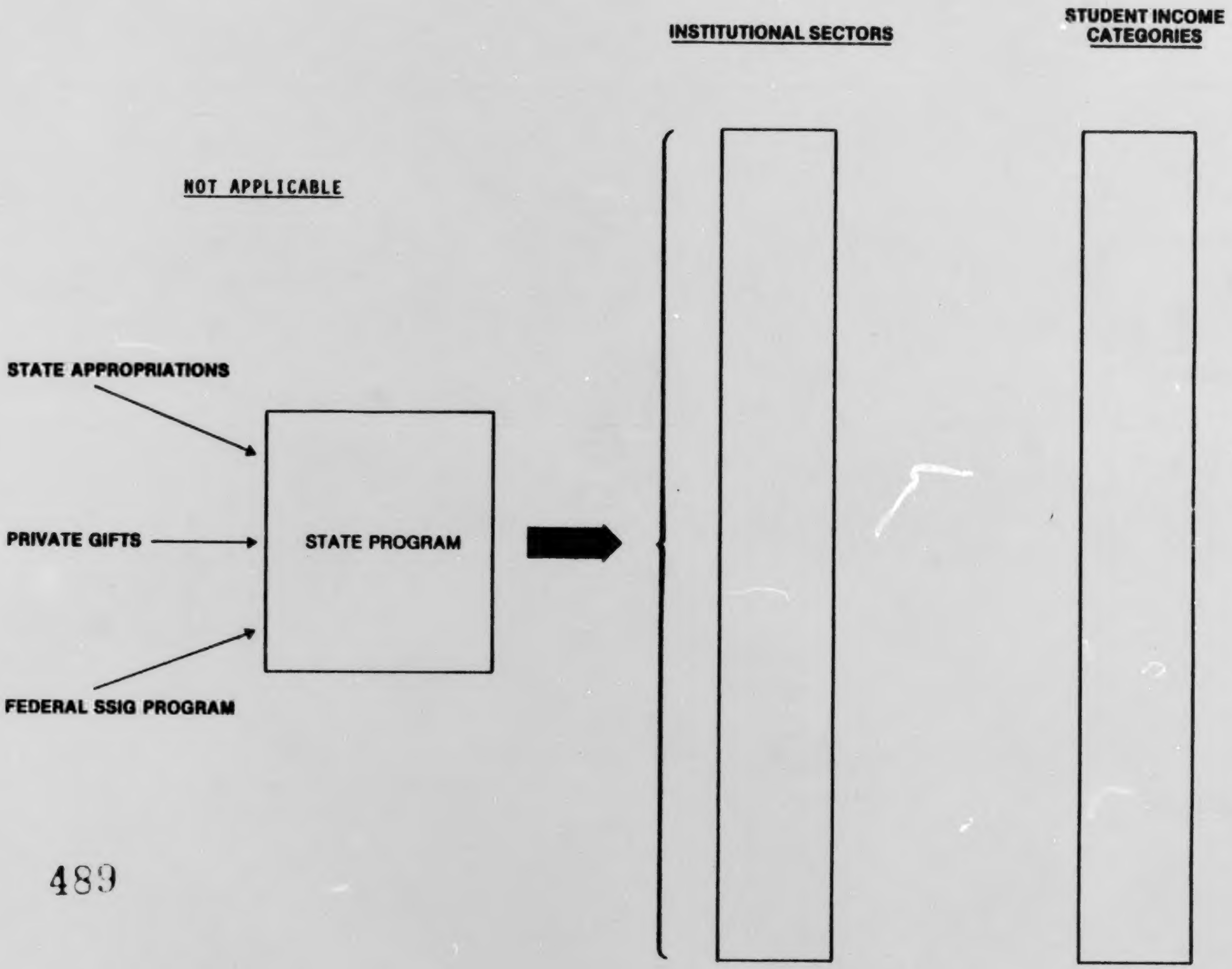
DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over	WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER	MEN	WOMEN
	DEPENDENTS													

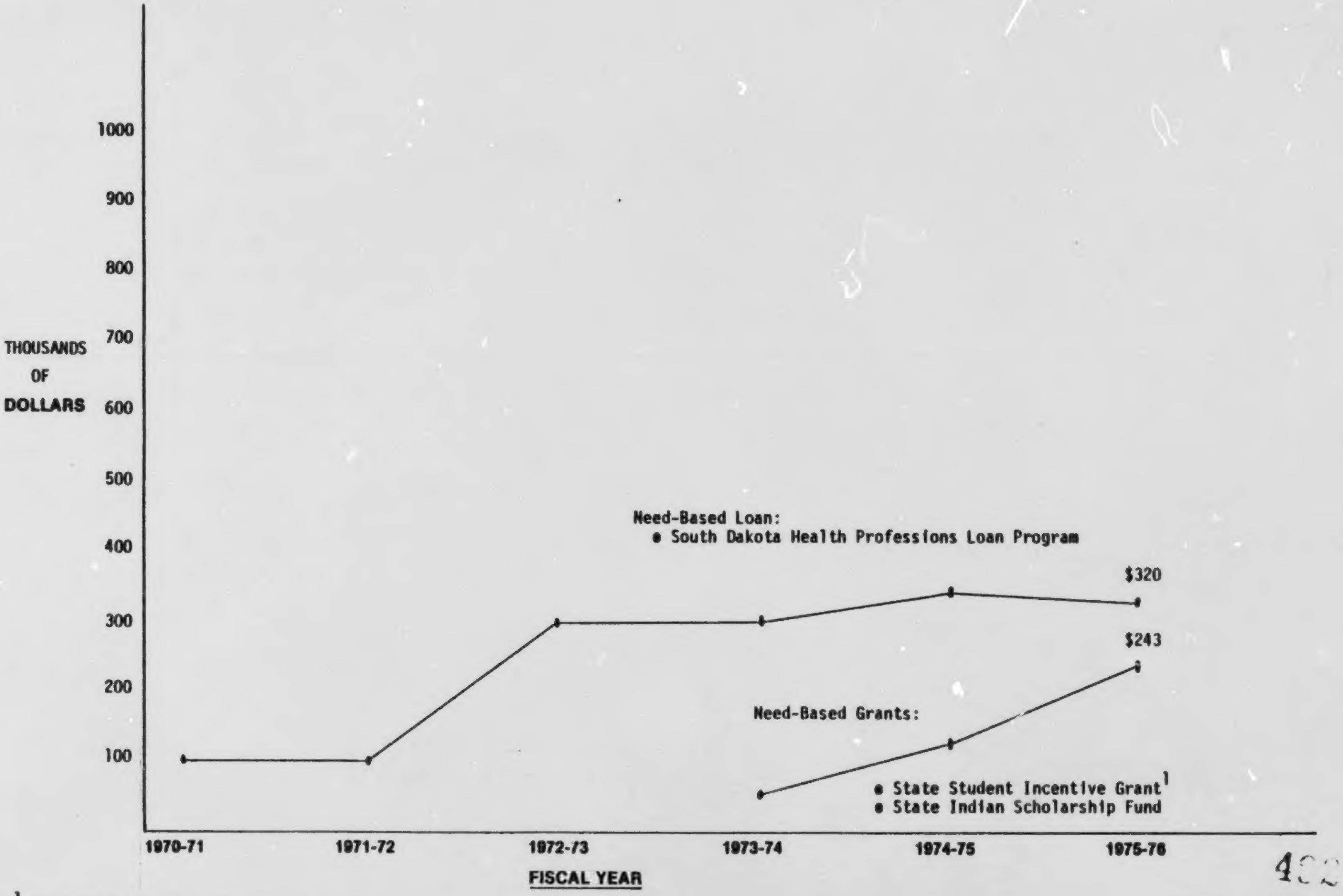
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

SOUTH DAKOTA G1

NOT APPLICABLE



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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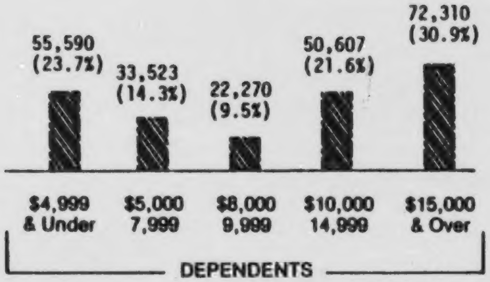
[1] 1974-75 first year of program.

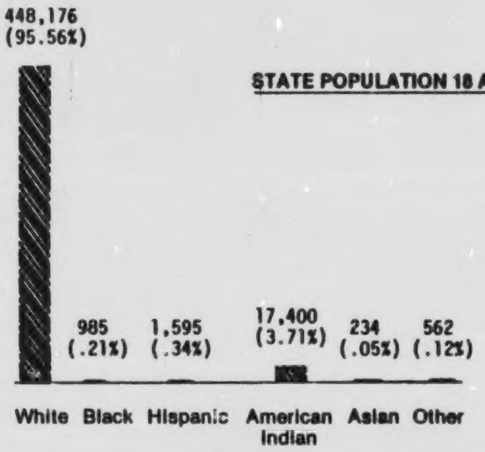
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

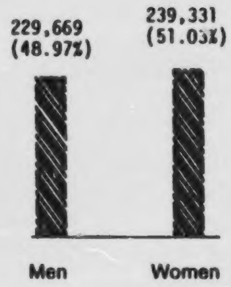


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

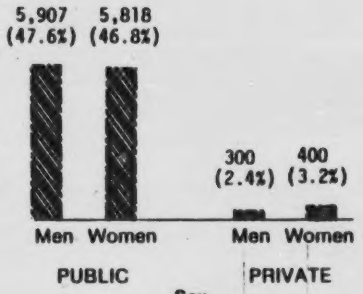


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES

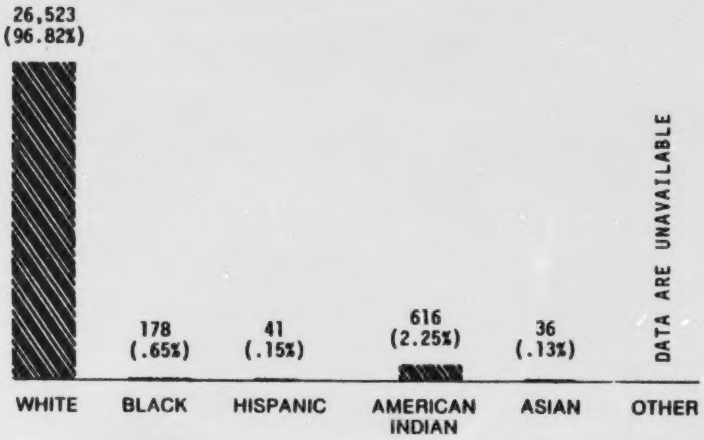


Sex

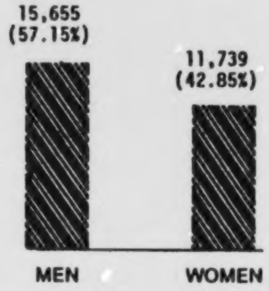
The high school graduation figures do not include 1,563 persons granted a high school equivalency certificate.

[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

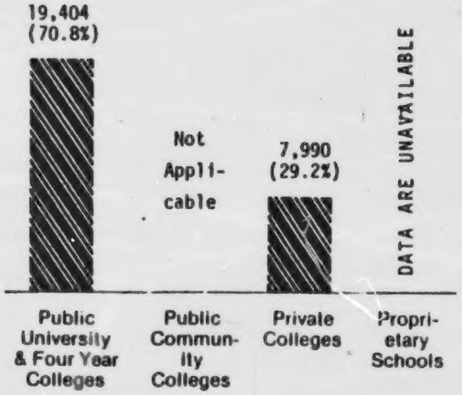
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



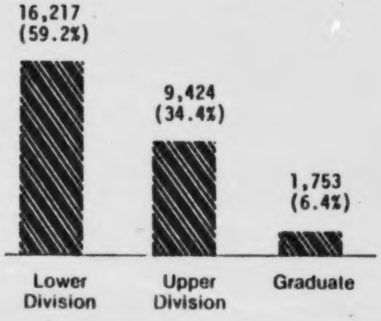
Ethnic Status



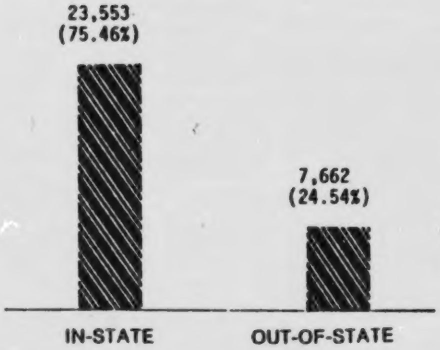
Sex



Institutional Sector

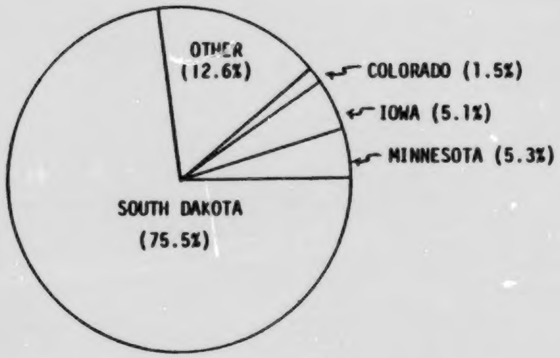


Student Level

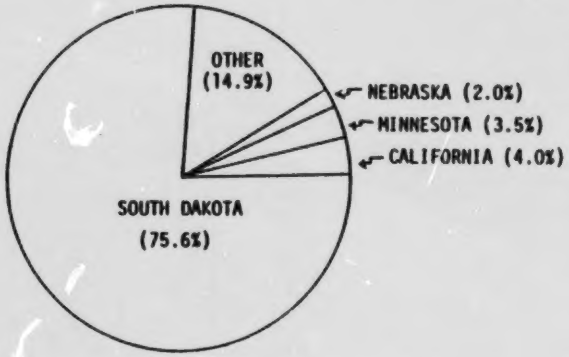


Residency

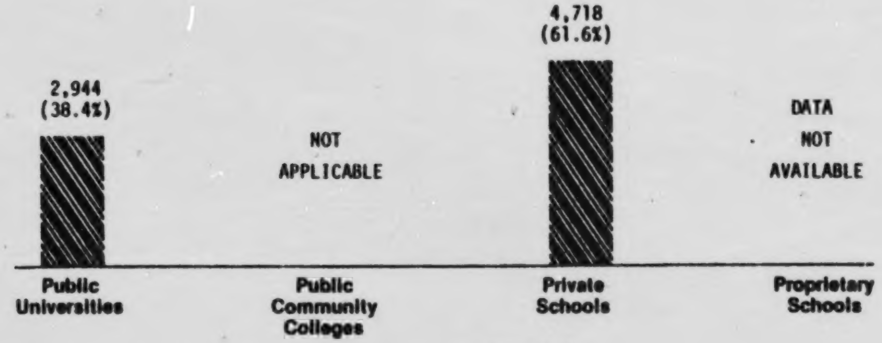
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



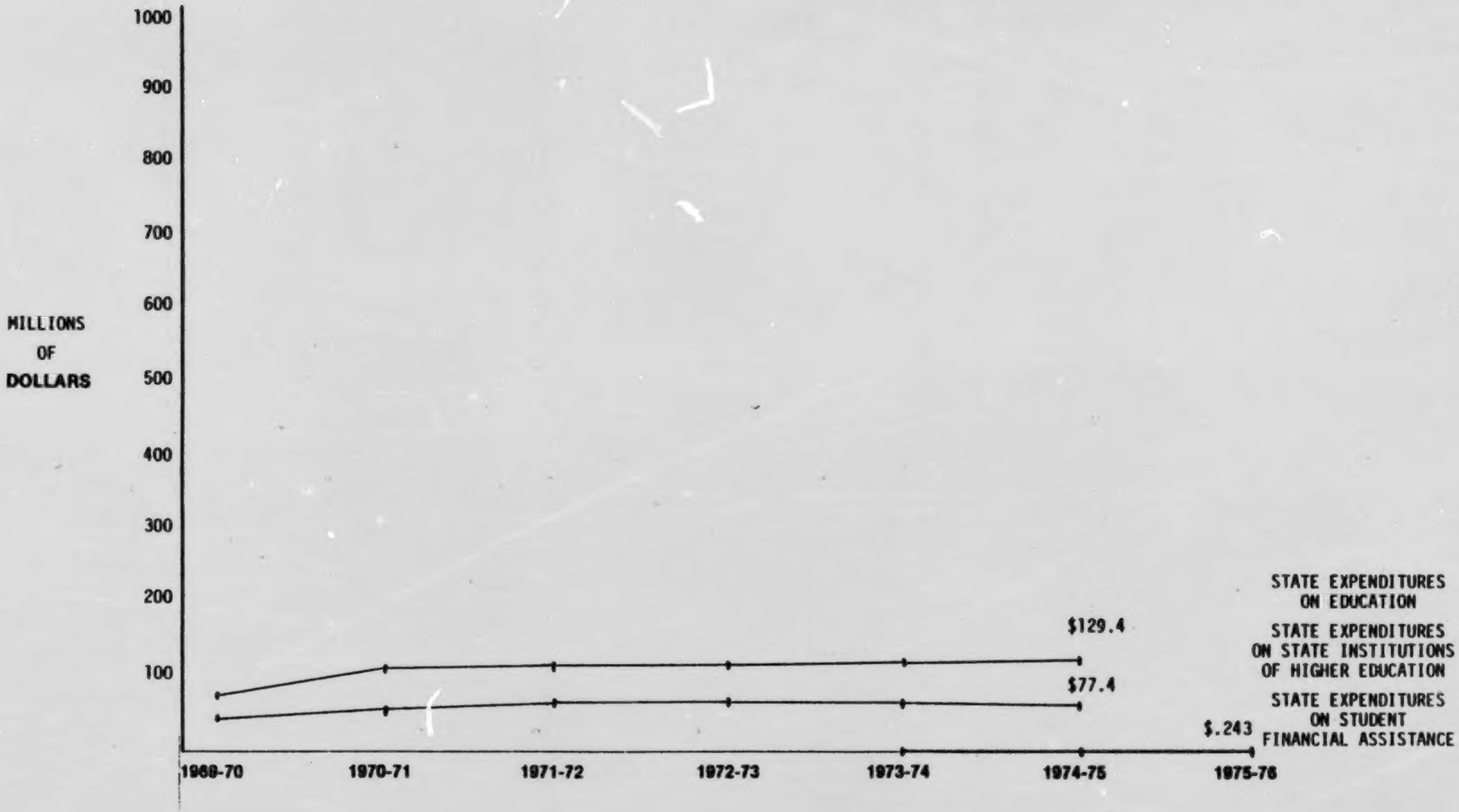
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

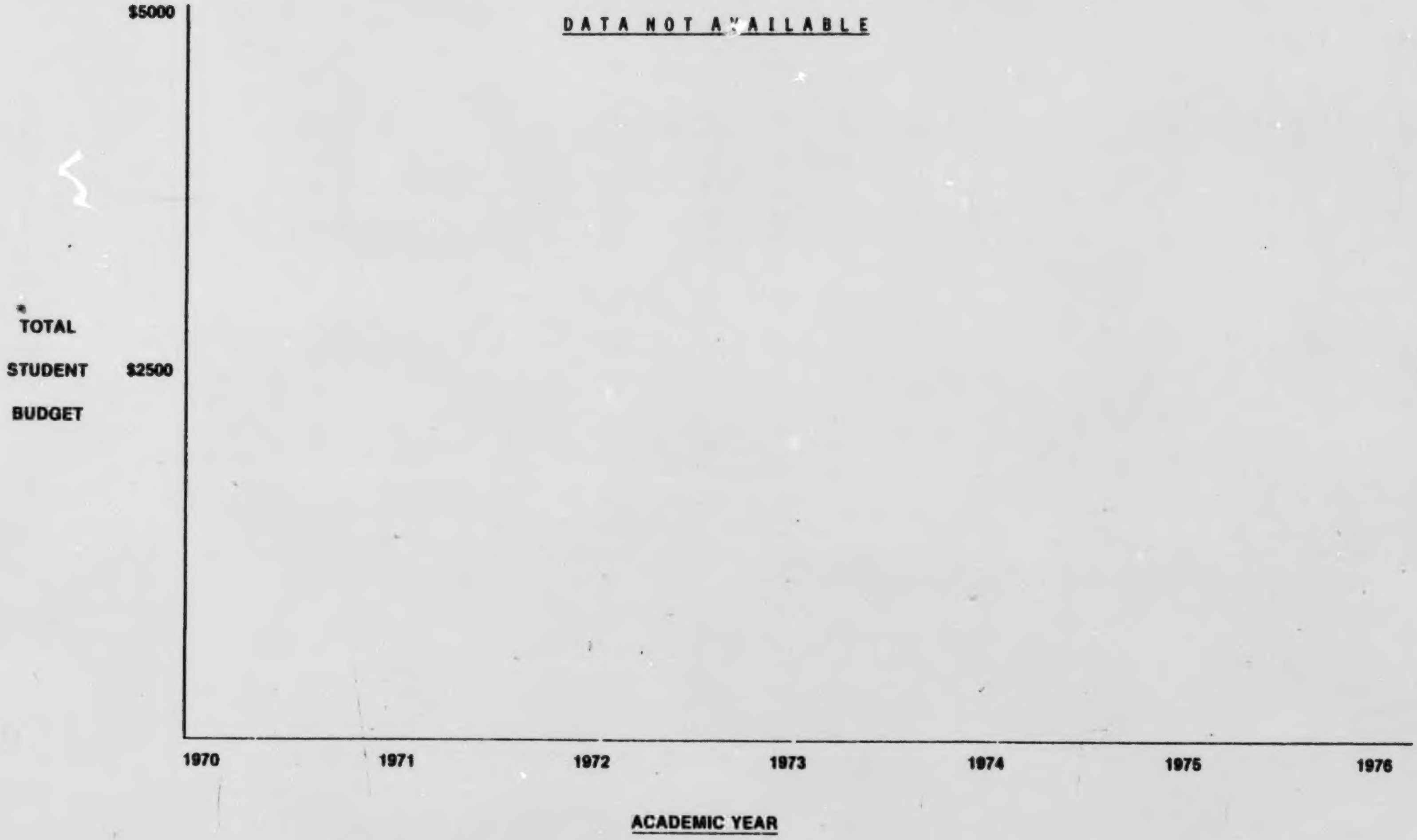
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT
- STATE INDIAN SCHOLARSHIP FUND

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



DATA NOT AVAILABLE

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)

NO DATA AVAILABLE.

BEOG	SEOG	NDSL	CWS	STATE

Public University and Four-Year Colleges

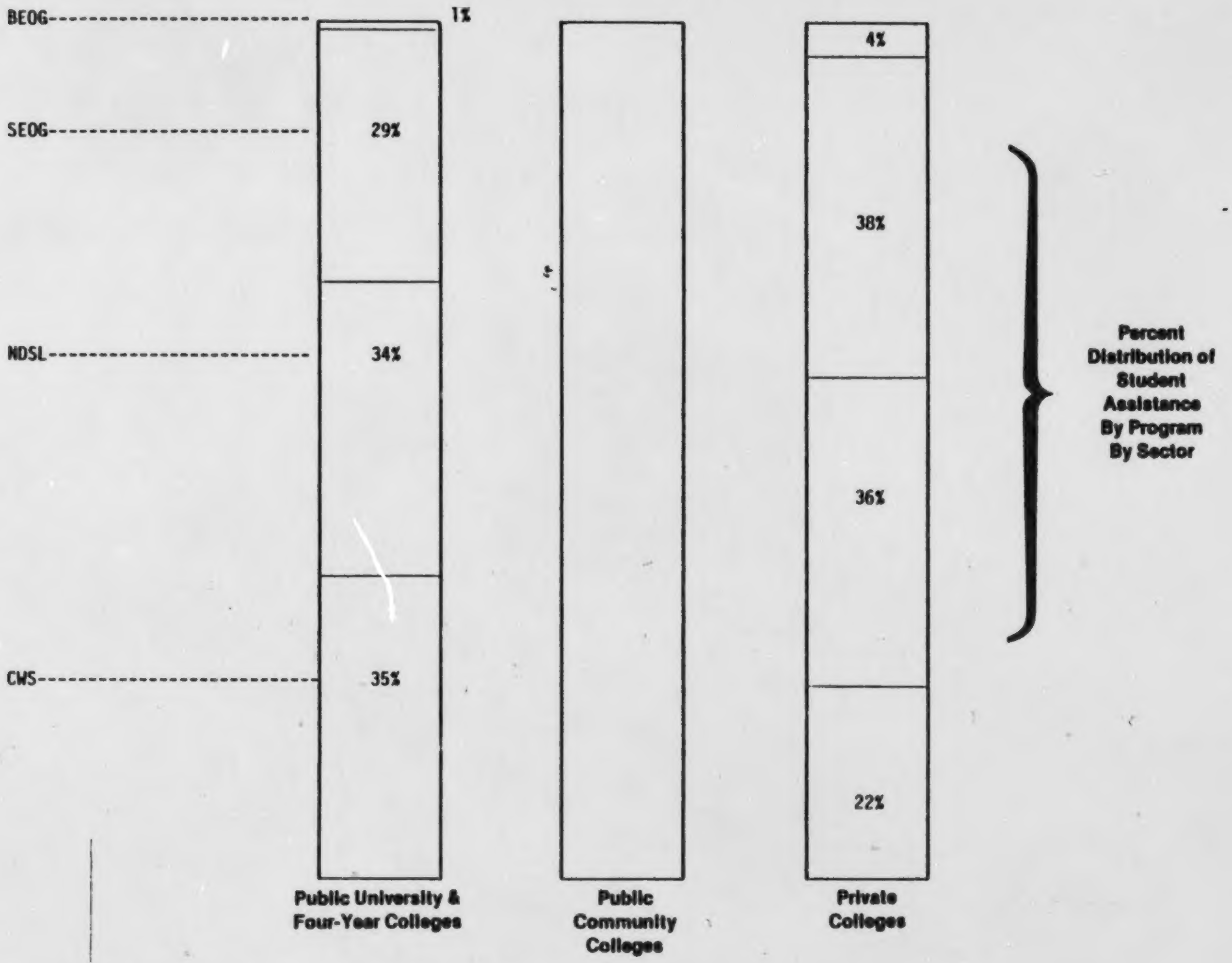
BEOG	SEOG	NDSL	CWS	STATE

Public Community Colleges

BEOG	SEOG	NDSL	CWS	STATE

Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

A legislative act establishes the Tennessee Student Assistance Corporation as a chartered, public non-profit corporation to administer student assistance programs. It is comprised of 14 members including the governor.

1. Student Assistance Award Program
2. Guaranteed Student Loan Program
3. Graduate Nursing Loan-Scholarship Program
4. Medical Loan-Scholarship Program

Tennessee Higher Education Commission

1. Academic Common Market

RELATIONSHIPS OF STATE AGENCIES

The Tennessee Student Assistance Corporation is chartered as a public non-profit corporation to administer four state-supported student assistance programs:

1. Tennessee Student Assistance Award Program
2. Guaranteed Student Loan Program
3. Graduate Nursing Loan-Scholarship Program
4. Medical Loan-Scholarship Program

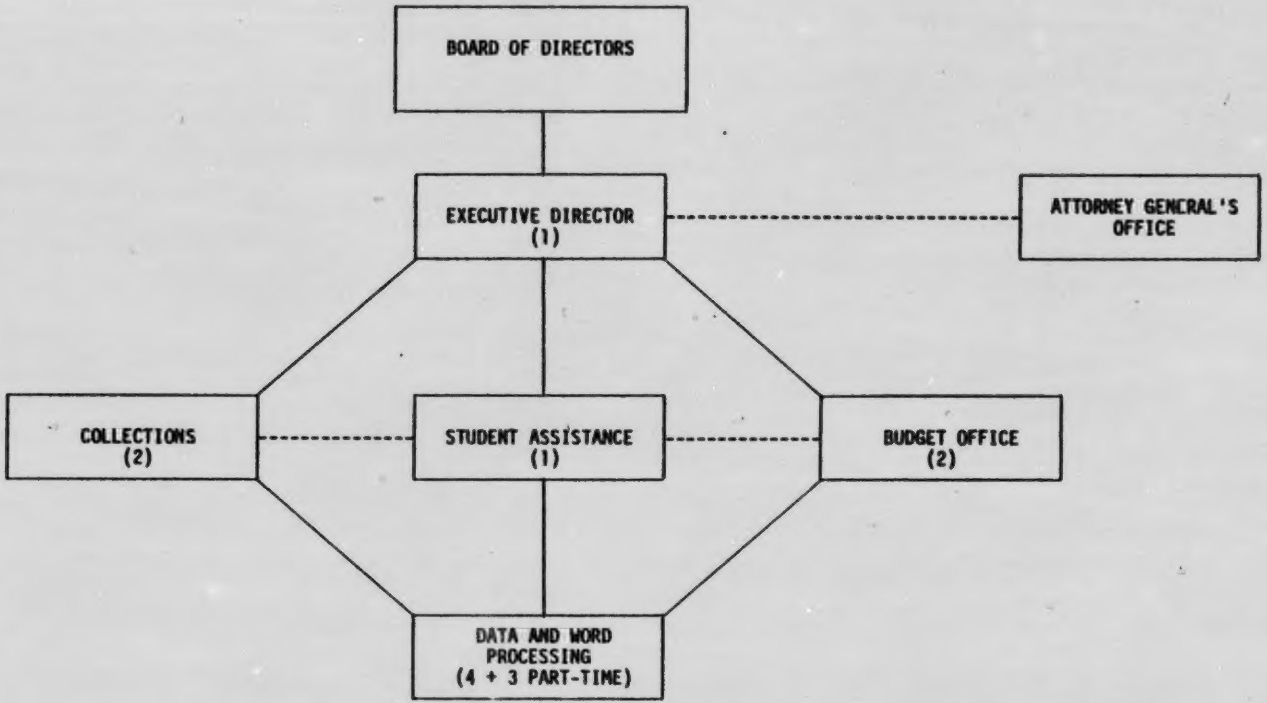
The legislative act establishing the TSAC required that the Board of Directors be composed of the Governor, Executive Director of the Tennessee Higher Education Commission, Chancellor of the State Board of Regents, Commissioner of the Department of Education, President of the University of Tennessee, State Treasurer, Comptroller of the Treasury, Commissioner of the Department of Finance and Administration, President of the Tennessee Council of Private Colleges, Chairman, Tennessee Council of Private Colleges, President of Tennessee Proprietary Business Schools, and three lay members appointed by the Governor.

By legislative stipulation, funding for TSAC shall be requested through the Tennessee Higher Education Commission.

The State Treasurer and Comptroller are elected to their posts by the legislature. Commissioners are appointed to their posts by the Governor; other board members who serve by virtue of their office are elected to their posts by their respective boards.

STATE AGENCY ORGANIZATION

TENNESSEE STUDENT ASSISTANCE CORPORATION



MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	High School Counselors

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - As defined by institution.	Interpretation	Yes	Yes	Yes
State Resident - As defined by regulations promulgated by the Board of Regents and the State Board of Education to determine which students pay in-state fees.	Law and Interpretation	Yes		Yes
Independent Student - State of Tennessee requires a notarized statement and uses the same policy guidelines as established by the USOE.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - As determined by charter filed with Secretary of State.	Interpretation			Yes
Satisfactory Academic Progress - If allowed to enroll and if he remains enrolled in the college or university of his choice.	Interpretation	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Summaries Regulations	Adequate
BEOG Office		Summaries Recipients	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)		Summaries	Marginal[1]
Washington OE Office (Campus-Based Programs)			Marginal[1]
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Regulations	Summaries Recipients	Adequate
Private Institutions	Summaries Recipients Regulations	Summaries Recipients	Adequate
Governor/Budget Office	Summaries		Marginal
State Legislature (Including Committee Staffs)	Summaries		Marginal

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[1] Will assist as we request.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	60[1]	State Central Data-Processing
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	10[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	10[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	5[1]	

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[1] Response is considered a "best guess."

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
DECEMBER - 1975	1) Agency budget presented to governors office or budget bureau 2) Agency completes planning workshops for students or counselors 3) Agency makes student-aid applications available
JANUARY - 1976	Agency budget presented to legislature
MARCH - 1976	1) Legislature appropriates student assistance funds 2) BEOG applications information received from federal office
MAY - 1976	Deadline for student-aid applications
JUNE - 1976	Agency (or institutions) announces award winners

2) Beginning in March

p

TENNESSEE B7

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes[1]	State	Correct application.
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes	Institution, State	
4. Warnings of Prosecution?	Yes		
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
5. Institution Reports Aid That Would Cause An Over-award	Yes	Institution, State	Reduce or cancel state award.
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes	Institution	
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

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[1] Inconsistent data [illegible]ermined by ACT ICSS systems.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	
2. College Financial-Aid Officers	X	X	X	X		
3. Direct Mailings to Graduating High School Students or Parents	X	X	X	X	X	
4. High School Meetings or Workshops	X	X	X		X	
5. College Admissions Office	X	X	X		X	
6. Newspaper Ads						
7. Radio, Television Ads			X		X	
8. Social Service Agencies	X	X	X	X	X	
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Tennessee Student Assistance Award (Tennessee Student Assistance Corporation) - A grant program of assistance to needy undergraduate resident students to attend the accredited in-state institution of their choice.

Medical Loan Scholarship (Tennessee Student Assistance Corporation) - A conditional grant/loan program designed to encourage resident medical students to locate and practice medicine in an area of Tennessee where there is a shortage of physicians. Students may attend in-state or out-of-state medical schools and must qualify by point ranking.

Graduate Nursing Loan-Scholarship (Tennessee Student Assistance Corporation) - A conditional grant/loan program, based on point ranking, designed to help eliminate a shortage of persons in Tennessee that are qualified to teach in the state's schools of nursing or to administer those schools. Recipients must be state residents with B.S. degrees in nursing and attending in-state or out-of-state graduate schools.

Guaranteed Student Loan Program (Tennessee Student Assistance Corporation) - A program of loan insurance designed to enable Tennessee residents to borrow from private lenders to help pay their cost of education at institutions approved by USOE. While the student is enrolled, the interest is paid by USOE in most cases.

Academic Common Market (Tennessee Higher Education Commission) - A tuition-reciprocity program to share among the states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Tennessee Student Assistance Award	1975-76	711	$ 900	$ 640,152	Resident Undergraduates
Non Need-Based					
Medical Loan Scholarship	1972-73	32	$3,079	$ 98,535	Medical Students
	1975-76	70	$5,723	$ 400,625	
Graduate Nursing Loan-Scholarship	1970-71	19	$3,526	$ 67,000	Nurses with BS Degrees
	1975-76	27	$3,222	$ 87,000	
Guaranteed Student Loan Program	1970-71	6,315	$ 941	$5,944,939	All Resident Students
	1975-76	5,480	$1,474	$8,078,173	
Academic Common Market	1974-75	13	Not Given	Not Given	Certified Tennessee Residents
	1975-76	10			

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Tennessee Student Assistance Award	Residents attending In-State	Half-Time Full-Time	All Undergraduates	Yes	Not Applicable	$100
Non Need-Based						
Medical Loan Scholarship	Residents attending In-/Out-of-State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
Graduate Nursing Loan-Scholarship	Residents attending In-/Out-of-State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
Guaranteed Student Loan Program	Residents attending In-/Out-of-State	Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Academic Common Market	Residents & Non-Residents at In-State Schools	Not Applicable	Graduates	Not Given	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Tennessee Student Assistance Award	Public Private	Public Private	No	No	Public Technical Institutes
Non Need-Based					
Medical Loan Scholarship	No	No	No	No	Medical Schools
Graduate Nursing Loan-Scholarship	No	No	No	No	Graduate Nursing Schools
Guaranteed Student Loan Program	Public Private	Public	Yes	Yes	Yes[1]
Academic Common Market	---	---	---	---	Public Univ. Graduate Programs Only

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[1] Approved by USOE to participate in GSL Program.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Tennessee Student Assistance Award	Need = Cost of Education - Available Financial Resources	Yes	Uniform Methodology	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Tennessee Student Assistance Award	$1,200[1]	Need, but not greater than tuition/fees or $1,200, whichever is less.	Renewals first; May 15 applicants first; ranked by EPC with greatest need students listed first.	State
Non Need-Based				
Medical Loan Scholarship	$4,000/ $5,000/ $6,500	$20,000 maximum total loan/scholarship; annual amount relates to number of years of medical program.	Renewals first; method of selection is by point system.	State
Graduate Nursing Loan-Scholarship	$4,000	Flat amount per fiscal year.	Renewals first; method of selection is by point system.	State
Guaranteed Student Loan Program	$2,500[2] or $5,000	Student's request, institution's recommendation and lender's decision.	Combination of factors listed under "Award Size Determination" and Note 2.	State
Academic Common Market				

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[1] But not greater than tuition/fees.

[2] Loans may be up to cost of education or $2,500--whichever is less for undergraduates. However, a loan may not exceed cost of education minus other financial aid.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

TENNESSEE F1

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

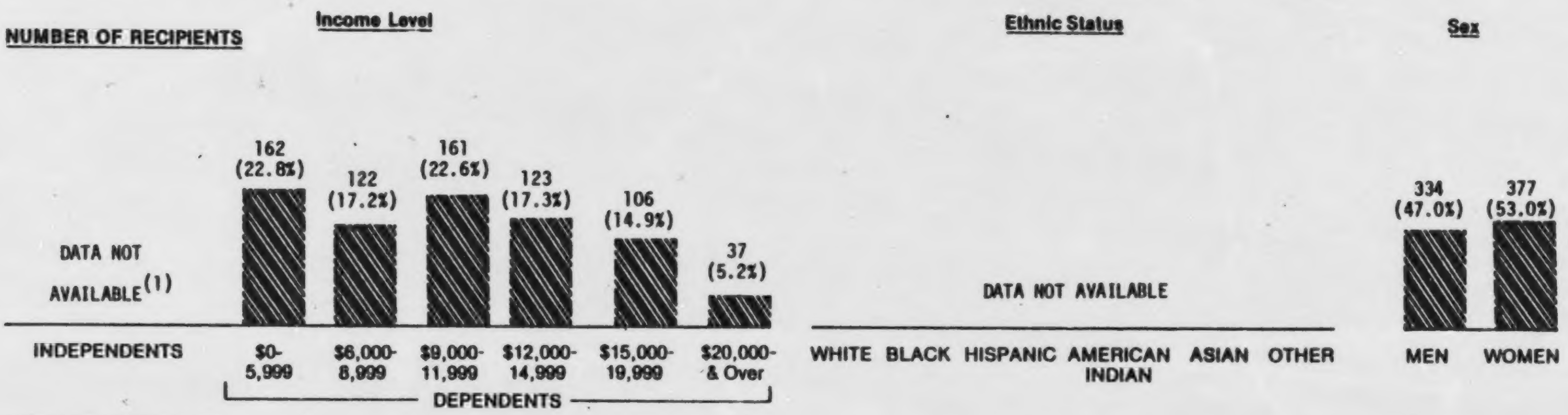
F2

TENNESSEE

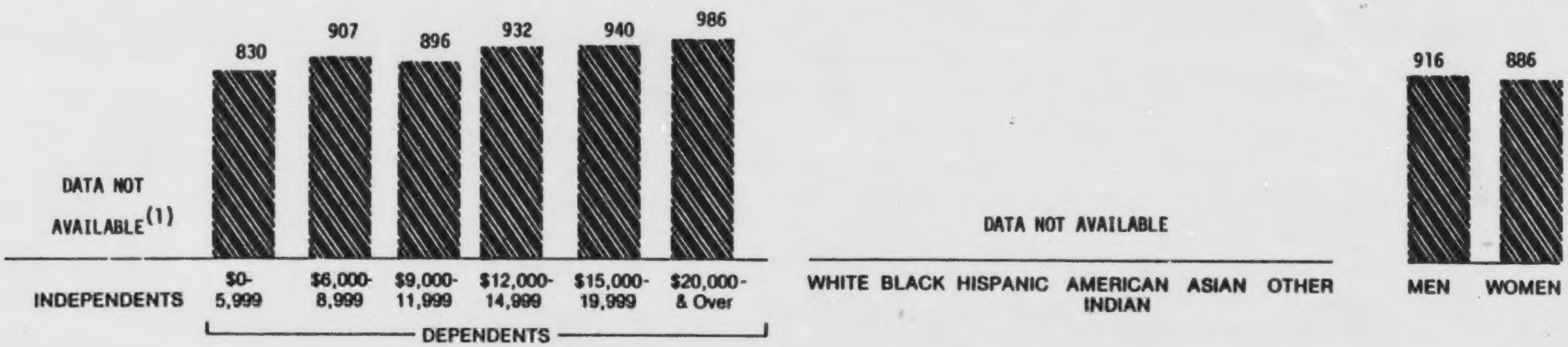
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STUDENT ASSISTANCE AWARD PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

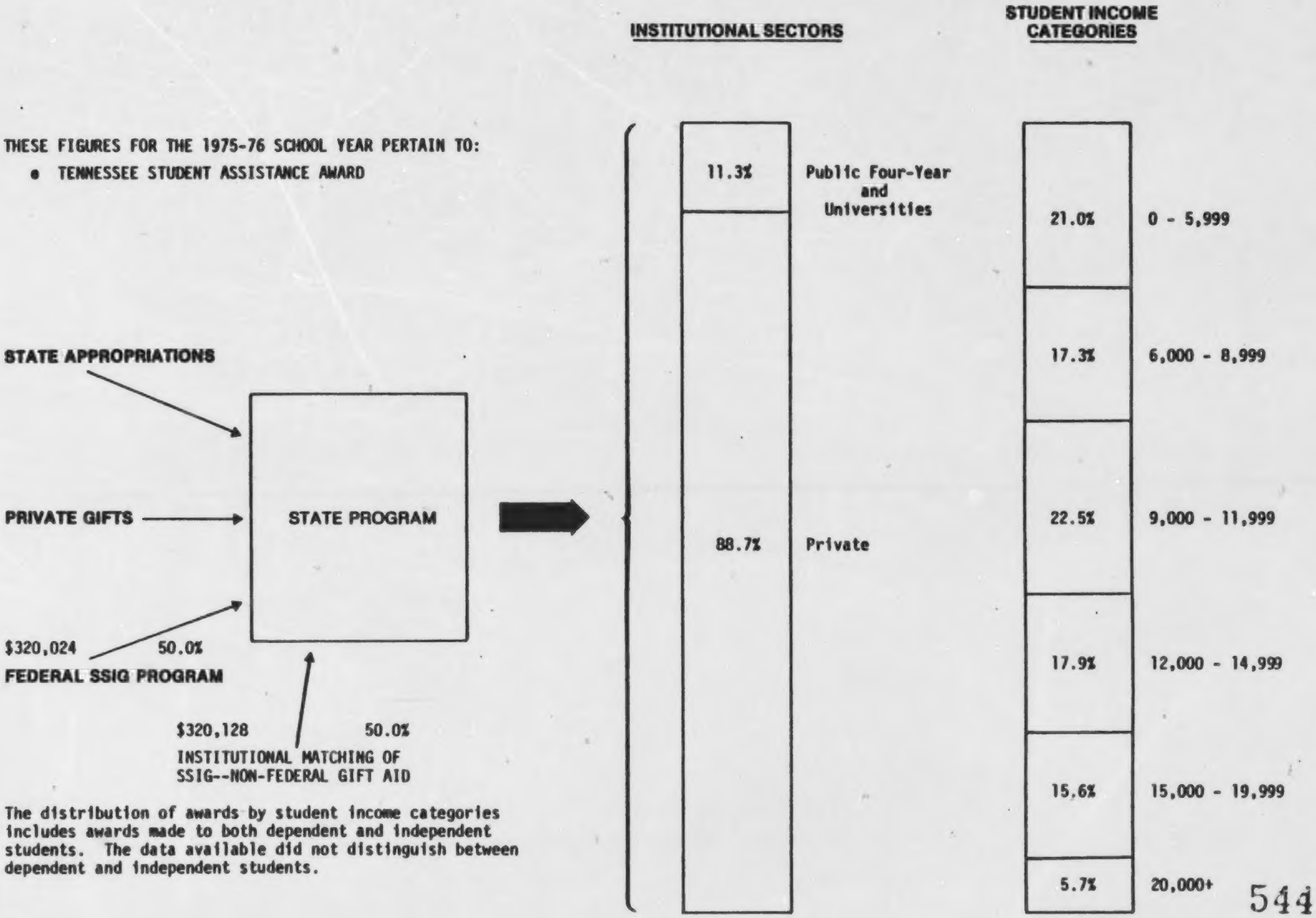


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- TENNESSEE STUDENT ASSISTANCE AWARD



STATE APPROPRIATIONS

PRIVATE GIFTS

$320,024 50.0%
FEDERAL SSIG PROGRAM

$320,128 50.0%
INSTITUTIONAL MATCHING OF SSIG--NON-FEDERAL GIFT AID

STATE PROGRAM

INSTITUTIONAL SECTORS

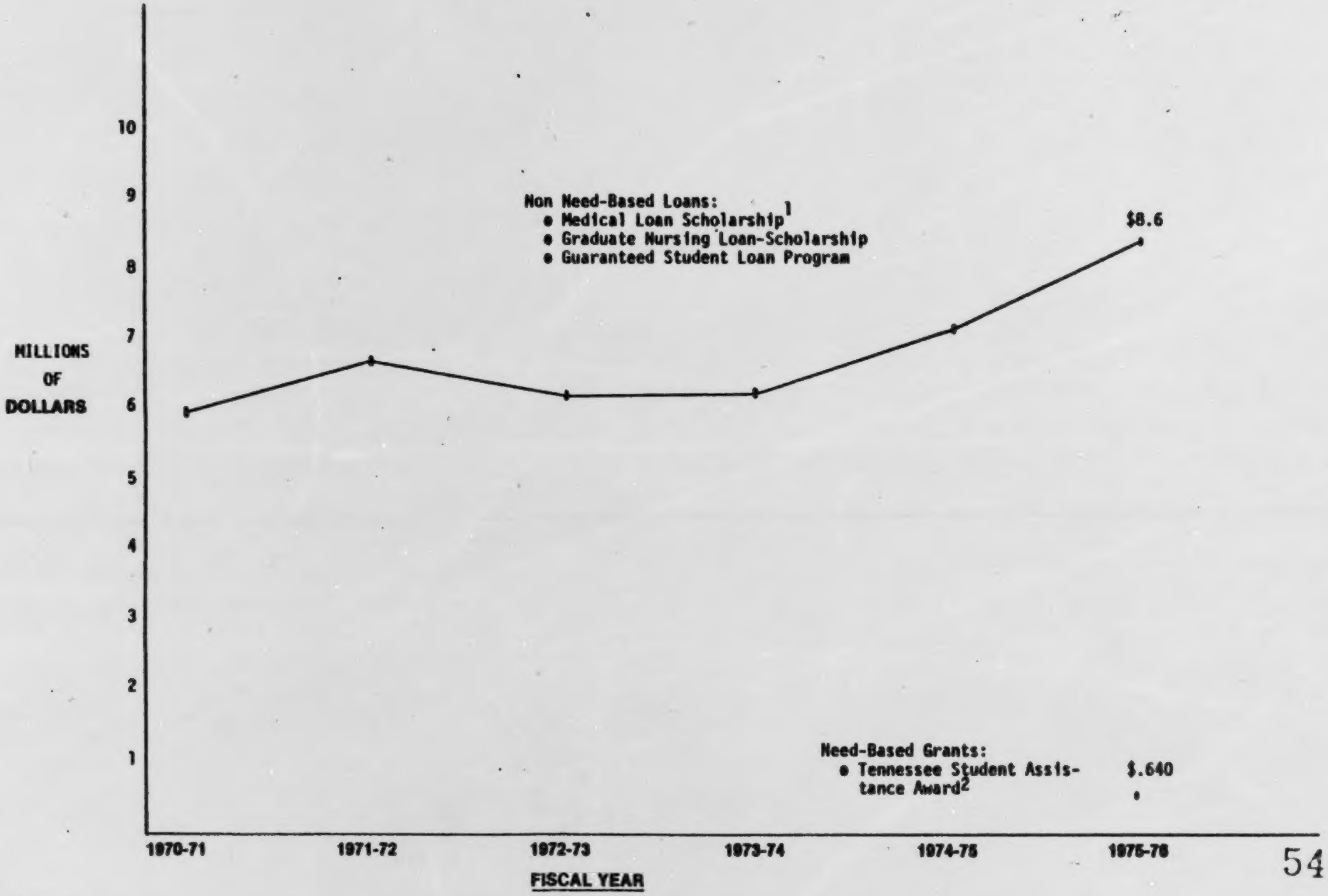
11.3%	Public Four-Year and Universities
88.7%	Private

STUDENT INCOME CATEGORIES

21.0%	0 - 5,999
17.3%	6,000 - 8,999
22.5%	9,000 - 11,999
17.9%	12,000 - 14,999
15.6%	15,000 - 19,999
5.7%	20,000+

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

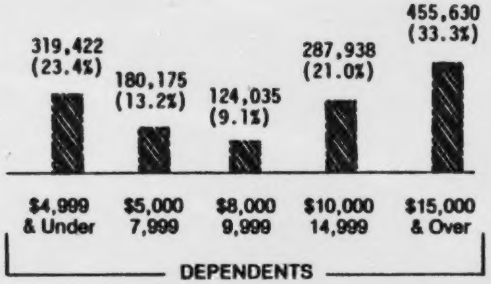


[1] 1972-73 first year of program.
[2] 1975-76 first year of program.

STATE POPULATION PROFILE

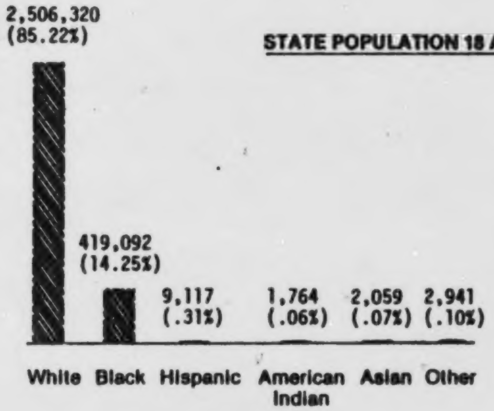
TENNESSEE H1

NUMBER OF HOUSEHOLDS

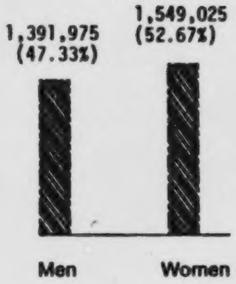


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

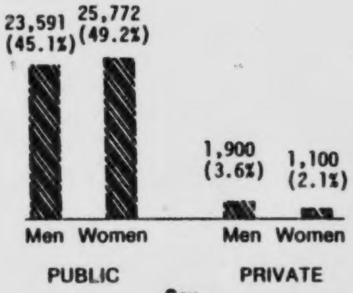


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 7,024 persons granted a high school equivalency certificate.

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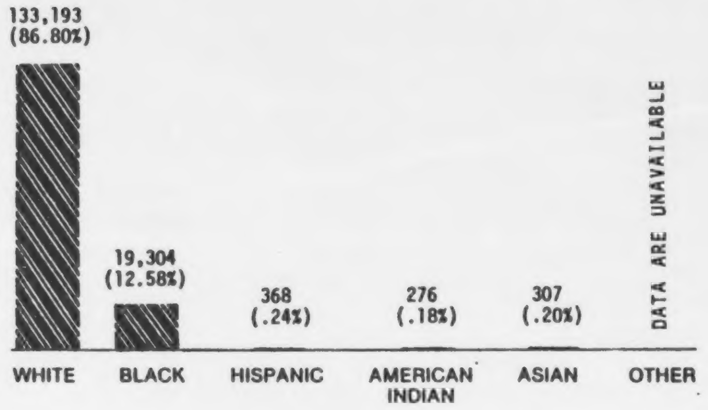
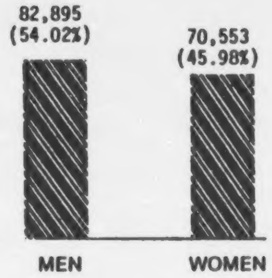
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

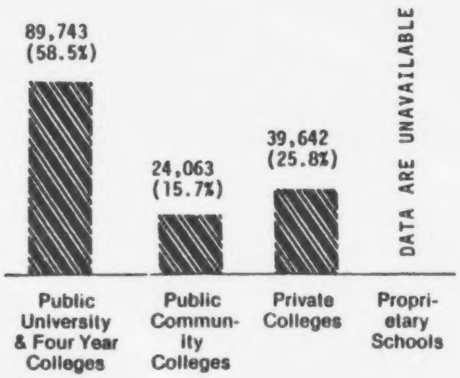
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



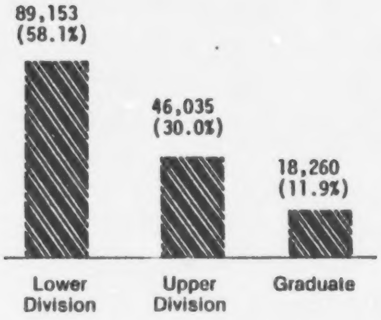
Ethnic Status



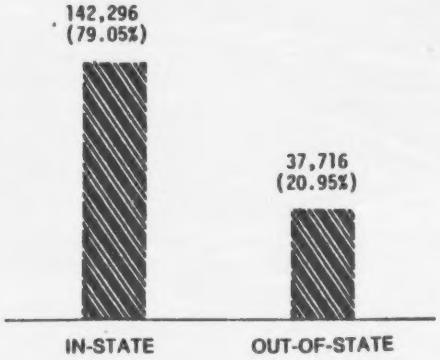
Sex



Institutional Sector

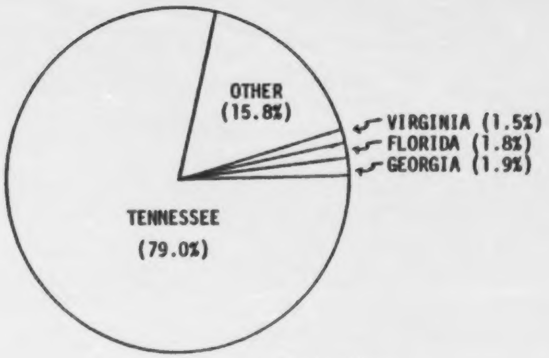


Student Level

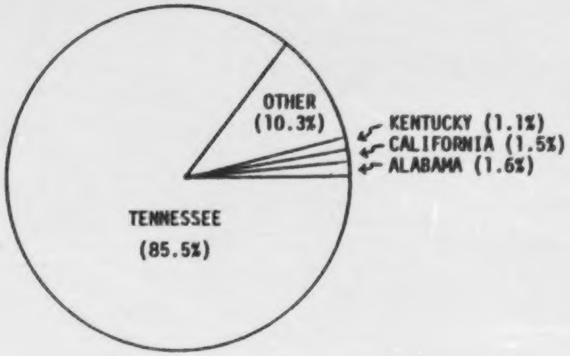


Residency

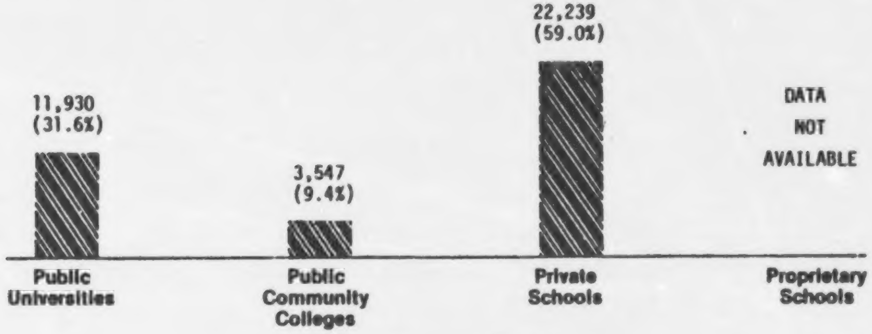
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



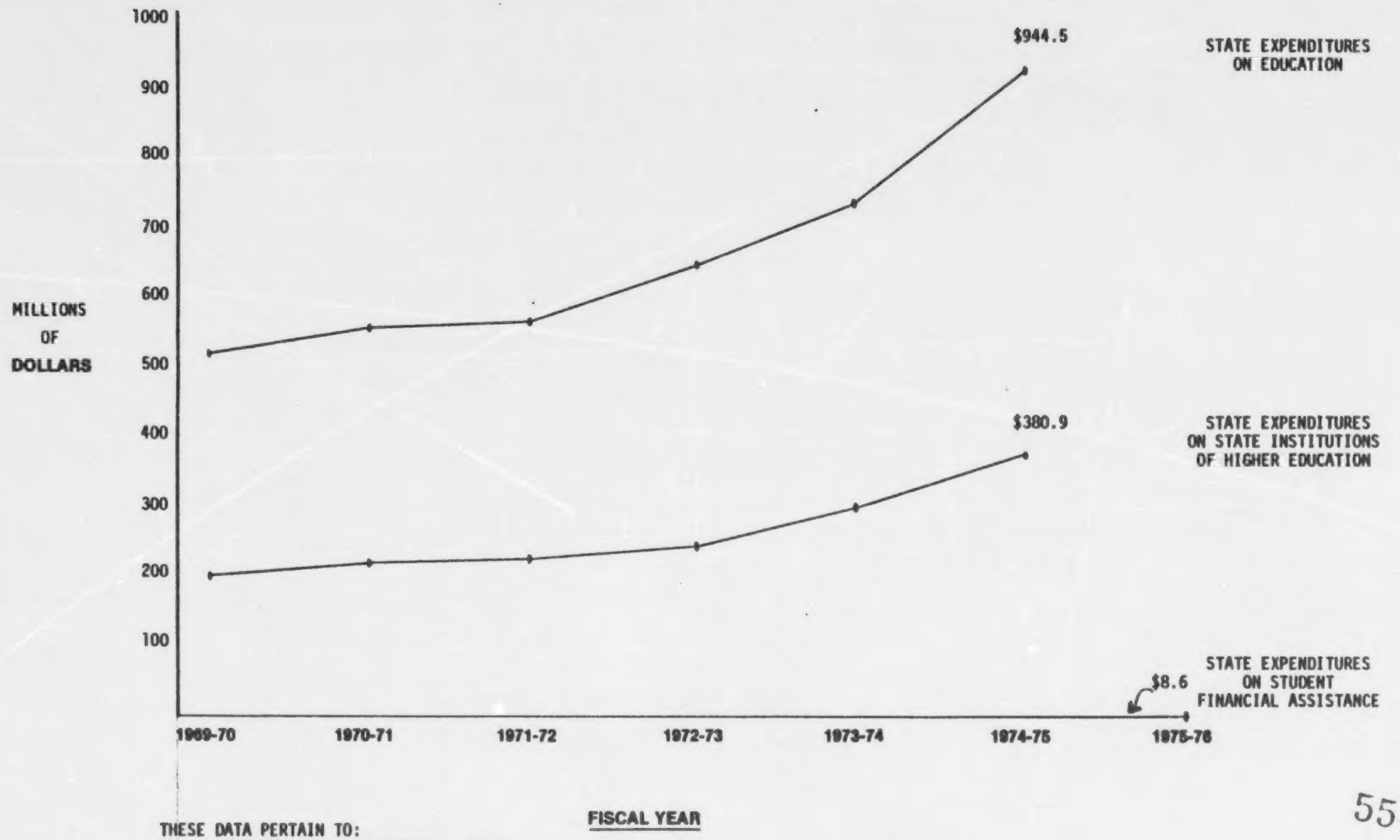
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

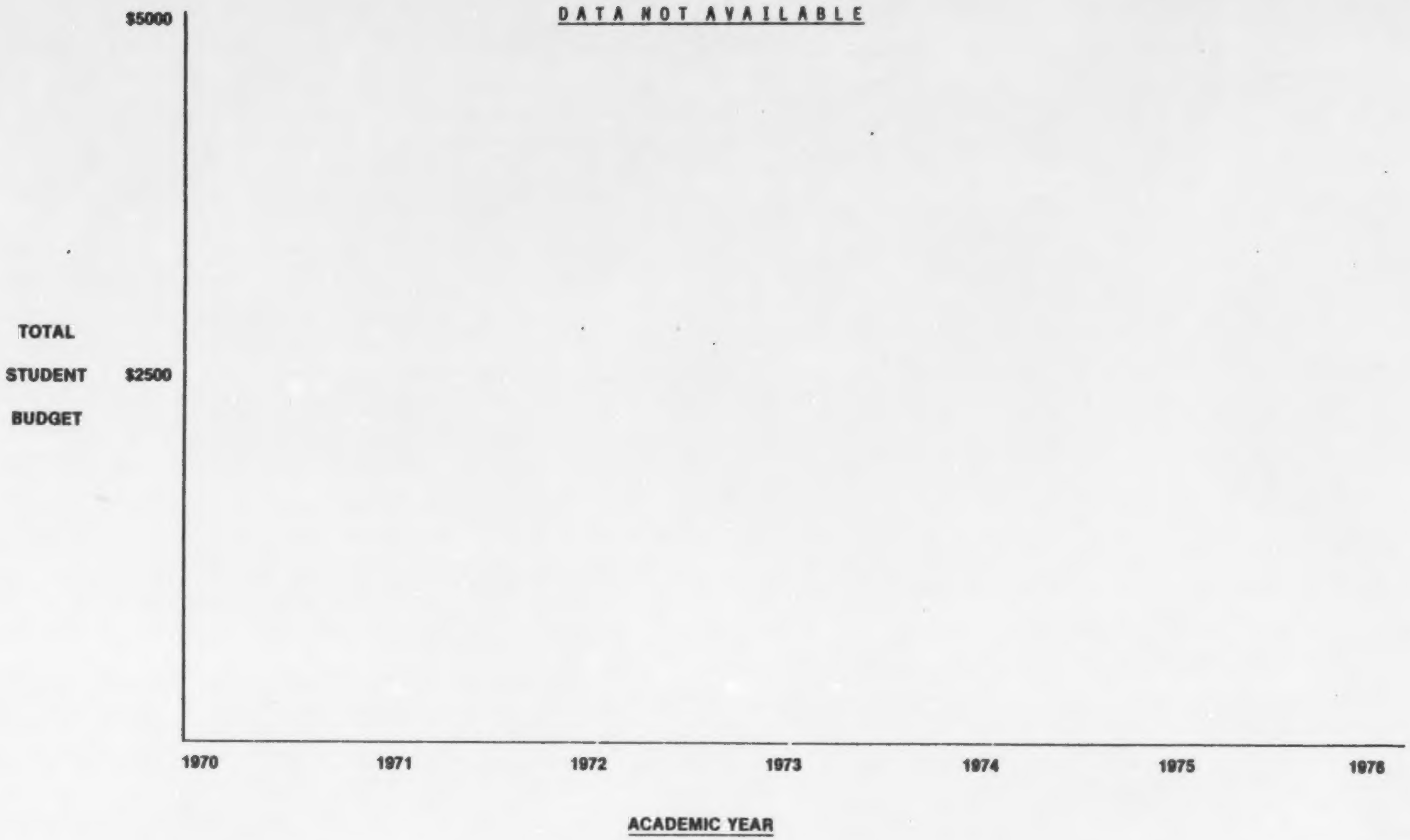


THESE DATA PERTAIN TO:

- TENNESSEE STUDENT ASSISTANCE AWARD

TENNESSEE 12

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



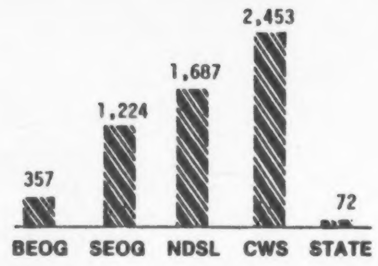
DATA NOT AVAILABLE

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

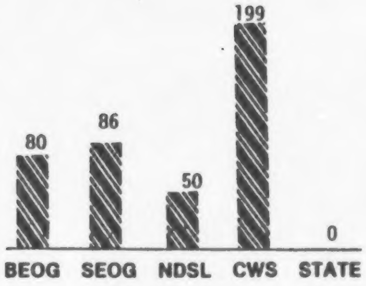
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

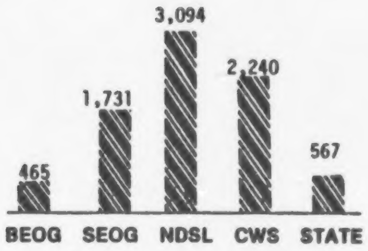
- TENNESSEE STUDENT ASSISTANCE AWARD



Public University and Four-Year Colleges



Public Community Colleges

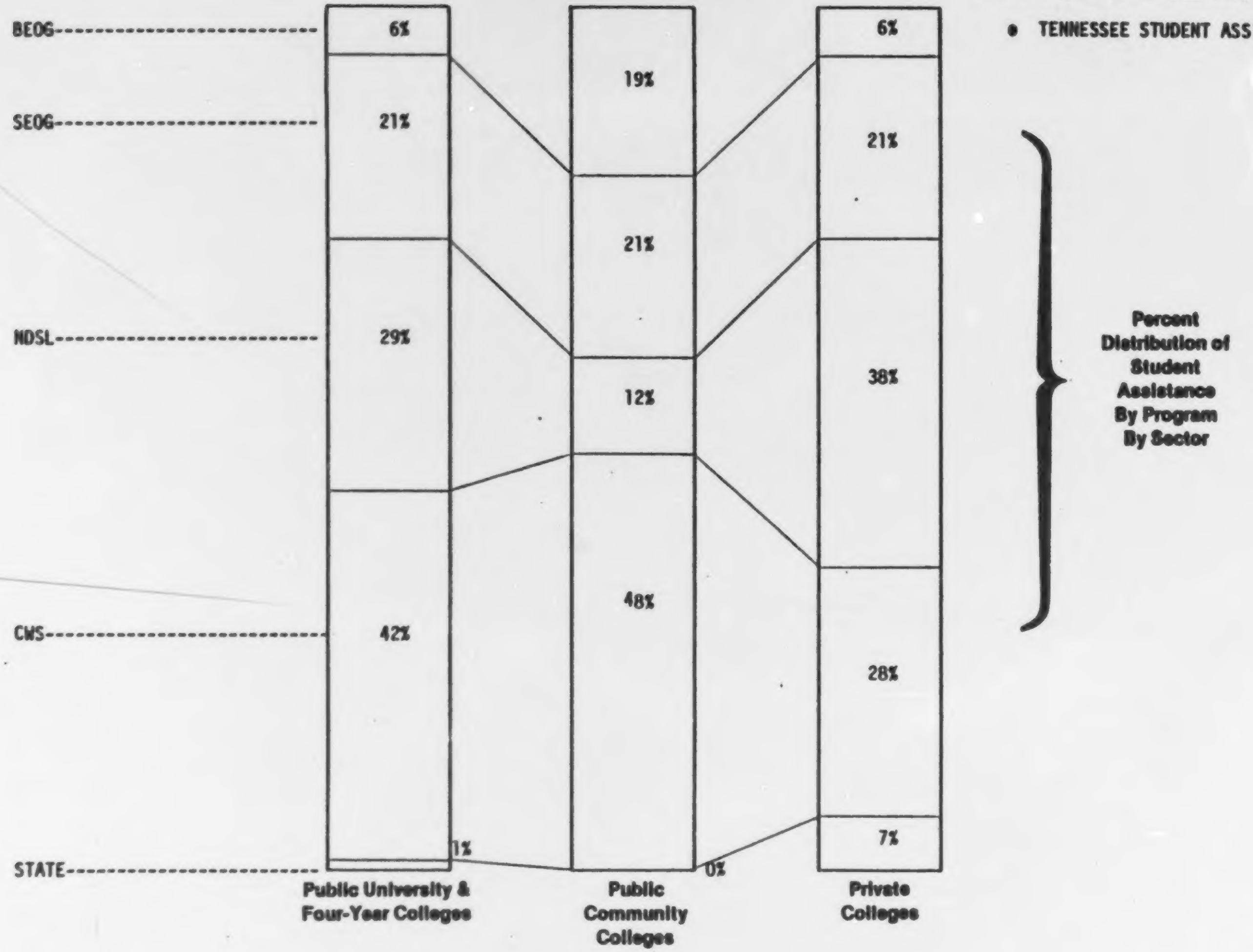


Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- TENNESSEE STUDENT ASSISTANCE AWARD



DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Coordinating Board, Texas College and University System

1. Tuition Equalization Grant
2. State Student Incentive Grant Program - Private
3. State Student Incentive Grant Program - Public
4. Hinson-Hazlewood College Student Loan
5. Tuition and Fee Exemption Programs

RELATIONSHIPS OF STATE AGENCIES

Appoints — GOVERNOR

18 Member
Coordinating Board,
Texas College & University System

Which Selects

Commissioner of
Higher Education

Who Administers 5 Divisions,
One of Which is the

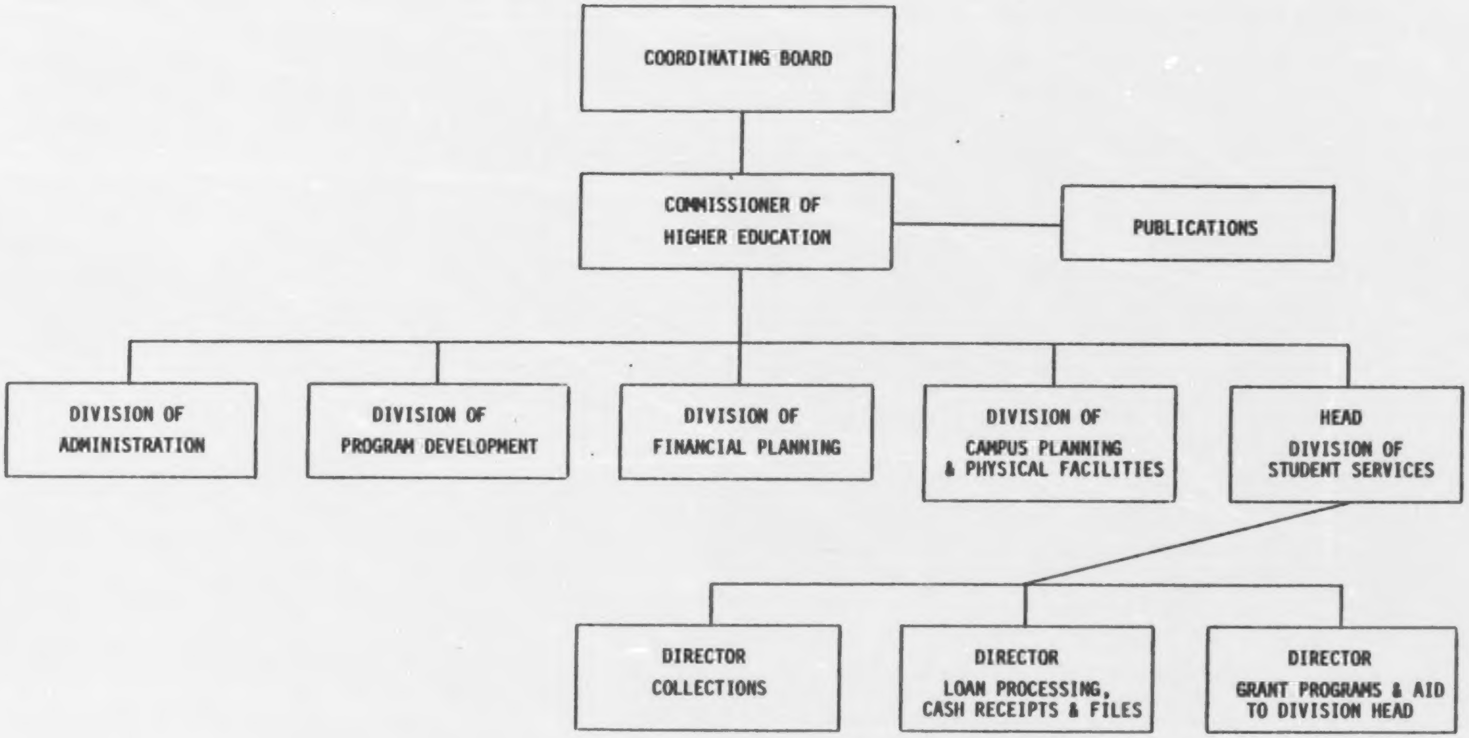
Student Services Division

Which Conducts (in cooperation with
institutional aid officers)

1) Hinson-Hazlewood College Student Loan Program (public and private institutions)
2) Tuition Equalization Grant Program (private schools only)
3) State Student Incentive Grant Program (private)
4) Texas Public Educational - State Student Incentive Grant Programs (public schools only)

And Answers Questions on Such Issues as Residency, Public School Tuition Policies.

STATE AGENCY ORGANIZATION


COORDINATING BOARD
COMMISSIONER OF HIGHER EDUCATION
PUBLICATIONS
DIVISION OF ADMINISTRATION
DIVISION OF PROGRAM DEVELOPMENT
DIVISION OF FINANCIAL PLANNING
DIVISION OF CAMPUS PLANNING & PHYSICAL FACILITIES
HEAD DIVISION OF STUDENT SERVICES
DIRECTOR COLLECTIONS
DIRECTOR LOAN PROCESSING, CASH RECEIPTS & FILES
DIRECTOR GRANT PROGRAMS & AID TO DIVISION HEAD

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
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• Determination of Award Size			
• Assignment of Priority to Awards		DATA ARE UNAVAILABLE	
• Formally Approves Award			
Makes Payment to Student (or Credits Student Account)			
Makes Post-Award Adjustments			
Initiates the Prosecution of Possible Fraudulent Student applications			
Publicizes Award Availability			

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	

DATA ARE UNAVAILABLE

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office			
BEOG Office			
Regional OE Student-Assistance Office (Campus-Based Programs)			
Washington OE Office (Campus-Based Programs)		DATA ARE UNAVAILABLE	
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions			
Private Institutions			
Governor/Budget Office			
State Legislature (Including Committee Staffs)			

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

TEXAS B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

DATA ARE UNAVAILABLE

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
	DATA ARE UNAVAILABLE

TEXAS B7

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?			
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

DATA ARE UNAVAILABLE

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors						
2. College Financial-Aid Officers						
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DATA ARE UNAVAILABLE

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Tuition Equalization Grant (Coordinating Board, Texas College & University System) - A grant program to help needy resident students meet the additional tuitional expenses when choosing to attend an independent institution.

State Student Incentive Grant Program - Private Schools (Coordinating Board) - A grant program to help needy resident students meet the costs of attending private institutions.

Texas Public Educational - State Student Incentive Grant (Coordinating Board) - A grant program to help needy resident and non-resident students attend in-state public institutions.

Hinson-Hazlewood College Student Loan (Coordinating Board) - The agency functions as direct lender under Federal Insured Student Loan Program and provides low-interest loans to resident students who, without such aid, may be denied a college education.

Tuition and Fee Exemption Programs (Coordinating Board) - A tuition-remission program for dependents of Veterans/POWs/MIAs, faculty and law/fire officers, Veterans and the handicapped to enroll in in-state public institutions to study law enforcement and fire science.

Texas Legislative Grants - Information unavailable.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Tuition Equalization Grant	1971-72 1975-76	2,511 14,801	$398 $509	$ 1,000,000 $ 7,500,000	Resident Students
State Student Incentive Grant Program-Private Schools	1974-75 1975-76	2,974 1,813	$383 $375	$ 1,140,511 $ 681,114	Undergraduates Attending Private Institutions
Texas Public Educational-State Student Incentive Grant	1975-76	2,665	$299	$ 798,256	Undergraduates Attending In-State Schools
Texas Assistance Grant Program[1]	Not Applicable------------------------------				Students Attending In-State Schools
Loans					
Hinson-Hazlewood College Student Loan	1970-71 1975-76	53,597 40,971	$365 $471	$19,547,088 $19,284,845	Resident Students
Non Need-Based					
Tuition and Fee Exemption Programs	See Note 2----------------------------------				Veterans; Handicapped; Dependents of Veterans/POW/MIA, Faculty and Law/Fire Studying Law Enforcement and Fire Science

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[1] Program authorized by legislation, but not yet operational since unfunded.

[2] Currently there are some 18 tuition and/or fee exemption programs established by statute operating in Texas. In 1975-76 approximately 27,700 students received awards totaling $7.2 million.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Accept-able Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Tuition Equalization Grant	Residents attending In-State	Full-Time	All	No	Not Applicable	Not Applicable
State Student Incentive Grant Program-Private Schools	Residents attending In-State	Full-Time	All Under-graduates	No	Not Applicable	Not Applicable
Texas Public Educational-State Student Incentive Grant	Residents attending In-State and Non-Residents	Full-Time	All Under-graduates	Not Given	Not Applicable	Not Applicable
Texas Assistance Grant Program	Residents attending In-State and Non-Residents	Full-Time	All	No	Not Given	Not Given
Loans						
Hinson-Hazlewood College Student Loan	Residents attending In-State	Half-Time Full-Time	All	No	No Limit	$50
Non Need-Based						
Tuition and Fee Exemption Programs	Residents attending In-State	Half-time Full-Time	All	No	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Tuition Equalization Grant	Private	Private	No	No	No
State Student Incentive Grant Program-Private Schools	Private	Private	No	No	No
Texas Public Educational-State Student Incentive Grant	Public	Public	No	No	No
Texas Assistance Grant Program	Public Private	Public Private	No	No	No
Loans					
Hinson-Hazlewood College Student Loan	Public Private	Public	Public	No	No
Non Need-Based					
Tuition and Fee Exemption Program	Public	Public	No	No	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Tuition Equalization Grant	Inability to meet the costs of education.	No	Uniform Methodology	General Student Costs
State Student Incentive Grant Program-Private Schools	Inability to meet estimated educational costs.	No	Uniform Methodology	General Student Costs
Texas Public Educational-State Student Incentive Grant	Inability to meet estimated educational costs.	No	Uniform Methodology	General Student Costs
Texas Assistance Grant Program	Inability to meet estimated educational costs.	Not Given	Not Given	General Student Costs
Loan				
Hinson-Hazlewood College Student Loan	Need = Estimated Educational Cost - Applicant's Resources	No	Income Tax, BEOG, CSS, ACT, Locally Developed USOE Approved	Tuition/Fees Room/Board Books Transportation General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Tuition Equalization Grant	$ 600	Determined by FAO; cannot exceed need; tuition differential or $600.	Primarily on need at beginning of year, then first-come, first-served basis.	Institution[1]
State Student Incentive Grant Program-Private Schools	$ 600	FAO determines amount, but must match Tuition Equalization Grant.	Need	Institution[1]
Texas Public Educational-State Student Incentive Grant	$1,500	Based on need as determined by FAO.	Need Criterion	Institution[1]
Texas Assistance Grant Program	$1,000	Not Given	Not Given	Not Given
Loans				
Hinson-Hazlewood College Student Loan	$2,000/Year Undergraduate; $2,500/Year Graduate-Medical, Dental, Law	Derived as part of financial aid packaging process at institution.	Established by Institution	Institution
Non Need-Based				
Tuition and Fee Exemption Programs	Information not given--------			

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[1] Financial Aid Officer (FAO) at institution.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS

$0-5,999

$6,000-8,999

$9,000-11,999

$12,000-14,999

$15,000-19,999

$20,000-& Over

DEPENDENTS

Income Level

DATA NOT AVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN WOMEN

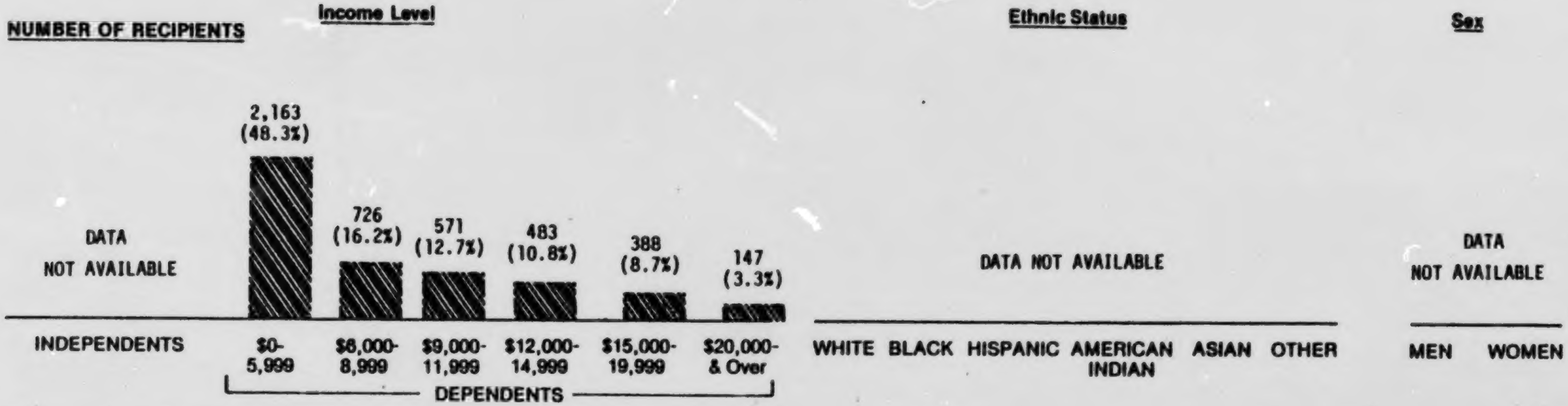
Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

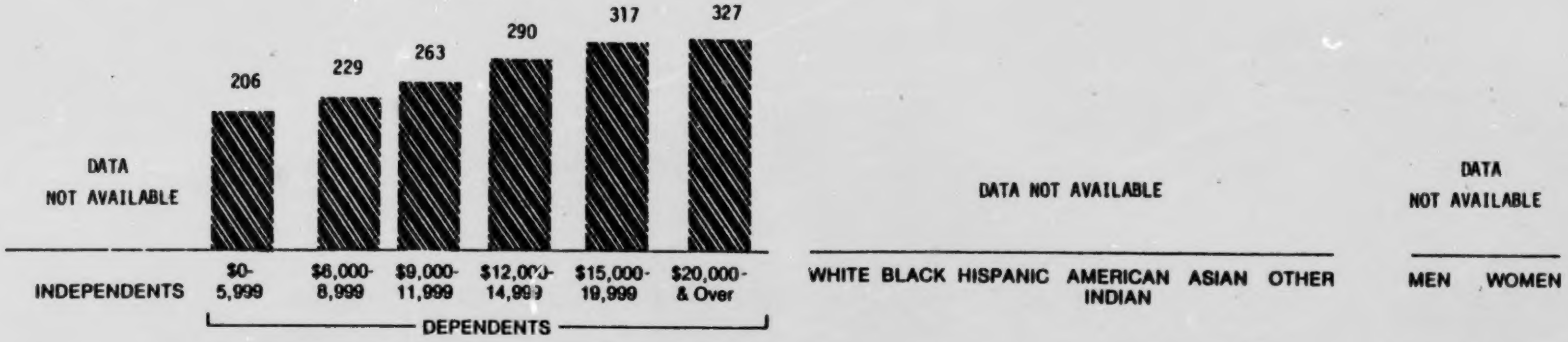
F2

TEXAS

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE



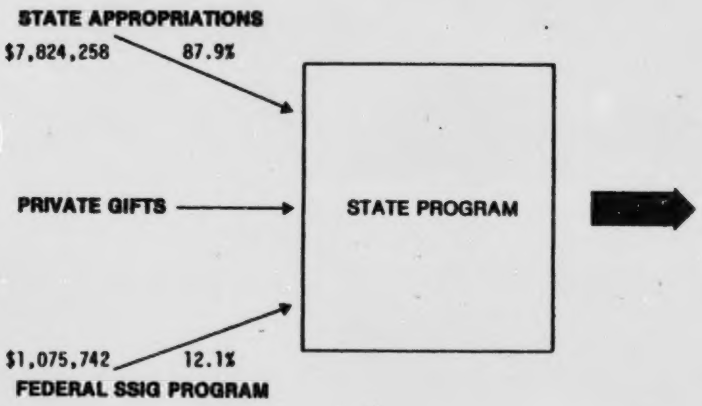
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

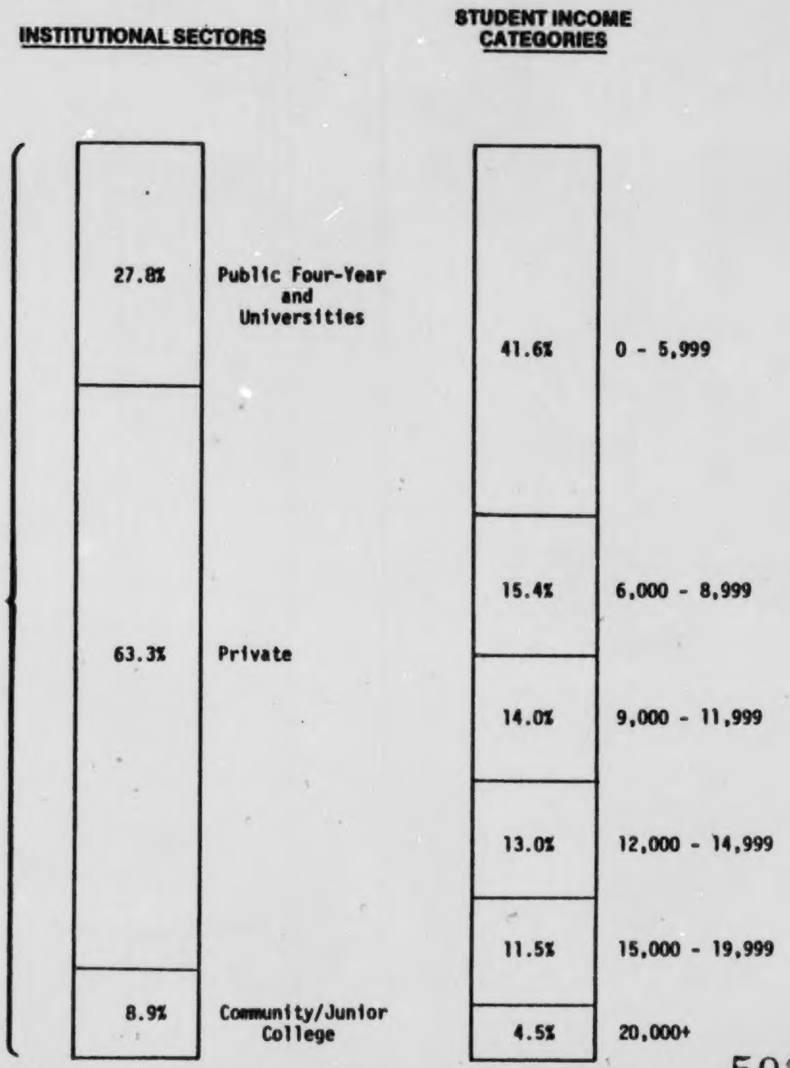
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

TEXAS G1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:[1]

- TUITION EQUALIZATION GRANTS
- PUBLIC EDUCATIONAL GRANTS
- STATE STUDENT INCENTIVE GRANTS





These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

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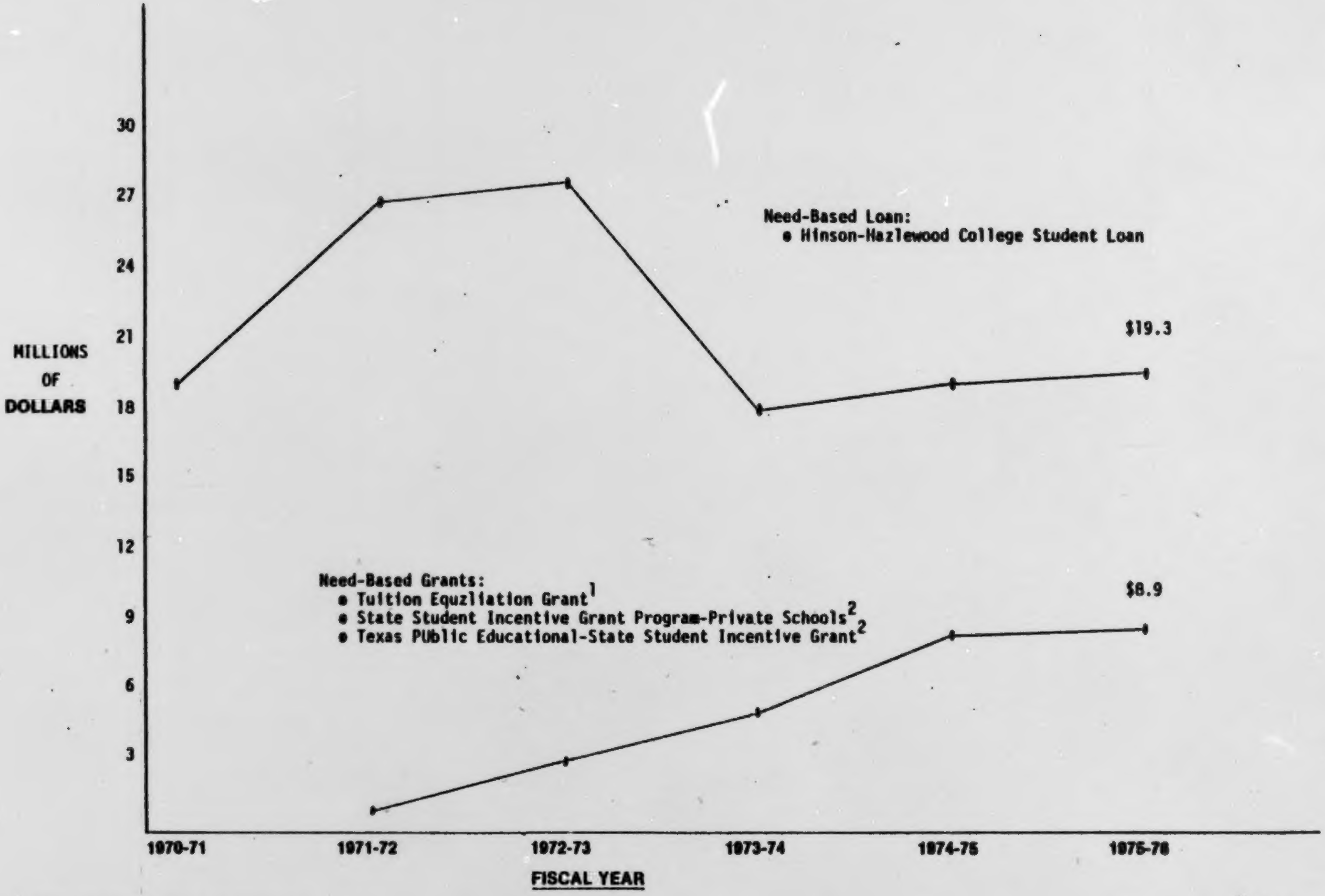
[1] SSIG funds are not necessarily used in all programs.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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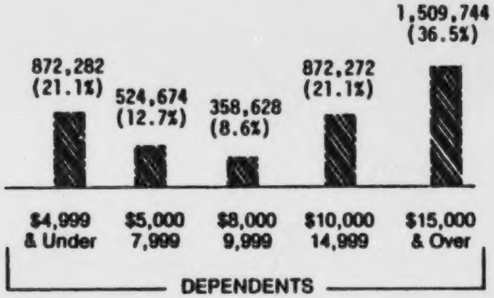
[1] 1971-72 first year of program.

[2] 1974-75 first year of program.

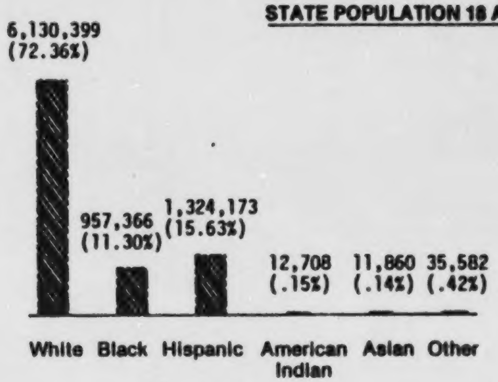
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

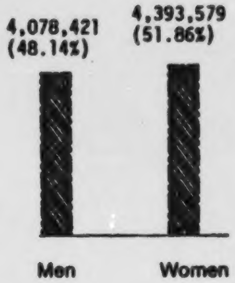


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

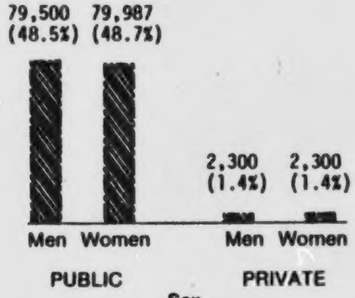


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

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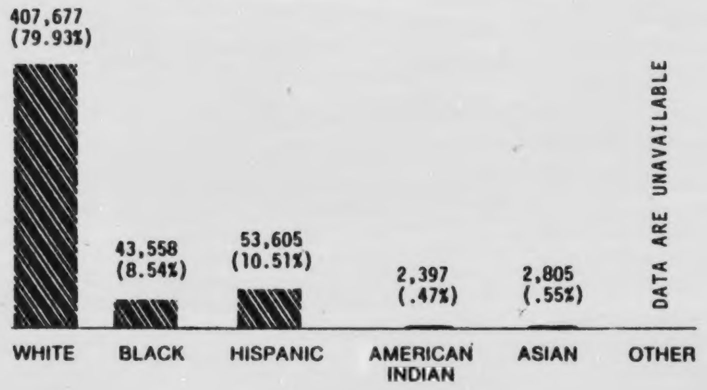
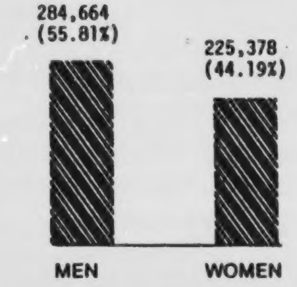
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

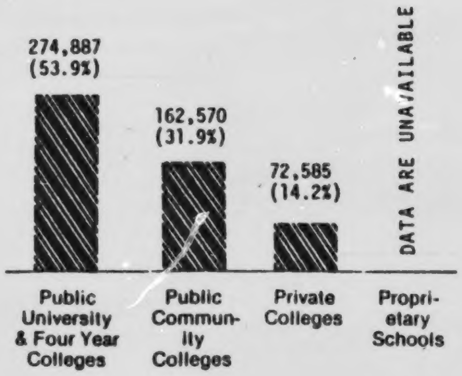
TEXAS H2



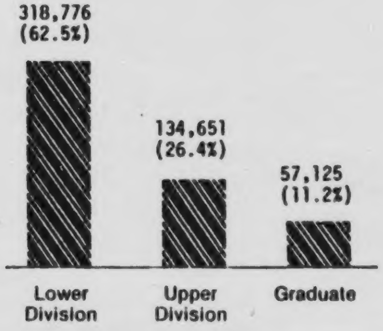
Ethnic Status



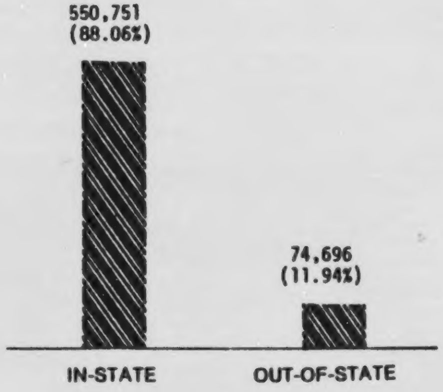
Sex



Institutional Sector

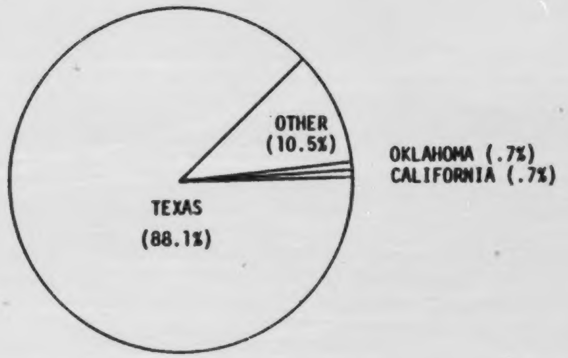


Student Level

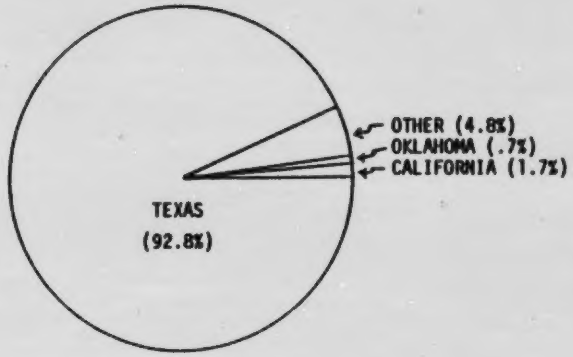


Residency

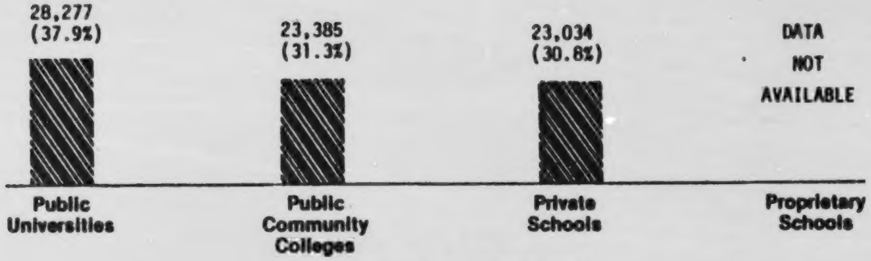
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



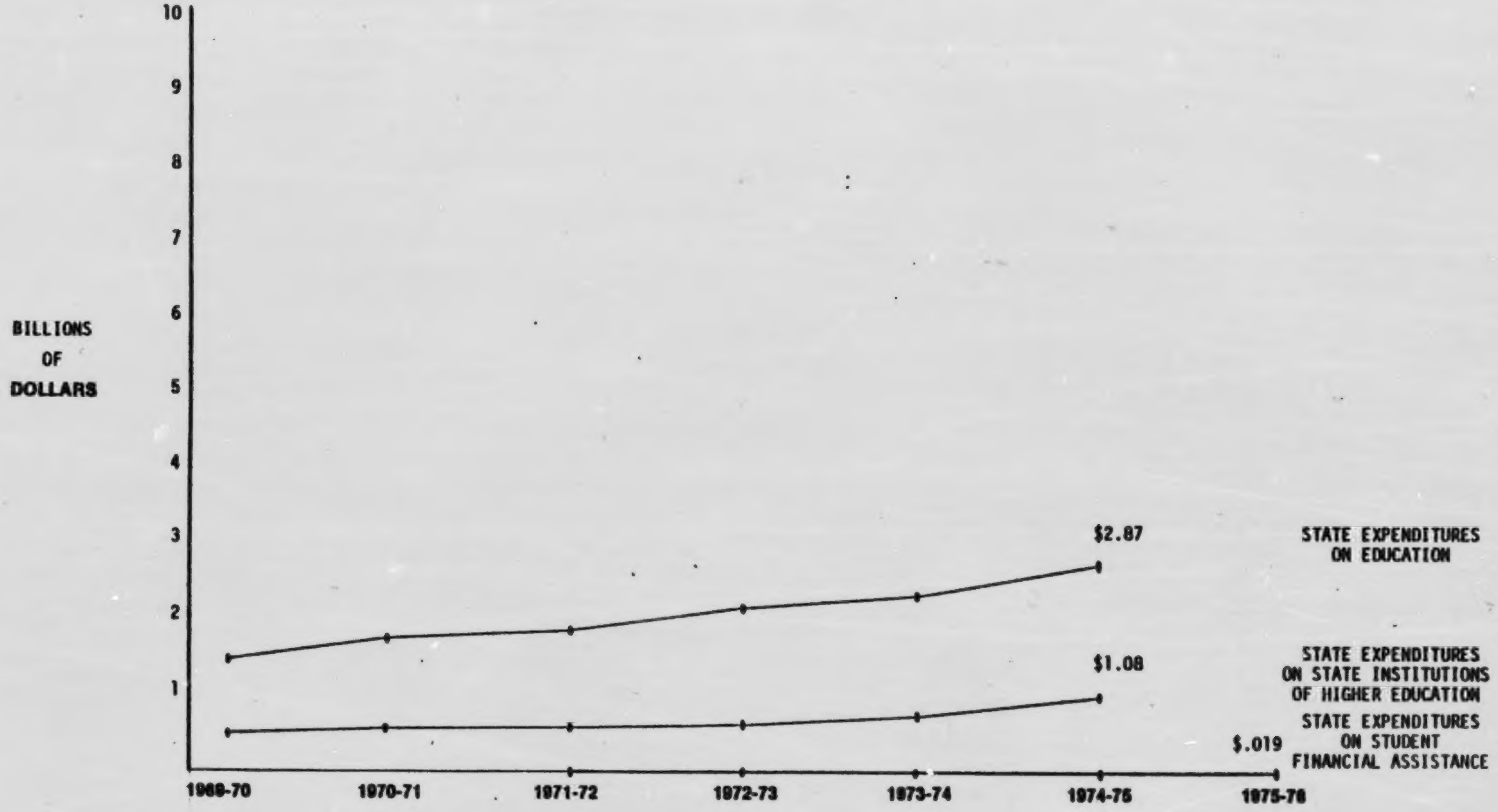
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

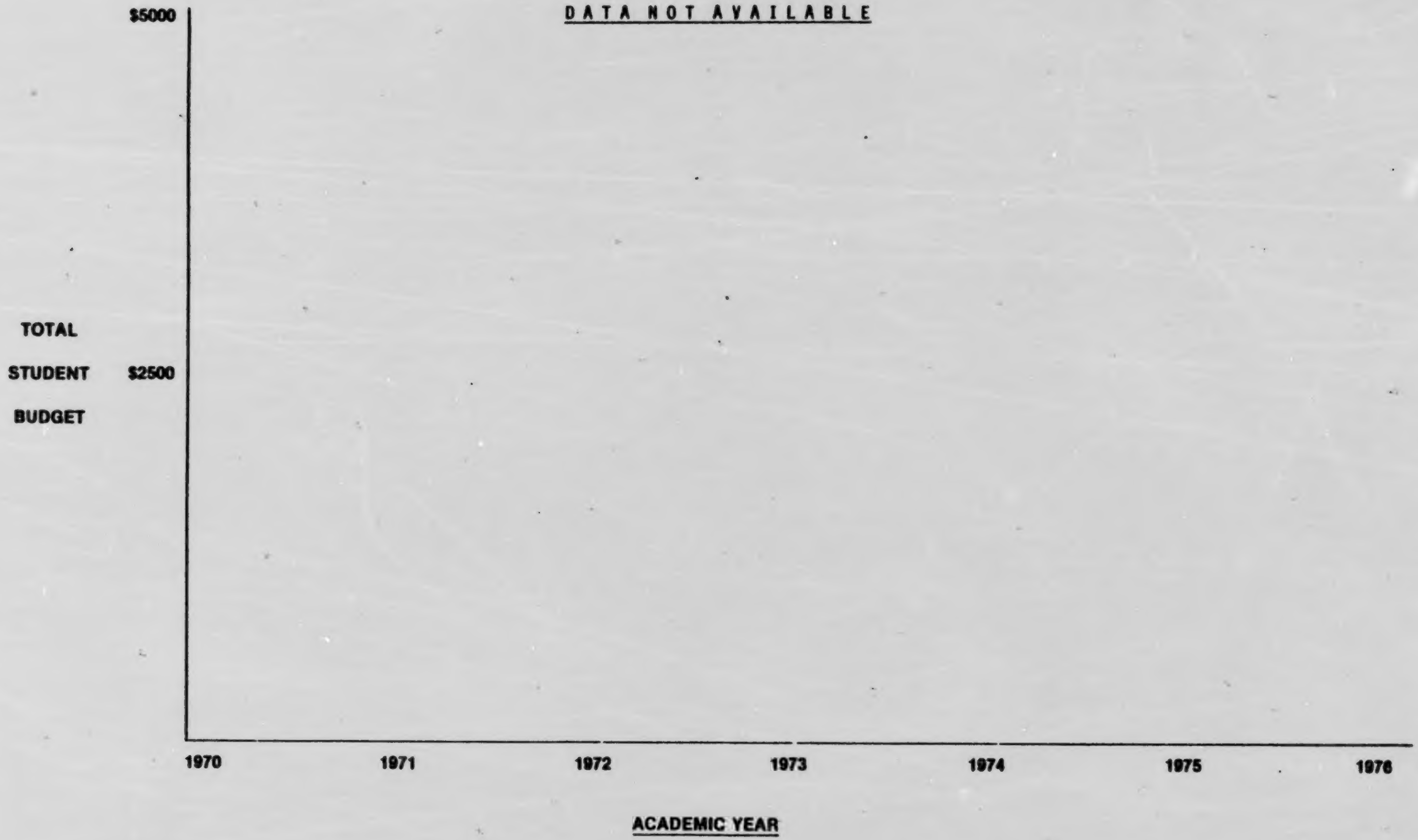
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- TUITION EQUALIZATION GRANT
- STATE STUDENT INCENTIVE GRANT PROGRAM-PRIVATE SCHOOLS
- TEXAS PUBLIC EDUCATIONAL-STATE STUDENT INCENTIVE GRANT

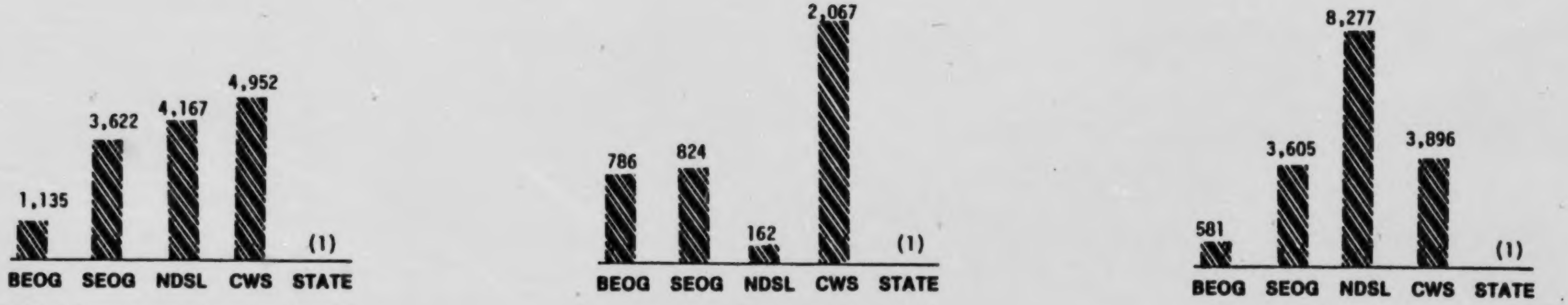
TEXAS 12

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



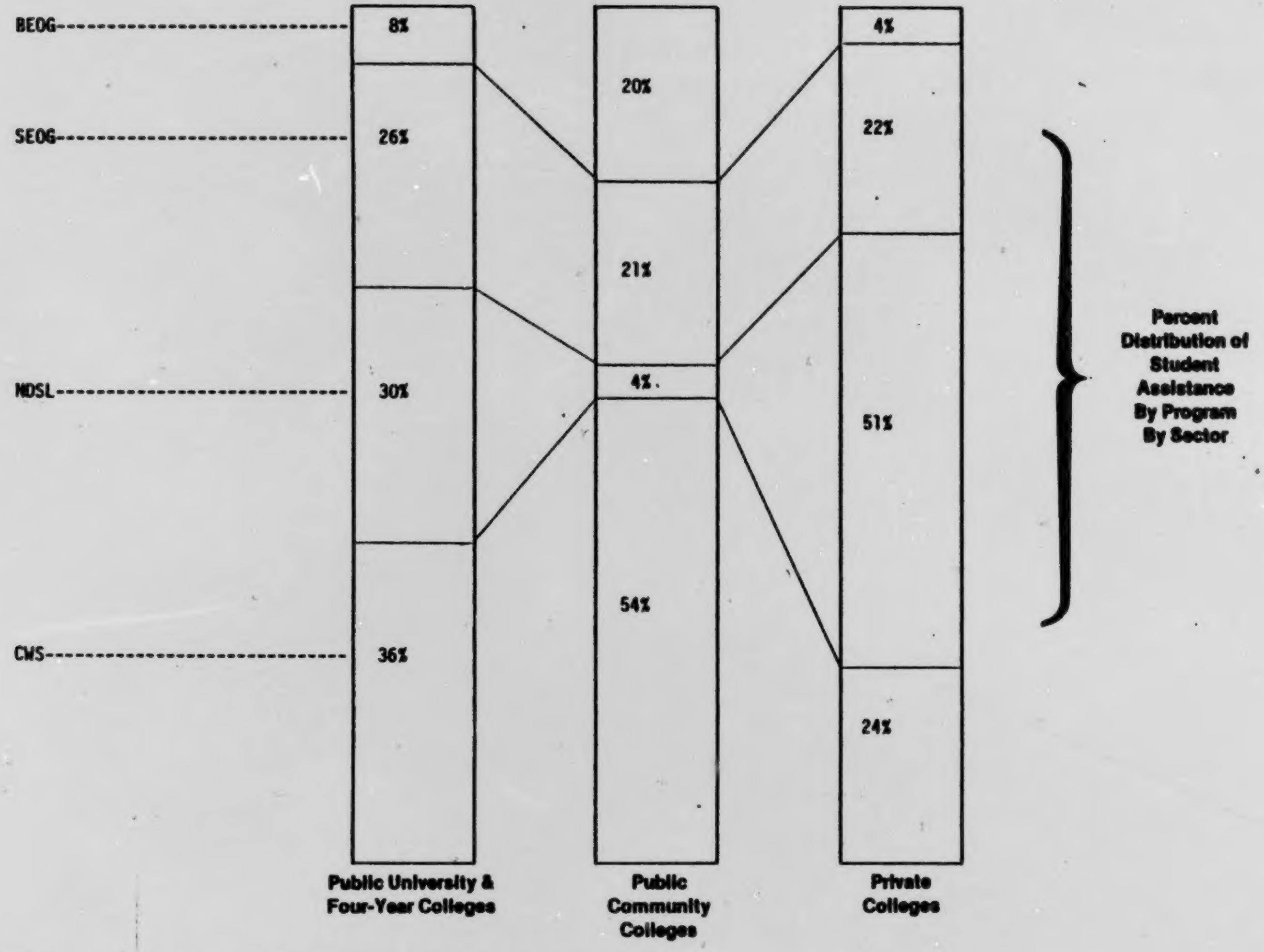
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Utah System of Higher Education serves as the state agency administering only the State Student Incentive Grant Program. All other student assistance programs are administered at the institutional level.

1. State Student Incentive Grant Program

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

1. WICHE Student Exchange Program

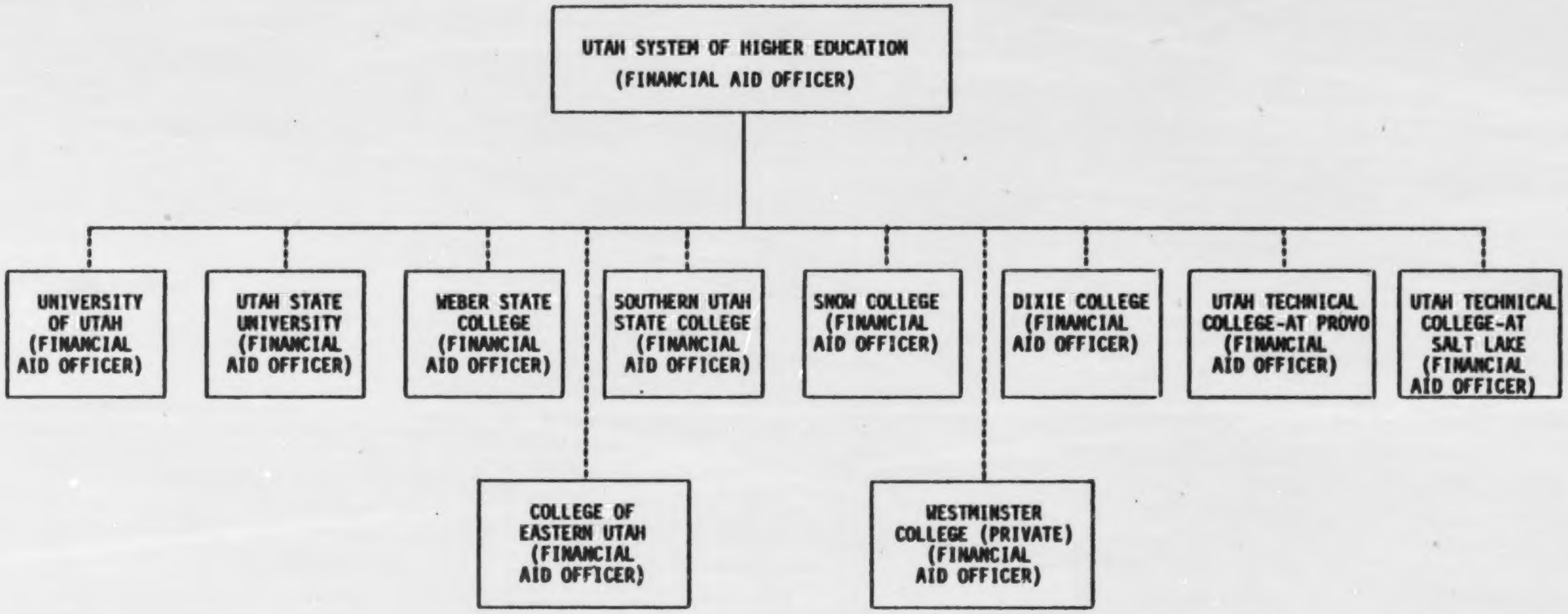
State Department of Education

1 Assistance to Dependents of Deceased/Disabled Veterans

RELATIONSHIPS OF STATE AGENCIES

Execpt for the State Student Incentive Grant program all student assistance programs are administered at the institutional level. In the State Student Incentive Grant program, the Utah System of Higher Education serves as the state agency. Before disbursements are made a student roster is submitted by the institutions for approval by the System's financial aid officer. Institutional financial aid officers process applications for student aid, need analysis forms, and disbursements to students.

STATE AGENCY ORGANIZATION



UTAH SYSTEM OF HIGHER EDUCATION
(FINANCIAL AID OFFICER)

UNIVERSITY OF UTAH (FINANCIAL AID OFFICER)

UTAH STATE UNIVERSITY (FINANCIAL AID OFFICER)

WEBER STATE COLLEGE (FINANCIAL AID OFFICER)

SOUTHERN UTAH STATE COLLEGE (FINANCIAL AID OFFICER)

SNOW COLLEGE (FINANCIAL AID OFFICER)

DIXIE COLLEGE (FINANCIAL AID OFFICER)

UTAH TECHNICAL COLLEGE-AT PROVO (FINANCIAL AID OFFICER)

UTAH TECHNICAL COLLEGE-AT SALT LAKE (FINANCIAL AID OFFICER)

COLLEGE OF EASTERN UTAH (FINANCIAL AID OFFICER)

WESTMINSTER COLLEGE (PRIVATE) (FINANCIAL AID OFFICER)

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X	
• Identification of Eligible Recipients		X	
• Determination of Award Size		X	
• Assignment of Priority to Awards		X	
• Formally Approves Award		X	
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications		X	
Publicizes Award Availability		X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student who carries less than six credit hours of course work.	Interpretation	Yes	Yes	Yes
State Resident - A state resident is determined by the general law on the subject of domicile. A student whose family moves to Utah with the intent of taking up peramnent residence shall immediately be eligible to register as a Utah student.	Law	Yes	Not Necessary	Yes
Independent Student - A student who is not claimed as a dependent of his parent's income tax return or one who does not receive gifts exceeding $600.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - An institution whose objective is to provide services without regard for financial gain. Resources are consumed to attain service objective rather than to make a profit.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - Is measured by the student's ability to remain matriculated and is working toward a degree.	Interpretation			
United States Citizenship - Those persons who are citizens or nationals of the United States. Also included are those people who are permanent residents and who are in this country for other than a temporary purpose.	Law	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

UTAH B4

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations Recipients Terminations	Recipients Terminations	Adequate
BEOG Office	None	None	
Regional OE Student-Assistance Office (Campus-Based Programs)	None	None	
Washington OE Office (Campus-Based Programs)	None	None	
FISL/GSL Office	None	None	
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries	Summaries	Adequate
Private Institutions	Summaries	Summaries	Adequate
Governor/Budget Office	Summaries	Summaries	Adequate
State Legislature (Including Committee Staffs)	Summaries	Summaries	Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	0	Unavailable[1]
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	50	Unavailable[1]
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	10	Unavailable[1]
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	0	Unavailable[1]
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics; etc.)	40	Unavailable[1]
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	0	Unavailable[1]

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[1] Individual institutions perform this service.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

MARCH - 1976 Legislature appropriates student assistance funds

Done by institutions SEPTEMBER - 1976 Agency (or institutions) announces award winners

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes	Institution	
2. Match Against BEOG Applications?	Yes	Institution	
3. Match Against Institutional Student Records?	Yes	Institution	
4. Warnings of Prosecution?	Yes	Institution	
5. Prosecution?	Yes	Institution	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	Institution	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes	Institution	
4. Check on Academic Progress Before Award?	Yes[1]	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	Institution	
2. Match Against BEOG, Campus-Based Award Files?	Yes	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes	Institution, State	
2. Aid Officer Bonding?	Yes	Institution, State	
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?	Yes	Institution	
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?	Yes	State	
3. Double Signatures on All Fund Transactions?	Yes	State	

[1] Annual only.

UTAH C1

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors		X	X	X	X	X
2. College Financial-Aid Officers		X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X	X	X	
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X	X	X	X
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X		X	X
9. Other (Please Specify)						

COMMENTS: Institutions have predominant role in disseminating information to prospective students.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

WICHE Student Exchange Program (Utah System of Higher Education) - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. This program assists qualified Utah students in obtaining professional training in dentistry, veterinary medicine, optometry and podiatry. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The students does not receive a cash stipend.

State Normal Scholarships (Individual Public Institutions) - A tuition-remission program to provide students enrolled in teacher education with an abatement of tuition while attending public four-year and two-year institutions. The number of scholarships varies from institution as prescribed by law.

State Assistance to Dependents of Deceased/Disabled Veterans (State Department of Education) - A tuition-remission grant program to provide the education of resident unremarried widows and children of persons who were killed in action or died as a result of war service in World War II or the Korean War. Enrollment must be at public institutions.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Non Need-Based					
WICHE Student Exchange Program	Information Unavailable-----------------				Qualified Residents
State Normal Scholarships		Unavailable[1]	Unavailable[1]	Unavailable[1]	Prospective Teachers
State Assistance to Dependents of Deceased/Disabled Veterans		Unavailable	Unavailable	Unavailable	Dependents of Veterans/POW/MIA

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[1] Each institution maintains these. The number of scholarships varies from institution as prescribed by law.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Non Need-Based						
WICHE Student Exchange Program	Residents attending In/Out of State	Full-Time	Juniors Seniors Graduates	Yes	Not Applicable	Not Applicable
State Normal Scholarships	Residents and Non-Residents attending In-State Schools	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
State Assistance to Dependents of Deceased/Disabled Veterans	Residents attending In-State	Part-Time	All Undergraduates	No	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Non Need-Based					
WICHE Student Exchange Program	Public Private	No	No	No	No
State Normal Scholarships	Public	Public	No	No	No
State Assistance to Dependents of Deceased/Disabled Veterans	Public	Public	Public	No	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?

INFORMATION NOT APPLICABLE

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Non Need-Based				
WICHE Student Exchange Program	$9,000 per student per year	By WICHE agreement	Unavailable	Board of Regents & Commissioners of Higher Education
State Normal Scholarships	Tuition	Tuition	By President of the institution	Institution
State Assistance to Dependents of Deceased/Disabled Veterans	Tuition/ Books/ Supplies	Cost of tuition, books and supplies.	Widow or child of veteran killed in action or who died as a result of war service in WW II or Korean War.	State

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

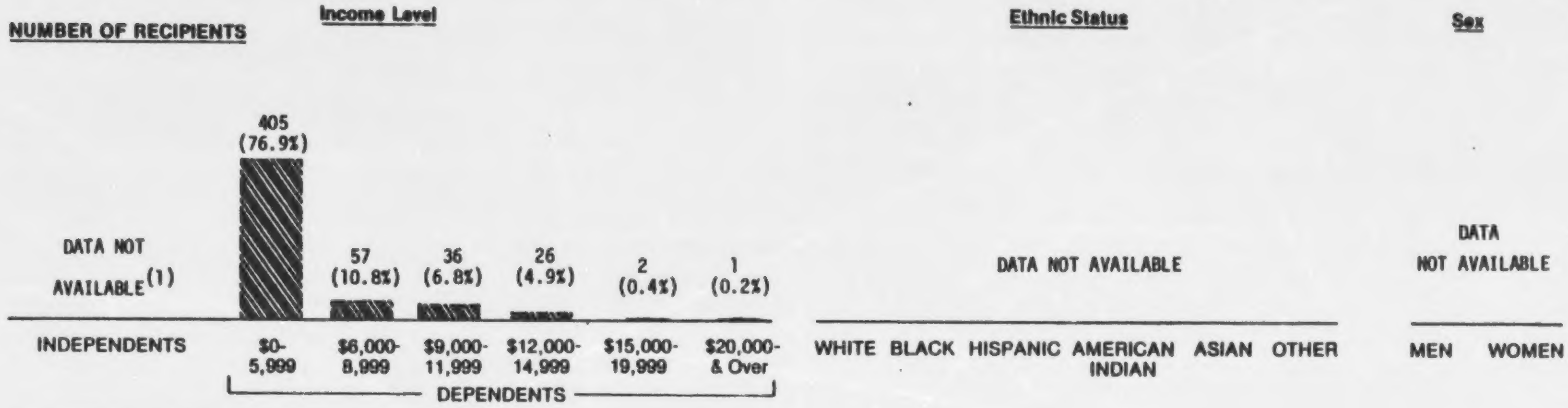
F2

UTAH

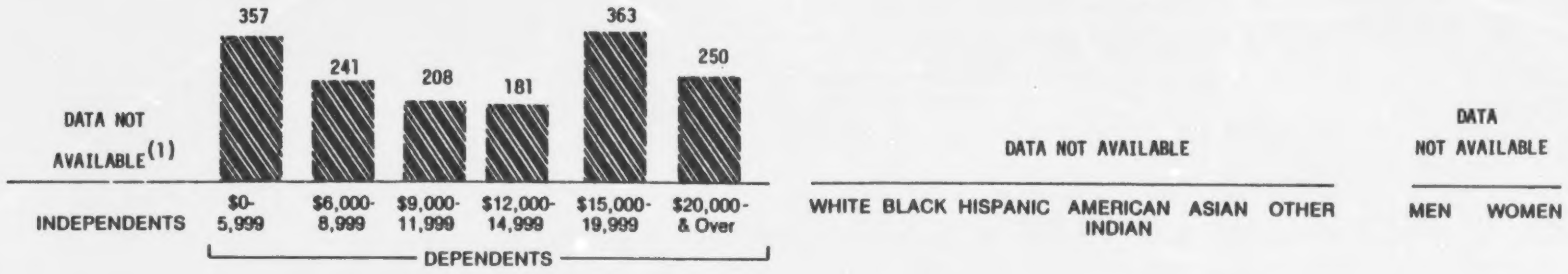
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANTS

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

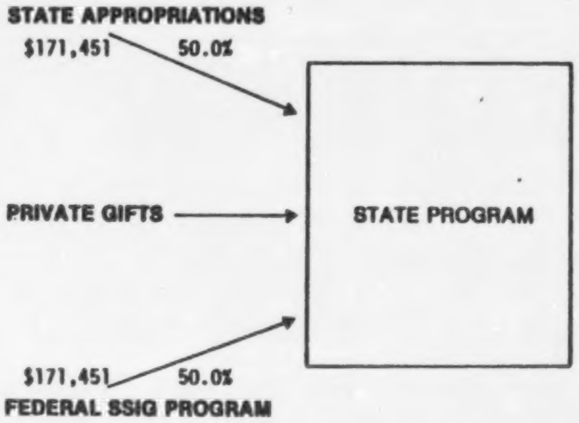


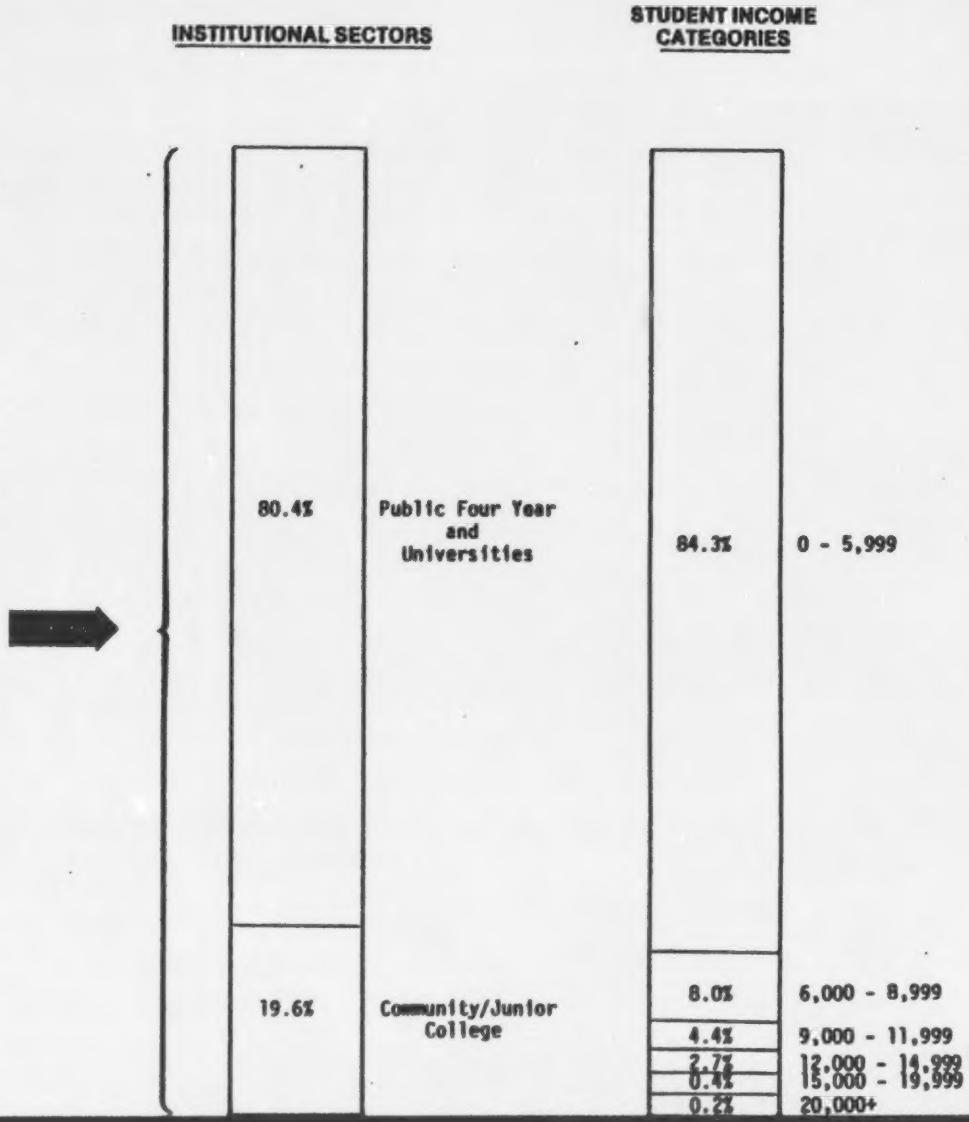
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STUDENT INCENTIVE GRANTS



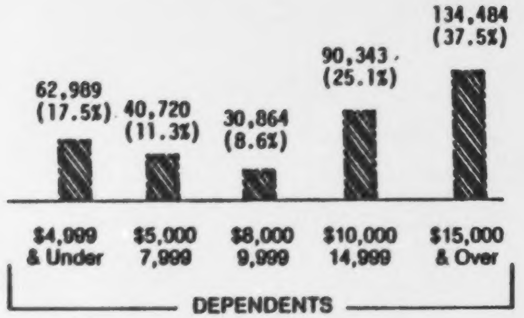


These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

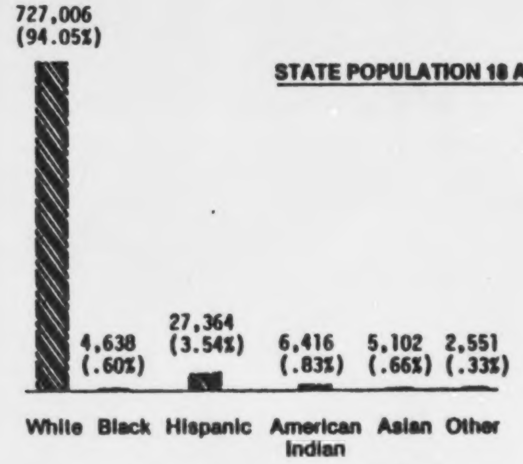
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

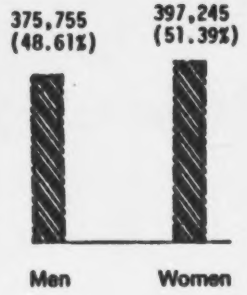


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

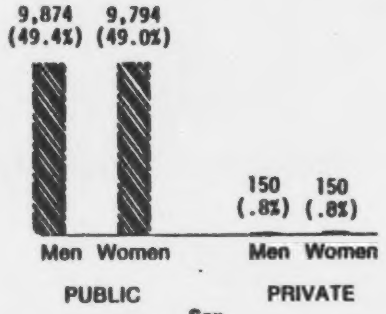


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 464 persons granted a high school equivalency certificate nor 1,260 persons graduated from other than regular day school programs.

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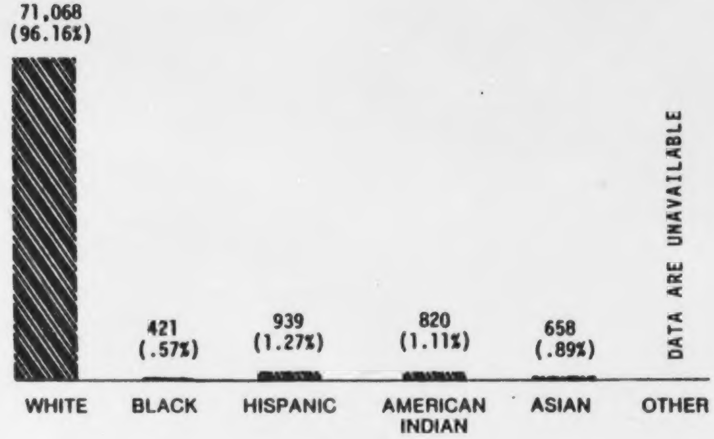
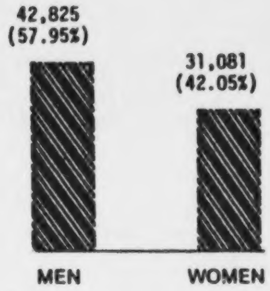
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

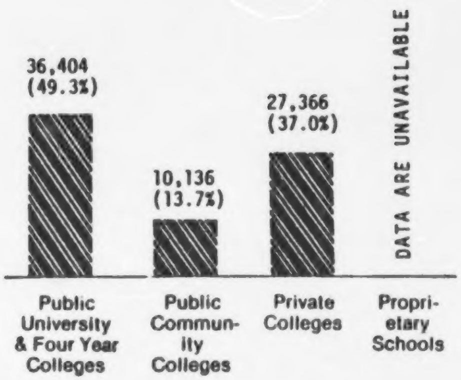
UTAH H2



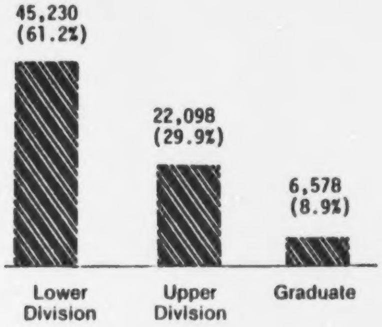
Ethnic Status



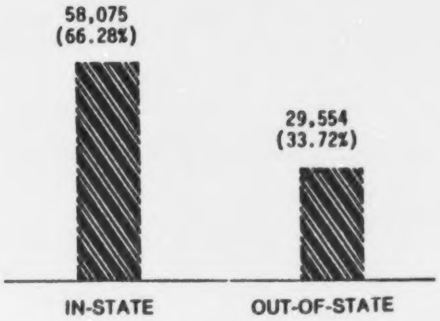
Sex



Institutional Sector

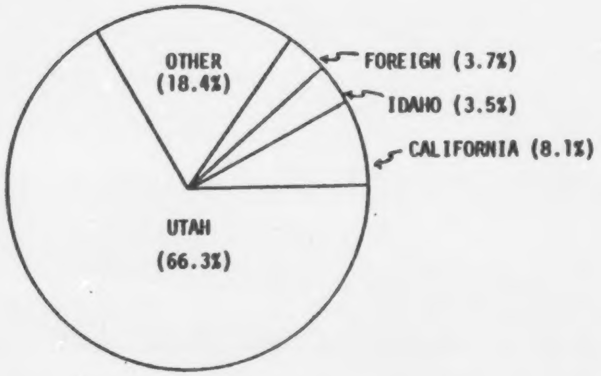


Student Level

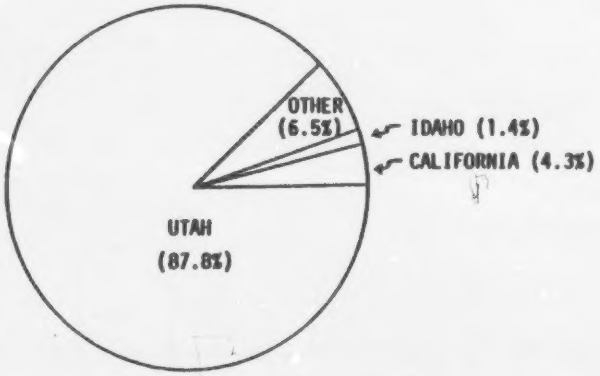


Residency

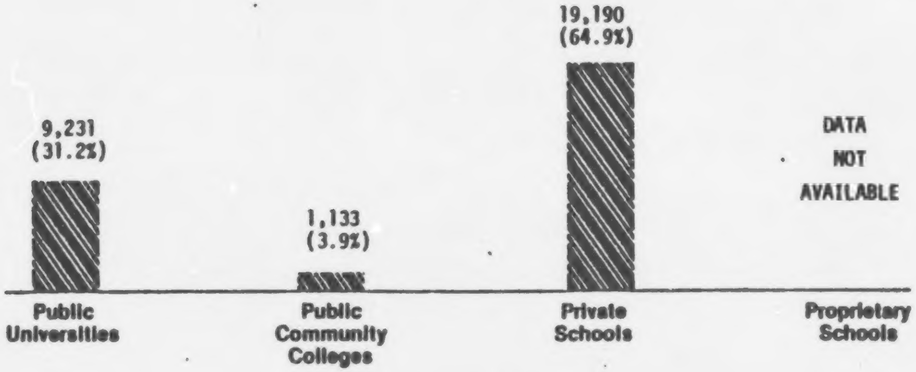
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



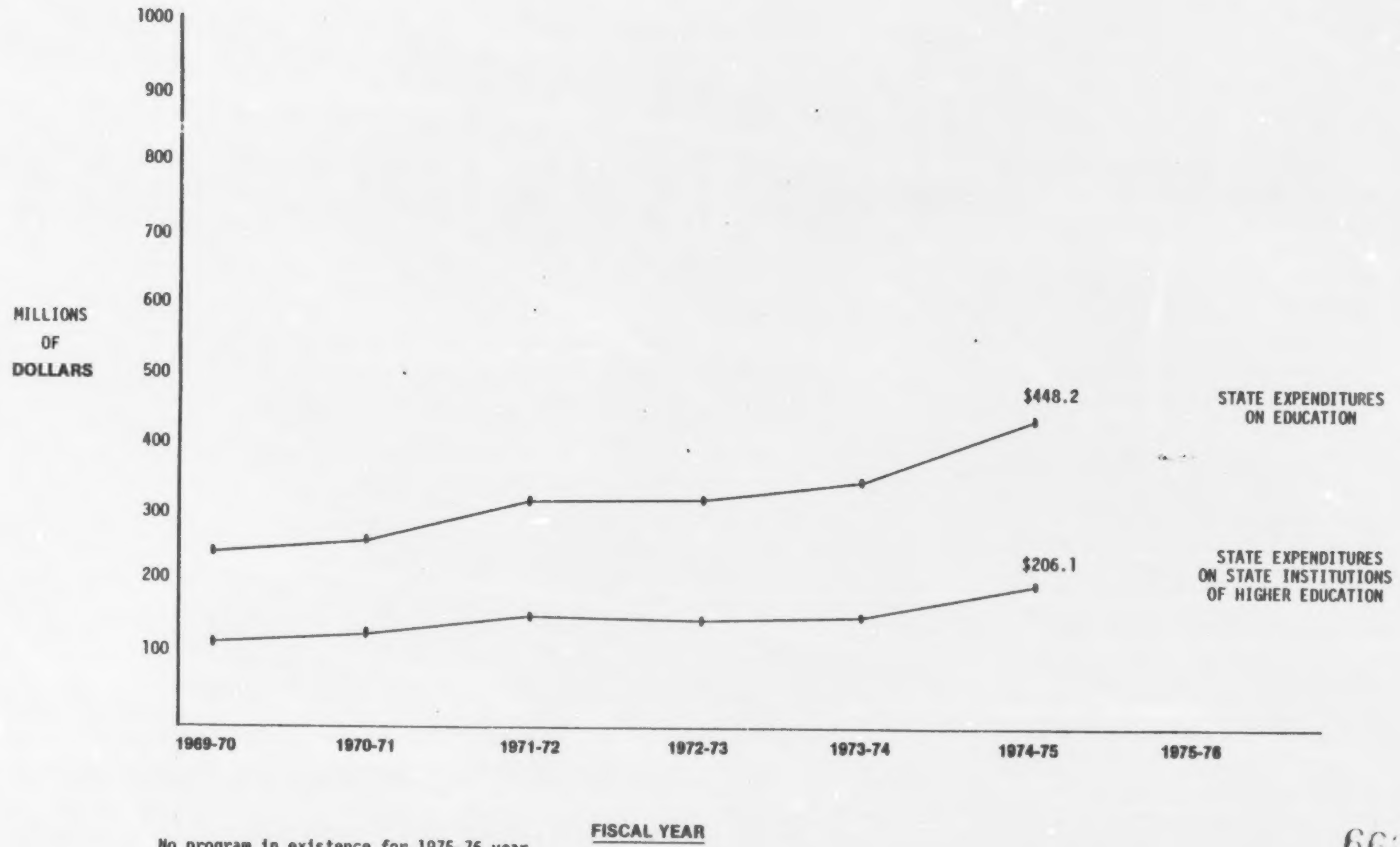
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

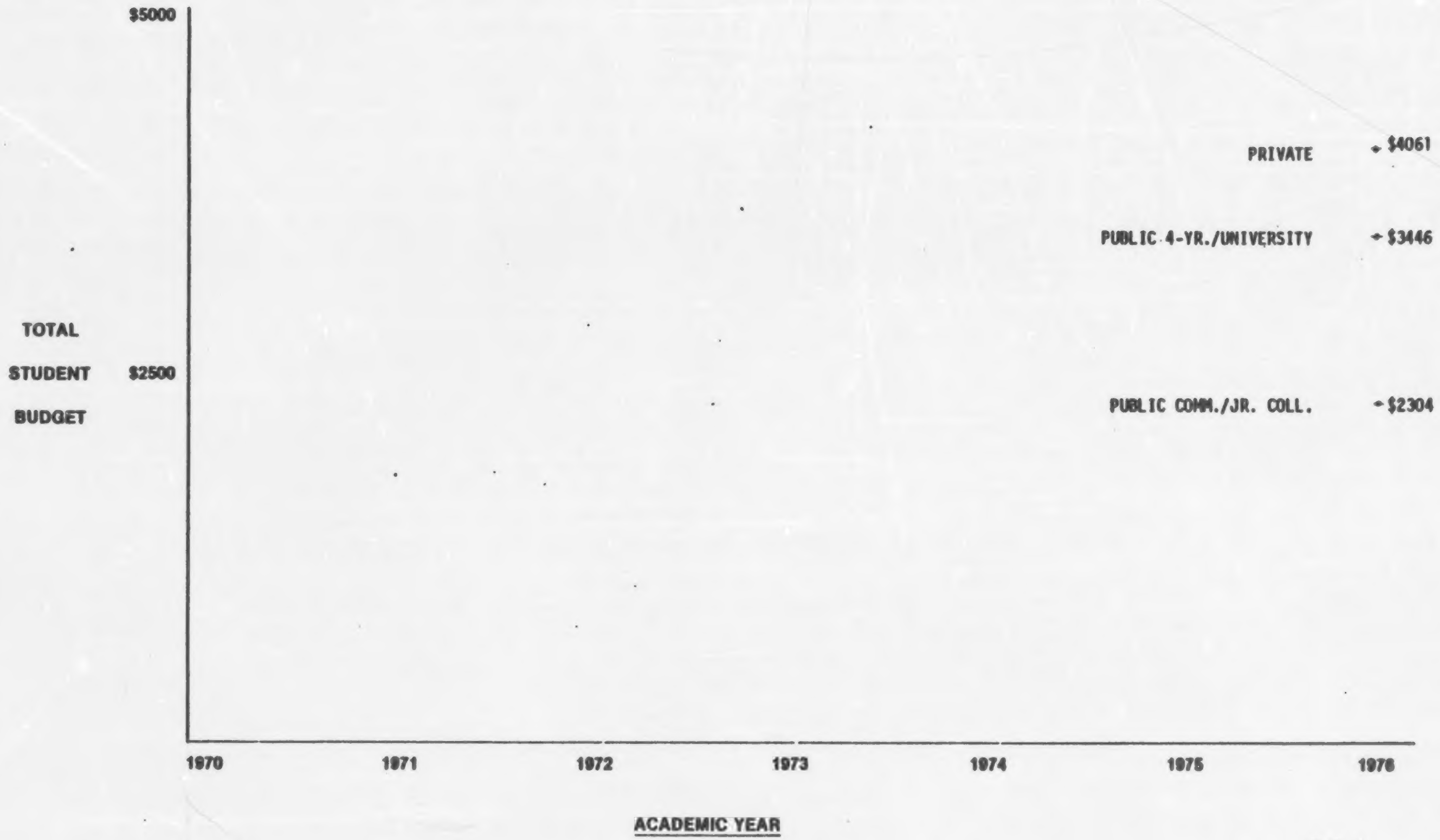
(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



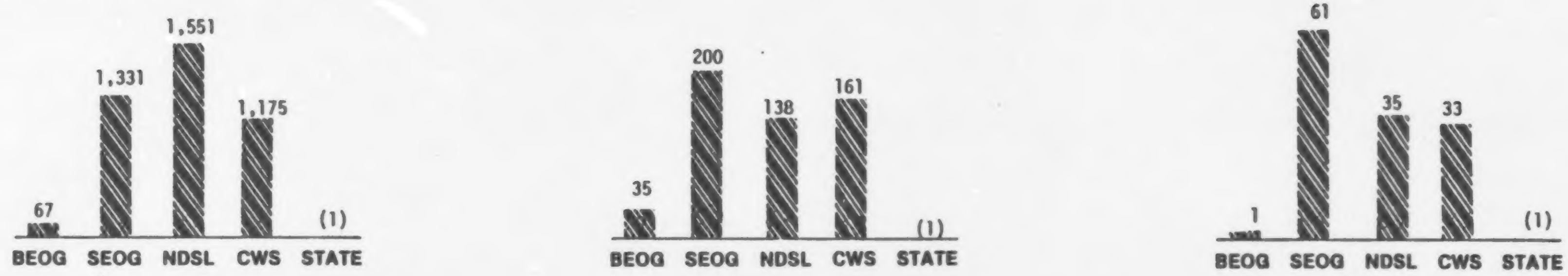
No program in existence for 1975-76 year.

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



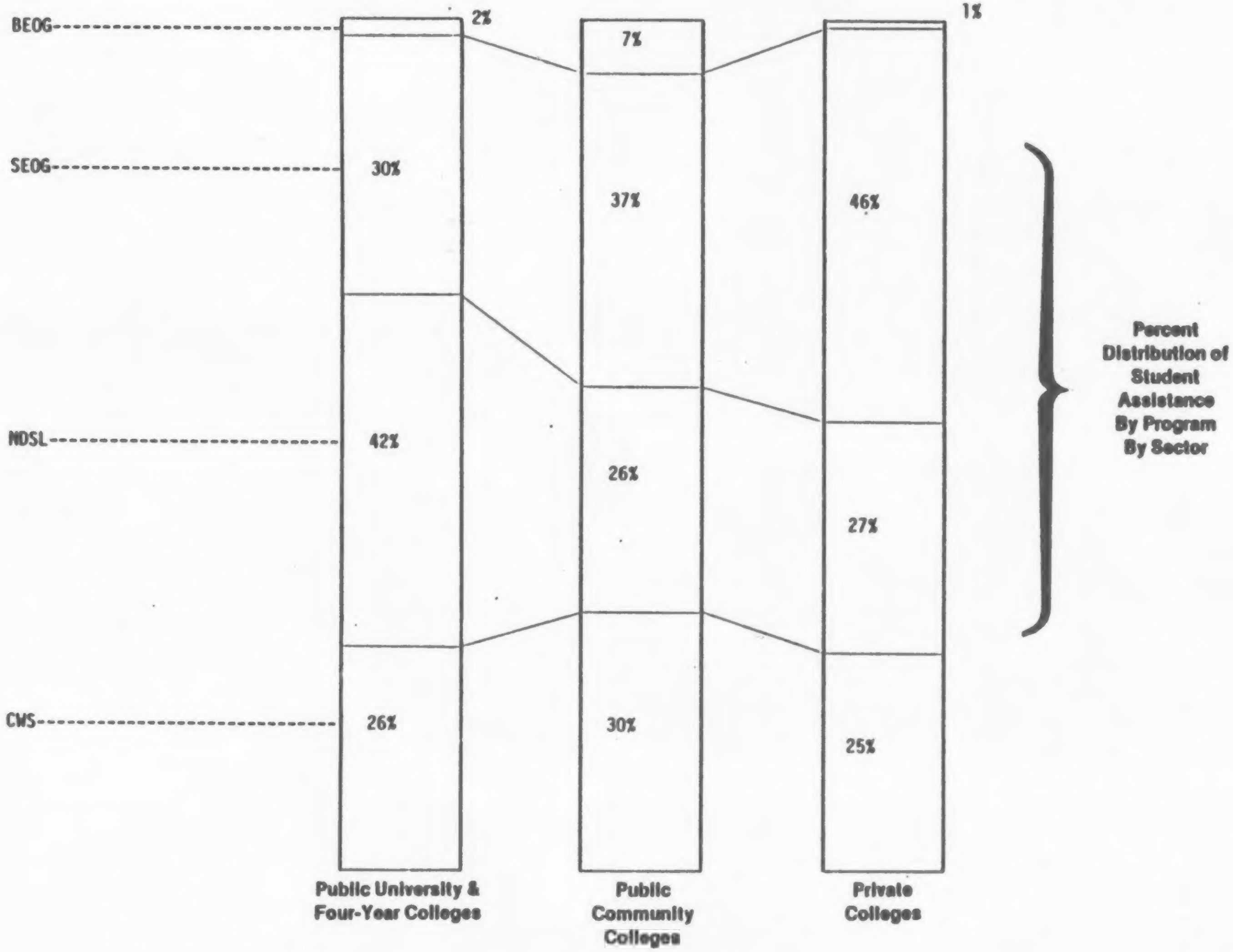
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



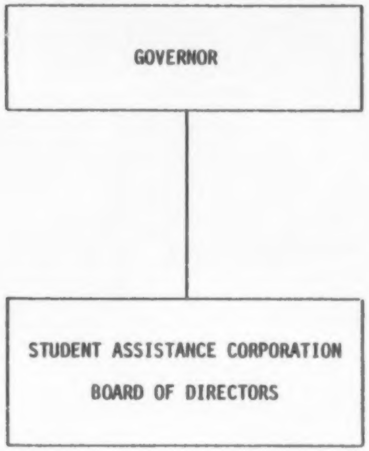
The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Vermont Student Assistance Corporation is directly under the governor and has a Board of Directors.

1. Incentive Grant Program
2. Honor Scholarships
3. National Guard Scholarships
4. Guaranteed Student Loan

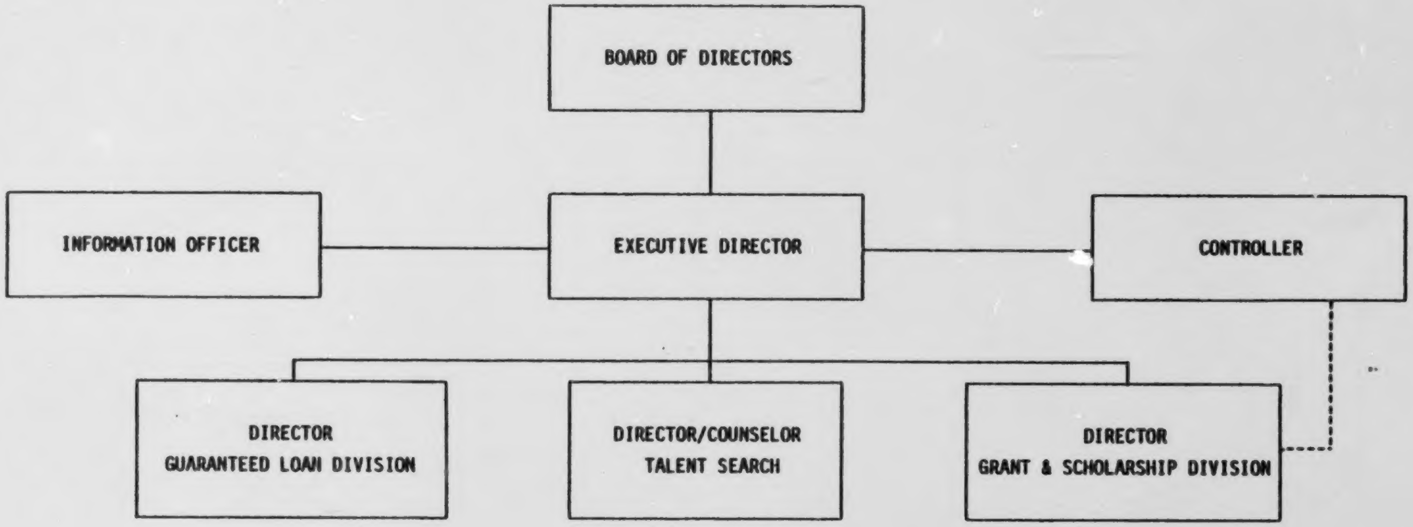
RELATIONSHIPS OF STATE AGENCIES



All other relationships of agencies within the state are on a voluntary basis.

STATE AGENCY ORGANIZATION

VERMONT STUDENT ASSISTANCE CORPORATION



MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X[1]		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	X

State agency sends one check to each institution with roster for the purpose of crediting individual student accounts.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student[1]	Board Policy			Yes
State Resident[1]	Law			Yes
Independent Student[1]	Board Policy			
Non-Profit Institution - None Necessary				
Satisfactory Academic Progress - Student must be enrolled full-time in a degree granting program.	Interpretation			
United States Citizenship[1]	Board Policy	Yes	Yes	Yes

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[1] No half-time student eligible for program

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

VERMONT B4

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Regulations Summaries	Adequate
BEOG Office	None	Summaries Recipients Applications	Adequate[1]
Regional OE Student-Assistance Office (Campus-Based Programs)	None	None	Inadequate[2]
Washington OE Office (Campus-Based Programs)	None	None	Inadequate[2]
FISL/GSL Office	Summaries Applications	Summaries Litigation	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	All student financial information for award determination	Financial aid package and enrollment status	Adequate
Private Institutions	All student financial information for award determination	Financial aid package and enrollment status	Adequate
Governor/Budget Office	Budget Needs and Projections	Budget Planning Information	Adequate
State Legislature (Including Committee Staffs)	Budget Needs Projections Program Status	Funding	Adequate

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[1] Could provide tax verified information on common applications.

[2] Would like to receive all copies of all institutional mailings.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	60[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	20[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	2[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	5[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	3[1]	Includes disbursement of funds, tax verification.

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1974-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
JUNE - 1974	Agency budget presented to governors office or budget bureau
JANUARY - 1975	Agency budget presented to legislature
APRIL - 1975	Legislature appropriates student assistance funds
JANUARY - 1976	Agency makes student-aid applications available
FEBRUARY - 1976	Agency completes planning workshops for students or counselors
MARCH - 1976	1) Deadline for student-aid applications 2) BEOG applications information received from federal office
MAY - 1976	Agency (or institutions) announces award winners
SEPTEMBER & JANUARY - 1976-77	Disbursal of awards
JUNE - 1977	Last date at which award changes and adjustments are made

Parents Night-All Vermont high schools run from October to February of each year

*Bi-annual cycle.

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, All	State	Notification of institutional aid officer, adjustment or repayment of state grant if necessary.
2. Match Against BEOG Applications?	Yes, All	State	
3. Match Against Institutional Student Records?	Yes, All	State	
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	State	Cancel award.
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	State	Adjust state award accordingly.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, All	State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?	Yes, All	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	
2. College Financial-Aid Officers	X	X	X		X	
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	
5. College Admissions Office	X	X	X		X	
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X	X	X	
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Incentive Grant Program (Vermont Student Assistance Corporation) - A need-based grant program to provide "access with some choice" to needy Vermont residents desiring undergraduate study attendance at UVM College of Medicine or any approved veterinary school.

Honor Scholarships (Vermont Student Assistance Corporation) - A scholarship which awards $100 to the top scholastic senior at each Vermont high school who plans to attend a postsecondary institution.

National Guard Scholarships (Vermont Student Assistance Corporation) - A non need-based program which provides scholarships to the children of members of the Vermont National Guard who, since 1955, have been killed while on active or inactive duty. Recipients must be residents attending Vermont public schools.

Vermont Guaranteed Student Loan (Vermont Student Assistance Corporation) - A non need-based program which provides for the guarantee of loans disbursed to resident students by participating lending institutions in accordance with the provisions of the Guaranteed Student Loan Program.

Vermont Senatorial Scholarships (State Finance Division) - A grant program which provides assistance to needy resident students pursuing postsecondary education.

Vermont Enlisted Men's Scholarship (Vermont National Guard) - A need-based grant program which provides a semester's tuition at an in-state public college or university for a member of the National Guard who re-enlists for a period of three years or for a person who enlists for six years.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Incentive Grant Program	1970-71	2,946	$ 435	$1,280,250	Resident Students
	1975-76	4,707	$ 577	$2,703,383	
Vermont Senatorial Scholarships	1970-71	Information Unavailable			Resident Students
	1975-76	Information Unavailable			
Vermont Enlisted Men's Scholarship	1973-74	Unavailable		$ 6,000	Members of Vermont National Guard
	1975-76	Unavailable		$ 6,000	
Non Need-Based					
Honor Scholarships	1970-71	96	$ 100	$ 9,600	Resident Freshmen Students
	1975-76	77	$ 100	$ 7,700	
National Guard Scholarships	1972-73	2	$ 500	$ 1,000	Resident Dependents of National Guardsmen Killed During Active or Inactive Duty Since 1955
	1975-76	4	$ 725	$ 1,430	
Vermont Guaranteed Student Loan	1970-71	772	$ 978	$ 755,201	Resident Students
	1975-76	3,176	$1,193	$3,788,739	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Incentive Grant Program	Residents attending In/Out of State	Full-Time	All	No	Not Applicable	$200
Vermont Senatorial Scholarships	Residents attending In/Out of State	Half-Time Full-Time	All	Note 1	Not Applicable	-0-
Vermont Enlisted Men's Scholarship	Residents attending In/Out of State	Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Non Need-Based						
Honor Scholarships	Residents attending In/Out of State	Half-Time Full-Time	Freshmen	Not Applicable	Not Applicable	Not Applicable
National Guard Scholarships	Residents attending In/Out of State	Half-Time Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Vermont Guaranteed Student Loan	Residents attending In/Out of State	Half-Time	All	Not Applicable	Not Applicable	Not Applicable

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[1] Depends upon Senator.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Incentive Grant Program	Public Private	Public Private	Public	Yes[2]	No
Vermont Senatorial Scholarships	Public Private	Public	No	No	Graduate and Nursing Programs[1]
Vermont Enlisted Men's Scholarship	Public	No	No	No	No
Non Need-Based					
Honor Scholarships	Public Private	Public Private	Public	Yes	No
National Guard Scholarships	Public	Public	No	No	No
Vermont Guaranteed Student Loan	Public Private	Public	Public	Yes[2]	No

---

[1] Out-of-state.

[2] Except correspondence schools.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Incentive Grant Program	Information Not Given	Yes	ACT - Uniform Methodology	General Student Costs
Vermont Senatorial Scholarships	As determined by the County Senator	No	Depends on Senator	Tuition
Vermont Enlisted Men's Scholarship	Need = Parents contribution + Other grants	No	ACT	Tuition/Fees

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Incentive Grant Program	$1,650[1]	At least 53% of educational cost up to $1,500 maximum for public ($1,650 private).		State[2]
Vermont Senatorial Scholarships	$ 300	By County Senator--Minimum $100; Maximum $300.	By County Senator	County Senator
Vermont Enlisted Men's Scholarship	$ 500	Cannot exceed greater of $500 or actual tuition and fees charged.	(1) Need; (2) Performance; (3) Recommendations.	Vermont National Guard
Non Need-Based				
Honor Scholarships	$ 100	$100 to every qualified applicant. Maximum number of awards = 100.	One recipient per Vermont High School--the top scholastic student.	State Law
National Guard Scholarships	$1,200	Equal to full tuition at a Vermont public institution.	All eligible applicants are guaranteed funds.	State Law
Vermont Guaranteed Student Loan	$2,500-Undergraduate; $5,000-Graduate	Based upon school recommendation which takes into consideration educational costs less financial aid and parent's contribution (where applicable). The lender determines the amount of the loan.	Awards are made at the discretion of the lender.	State and Lender

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[1] Includes $150 Vermont private college tuition differential grant.

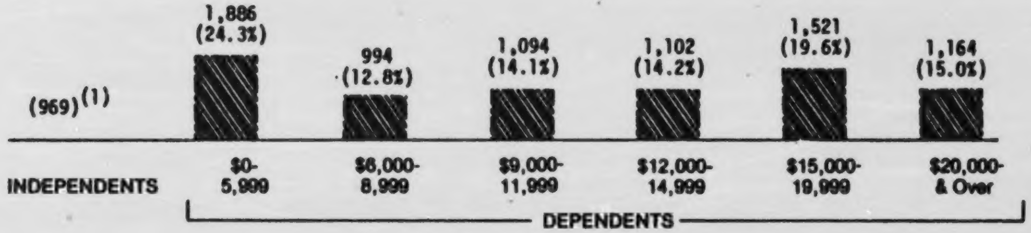
[2] Vermont Student Assistance Corporation.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

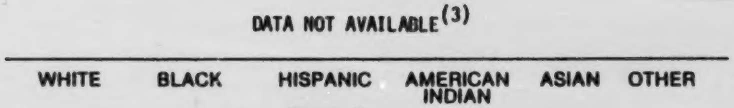
VERMONT F1

THESE FIGURES FOR THE 1975-76
SCHOOL YEAR PERTAIN TO:

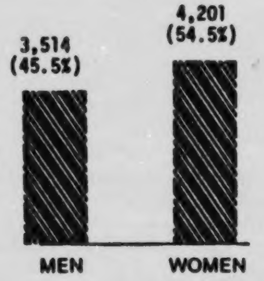
- INCENTIVE GRANT PROGRAM



ncome Level (2)



Ethnic Status



Sex (2)

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(1) The "Independent" number was also included and, therefore, calculated in the "Dependent" income categories.
(2) There is a discrepancy in the total applicants by "Income" and by "Sex." The data used was supplied in the NASSGP Survey.
(3) Application forms do not contain questions pertaining to racial/ethnic origin.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

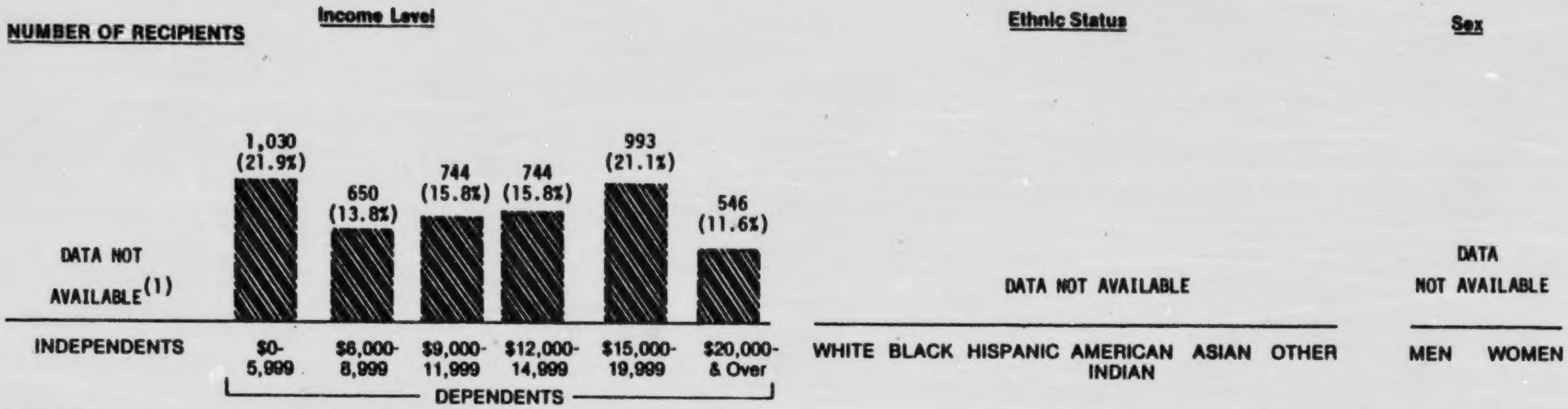
F2

VERMONT

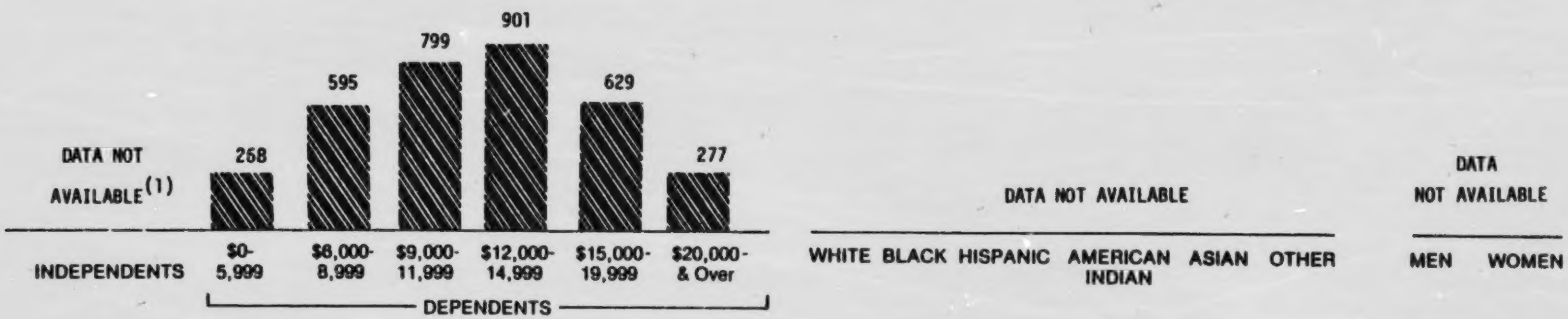
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- INCENTIVE GRANT PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

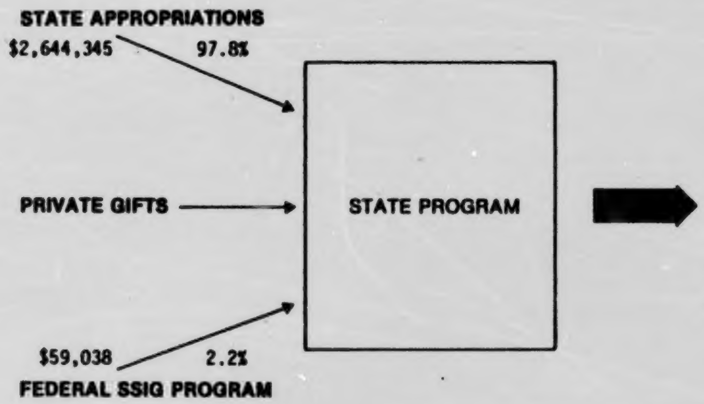


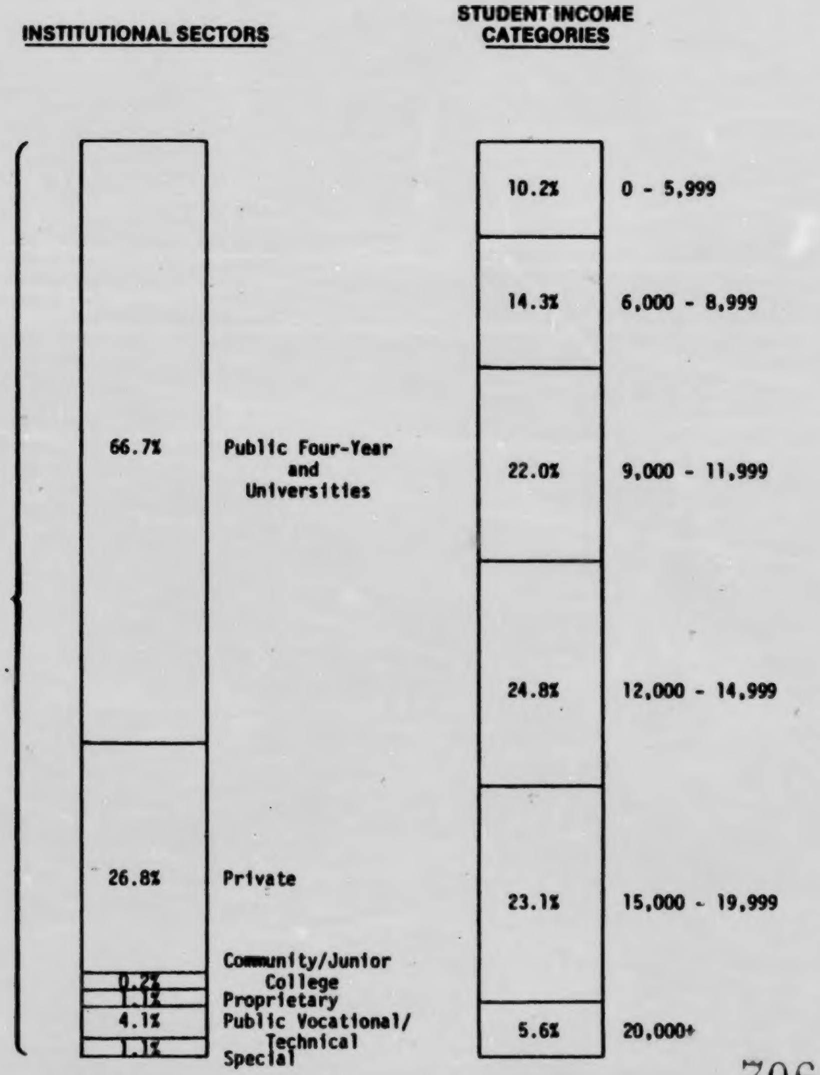
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- INCENTIVE GRANT PROGRAM

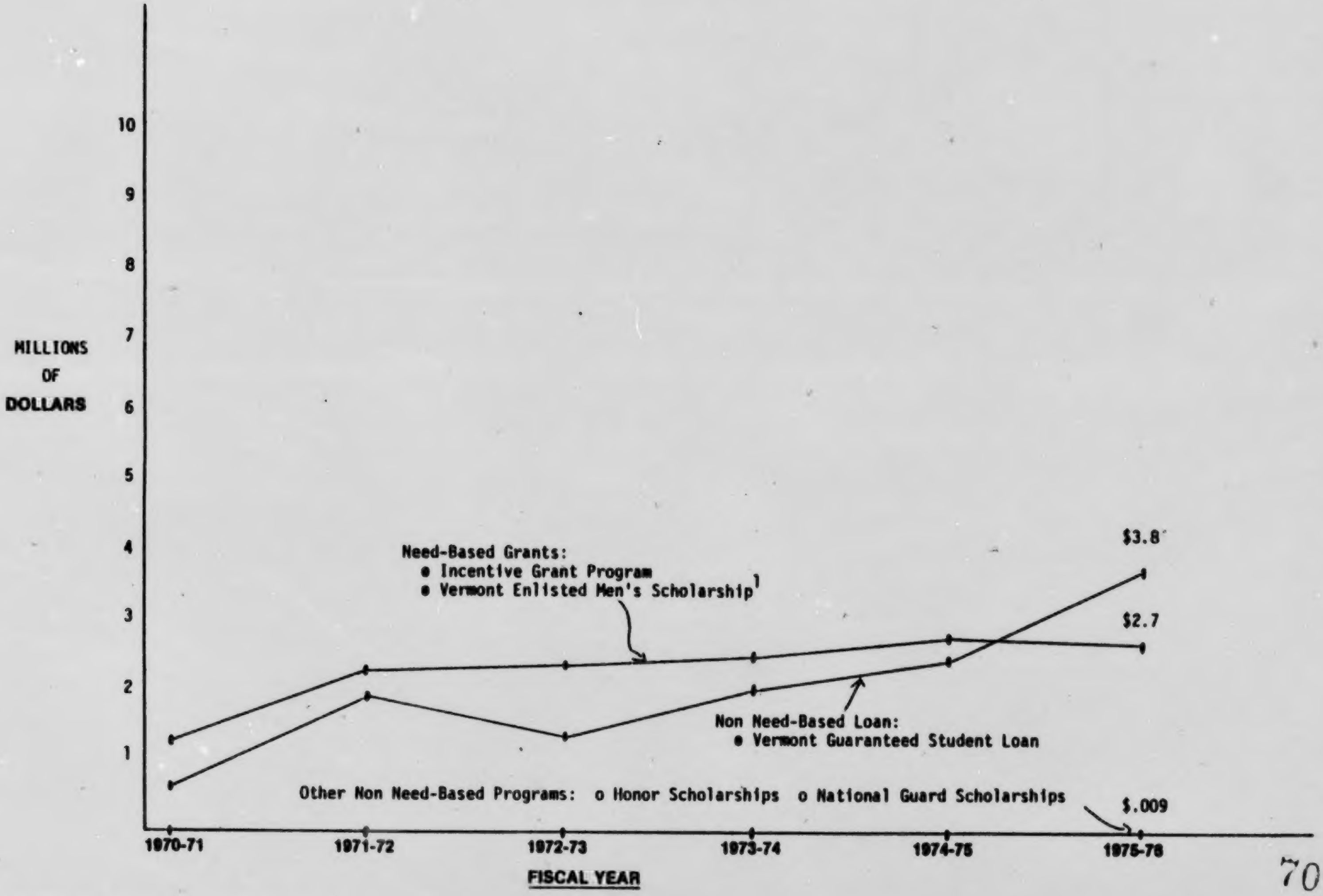




The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

The distribution of dollars by institutional sector includes only awards made to resident students attending in-state schools; 1,074 awards were made to resident students to attend out-of-state institutions, but the data did not distinguish the types of institutions attended.

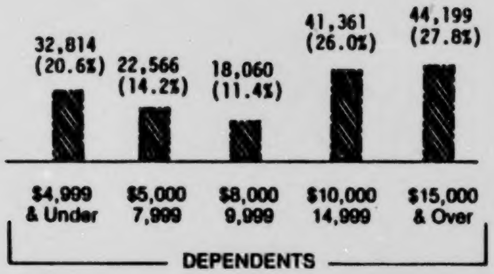
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1973-74 first year of program.

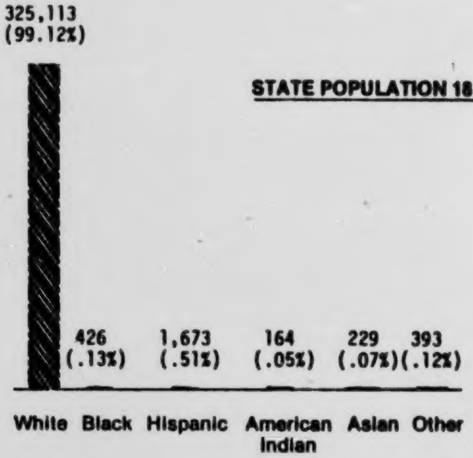
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

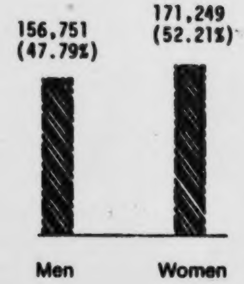


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

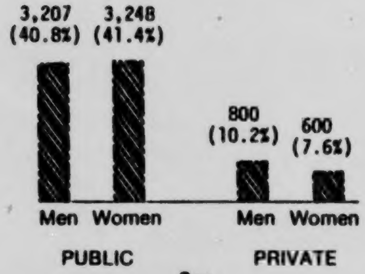


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 636 persons granted a high school equivalency certificate.

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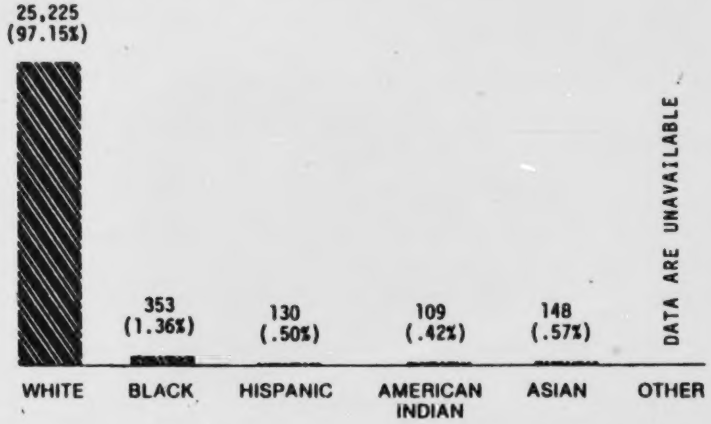
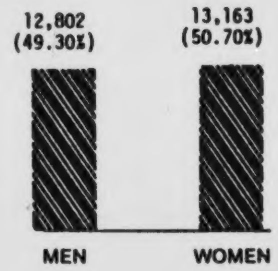
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

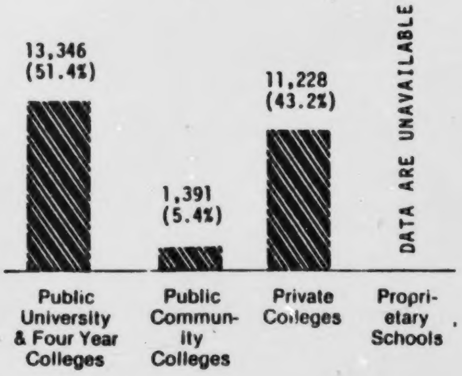
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



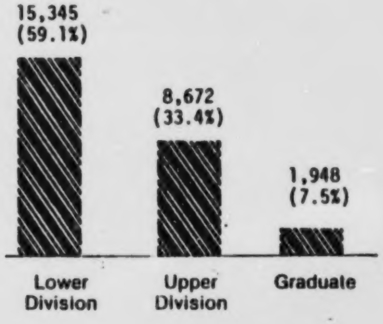
Ethnic Status



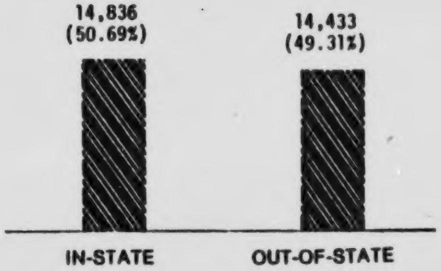
Sex



Institutional Sector

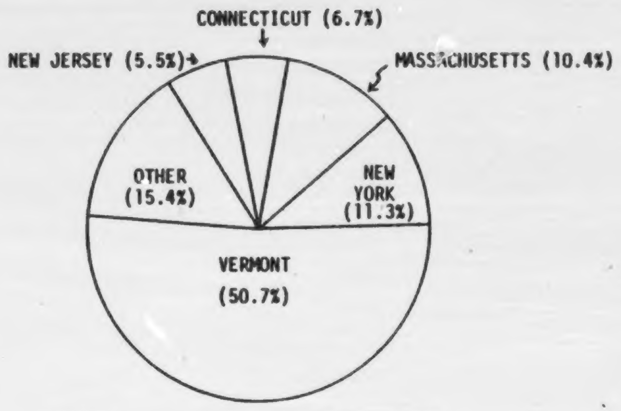


Student Level

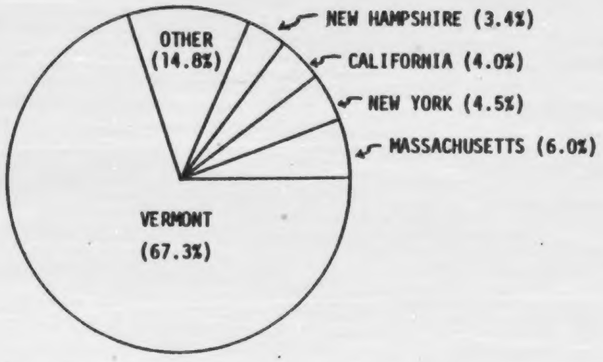


Residency

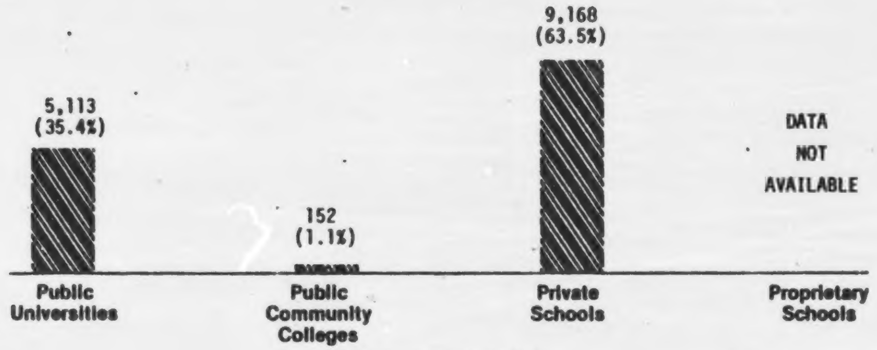
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



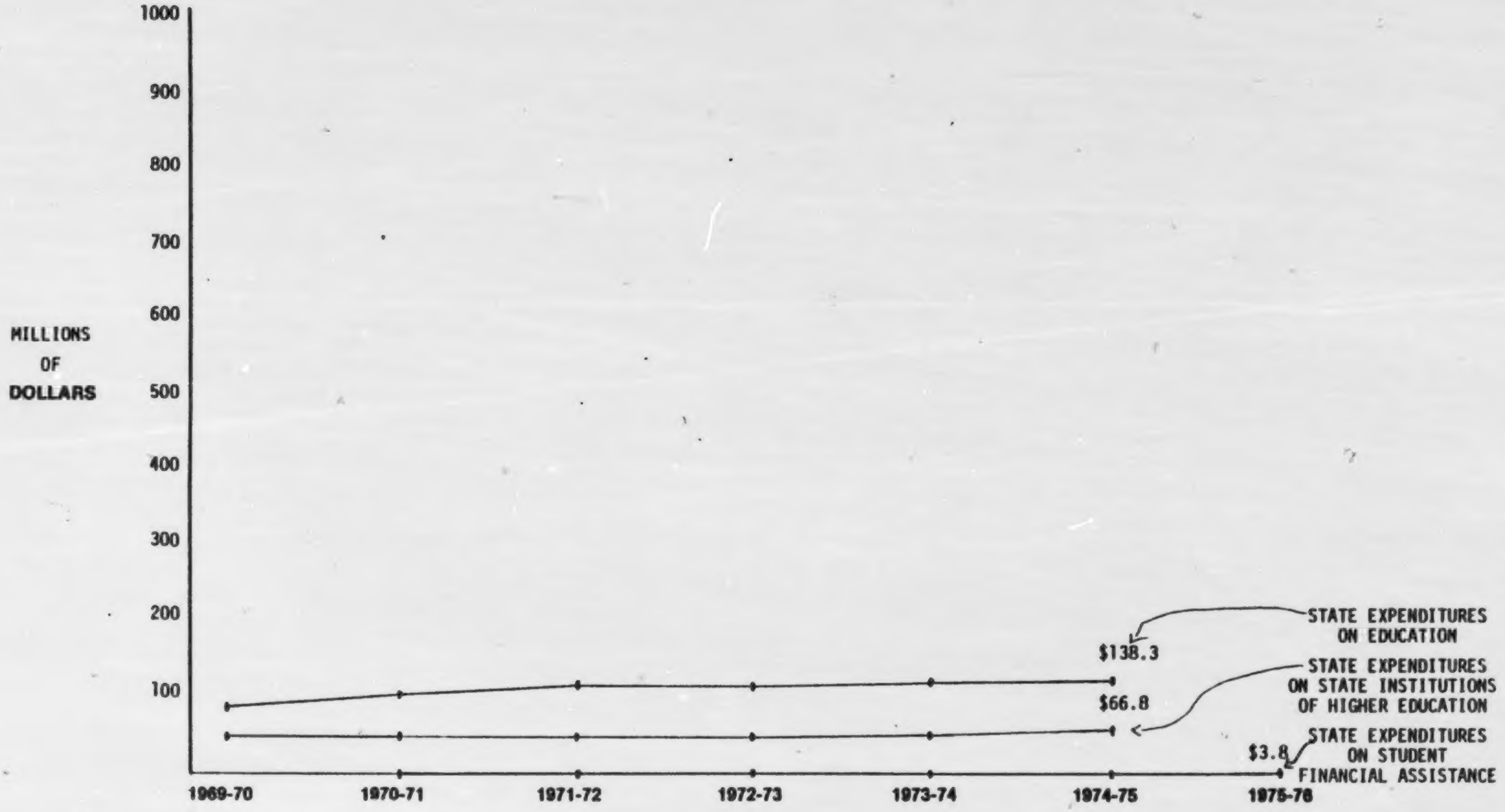
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

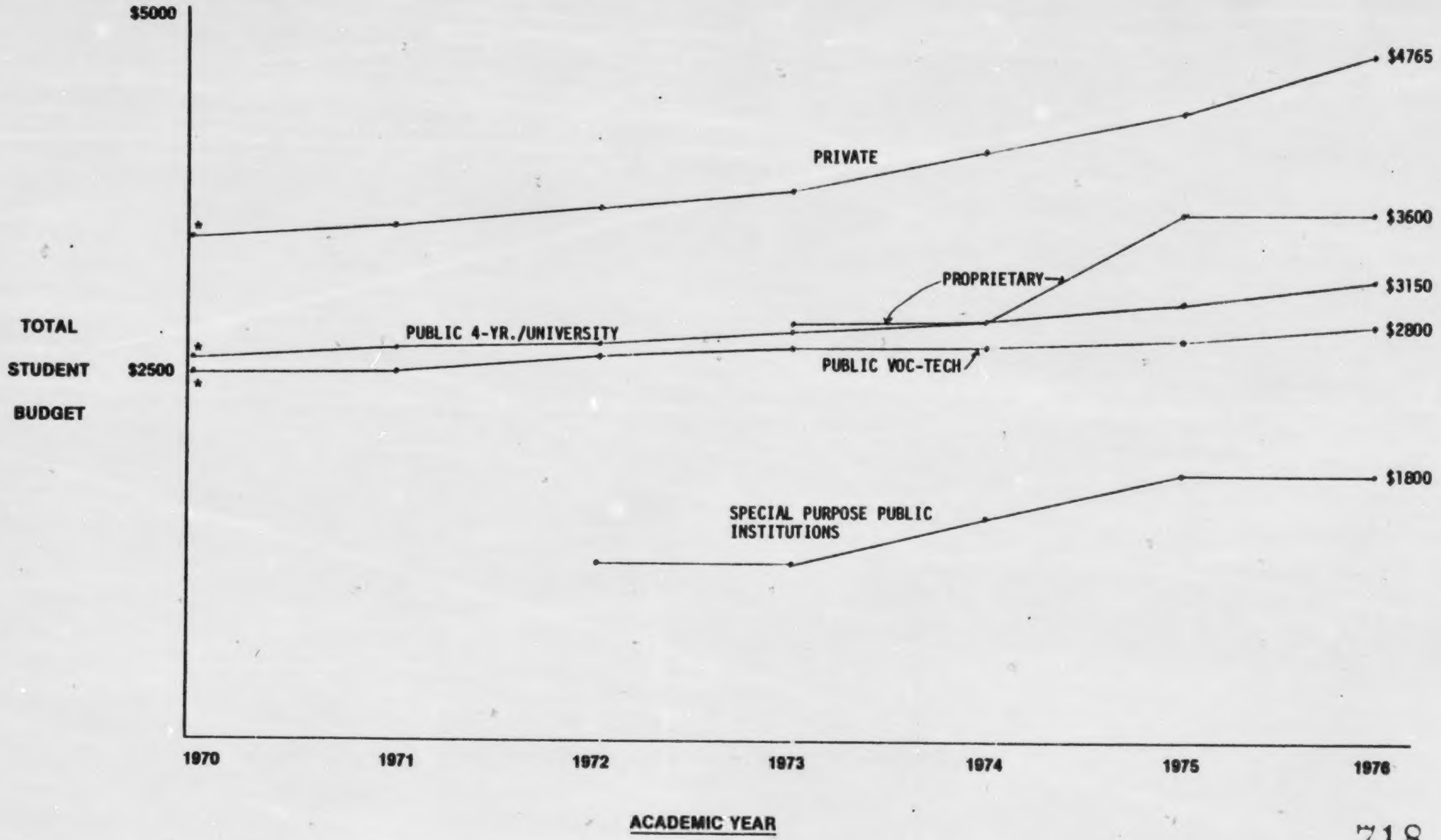
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- INCENTIVE GRANT PROGRAM
- VERMONT ENLISTED MEN'S SCHOLARSHIP
- HONOR SCHOLARSHIPS
- NATIONAL GUARD SCHOLARHIPS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



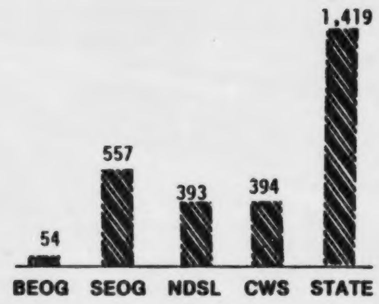
* Response is based on a reasonable estimation or approximation.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

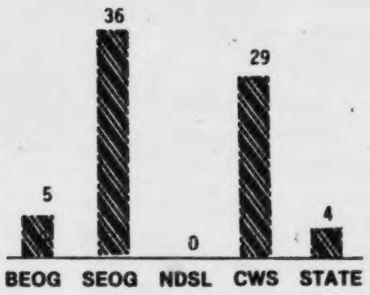
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

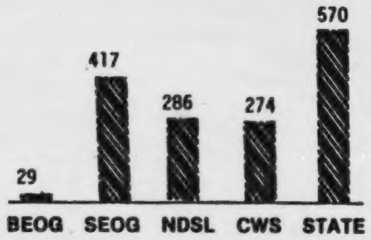
- INCENTIVE GRANT PROGRAM



Public University and Four-Year Colleges



Public Community Colleges

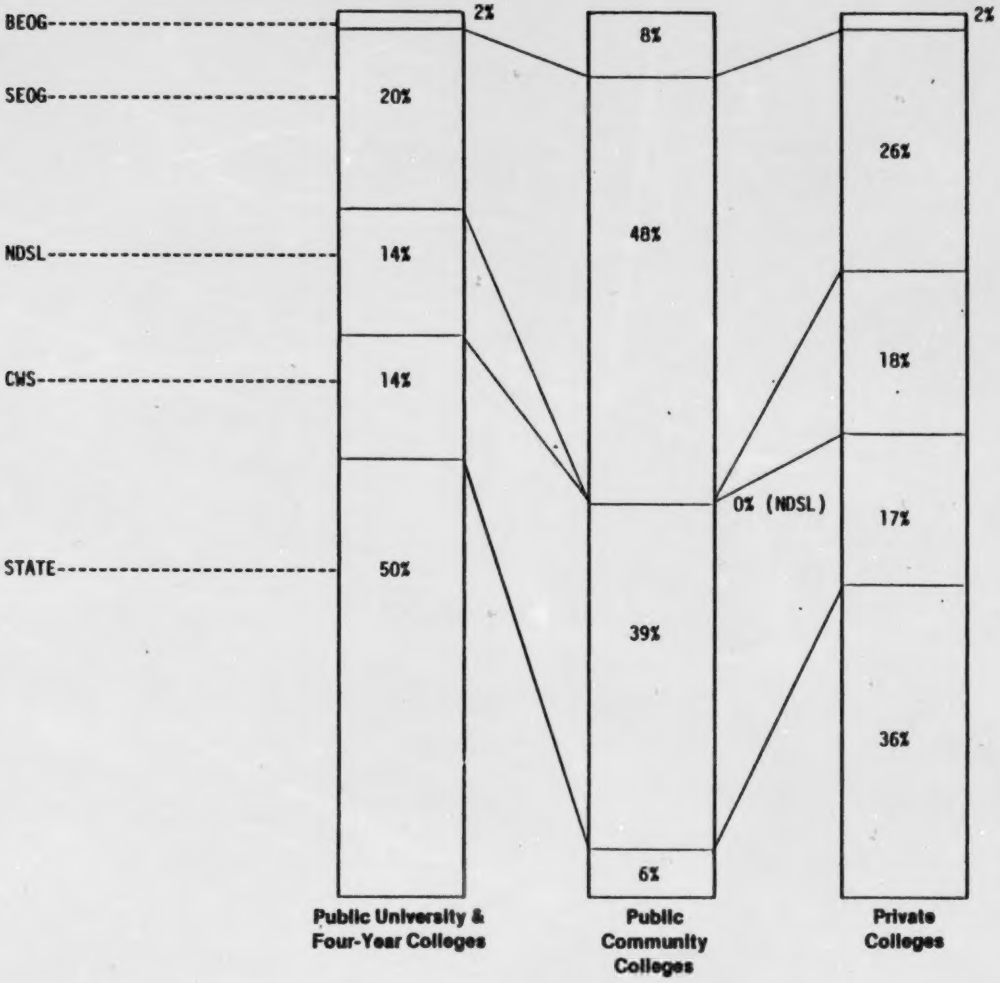


Private Colleges

State funds were also awarded to the following types of institutions:

Proprietary	- $23,250
Public Vocational	- $86,345
Special	- $24,375

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- INCENTIVE GRANT PROGRAM

Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of institutions:

• Proprietary	- $23,250
• Public Vocational	- $86,345
• Special	- $24,375

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

State Council of Higher Education for Virginia

1. College Scholarship Assistance Program
2. Tuition Assistance Grant and Loan Program

State Department of Education

1. State Teachers Scholarships

State Department of Health

1. Nursing and Dental Hygienist Scholarships

State Education Assistance Authority

1. Virginia Guaranteed Student Loan Program

Virginia Commonwealth University and the University of Virginia

1. Virginia Medical and Dental Scholarships

Director of Division of War Veterans' Claims

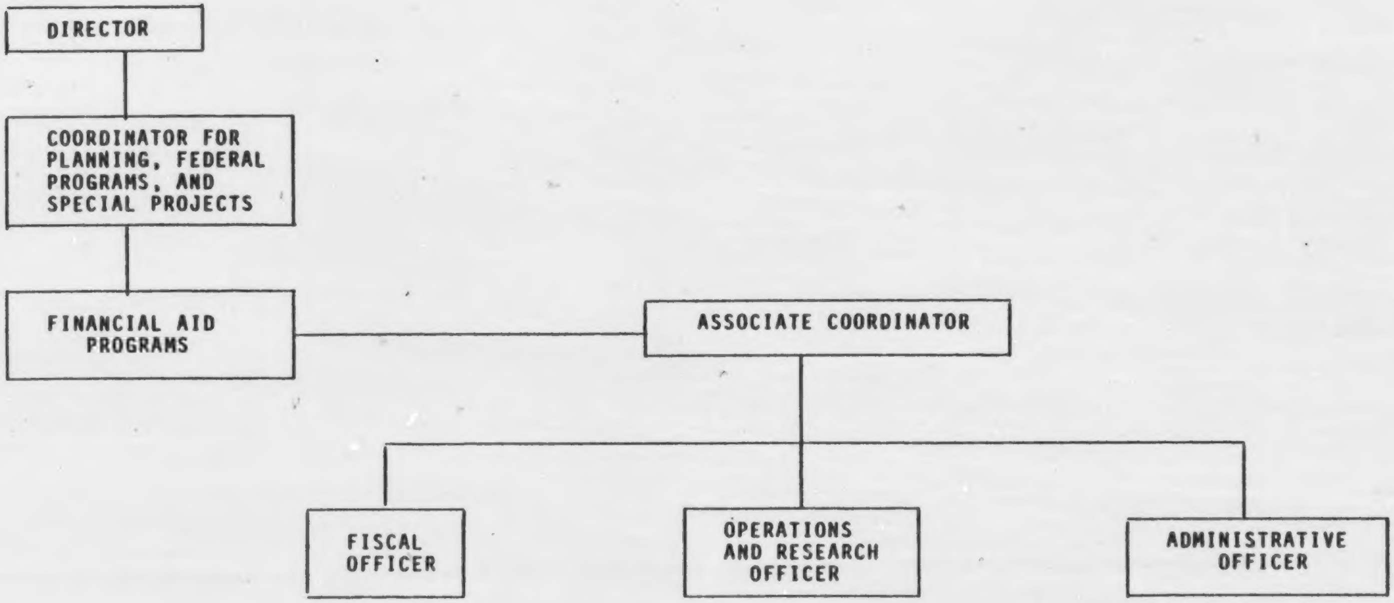
1. State Assistance to Children of Veterans

RELATIONSHIPS OF STATE AGENCIES

Independent legal entities; however, institutions are coordinated by the council of higher education. The agencies involved in the program are the State Council of Higher Education, the State Department of Health, Virginia Education Loan Authority, State Education Assistance Authority and the Division of War Veterans' Claims.

The Council of Higher Education is advisory to both the Governor and the General Assembly (legislature) for all matters pertaining to higher education. With regard to student aid, it reviews and makes recommendations regarding the institutions' budget requests for student aid, and, in addition, administers the State's two statewide aid programs, including the need-based program. The Council, the Virginia Education Loan Authority, and the State Education Assistance Authority are separate state agencies grouped, along with the institutions, under the state Secretary of Education. Each of the three agencies has its own controlling board. The State Department of Health likewise has its own board of control and reports to the Governor through the Secretary of Human Affairs. The Division of War Veterans' Claims is a small agency under the Secretary of Administration.

STATE AGENCY ORGANIZATION


DIRECTOR
COORDINATOR FOR PLANNING, FEDERAL PROGRAMS, AND SPECIAL PROJECTS
FINANCIAL AID PROGRAMS
ASSOCIATE COORDINATOR
FISCAL OFFICER
OPERATIONS AND RESEARCH OFFICER
ADMINISTRATIVE OFFICER

The Council is dependent, in the administration of its aid programs, upon the Attorney General's Office for legal counseling and upon the Management and Systems Analysis Department for the consideration of data processing services.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

VIRGINIA B2

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	Send funds to institution on students behalf		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	State Council		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - is a student carrying less than 12 credit hours in each term.	Interpretation	X	X	X
Domiciliary of Virginia - one who resides in Virginia with the unqualified intention of remaining in Virginia indefinitely. One cannot be a domiciliary of Virginia if one resides in Virginia solely for the purpose of securing an education.	Law	X	X	X
Independent Student - For prior 12 months, has not: lived with parents; been claimed as dependent; or received more than $600.	Law	X	X	X
Non-Profit Institution - One operated by one or more non-profit corporations or associations no part of the net earnings of which inures, or may lawfully inure, to the benefit of any private shareholder.	Law	X	X	X
Satisfactory Academic Progress - Institutional policy.	Interpretation	X	X	X
United States Citizenship - Born in U.S. or Naturalized Citizen.	Law	X	X	X

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Summaries Regulations	Adequate
BEOG Office	No contact	Applicants	Adequate-The Council has cooperated with the BEOG office to test the usability of a new form.
Regional OE Student-Assistance Office (Campus-Based Programs)			No contact.
Washington OE Office (Campus-Based Programs)			No contact.
FISL/GSL Office			No contact.
Other Federal Agencies/Offices	THERE IS SOME STUDENT AID CONTACT INHERENT IN THE 1202 COMMISSION PROGRAM.		
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Regulations; Award Recipients; Terminations	Termination	Adequate
Private Institutions	Regulations, Award Recipients; Terminations	Termination	Adequate
Governor/Budget Office	Summaries Regulations		Adequate
State Legislature (Including Committee Staffs)	Summaries Regulations		Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

VIRGINIA B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	35%	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	25%	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	1%	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5%	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	4%	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	30%	

*10 on Staff

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
AUGUST 1975	Agency budget presented to Governor's office or budget bureau.
JANUARY 1976	Agency budget presented to legislature.
MARCH 1976	Legislature appropriates student assistance funds.
OCTOBER 1976	Agency completes planning workshop for students or counselors.
DECEMBER 1975	Agency makes student-aid applications available.
MARCH 31, 1976	Deadline for student aid applications.
SUMMER 1976	Agency announces award winners.
CONTINUOUSLY	Last day at which award changes and adjustments are made.
JANUARY 1976	BEOG application information received from federal offices.
OTHER KEY EVENTS.	

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Sampled	Institution/State	Write to students and parents for claification; application is delayed if discrepancy.
2. Match Against BEOG Applications?	Sampled		
3. Match Against Institutional Student Records?	Sampled		
4. Warnings of Prosecution?	Sampled		
5. Prosecution?	Sampled		
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	All	Institution/State	Withdraw award if discrepancy
2. Check on Mid-Term Attendance?	All		
3. Check on Course Completion?	All		
4. Check on Academic Progress Before Award?	All		
5. Partial Awards?	All		
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	All	Institution/State	Withdraw award if discrepancy
2. Match Against BEOG, Campus-Based Award Files?	All		
3. Re-Check to Ensure Award Adjustments Actually Made?	All		
4. Second Review of Aid Packages?	All		
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	No control over institutions (sample reviews)		
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Sampled		
2. Bonding of Staff Members?	Program audits		
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X		
2. College Financial-Aid Officers	X	X	X	X		
3. Direct Mailings to Graduating High School Students or Parents	X	X	X		X	
4. High School Meetings or Workshops	X	X	X		X	
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify) Question on Forms: 78 & 79 one form.						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Virginia State Assistance for Physically Disabled Students - No state program. Assistance may be provided as part of regular ongoing activities of the Department of Vocational Rehabilitation.

Virginia State Assistance to Children of Veterans - A tuition-remission program to aid children of Virginia residents who were killed or disabled due to war service or who are POWs or MIAs. Recipients must be residents enrolled in in-state public institutions.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

College Scholarship Assistance Program (State Council of Higher Education for Virginia) - A program designed to provide need-based grants and conditional loans to Virginia undergraduate students enrolled in Virginia institutions of higher education. The program's purpose is to serve as one means of guaranteeing that financial condition will not prevent Virginia students from gaining access to higher education. NOTE: Only grants were given in 1976-77.

Tuition Assistance Grant and Loan Program (State Council of Higher Education for Virginia) - A program designed to provide financial assistance for Virginia students enrolled in Virginia private colleges and universities. Its purpose is to help narrow the "tuition gap"--the difference between the generally higher cost of private institutions and the lower tuition charged by the state-supported institutions. With this concept, the program encourages student freedom of choice in matching individual educational goals with the academic offerings of a diverse system of higher education. NOTE: By legislative direction, the program is being converted into solely a grant program.

State Teachers Scholarships (State Department of Education) - A conditional loan program which provides for the awarding of teaching scholarship loans to resident students preparing to teach. This is accomplished by attendance at non-profit institutions of higher education in the Commonwealth whose primary purpose is to provide collegiate or graduate education and not to provide religious training or theological education. This program will be entirely phased out by the end of 1977-78.

Nursing and Dental Hygienist Scholarships (State Department of Health) - A conditional grant program based on scholastic ability which provides financial assistance to Virginia residents who wish to become nurses or dental hygienists by attending in-state accredited schools of nursing.

Virginia Education Loan Authority - This program was established in 1972 and was intended to be a state loan program financed through the sale of bonds. It was only recently activated, however, and has not yet made any loans. A director is now planning the operation of the program for 1978-79.

Academic Common Market - A tuition-reciprocity program to share among the states*in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

Virginia Guaranteed Student Loan Program (State Education Assistance Authority) - A program to help Virginians who need to borrow money for the expenses of college or post high school vocational education. Through Participation Agreements with Virginia lending institutions, the Program enables those institutions to make long-term personal loans to students for educational expenses. The Authority, as an agency of the State of Virginia, provides lenders with a 90% guarantee of loan principal. Students may enroll in in-state or out-of-state schools.

Virginia Medical and Dental Scholarships (Virginia Commonwealth University and the University of Virginia) - A conditional grant program, based on scholastic ability and need, designed to train individuals as physicians or dentists and to increase the supply of these persons in certain areas of Virginia. Students may be residents or non-residents and may attend public universities and four-year institutions, or Virginia Commonwealth University or the University of Virginia.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
College Scholarship Assistance Program[1]	1973-74 1975-76	252 4,424	$294 $273	$ 74,000 $ 1,206,512	Resident Undergraduates
Loans					
Virginia Guaranteed Student Loan Program[2]	1971-72 1975-76	10,596 9,160	Not Applicable	$ 9,980,194 $12,296,273	Resident Students
Non Need-Based					
Tuition Assistance Grant and Loan Program	1973-74 1975-76	1,250 4,928	$400[3] $400[3]	$ 500,000 $ 1,959,500	Virginia Students Attending Private In-State Institutions
State Teachers Scholarships	1970-71 1975-76	6,001 1,312	$72-350 $150-450	$ 1,972,700 $ 534,773	Students Studying Education[4]
Nursing and Dental Hygienist Scholarships	1974-75 1975-76	Note 5 Note 5	Note 5 Note 5	$ 99,500 $ 106,000	Nursing or Dental Hygienist Students
Academic Common Market	1975-76	Not Applicable	----------------	----------------	Students Enrolled in Specified Programs Not Available In-State
Virginia Medical and Dental Scholarships[6]	1975-76	Note 7	Note 7	$ 162,000[7]	Medical and Dental Students at Specified Schools
Virginia State Assistance to Children of Veterans	Few awards -- number not available				Dependents of Veterans Killed or Disabled or MIA

[1] Also a "Conditional Loan" program. Only "Grants" awarded in 1976-77.

[2] At the present time, loans made under this program in Virginia are guaranteed entirely by the state through a trust fund. This situation is likely to be modified in the near future, as the SEAA (the guarantee loan agency) begins to guarantee the loans made by the VELA.

[3] All annual recipients received $400 awards. The total student figure, however, includes some second semester awards of $200.

[4] Originally any student enrolled in teacher education, now limited to certain areas. Program will be entirely phased out by 1977-78.

[5] Twelve awards in the amount of $500 each may be made for hygienists annually. Nursing scholarships may not exceed $4,000 each at the graduate level and $2,000 at the undergraduate level.

[6] Students are required to work for the Virginia Department of Mental Hygiene and Hospitals or institutions in the Department of Welfare for the number of years equal to the number of years of scholarship. (If no vacancies exist, student must practice family medicine in an "area of need" of Virginia for that number of years.) This is not a state-based program. Funds are authorized for the use of two institutions.

[7] Statute authorizes eight dental scholarships at V.C.U in the amount of $1,500 each, and at a total of 60 medical scholarships in the amount of $2,500 each for the two institutions (Virginia Commonwealth University and University of Virginia).

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
College Scholarship Assistance Program	Residents attending In-State	Full-Time	All Undergraduates	No	No Upper Limits	Note 1
Loans						
Virginia Guaranteed Student Loan Program	Residents attending In/Out of State	Full-Time	All	Yes[2]		
Non Need-Based						
Tuition Assistance Grant and Loan Program	Residents attending In-State	Full-Time	All Undergraduates	Yes[3]	Not Applicable	Not Applicable
State Teachers Scholarships	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Nursing and Dental Hygienist Scholarships	Residents attending In-State	Full-Time	All Undergraduates and Graduates (Nursing)	No	Not Applicable	Not Applicable
Academic Common Market	Residents attending In-State	Full-Time	Graduates	No	Not Applicable	Not Applicable
Virginia Medical and Dental Scholarships	Residents attending In State and Non-Residents	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
Virginia State Assistance to Children of Veterans	Residents attending In-State	Full-Time	All	No	Not Appiciable	Not Applicable

---

[1] Varies yearly depending on available funds and need of applicants. In 1975-77, required need was $1,000.

[2] By some participating banks.

[3] Only if funds should become inadequate to give all applicants an award.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
College Scholarship Assistance Program	Public Private	Public Private	No	No	No
Loans					
Virginia Guaranteed Student Loan Program	Public Private	Public	Public	Yes	No
Non Need-Based					
Tuition Assistance Grant and Loan Program	Private	Private	No	No	No
State Teachers Scholarships	Public Private	Public Private	No	Yes	No
Nursing and Dental Hygienist Scholarships	No	No	No	No	Accredited Virginia Schools of Nursing
Academic Common Market	Public[1]				
Virginia Medical and Dental Scholarships	Public	No	No	No	No
Virginia State Assistance to Children of Veterans	Public	Public	Public	No	No

---

[1] The Common Market includes only participating public institutions since students who attend private institutions have no tuition distinction between in-state and out-of-state. There is no "institutional eligibility" for this program.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
College Scholarship Assistance Program	Need = Student Budget - Expected Family Contribution	No	Consensus	Tuition/Fees Room/Board Books Transportation General Student Costs
Loans				
Virginia Guaranteed Student Loan Program	Information Not Given--			General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
College Scholarship Assistance Program	$ 600[1]	A scale of awards/need increments is determined annually. (See note 2 for scale)	On basis of need and in conjunction with the scale noted in Note 2.	State
Loans				
Virginia Guaranteed Student Loan Program	$2,000	GSL Method	Not Applicable	GSL Method
Non Need-Based				
Tuition Assistance Grant and Loan Program	$ 400	By Appropriations Act (which sets maximum); and (1) number of eligible student applicants (each one receives the same amount) and (2) the appropriation available in a particular year.	All eligible applicants receive the same size award.	State
State Teachers Scholarships	$ 450	Based on Appropriation	Any student enrolled in teacher education may apply.	State Dept. of Education
Nursing and Dental Hygienist Scholarships	$500/Year for Hygienists; $2,000/Year for Undergraduate Nurses; $4,000/Year for Graduate Nurses	Amount of Appropriation	Not Applicable	State
Academic Common Market	Note 3	The difference between in-state and out-of-state tuition for the particular program is automatically waived.	Not Applicable	State[4]
Virginia Medical and Dental Scholarships	$1,500-Dental $2,500-Medical	Not Applicable	Virginia residents have priority.	Specified by Statute
Virginia State Assistance to Children of Veterans	Not Applicable	Tuition at the particular institution.	Not Applicable	State

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?

Notes:

[1] $600 for 1976-77; however, award cannot exceed cost of tuition and required fees.

[2]

Range of Incremental Need	Amount of Award
$4800+	$600
4200-4799	500
3800-4199	400
3200-3799	300
1001-3199	200

[3] Varies by institution and program.

[4] The institution in the state where the program is offered.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT AVAILABLE

MEN	WOMEN

Sex

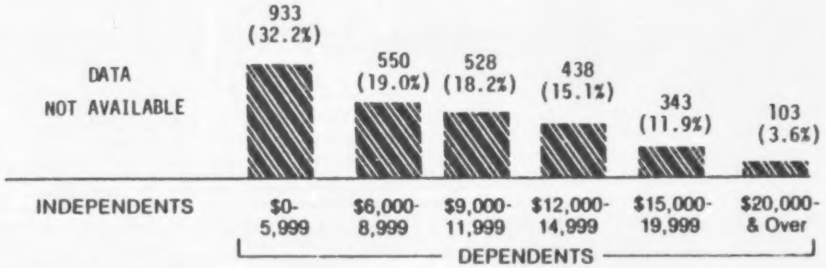
F2

VIRGINIA

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

NUMBER OF RECIPIENTS

Income Level



Ethnic Status

DATA NOT AVAILABLE

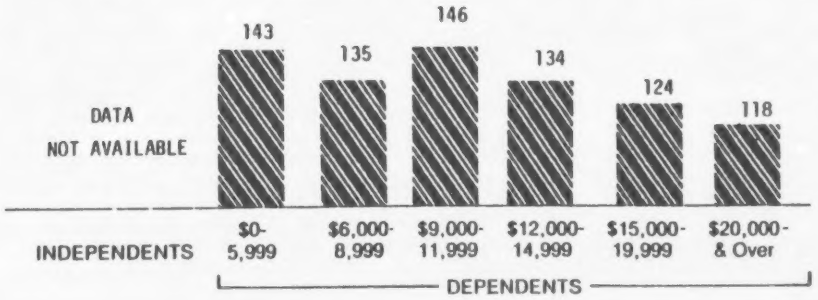
WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER

Sex

DATA NOT AVAILABLE

MEN WOMEN

AVERAGE AWARD SIZE



DATA NOT AVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER

DATA NOT AVAILABLE

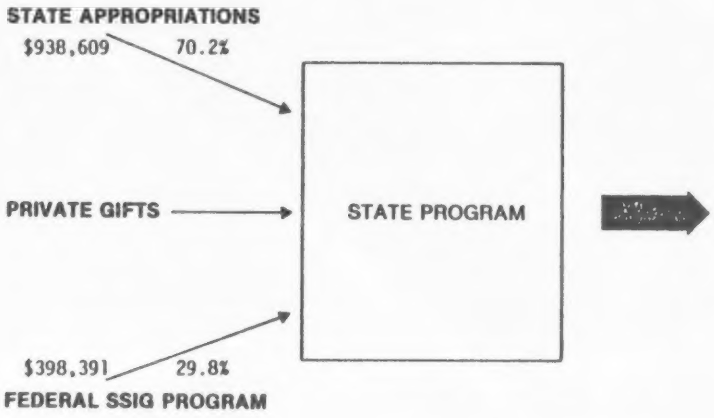
MEN WOMEN

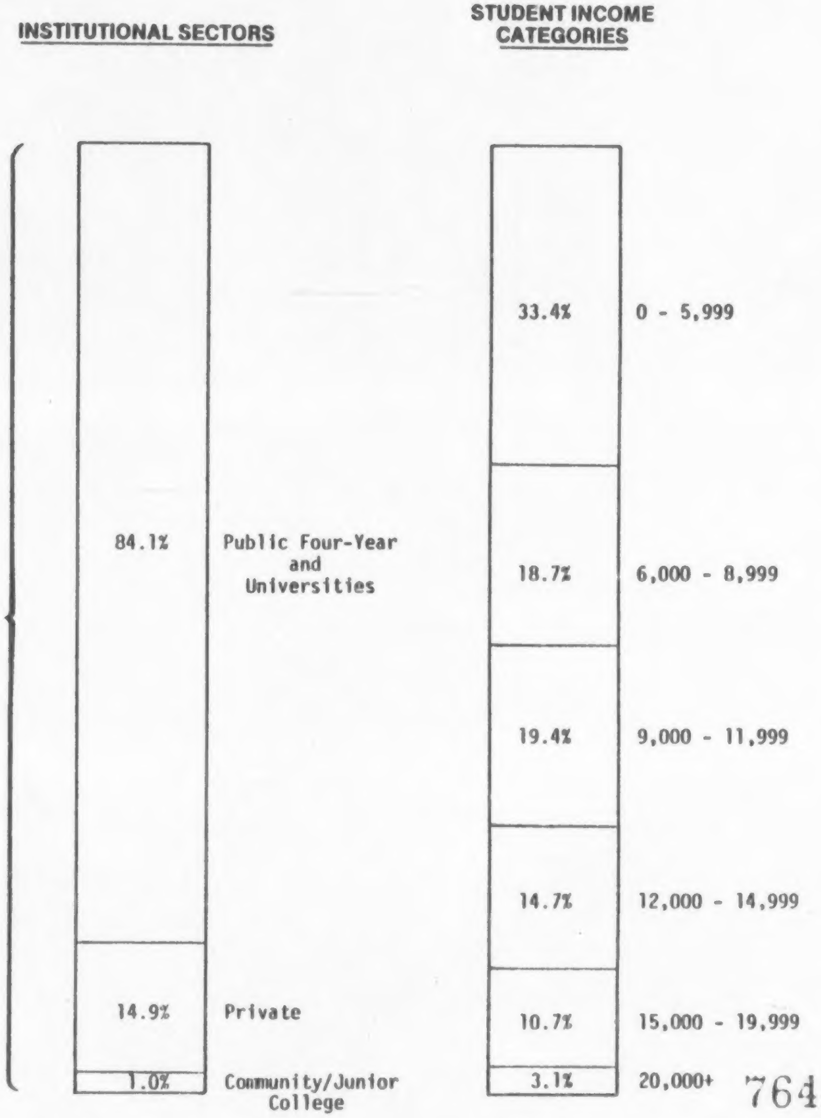
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1976-76 SCHOOL YEAR PERTAIN TO:

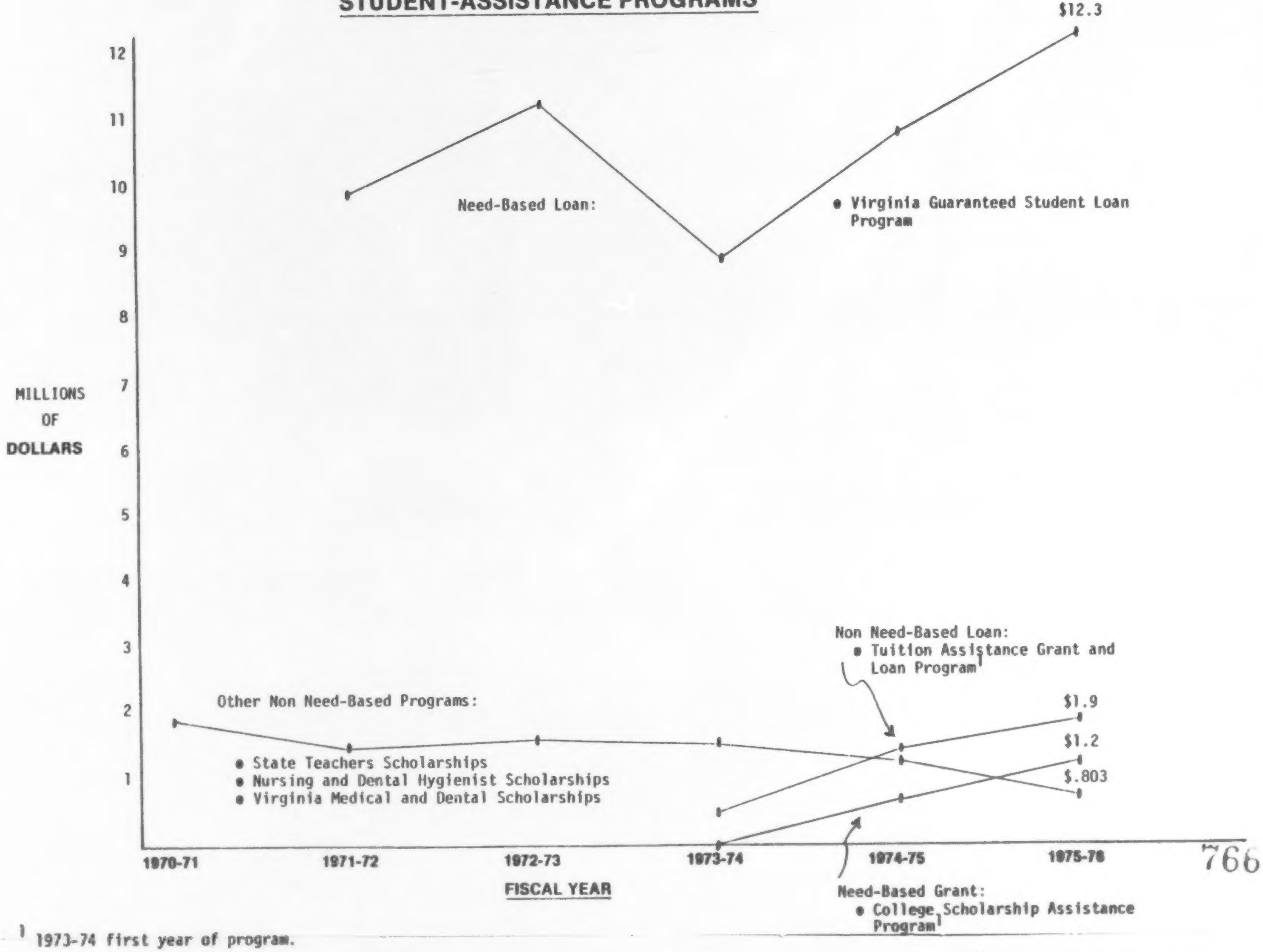
- COLLEGE SCHOLARSHIP ASSISTANCE PROGRAM





These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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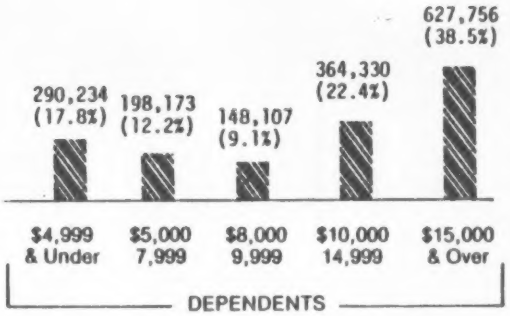
[1] 1973-74 first year of program.

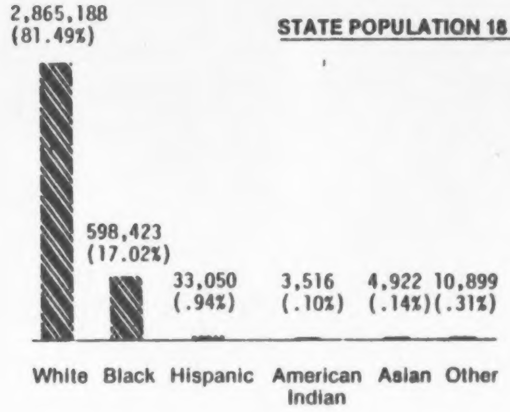
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

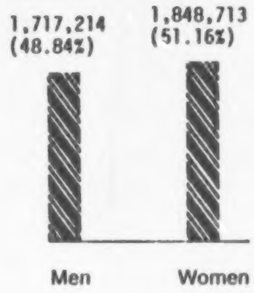


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

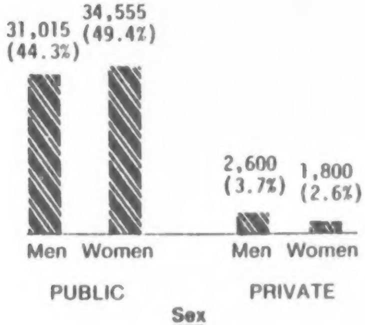


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 8,509 persons granted a high school equivalency certificate.

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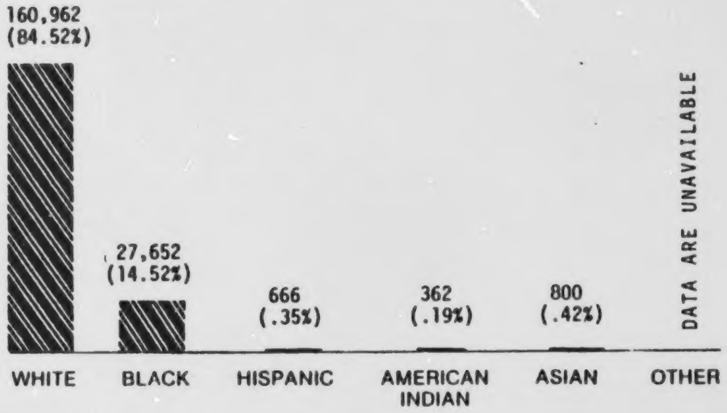
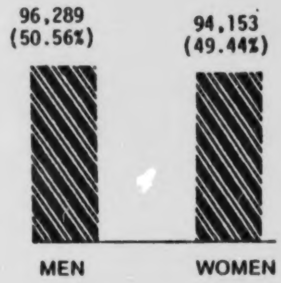
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

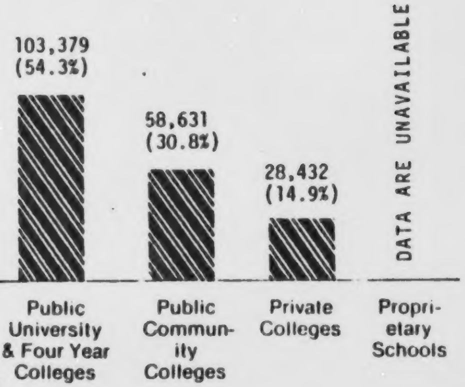
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



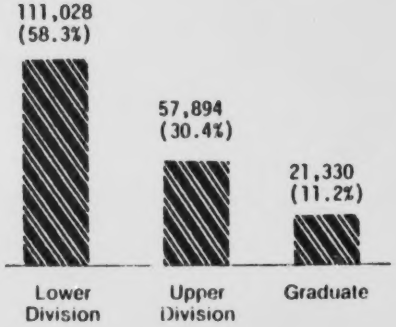
Ethnic Status



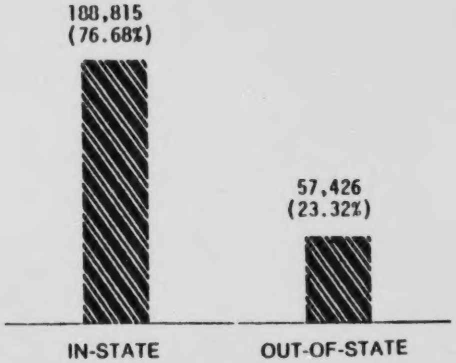
Sex



Institutional Sector

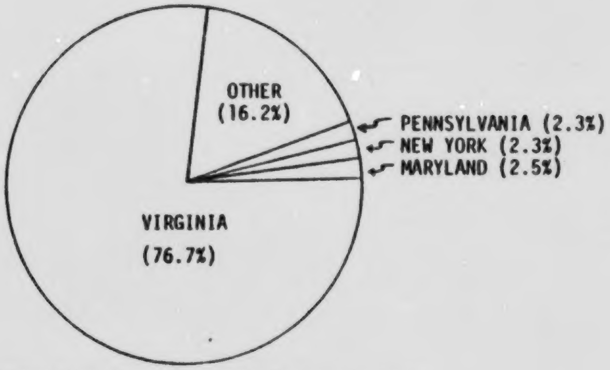


Student Level

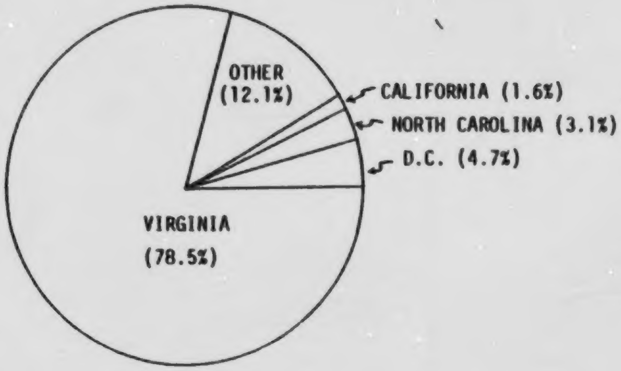


Residency

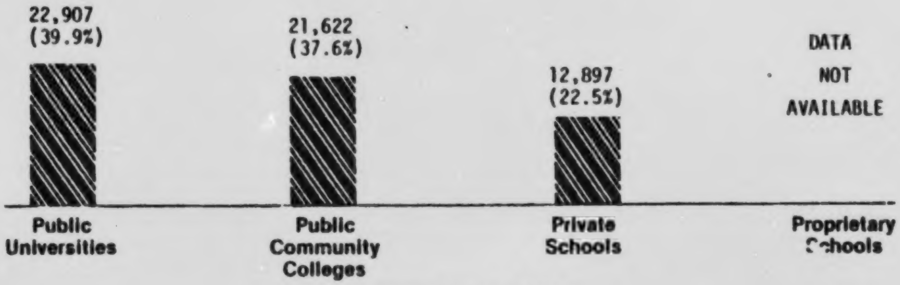
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



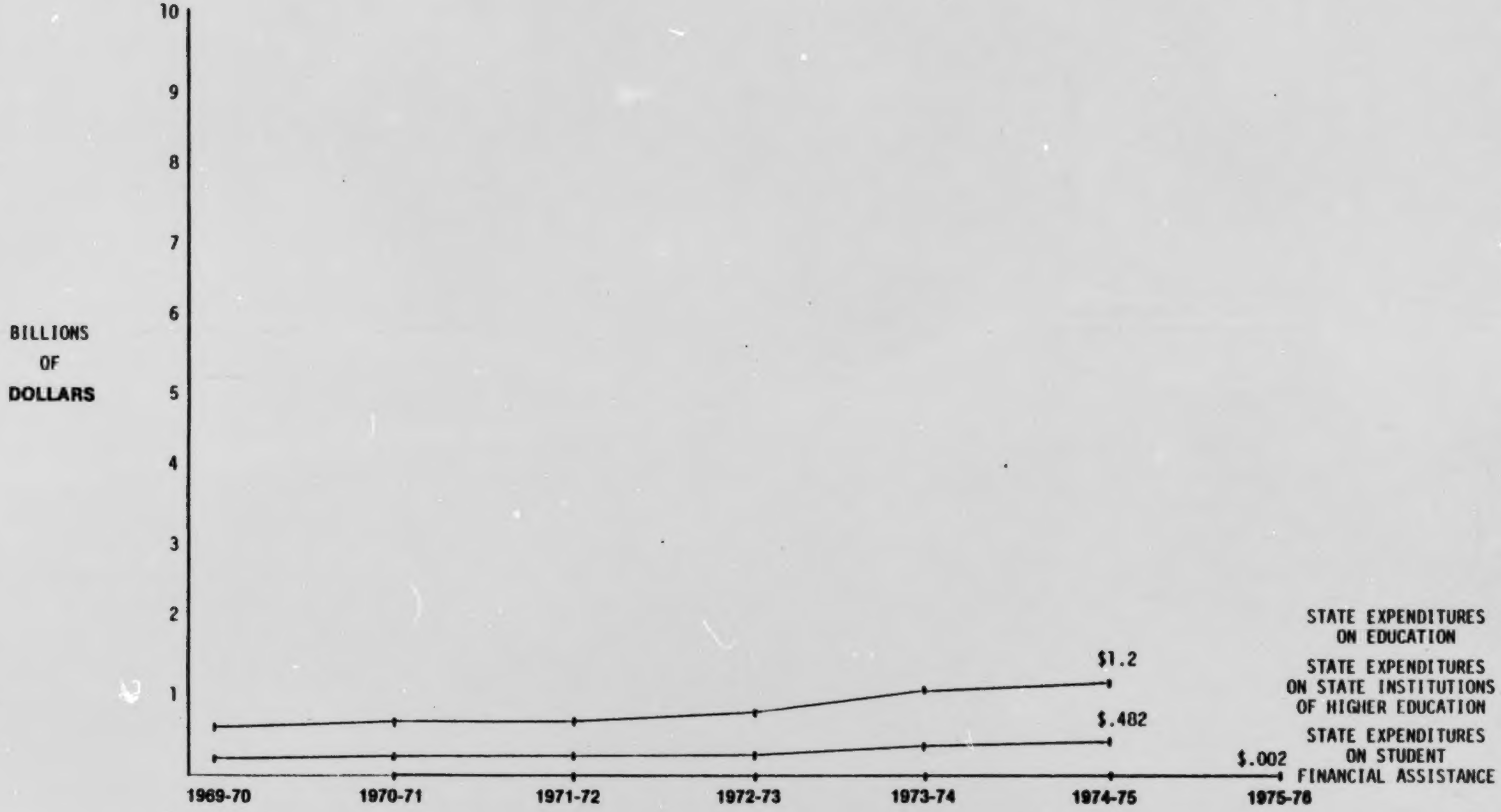
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

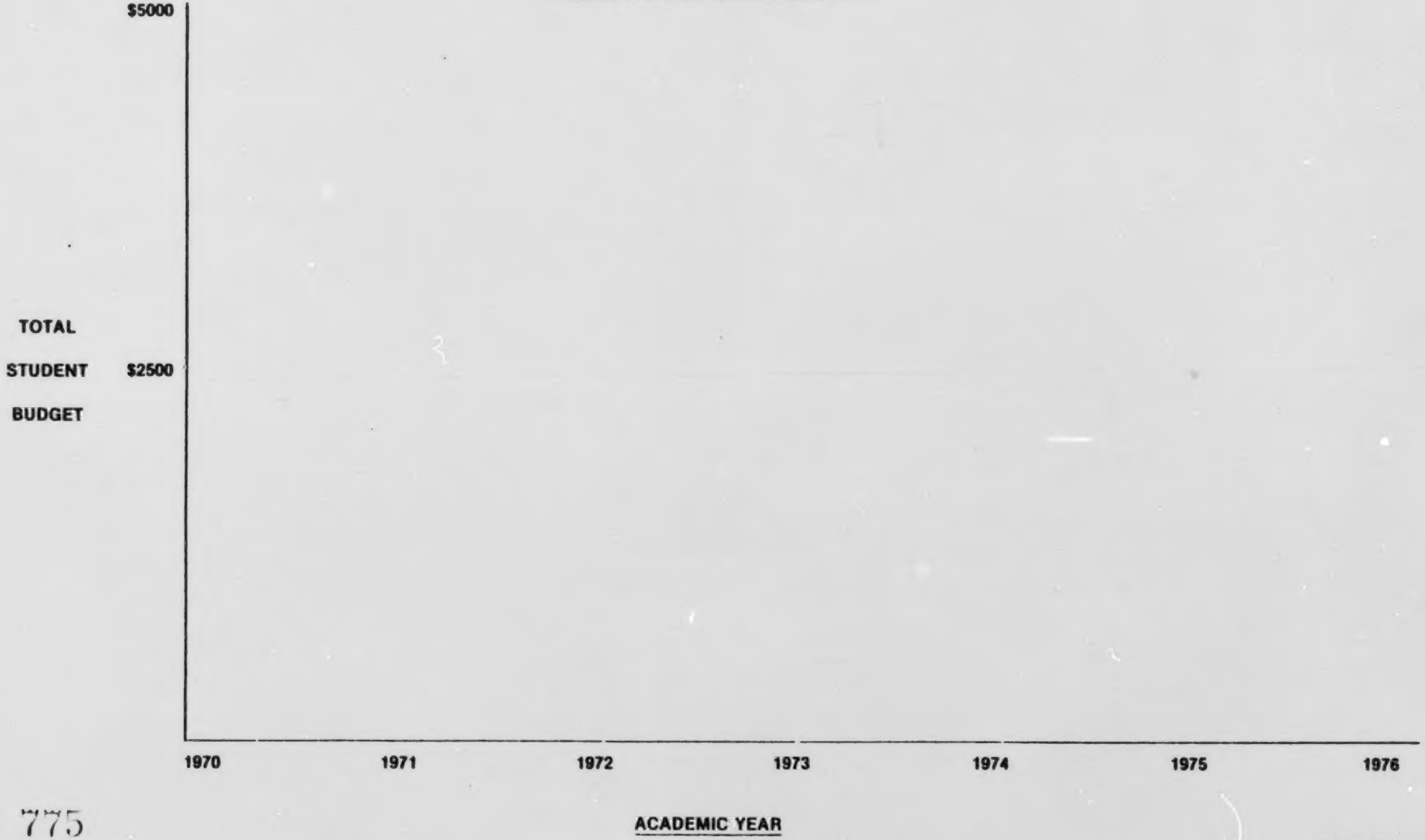


THESE DATA PERTAIN TO:

- COLLEGE SCHOLARSHIP ASSISTANCE PROGRAM
- STATE TEACHERS SCHOLARSHIPS
- NURSING AND DENTAL HYGIENIST SCHOLARSHIPS
- VIRGINIA MEDICAL AND DENTAL SCHOLARSHIPS

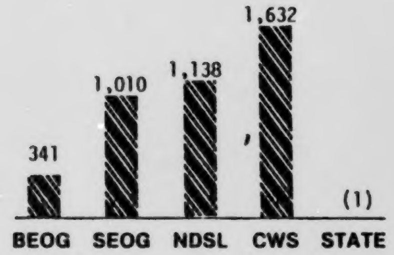
GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

DATA NOT AVAILABLE

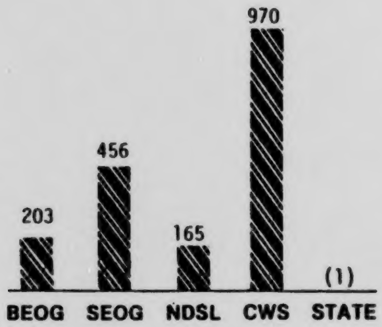


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

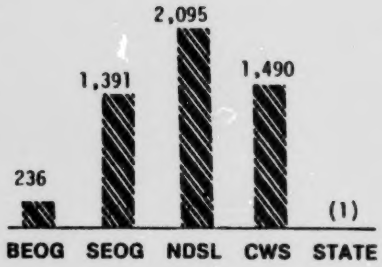
(Figures in thousands)



Public University and Four-Year Colleges



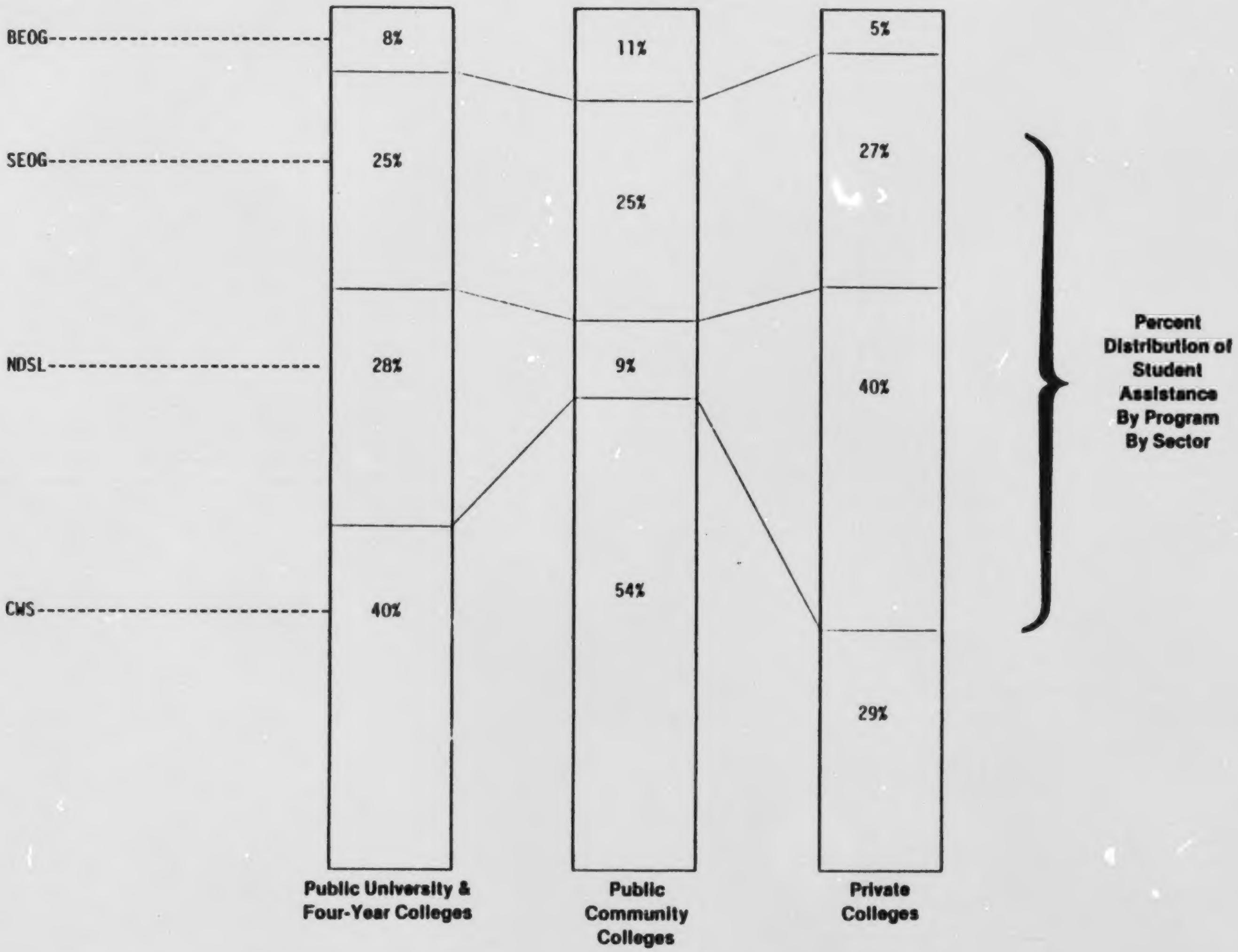
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

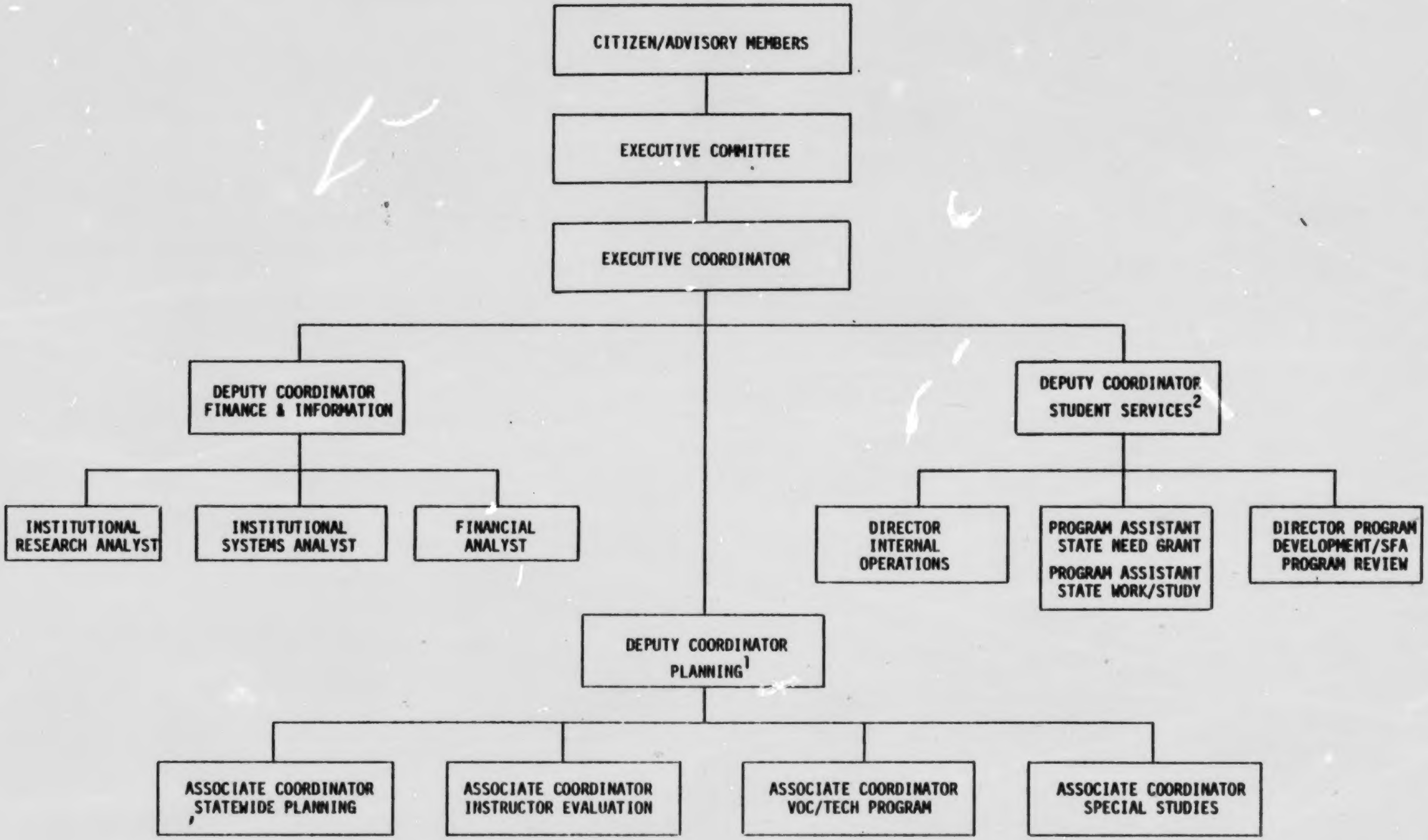
The Council for Postsecondary Education has administrative responsibility for all state-funded or state-administered programs of student financial aid with the exception of tuition waiver programs. In addition to this administrative function, the Council also has the responsibility for state-wide higher education planning and acts as the coordinating agency for all postsecondary education in the state of Washington. The Council is designated as the state commission as provided for in Section 1202 of the education amendments of 1972 as now or hereafter amended.

1. State Work-Study Program
2. State Need Grant Program
3. Aid to Blind Students
4. 3% Tuition and Fee Waiver Program
5. Tuition Waiver Program for Children of Deceased or Incapacitated Veterans
6. WICHE Student Exchange Program

RELATIONSHIPS OF STATE AGENCIES

The Council for Postsecondary Education has administrative responsibility for all state-funded or state-administered programs of student financial aid with the exception of tuition waiver programs. In addition to this administrative function, the Council also has the responsibility for statewide higher education planning and acts as the coordinating agency for all postsecondary education education in the State of Washington. The Council is designated as the state commission as provided for in Section 1202 of the education amendments of 1972 (Public Law 92-318) as now or hereafter amended; and shall perform such functions as is necessary to comply with federal directives pertaining to the provisions of such law: PROVIDED, That notwithstanding the provisions of RCW 28B.80.050, all members of the council shall have full voting powers in taking actions related to federal postsecondary educational planning functions as provided for in this section and Sections 12 through 15 of this 1975 amendatory act.

STATE AGENCY ORGANIZATION


CITIZEN/ADVISORY MEMBERS
EXECUTIVE COMMITTEE
EXECUTIVE COORDINATOR
DEPUTY COORDINATOR FINANCE & INFORMATION
DEPUTY COORDINATOR STUDENT SERVICES[2]
INSTITUTIONAL RESEARCH ANALYST
INSTITUTIONAL SYSTEMS ANALYST
FINANCIAL ANALYST
DIRECTOR INTERNAL OPERATIONS
PROGRAM ASSISTANT STATE NEED GRANT
PROGRAM ASSISTANT STATE WORK/STUDY
DIRECTOR PROGRAM DEVELOPMENT/SFA PROGRAM REVIEW
DEPUTY COORDINATOR PLANNING[1]
ASSOCIATE COORDINATOR STATEWIDE PLANNING
ASSOCIATE COORDINATOR INSTRUCTOR EVALUATION
ASSOCIATE COORDINATOR VOC/TECH PROGRAM
ASSOCIATE COORDINATOR SPECIAL STUDIES

[1] Includes academic program review.

[2] Includes student financial aid.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X	
• Identification of Eligible Recipients		X	
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X	X	
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Not applicable.				
State Resident - "Washington resident" shall be defined as an individual who has been domiciled within the state of Washington for at least one year. Domicile shall denote a person's true fixed and permanent home and place of habitation. It is the place where he/she intends to remain and to which he/she, upon leaving, expects to return without establishing domicile elsewhere.	Law	Yes	Yes	Yes
Independent Student - shall mean any student whose parents do not acknowledge and accept a financial responsibility for the student and have on record in the financial aid office documentation attesting to requirements for independence.	Interpretation	Yes	Yes	
Non-Profit Institution - Not applicable.				
Satisfactory Academic Progress - In addition to enrolling full-time, the student is also expected to satisfactorily complete 12 credit or equivalent clock hours, or the appropriate number of hours as documented. Each institution must submit to the Council for approval its policy for awarding financial aid to students who do not complete required number of credit or clock hours. (State need grant only)	Interpretation	Yes	Yes	
United States Citizenship - Be a citizen of the United States or in the process of becoming a citizen. (State need grant only)	Law	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Recipients	Regulations	Adequate
BEOG Office		Summaries Regulations	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	Summaries	Summaries Regulations	Adequate
Washington OE Office (Campus-Based Programs)	Not Applicable	Not Applicable	Not Applicable
FISL/GSL Office	Not Applicable	Not Applicable	Not Applicable
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Regulations Termination	Summaries Recipients Applications Termination	Adequate
Private Institutions	Summaries Recipients Regulations Termination	Summaries Recipients Applications Termination	Adequate
Governor/Budget Office	Summaries Regulations	Summaries	Adequate
State Legislature (Including Committee Staffs)	Summaries Regulations	Summaries Legislation	

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

INFORMATION WAS NOT PROVIDED

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

Describes budget cycle for the 1975-77 biennium. This presents no timing problem for 1976-77 (2nd year of biennium) but creates difficulty in planning for 1975-76 (1st year of biennium).

SEPTEMBER - 1974 Agency budget presented to governors office or budget bureau

JANUARY - 1975 Agency budget presented to legislature

MAY - 1975 Legislature appropriates student assistance funds

MARCH - 1976 1) Agency completes planning workshops for students or counselors
2) Agency makes student-aid applications available

Latter deadline designed for late applicants

AUGUST & NOVEMBER - 1976 Deadline for student-aid applications

Other dates are necessary to reaward unutilized awards

AUGUST, NOVEMBER & JANUARY - 1976-77 Agency (or institutions) announces award winners

JULY - 1977 BEOG applications information received from federal office

Award changes and adjustments are continuous

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

WASHINGTON B7

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?	Yes, All	Institution	Not awarded
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	No award
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes, All	Institution	Withdrawal of award
4. Check on Academic Progress Before Award?	Yes, All	Institution	Withdrawal of award
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	All - Institution	
2. Match Against BEOG, Campus-Based Award Files?	Yes	Sample - State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, All	Institution, State	Repackaging or repayment
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, Sample[1]	Institution, State	Contingent on findings
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

---

[1] Institutions provide for their own program audits using either a private accounting firm (private schools) or the state audit agency. Using Council staff, audits are performed on participating schools.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors[1]	X	X	X	X	X	
2. College Financial-Aid Officers	X	X	X			X
3. Direct Mailings to Graduating High School Students or Parents[2]						
4. High School Meetings or Workshops[3]	X	X	X	X	X	
5. College Admissions Office[4]	X	X				
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

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[1] Also, low-income, ethnic groups are sometimes contacted with the help of the Financial Aid Officer and administration.

[2] None on a regular basis.

[3] Institutions provide information.

[4] Some admission officers do distribute application forms and general information.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Work-Study Program - A work-study program established to provide financial assistance to needy students by stimulating and promoting their employment; and to provide each needy student, wherever possible, with employment related to their academic pursuits. Recipients may be resident or non-resident students enrolled in in-state schools. Students enrolled in theological programs are excluded from participation.

State Need Grant Program - A grant program to assist needy or disadvantaged Washington residents in obtaining a postsecondary education in one of Washington's public institutions.

Aid to Blind Students - A tuition-remission program which provides eligible needy blind students with assistance in procurement of equipment or services required due to their blindness while attending public postsecondary institutions in Washington.

3% Tuition and Fee Waiver Program - A tuition-remission program to assist resident needy and disadvantaged students to meet postsecondary education costs by waiving tuition and fees at public four-year institutions and community colleges in Washington.

Tuition Waiver Program for Children of Deceased or Incapacitated Veterans - A tuition-remission program to provide educational opportunity at state funded postsecondary institutions for needy resident persons whose parents or parent was killed or totally incapacitated from engaging in any normal employment by reason of service in armed forces of the United States.

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend. Washington students participate in out-of-state optometry programs. They must demonstrate a financial need in order to be eligible.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Need Grant Program	1970-71	838	$ 391	$ 327,994	Resident Undergraduates
	1975-76	7,522	$ 575	$3,877,722	
Aid to Blind Students	1974-75	4	$ 425	$ 1,701	Blind Residents
	1975-76	10	$ 215	$ 2,155	
Tuition Remission					
3% Tuition and Fee Waiver Program	1971-72	3,197	Not Applicable	$1,179,429	All Resident Students
	1975-76	3,779		$1,625,074	
Tuition Waiver Program for Children of Deceased or Incapacitated Veterans	1974-75	712	Not Applicable		Children of Deceased or Incapacitated Veterans
	1975-76	575	Not Applicable		
WICHE Student Exchange Program[3]	1974-75	11	$1,200	$ 13,200	Optometry Students enrolled in Out-of-State Schools
	1975-76	23	$2,400	$ 55,200	
Work-Study					
State Work-Study Program[4]	1974-75	1,066	$ 455	$ 485,770	All Students except Theological Students
	1975-76	1,101	$ 594	$ 655,064	

[1] Response based on reasonable estimation or approximation.

[2] Relative degree of institutional flexibility and simplicity involved in application of waiver program.

[3] Certification by Washington does not guarantee admission to Optometry school.

[4] Program is open to participation by public and private employers with the one restriction--that they not be religiously affiliated. Student must be paid the prevailing wage and the position filled cannot be one that could be filled by a regular full-time employee. The institution reimburses the employer for up to 80% of the student's compensation and files a claim with the Council to cover the reimbursement.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Need Grant Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	[1]
Aid to Blind Students[2]	Residents attending In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Tuition Remission						
3% Tuition and Fee Waiver Program	Residents attending In-State	Part-Time Half-Time Full-Time	All	[3]	Not Applicable	Not Applicable
Tuition Waiver Program for Children of Deceased or Incapacitated Veterans	Residents attending In-State	Institutional Decision	All	No	Not Applicable	Not Applicable
WICHE Student Exchange Program	Residents attending Out-of-State	Full-Time	Graduates	Yes	Not Applicable	Not Applicable
Work-Study						
State Work-Study Program	Residents attending In/Out of State & Non-Residents	Half-Time Full-Time	All	No	Not Applicable	Not Applicable

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[1] Determined by applicant pool and funds available.

[2] Grants are provided to assist recipients in bearing special expenses they incur due to blindness.

[3] At the prerogative of the institution.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Need Grant Program[1]	Public Private	Public	Public	No	No
Aid to Blind Students	Public	Public	No	No	No
Tuition Remission[2]					
3% Tuition and Fee Waiver Program	Public	Public	No	No	No
Tuition Waiver Program for Children of Deceased or Incapacitated Veterans	Public	Public	No	No	No
WICHE Student Exchange Program	No	No	No	No	Institutions Offering Optometry Degrees in the WICHE region
Work-Study					
State Work-Study Program	Public Private	Public	Public	No	No

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[1] State law prohibits awarding tuition grants to students in private colleges and universities in the state. As a result, only room/board, books, transportation and general student costs can be funded for all aid recipients attending any public or non-profit private institutions including vocational/technical institutions.

[2] Relative degree of institutional flexibility and simplicity involved in application of waiver program.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Need Grant Program	Need = Student Budget - Tuition - TFC	Yes	CSS	Room/Board Books Transportation General Student Costs
Aid to Blind Students	Need = Evidence by applicants that they do not have resources to totally finance their education	No	CSS	Tuition/Fees[1]
Tuition Remission				
3% Tuition and Fee Waiver Program	State law specifies institutions may waive tuition and fees for "needy or disadvantaged" students. No further directive on how program should be targeted or definition of "needy and disadvantaged."	No	Institution Decision	Tuition/Fees
Tuition Waiver Program for Children of Deceased or Incapacitated Veterans	Inability to meet costs of tuition	No	Institutional Decision	Tuition/Fees
WICHE Student Exchange Program	Need = Student Budget - Total Family Contribution	No	CSS	Out-of-State Tuition Charges
Work-Study				
State Work-Study Program	Student Budget - TFC	No	CSS	Room/Board Books Transportation General Student Costs Tuition (public institutions only)

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[1] Allocations to provide readers, books, recordings, etc.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Need Grant Program	Determined by size of state appropriation	Same amount for all recipients.	By ranking. Neediest students first.	State
Aid to Blind Students	$200/Qtr. plus waiver of tuition	Amount of allocation based on number of credit hours student registers for quarter or semester.	First come, first served.	State
Tuition Remission				
3% Tuition and Fee Waiver Program	Tuition & Fees	Up to amount of tuition and fees.	Not Applicable - Left to discretion of institution.	Institution
Tuition Waiver Program for Children of Deceased or Incapacitated Veterans	Tuition	Equal to tuition	Not Applicable	Institution
WICHE Student Exchange Program	$3,600	Cost of out-of-state tuition at institution as determined by Western Interstate Commission for Higher Education (WICHE).	Renewal students; then entering students.	WICHE
Work-Study				
State Work-Study Program	Not Given	Hourly wage rate and number of hours worked with maximum earnings allowed by student's aid package.	Student with an opportunity for work related to his/her course of study is awarded in favor of one who is not able to obtain such employment.	Institution

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT APPLICABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT APPLICABLE

MEN	WOMEN

Sex

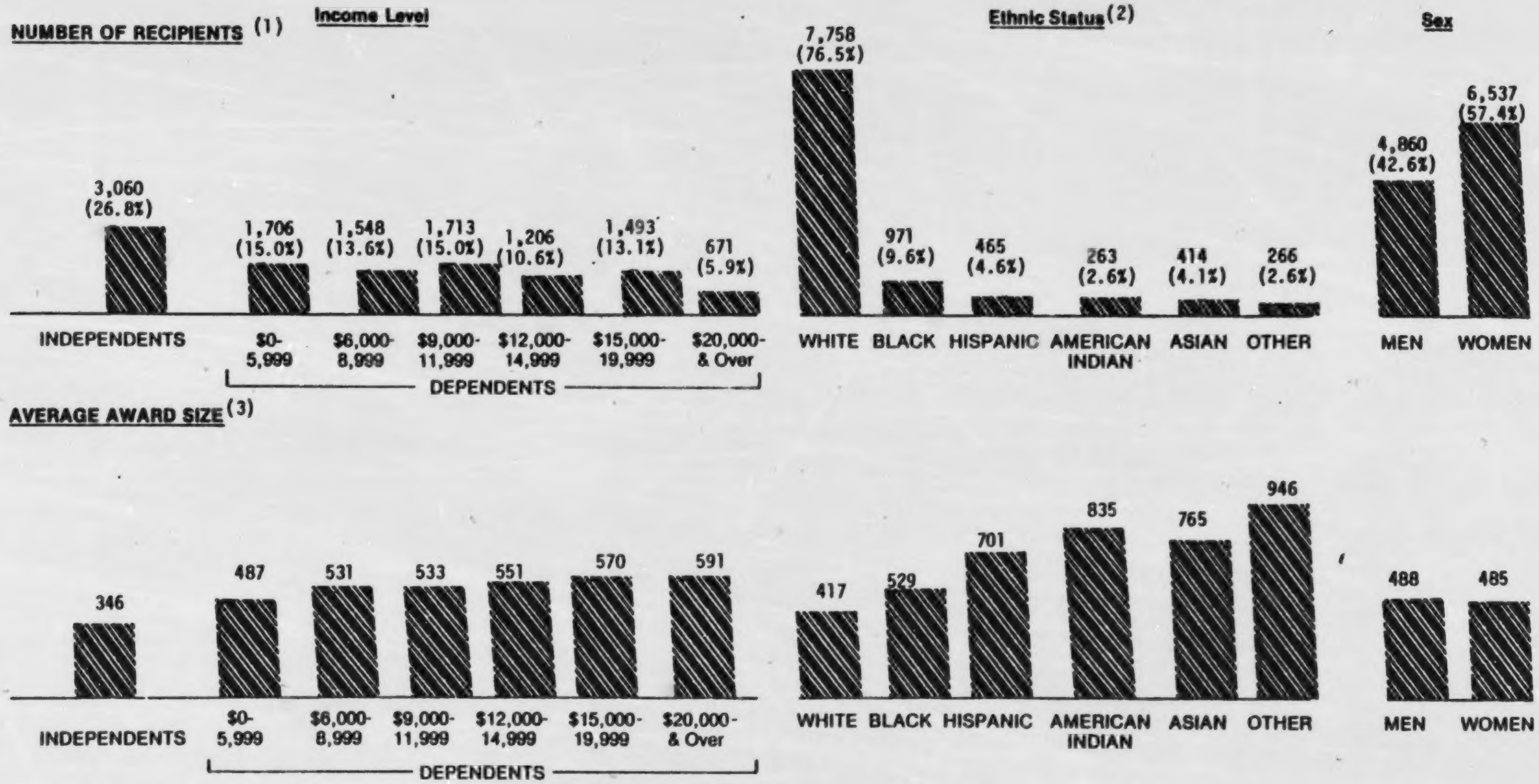
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

WASHINGTON

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE NEED GRANT PROGRAM
- TUITION FEE WAIVERS PROGRAM



(1) All figures in the three categories are not based on fact, but are reasonably certain.

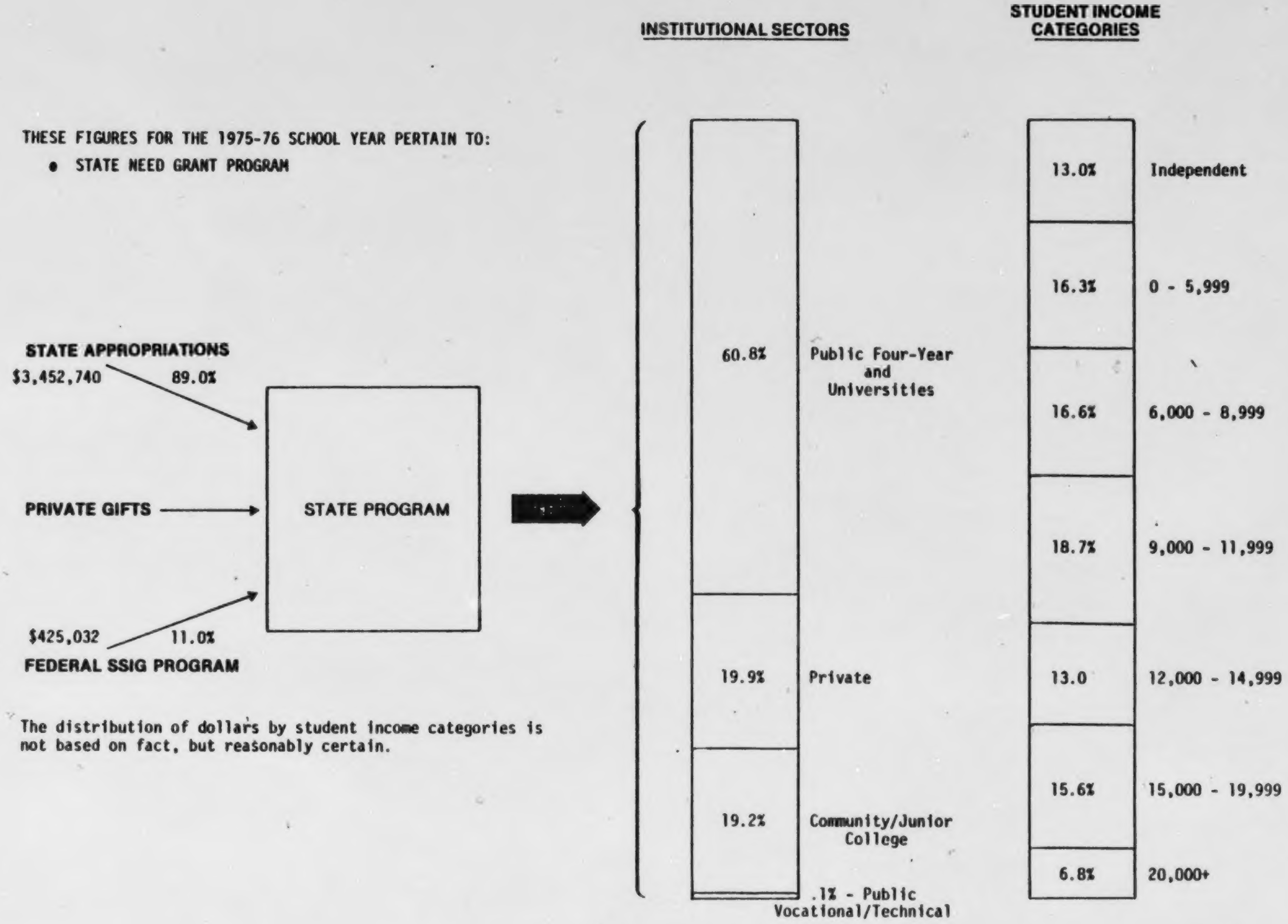
(2) There were 1,260 applicants with an average award size of $541 whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

(3) There is a slight discrepancy in the total dollars awarded given in the three categories. The figures used were those supplied in the NASSGP Survey.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE NEED GRANT PROGRAM



STATE APPROPRIATIONS
$3,452,740 89.0%

PRIVATE GIFTS

$425,032 11.0%
FEDERAL SSIG PROGRAM

STATE PROGRAM

INSTITUTIONAL SECTORS

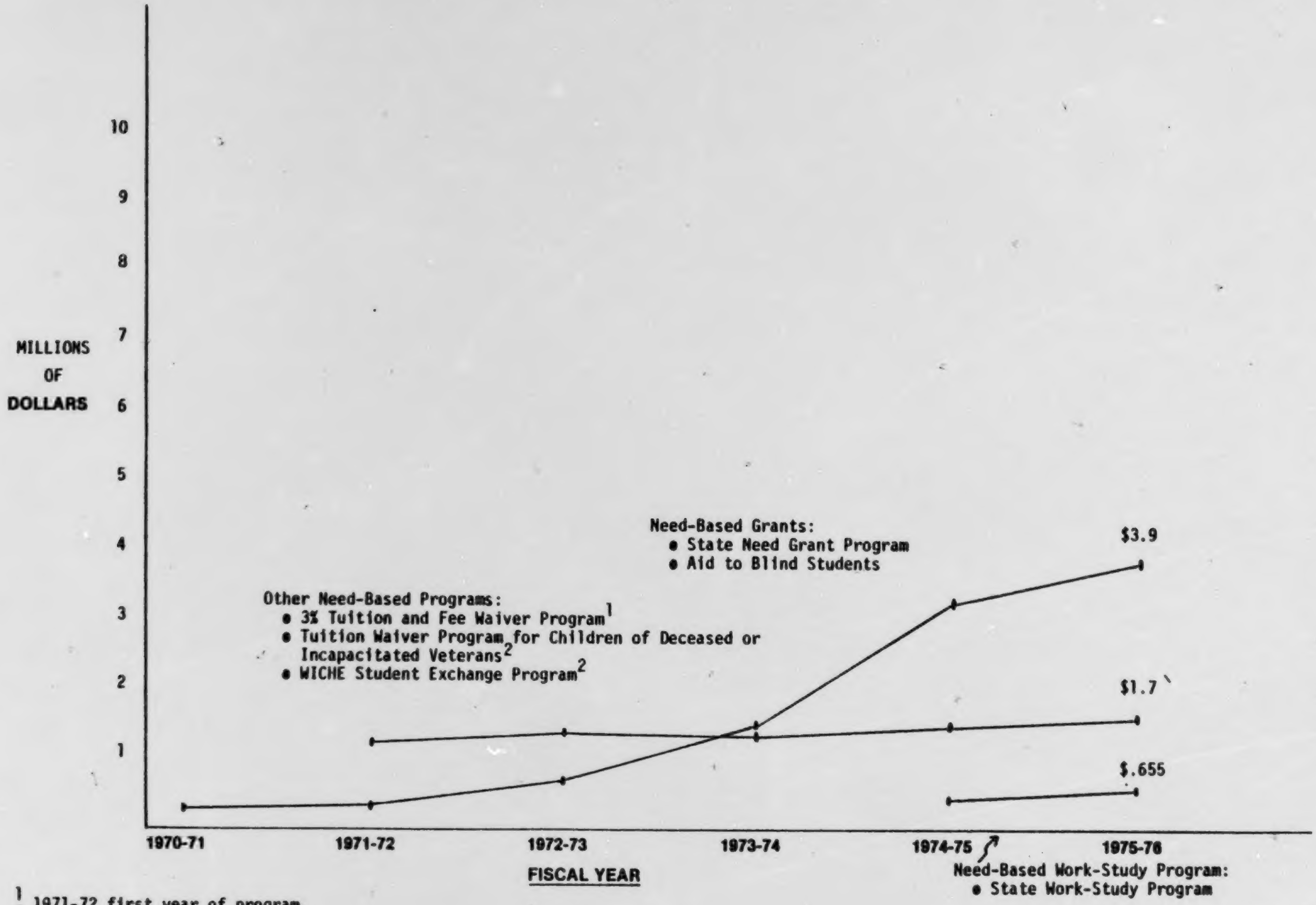
60.8%	Public Four-Year and Universities
19.9%	Private
19.2%	Community/Junior College
.1%	Public Vocational/Technical

STUDENT INCOME CATEGORIES

13.0%	Independent
16.3%	0 - 5,999
16.6%	6,000 - 8,999
18.7%	9,000 - 11,999
13.0	12,000 - 14,999
15.6%	15,000 - 19,999
6.8%	20,000+

The distribution of dollars by student income categories is not based on fact, but reasonably certain.

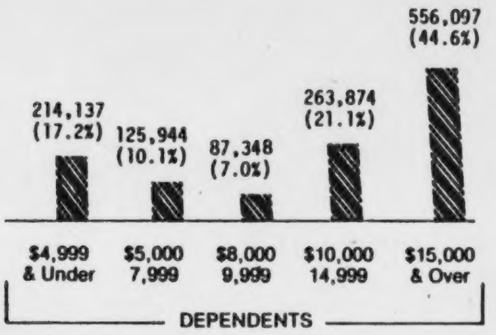
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1971-72 first year of program.
[2] 1974-75 first year of program.

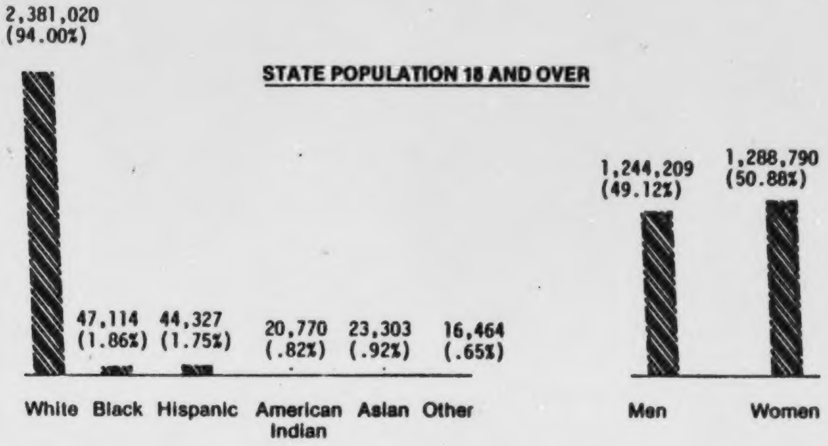
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS



Effective Buying Income[1]

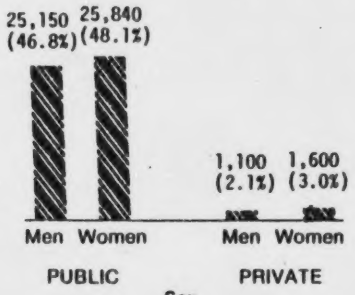
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 5,460 persons granted a high school equivalency certificate.

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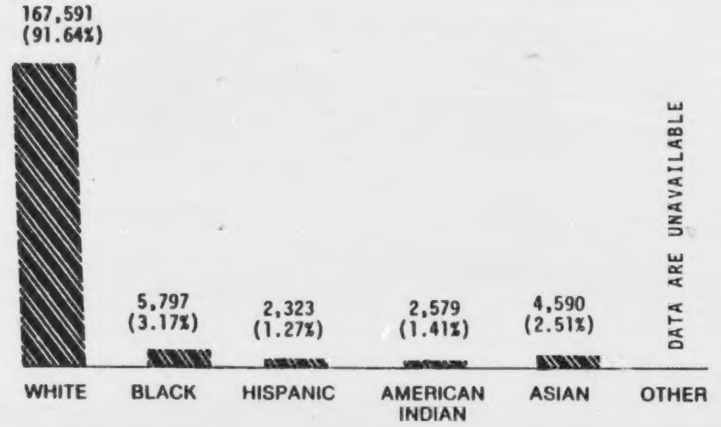
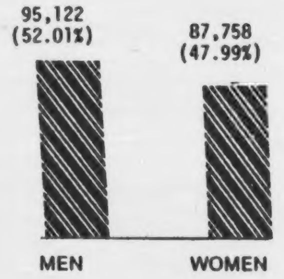
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

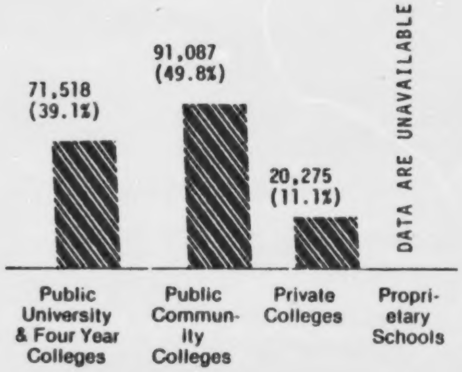
WASHINGTON H2



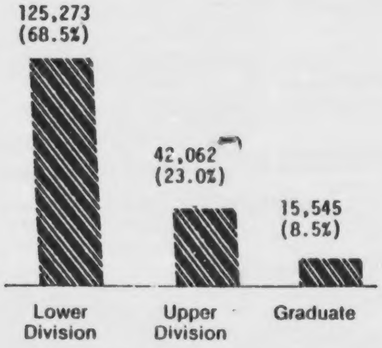
Ethnic Status



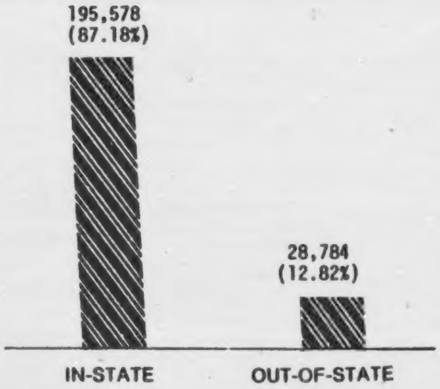
Sex



Institutional Sector

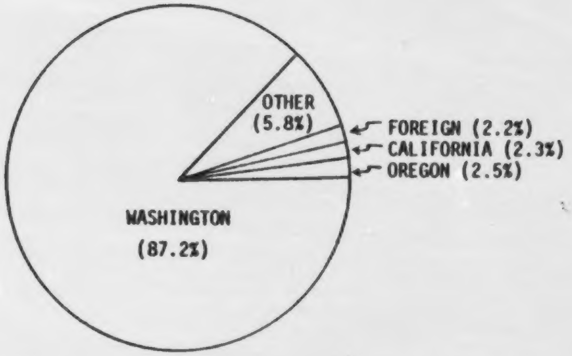


Student Level

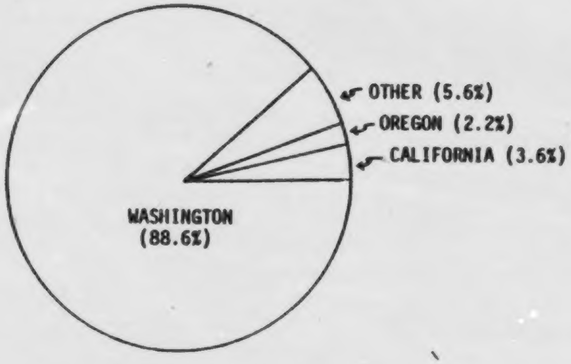


Residency

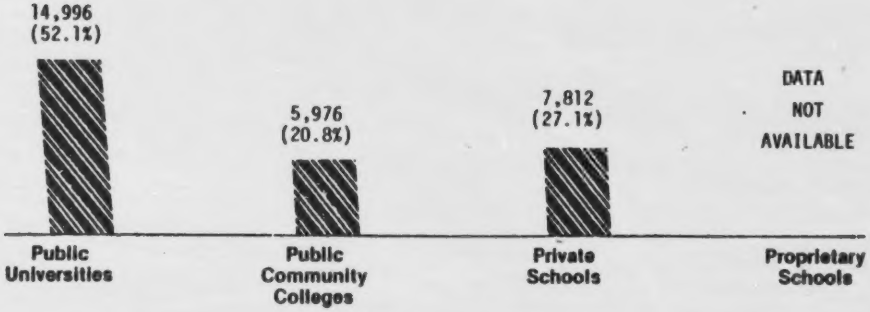
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



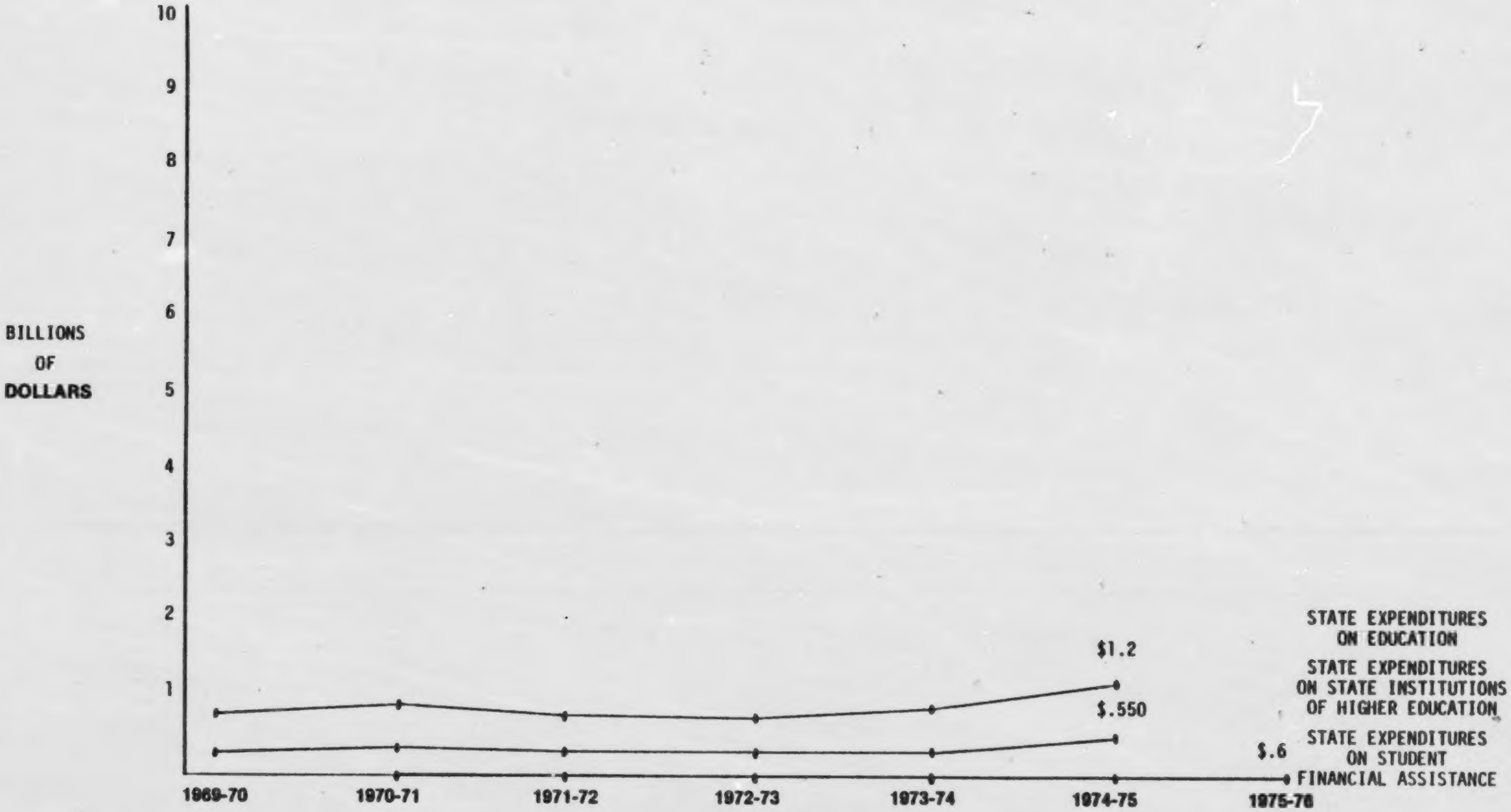
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

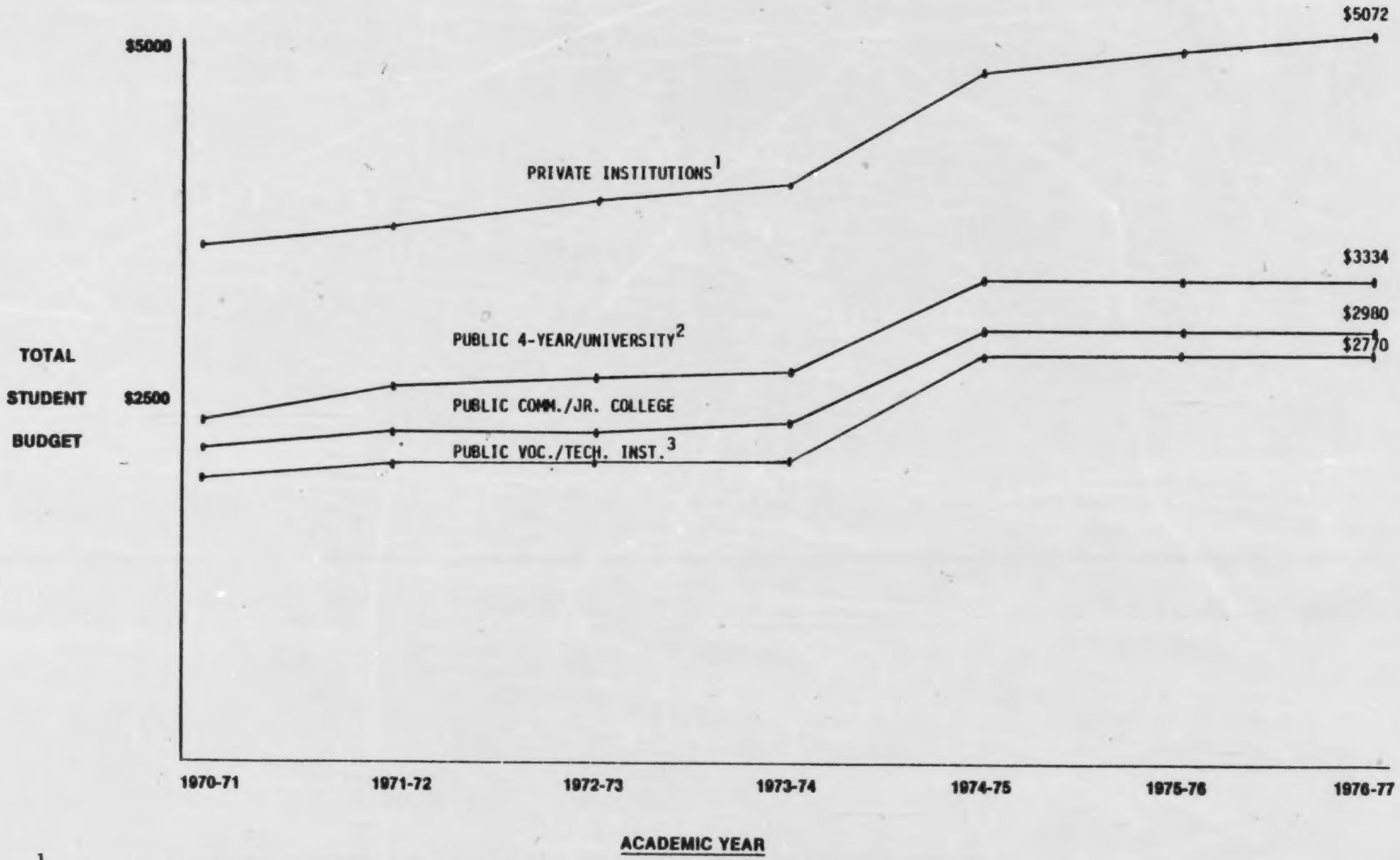
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- STATE NEED GRANT PROGRAM
- AID TO BLIND STUDENTS
- 3% TUITION AND FEE WAIVER PROGRAM
- TUITION WAIVER PROGRAM FOR CHILDREN OF DECEASED OR INCAPACITATED VETERANS
- WICHE STUDENT EXCHANGE PROGRAM
- STATE WORK-STUDY PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



[1] Average tuition and fees charged at private institutions were used to compute this classification.

[2] These were (are) tuition costs at the universities/state colleges (564/507).

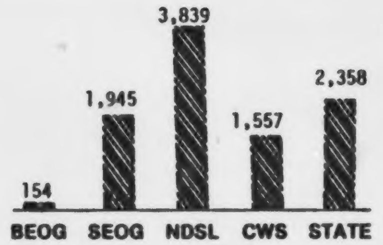
[3] Excludes fees. Voch./Tech.'s do not charge tuition; late fees vary depending on programs. The amounts noted are only maintenance costs agreed upon by the Washington Financial Aid Association.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

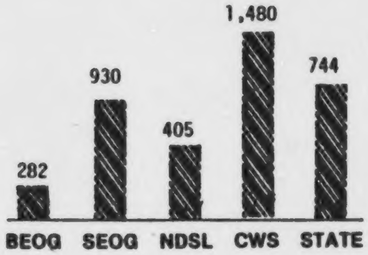
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

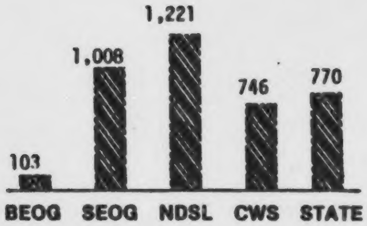
- STATE NEED GRANT PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

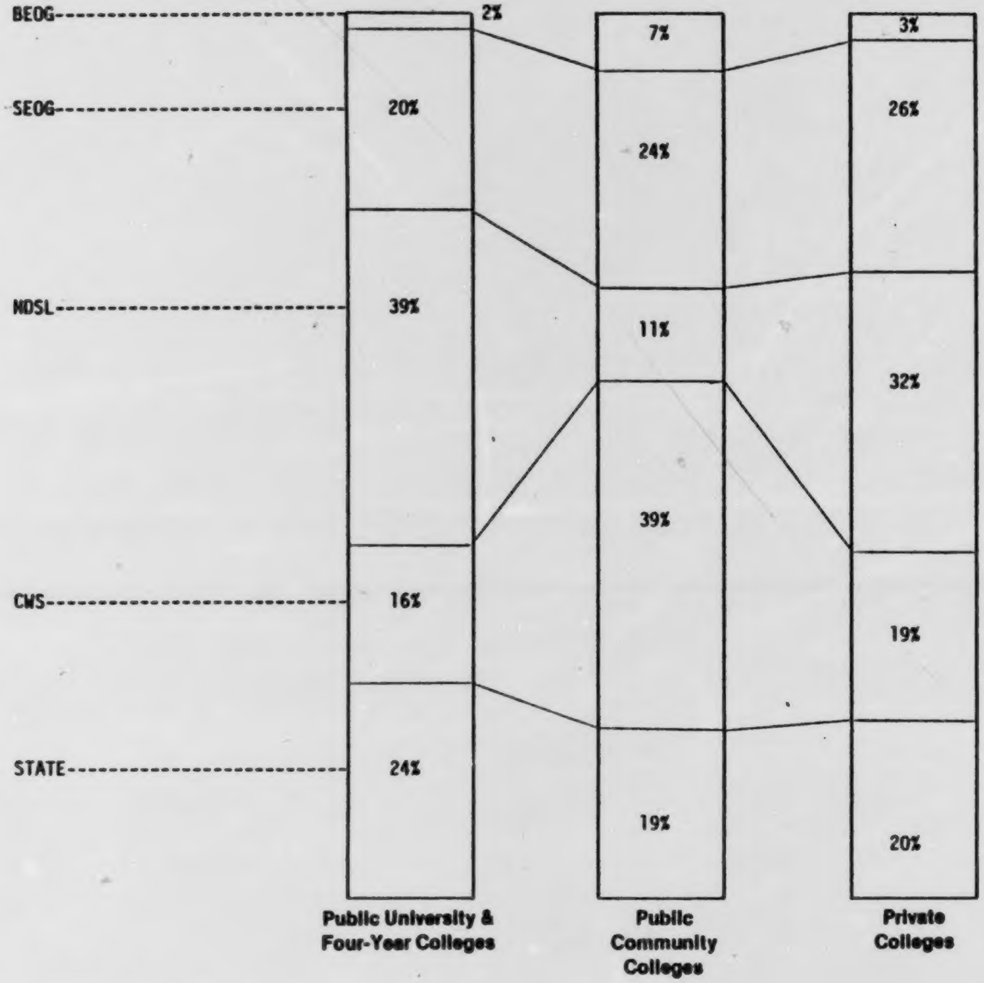
State funds were also awarded to the following types of institutions:

- Public Vocational - $3,800

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE NEED GRANT PROGRAM



Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of instituions:

- Public Vocational - $3,800

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Board of Regents is authorized by state statute to administer the Higher Education Grant Program. Recommendations to the Governor and the State Legislature regarding funding needs are made annually by the Board. Grant program funds are components of the state budget adopted by the Legislature and approved by the Governor.

1. Higher Education Grant Program
2. Tuition and Fee Waiver Program (Undergraduate Scholarships)
3. Academic Common Market

RELATIONSHIPS OF STATE AGENCIES

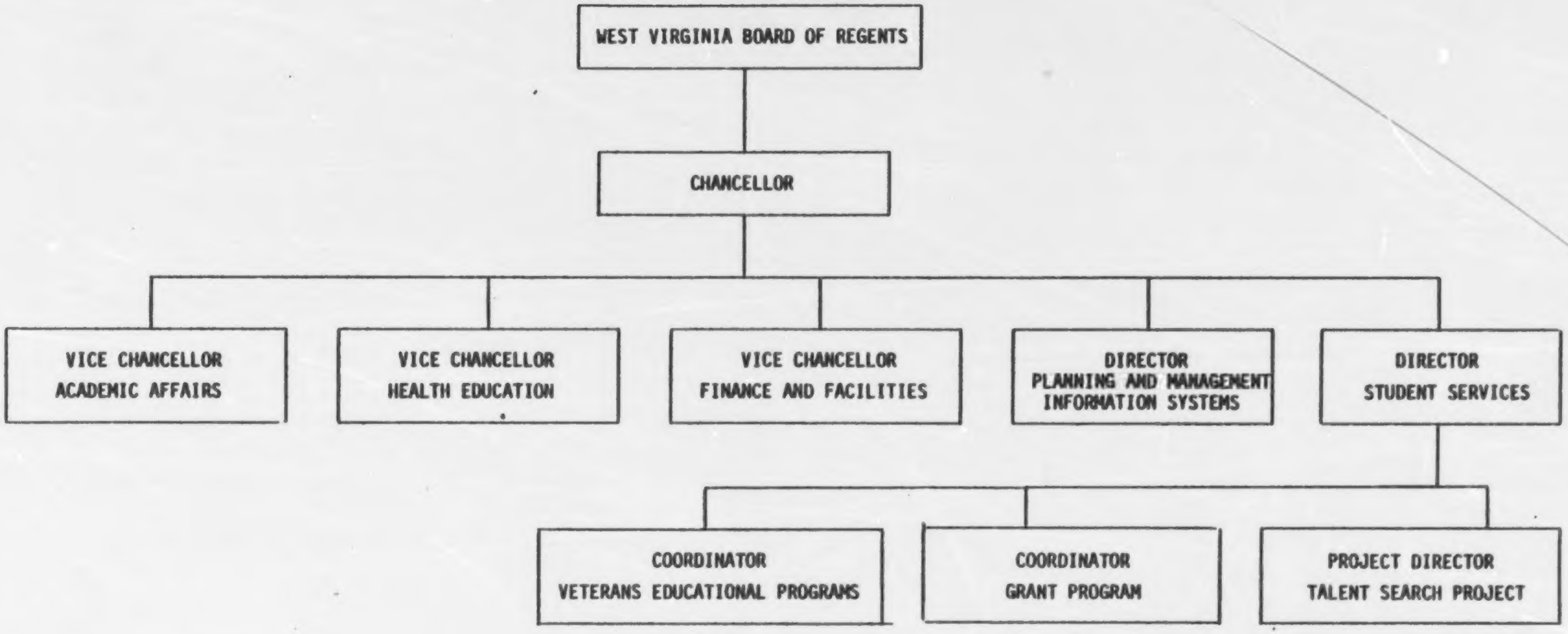
The West Virginia Board of Regents is authorized by state statute to administer the Higher Education Grant Program (officially identified in the statute as the State Scholarship Program). While the Grant Program is centralized to a very high degree in its operation, colleges and universities actually disburse the grant funds to recipients. By check or transfer funds (for public colleges), the Board forwards funds to institutions in the name of individual recipients for actual disbursement.

Recommendations to the Governor and the State Legislature regarding funding needs are made annually by the Board of Regents. Grant Program administrative funds and student award funds are individual components of the state budget adopted by the Legislature and approved by the Governor. Any changes in statutory regulations and provisions must, of course, be approved by these two branches of State government.

The Advisory Council on West Virginia Grants was created by the Board of Regents several years ago so that the Board could benefit from the advice and counsel of certain key individuals in state government and education. Current membership includes: public and private higher education officials, high school counselors, college student, representative from the Governor's Office, and chairmen of the Education and Finance committees of the Legislature. The Advisory Council is invited to provide input and make suggestions to the Board of Regents on such matters as eligibility criteria for grant recipient, the administrative operation of the Grant Program, appropriate relationships between the several student aid programs, etc.

The Undergraduate Scholarship Program (tuition and fee waiver program) operates under the direction of the Board of Regents, but is relatively more decentralized in its actual operation than the Higher Education Grant Program. Within certain Board policy provisions, public colleges and universities select students who are recommended to the Board for tuition and fee waivers. Varying kinds of student characteristics and talents are recognized by the various schools in making waiver recommendations.

STATE AGENCY ORGANIZATION


WEST VIRGINIA BOARD OF REGENTS
CHANCELLOR
VICE CHANCELLOR ACADEMIC AFFAIRS
VICE CHANCELLOR HEALTH EDUCATION
VICE CHANCELLOR FINANCE AND FACILITIES
DIRECTOR PLANNING AND MANAGEMENT INFORMATION SYSTEMS
DIRECTOR STUDENT SERVICES
COORDINATOR VETERANS EDUCATIONAL PROGRAMS
COORDINATOR GRANT PROGRAM
PROJECT DIRECTOR TALENT SEARCH PROJECT

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X[1]	
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		State Attorney General's office would become involved if actual prosecution sought.
Publicizes Award Availability	X		

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[1] Funds sent to institution in the name of recipients for disbursement.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Carrying a workload which amounts to a minimum of six semester hours or six quarter hours for institutions utilizing trimesters, semesters or quarters.	Interpretation	Yes	Yes	Yes
State Resident - Must reside in the State of West Virginia for one year preceding the date of application for a grant or renewal of a grant.	Law			
Independent Student - Is one who has not lived with parents during the previous year or year in which aid is requested; has not been listed as an exemption on parent's tax return for the preceding year or year in which aid is requested; has not received assistance worth $600 or more from parents during the preceding year or year aid is requested.	Interpretation	Yes	Yes	
Non-Profit Institution - A public or private non-profit degree granting institution of higher education (statutory provision for Higher Education Grant Program).	Law			
Satisfactory Academic Progress - Measured by hours attempted and college grade point average. Up to 30 hours, must maintain 1.6 GPA; from 31 to 60 hours, a 1.8 GPA; and over 60 hours, a 2.0 GPA (applies only to Higher Education Grant Program).	Interpretation			
United States Citizenship - Must be a bona fide citizen of the United States.	Law			

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Annual Program Report	Regulations Summaries Legislation	Adequate
BEOG Office		Manuals Summaries Recipients Payment Schedule	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)			Marginal[1]
Washington OE Office (Campus-Based Programs)			No Contact
FISL/GSL Office		Student Loan Notes	Marginal
Trio Programs	All reports relating to Statewide Talent Search Program	Regulations Summary Reports Program Manuals	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Regulations & Operating Procedures Summary Data	Tri-Part Application Aid information for Grant Recipients	Adequate
Private Institutions	Regulations & Operating Procedures Summary Data	Aid information for Grant Recipients	Adequate
Governor/Budget Office	Summary Data Budget Request Support Data	State Regulations	Adequate
State Legislature (Including Committee Staffs)	Summary Data Budget Request Support Data		Adequate

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[1] It would be helpful to receive: 1) state allocations each spring; 2) annual summary reports of expenditures in each program by West Virginia institutions.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	50[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	15[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	15[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	5[1]	Approximately .33 of FTE Programmer's time is devoted to Grant Program computer system. Systems design and refinement work with data processing staff of the Board of Regents.

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

	MONTH/YEAR	EVENTS
	OCTOBER - 1975	1) Agency budget presented to governors office or budget bureau 2) Agency makes student-aid applications available
2) Prefer to receive BEOG payment schedule in Feb.	JANUARY - 1976	1) Agency budget presented to legislature 2) BEOG applications information received from federal office
	MARCH - 1976	Deadline for student-aid applications
Received supplemental appropriations in November 1976	APRIL - 1976	Legislature appropriates student assistance funds
	JUNE - 1976	Agency (or institutions) announces award winners
	ONGOING -	Award changes and adjustments are made throughout the year

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, 5-10%	State	Note 1
2. Match Against BEOG Applications?	Yes, All	State	Note 2
3. Match Against Institutional Student Records?	Yes, All	Institution	
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution, State	
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?	Yes, All	State	

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[1] Award cancelled for violating party.

[2] Application delayed until differences reconciled.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	
2. College Financial-Aid Officers	X	X	X			
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	
5. College Admissions Office	X		X		X	
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X		X		X	
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

West Virginia Higher Education Grant Program - A grant program to assist needy West Virginians to continue their programs of self-improvement at approved undergraduate institutions of higher education of their choice in the state. Recipients must demonstrate scholastic ability.

Undergraduate Scholarships (Tuition & Fee Waiver Program) - A tuition-remission program designed to provde tuition and fee waivers to students determined to be deserving scholastically, athletically, or by extracurricular activities (and in some cases by need) by public colleges and universities in West Virginia.

Academic Common Market - This tuition-reciprocity program is an interstate agreement among southern states for sharing academic programs. It enables graduate students in designed academic areas of member states to enroll in selected out-of-state graduate degree programs at in-state tuition and fee rates.

West Virginia War Orphans Program - Not administered by the Board of Regents.

Graduate Fellowships - Teachers of Handicapped Children - Not administered by Board of Regents.

West Virginia Scholarship Program - Same as Higher Education Grant Program.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
West Virginia Higher Education Grant Program	1970-71	817	$306	$ 250,000	Resident Undergraduates
	1975-76	3,741	$479	$1,792,581	
Non Need-Based					
Undergraduate Scholarships (Tuition and Fee Waiver Program)	1972-73	1,975	Note 1	$ 862,080	Undergraduate Students
	1975-76	2,062	Note 1	$1,292,404	
Academic Common Market	1974-75	16	Note 2	Note 2	Graduate Students Enrolled in Selected Academic Areas in Out-of-State Schools
	1975-76	16	Note 2	Note 2	
West Virginia War Orphans Program	INFORMATION NOT GIVEN				
Graduate Fellowships-Teachers of Handicapped Children	INFORMATION NOT GIVEN				

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[1] Public colleges and universities may award tuition and fee waivers to a number of students which does not exceed 5% of their FTE enrollment in the preceding academic year. Withincertain Board of Regents policies, the public institutions identify students who are recommended to the Board for tuition and fee waivers.

[2] No actual monetary awards are made in this program. Since states typically put only those graduate programs where additional space is available in the SREB reciprocal agreement, very minimal costs are incurred.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
West Virginia Higher Education Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Given	$200
Non Need-Based						
Undergraduate Scholarships (Tuition and Fee Waiver Program)	Residents attending In-State and Non-Residents	Part-Time Half-Time Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Academic Common Market	Residents attending Out-of-State	No Restrictions	Graduates	Not Given	Not Applciable	Not Applicable
West Virginia War Orphans Program	INFORMATION NOT GIVEN					
Graduate Fellowships-Teachers of Handicapped Children						

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
West Virginia Higher Education Grant Program	Public Private	Public Private	No	No	No
Non Need-Based					
Undergraduate Scholarships (Tuition and Fee Waiver Program)	Public	Public	No	No	No
Academic Common Market	Public[1]	No	No	No	No
West Virginia War Orphans Program	INFORMATION NOT GIVEN				
Graduate Fellowships-Teachers of Handicapped Children	INFORMATION NOT GIVEN				

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[1] With graduate programs.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget* Includes What Items?
Need-Based				
Grants				
West Virginia Higher Education Grant Program	Need = Educational Costs - Family Contribution (at $200 to demonstrate eligibility)	Yes	Consensus	Tuition/Fees Room & Board Books & Supplies Misc. Expenses

---

*In West Virginia, need is calculated on the basis of the total student budget--tuition and fees, room and board, books and supplies, and misc. expenses. However, the Grant Program award is limited to the amount of tuition and fees.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
West Virginia Higher Education Grant Program	$1,429[1]	Cannot exceed demonstrated need. Also, cannot exceed lesser of tuition/fees or amount equal to average state general fund support amount for FTE students in public four-year colleges for the preceding academic year.	Ranking procedures provide for listing applicants in ascending order according to parental contribution and descending order according to academic qualifications. In periods of limited funding, minimal academic qualifications are required to gain eligibility.	State Board of Regents with advice and council from Advisory Council
Non Need-Based				
Undergraduate Scholarships (Tuition and Fee Waiver Program)	$240-Residents $1,210-Non-Residents	Cannot exceed designated tuition and fee charges.	Institutions determine in accordance with institutional goals and student needs.	Board of Regents
Academic Common Market	No Actual $ Award	No actual award--related to difference between resident and non-resident rates at participating schools.	Not Applicable	Fixed Difference of Non-Resident and Resident Rates
West Virginia War Orphans Program	INFORMATION NOT GIVEN			
Graduate Fellowships-Teachers of Handicapped Children				

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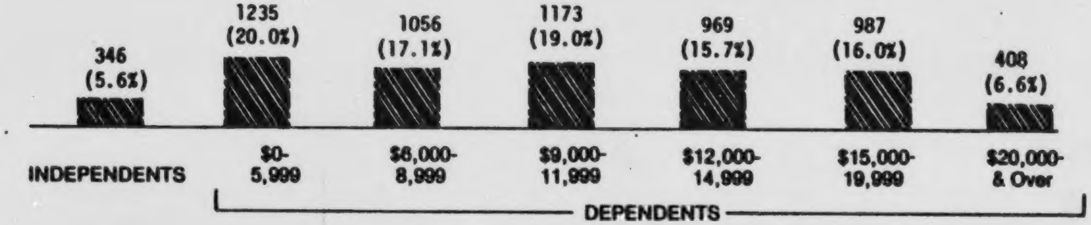
[1] For 1976-77.

[2] Due to differential in tuition and fee charges.

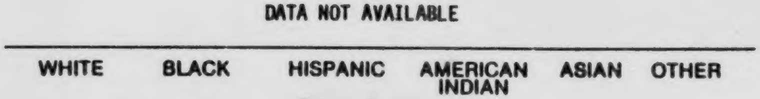
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

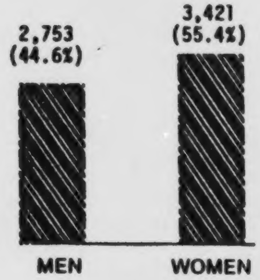
- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM



Income Level (1,2)



Ethnic Status



Sex(1)

(1) There is a discrepancy in the total applicants by "Income" and by "Sex." The data used was supplied in the NASSGP Survey.
(2) The figures given in the income categories are based on reasonable estimations or approximations.

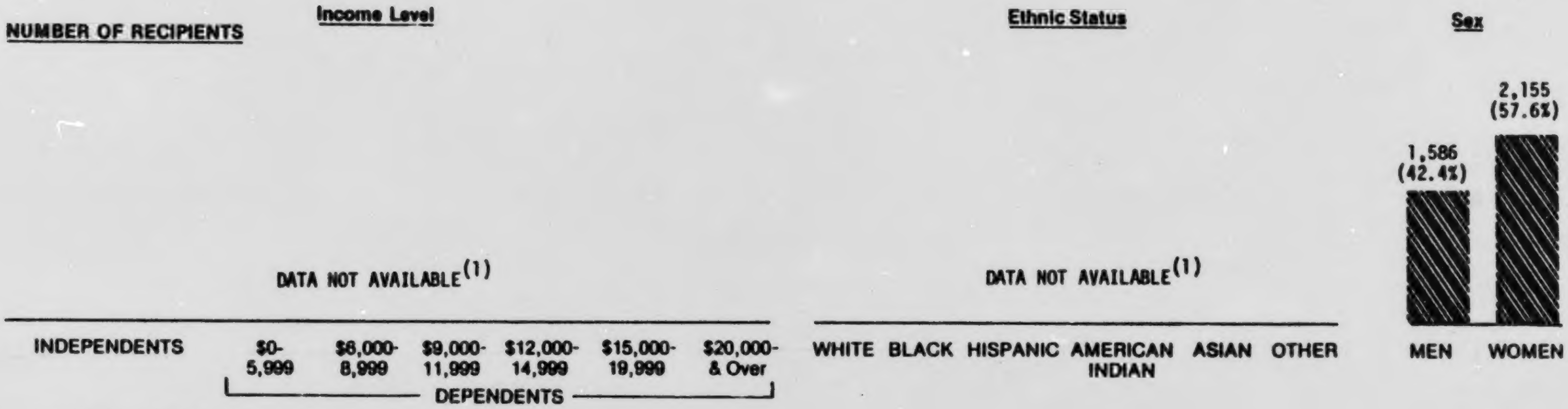
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

WEST VIRGINIA

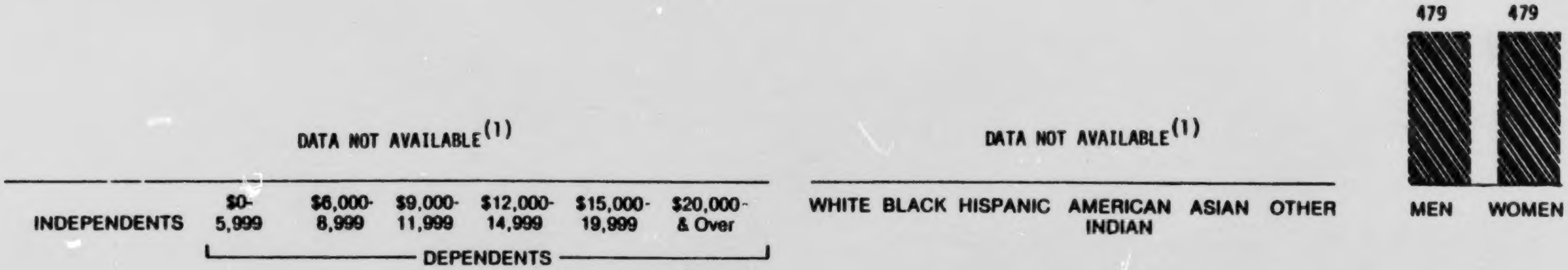
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

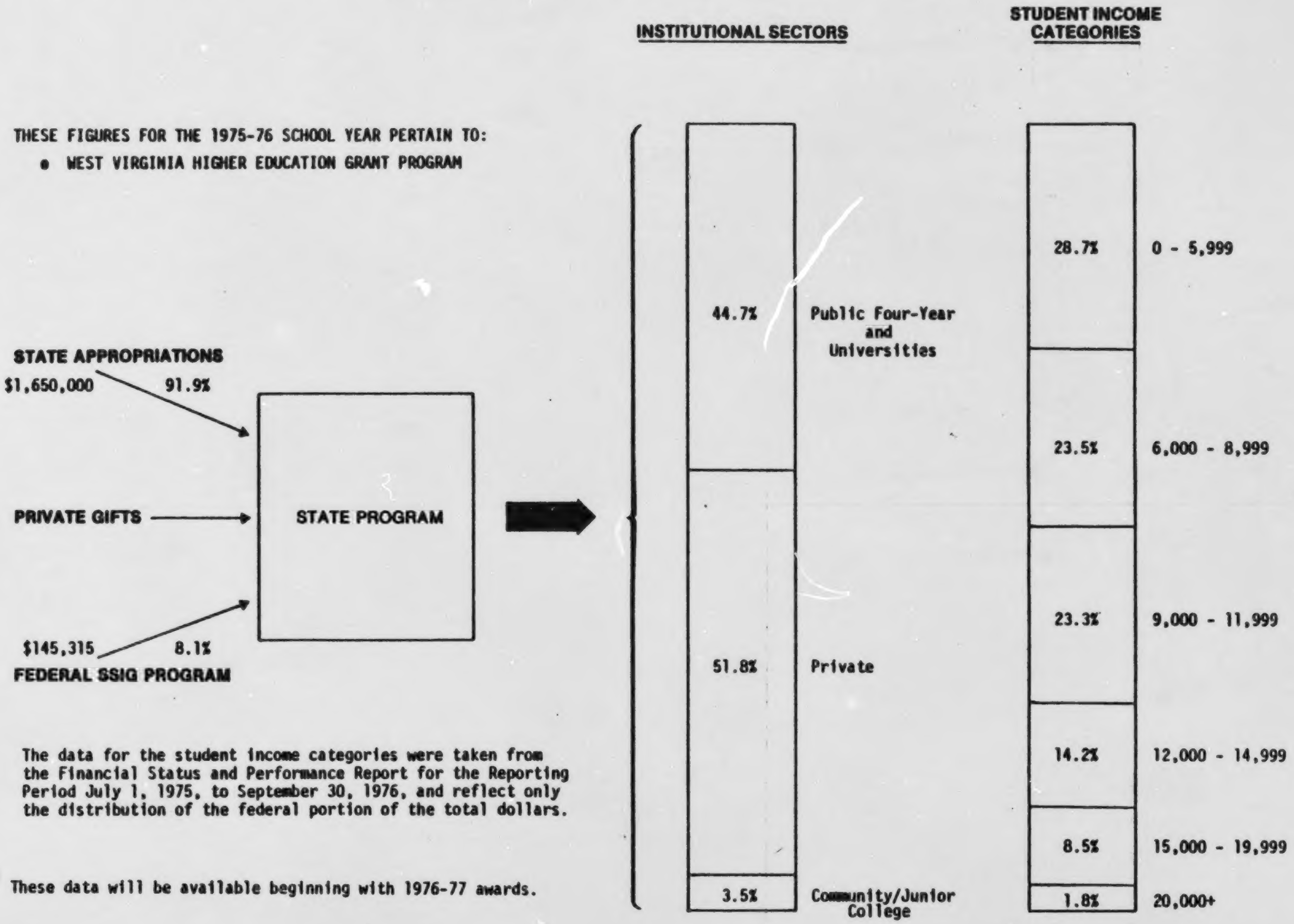


(1) This type of information will be available beginning with 1976-77 awards.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM



STATE APPROPRIATIONS $1,650,000 91.9%

PRIVATE GIFTS

$145,315 8.1% **FEDERAL SSIG PROGRAM**

STATE PROGRAM

INSTITUTIONAL SECTORS

44.7%	Public Four-Year and Universities
51.8%	Private
3.5%	Community/Junior College

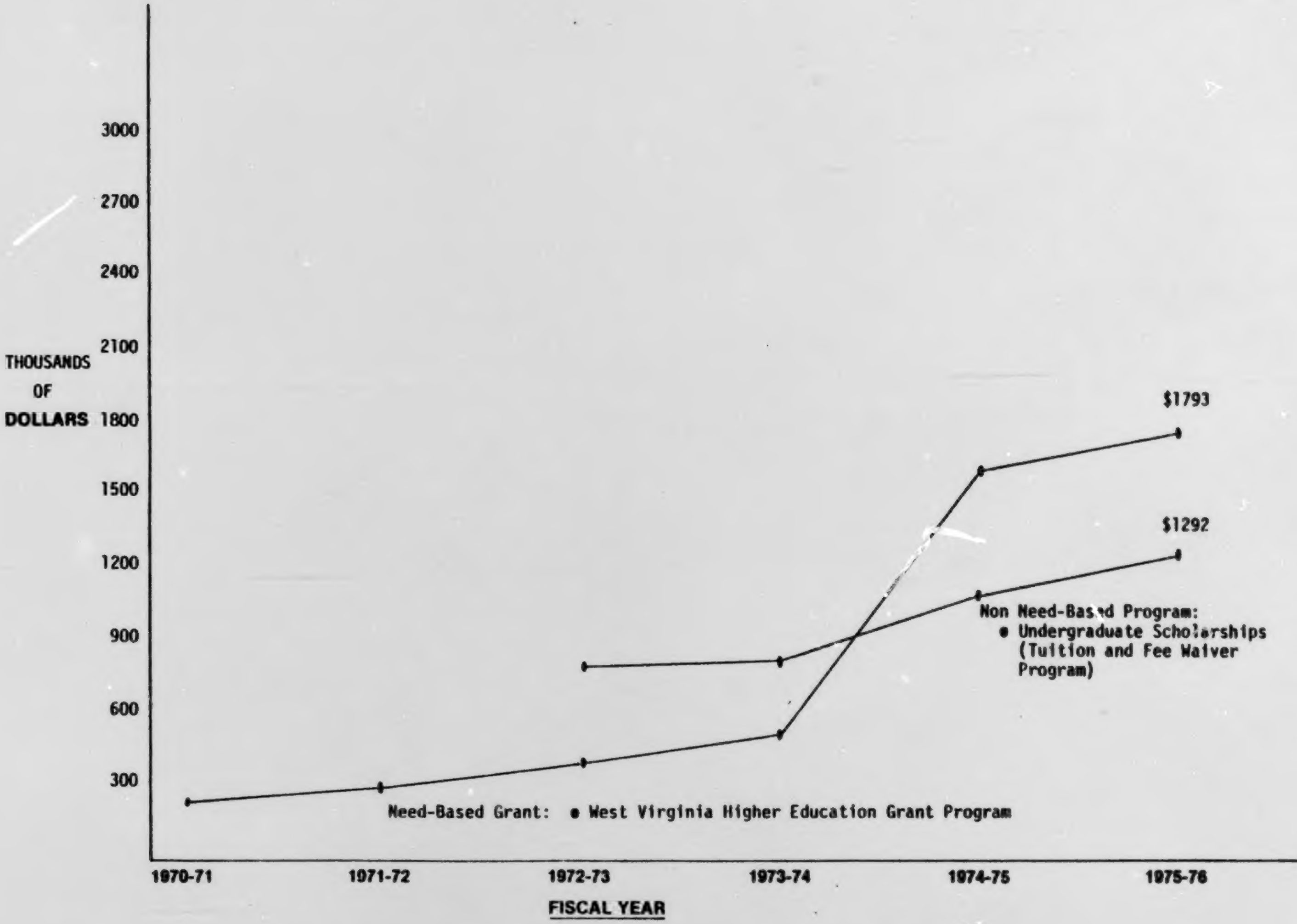
STUDENT INCOME CATEGORIES

28.7%	0 - 5,999
23.5%	6,000 - 8,999
23.3%	9,000 - 11,999
14.2%	12,000 - 14,999
8.5%	15,000 - 19,999
1.8%	20,000+

The data for the student income categories were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and reflect only the distribution of the federal portion of the total dollars.

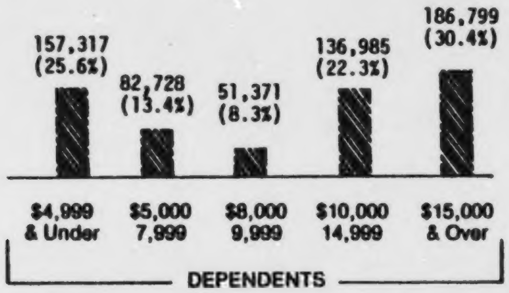
[1] These data will be available beginning with 1976-77 awards.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



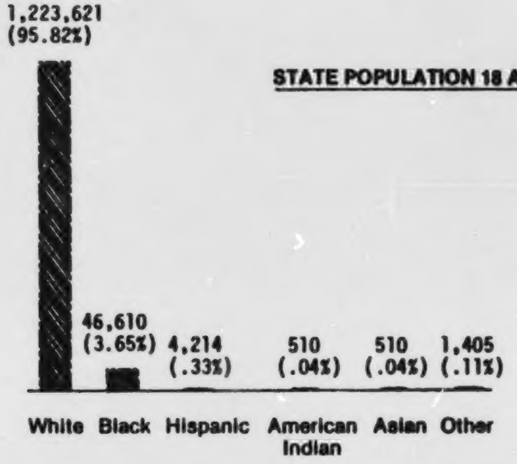
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

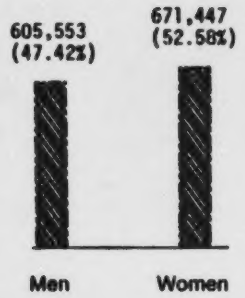


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

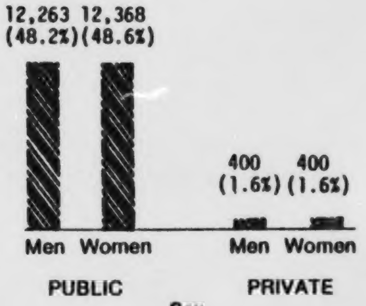


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 4,191 persons granted a high school equivalency certificate.

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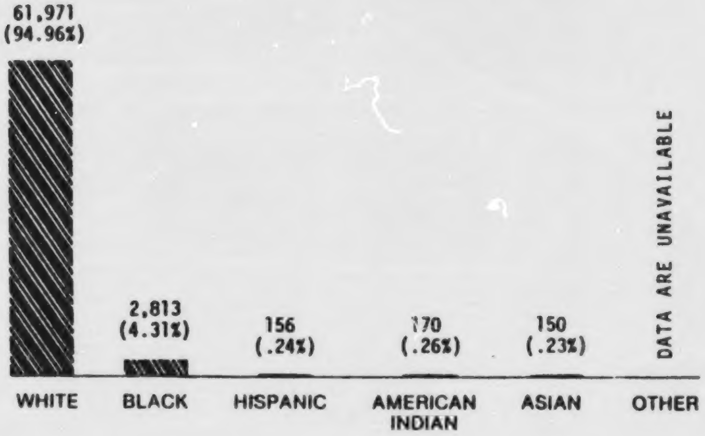
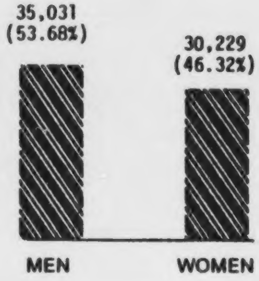
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

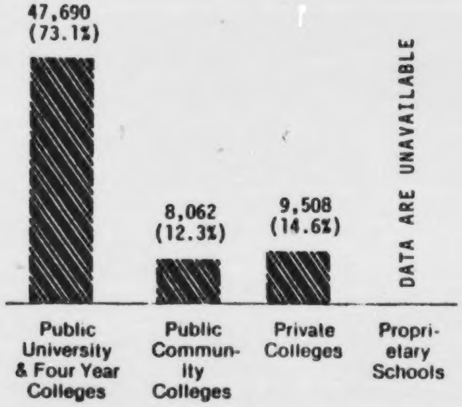
WEST VIRGINIA H2



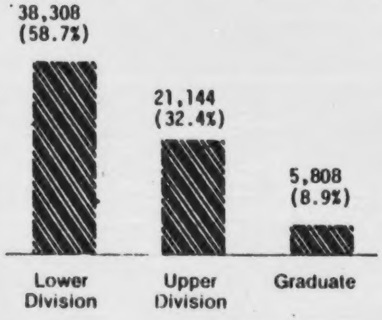
Ethnic Status



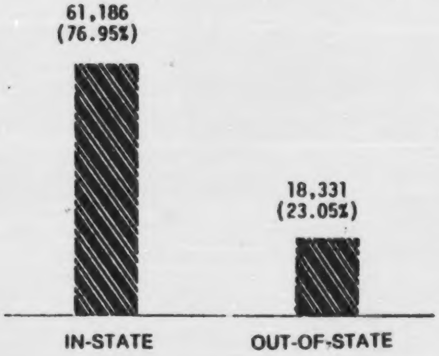
Sex



Institutional Sector

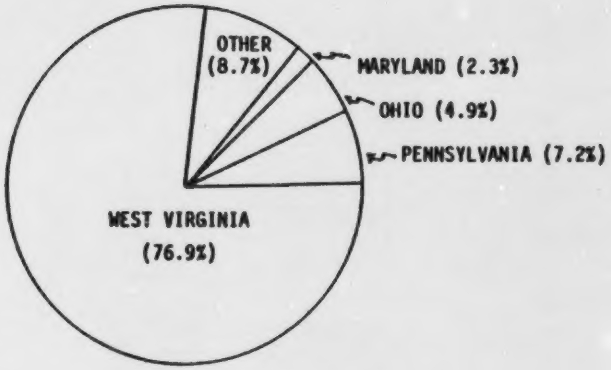


Student Level

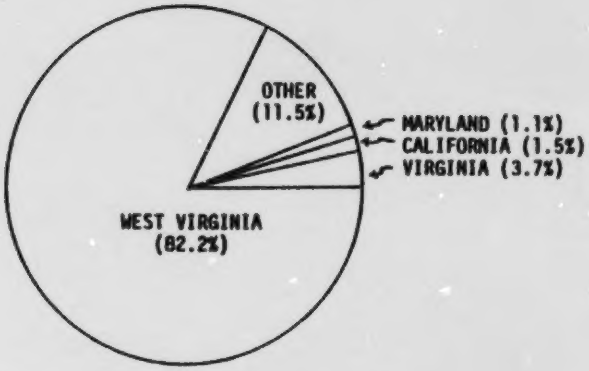


Residency

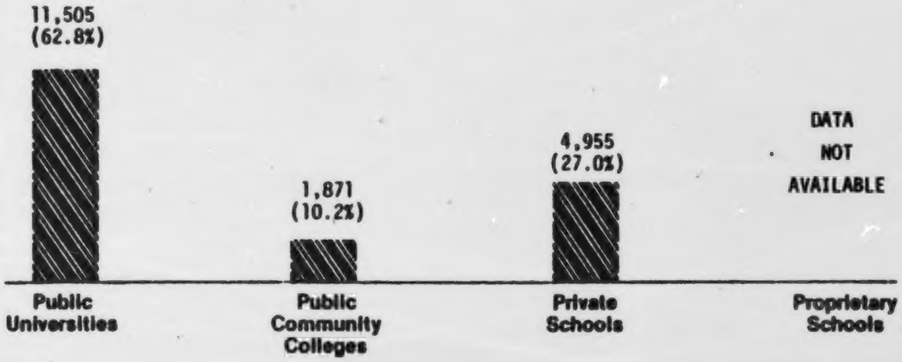
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



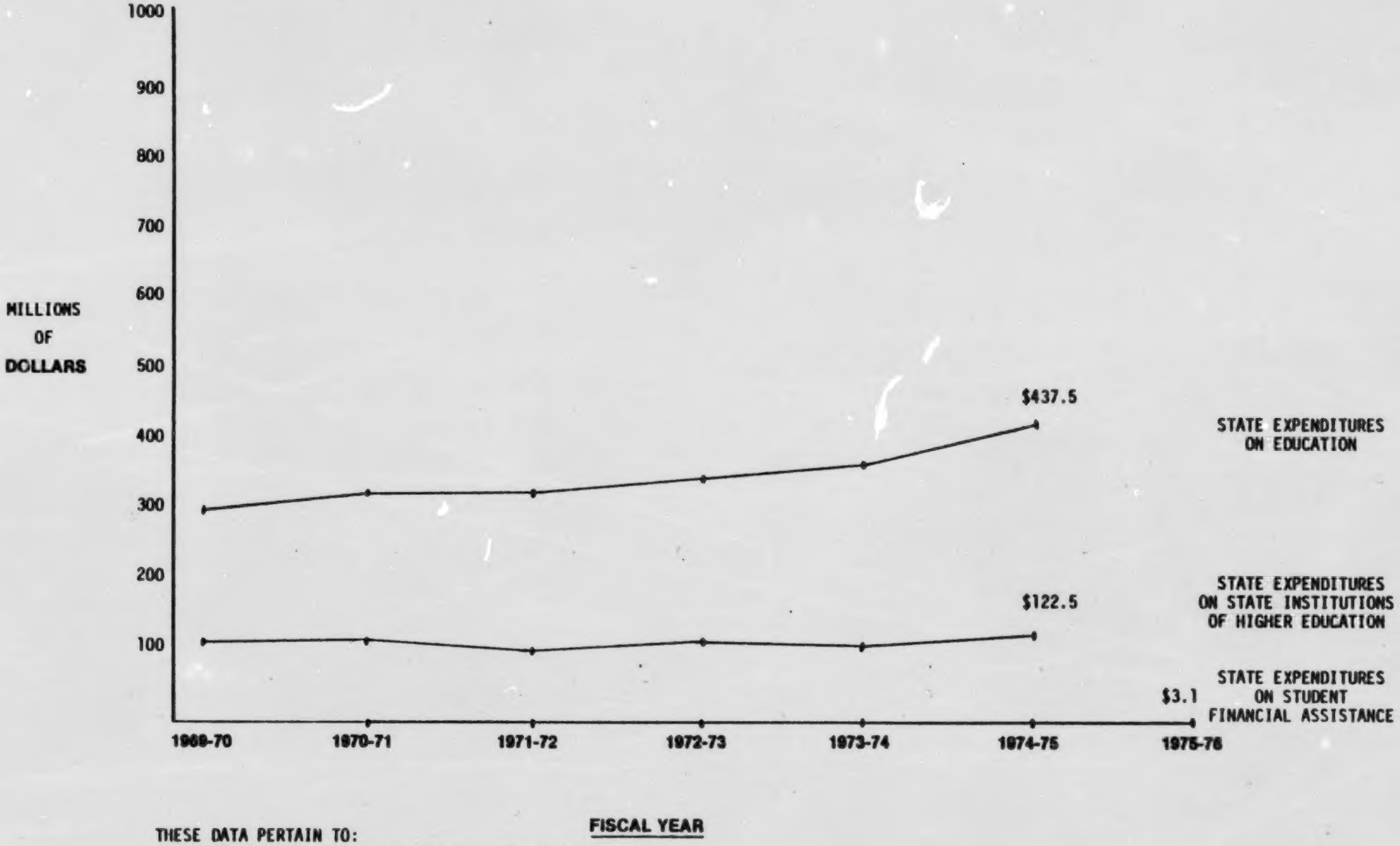
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

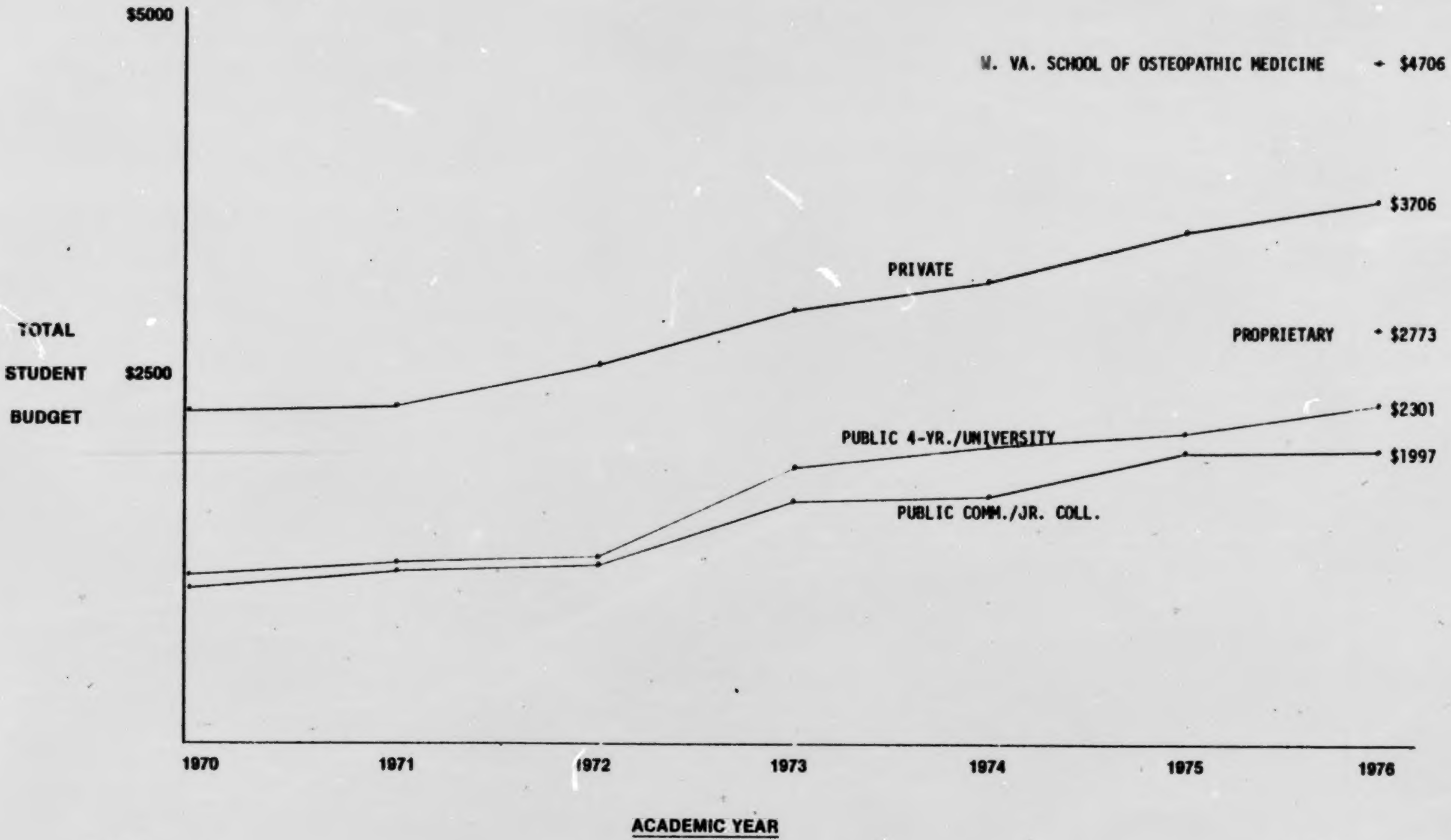
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM
- UNDERGRADUATE SCHOLARSHIPS (TUITION AND FEE WAIVER PROGRAM)

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

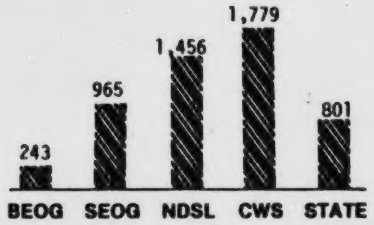


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

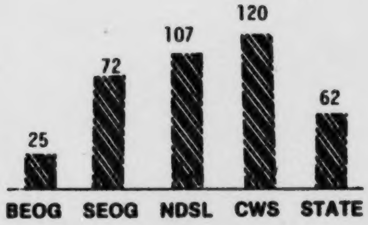
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

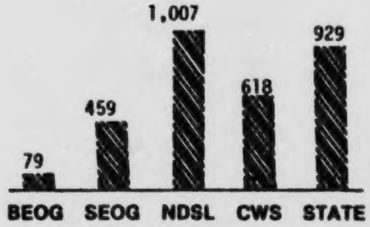
- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM



Public University and Four-Year Colleges

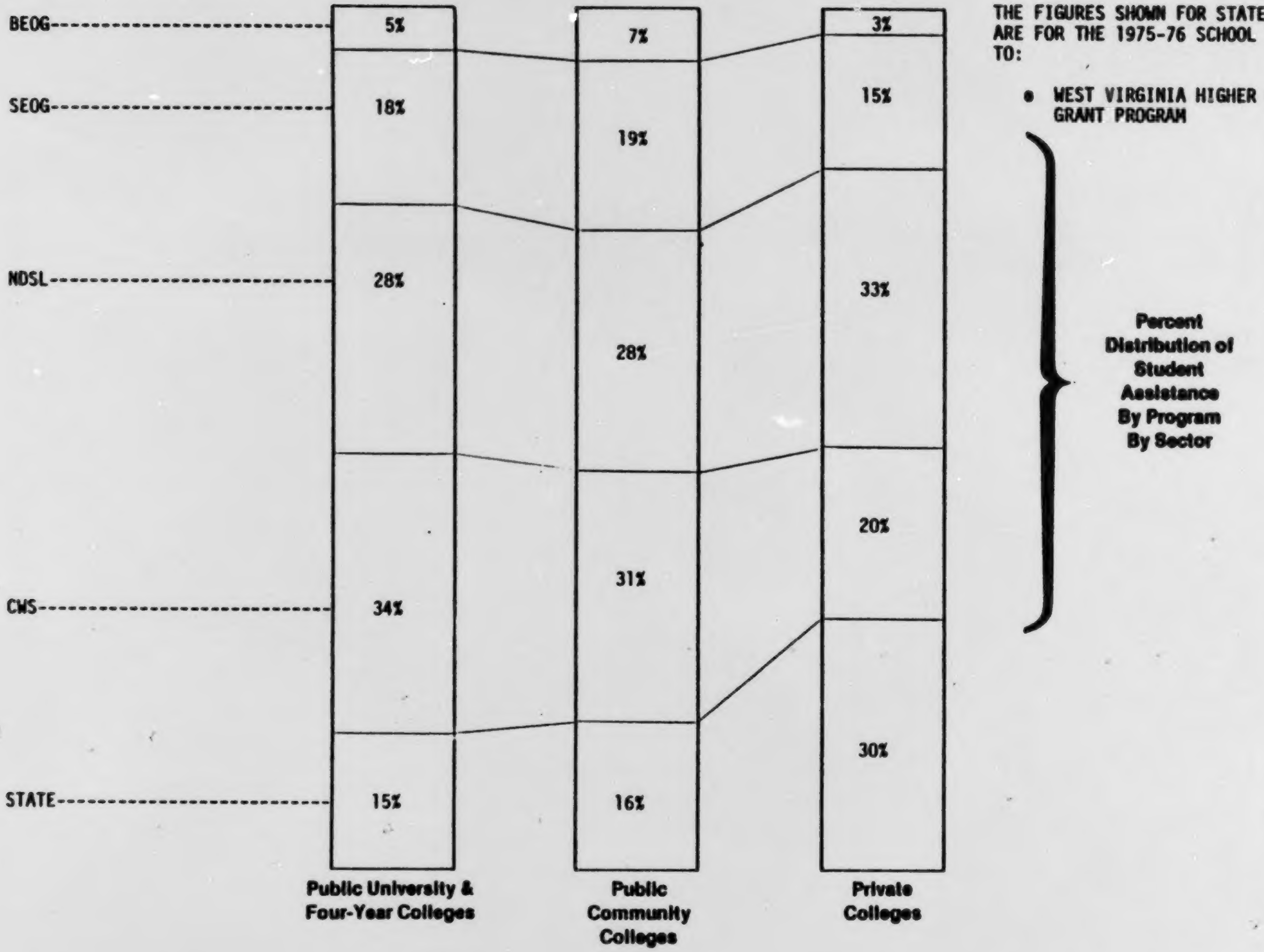


Public Community Colleges



Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

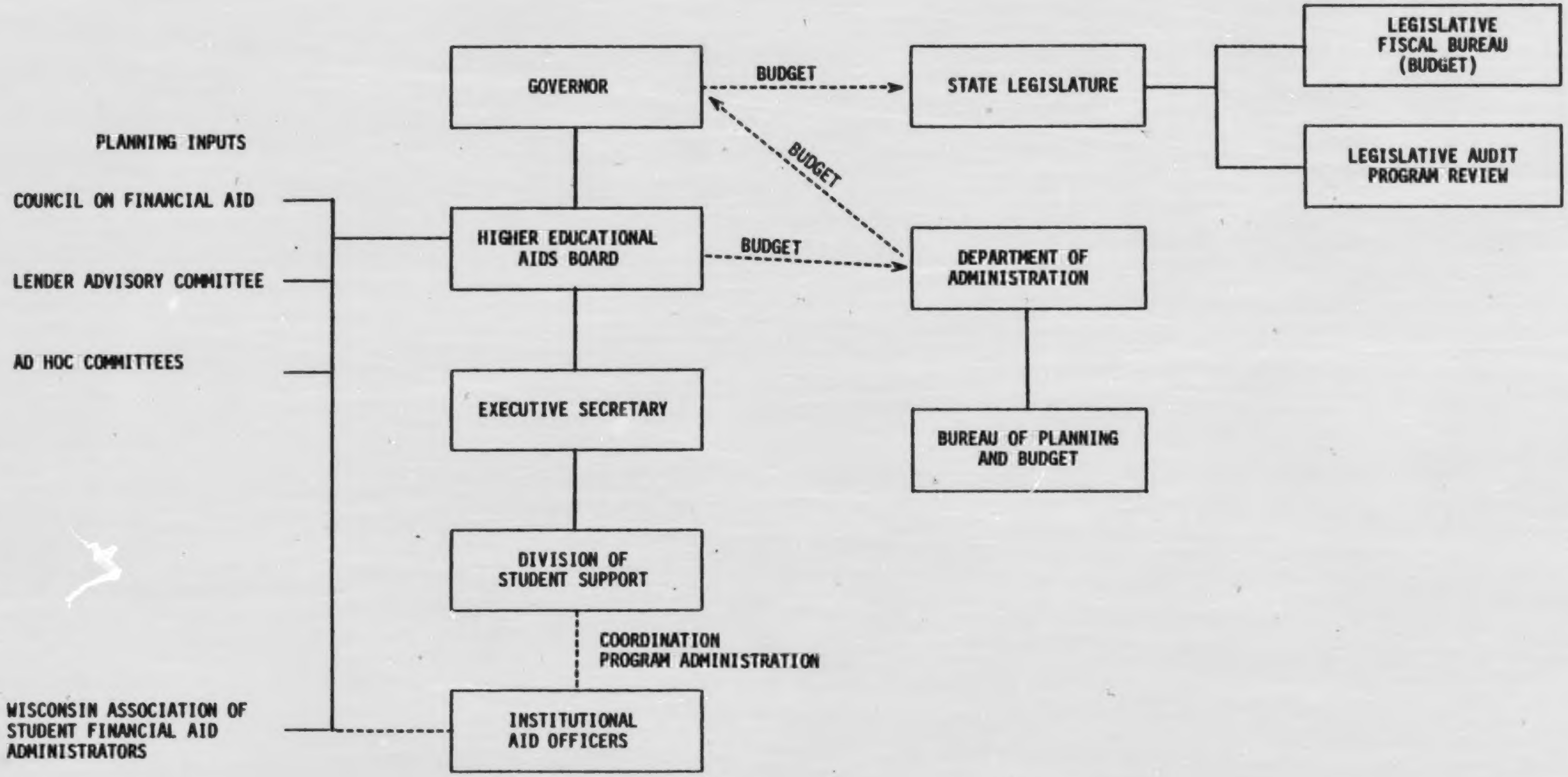
- WEST VIRGINIA HIGHER EDUCATION GRANT PROGRAM

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Higher Educational Aids Board is directly under the Governor and has twenty full-time staff members responsible for and working on student assistance programs.

1. Wisconsin Tuition Grants
2. Wisconsin Higher Education Grants
3. Wisconsin Indian Student Assistance Grants
4. Wisconsin State Student Loans
5. Wisconsin Guaranteed Student Loans
6. Minnesota-Wisconsin Reciprocity Programs
7. Talent Incentive Program

RELATIONSHIPS OF STATE AGENCIES



PLANNING INPUTS

COUNCIL ON FINANCIAL AID

LENDER ADVISORY COMMITTEE

AD HOC COMMITTEES

WISCONSIN ASSOCIATION OF
STUDENT FINANCIAL AID
ADMINISTRATORS

GOVERNOR

HIGHER EDUCATIONAL
AIDS BOARD

EXECUTIVE SECRETARY

DIVISION OF
STUDENT SUPPORT

COORDINATION
PROGRAM ADMINISTRATION

INSTITUTIONAL
AID OFFICERS

BUDGET

BUDGET

BUDGET

STATE LEGISLATURE

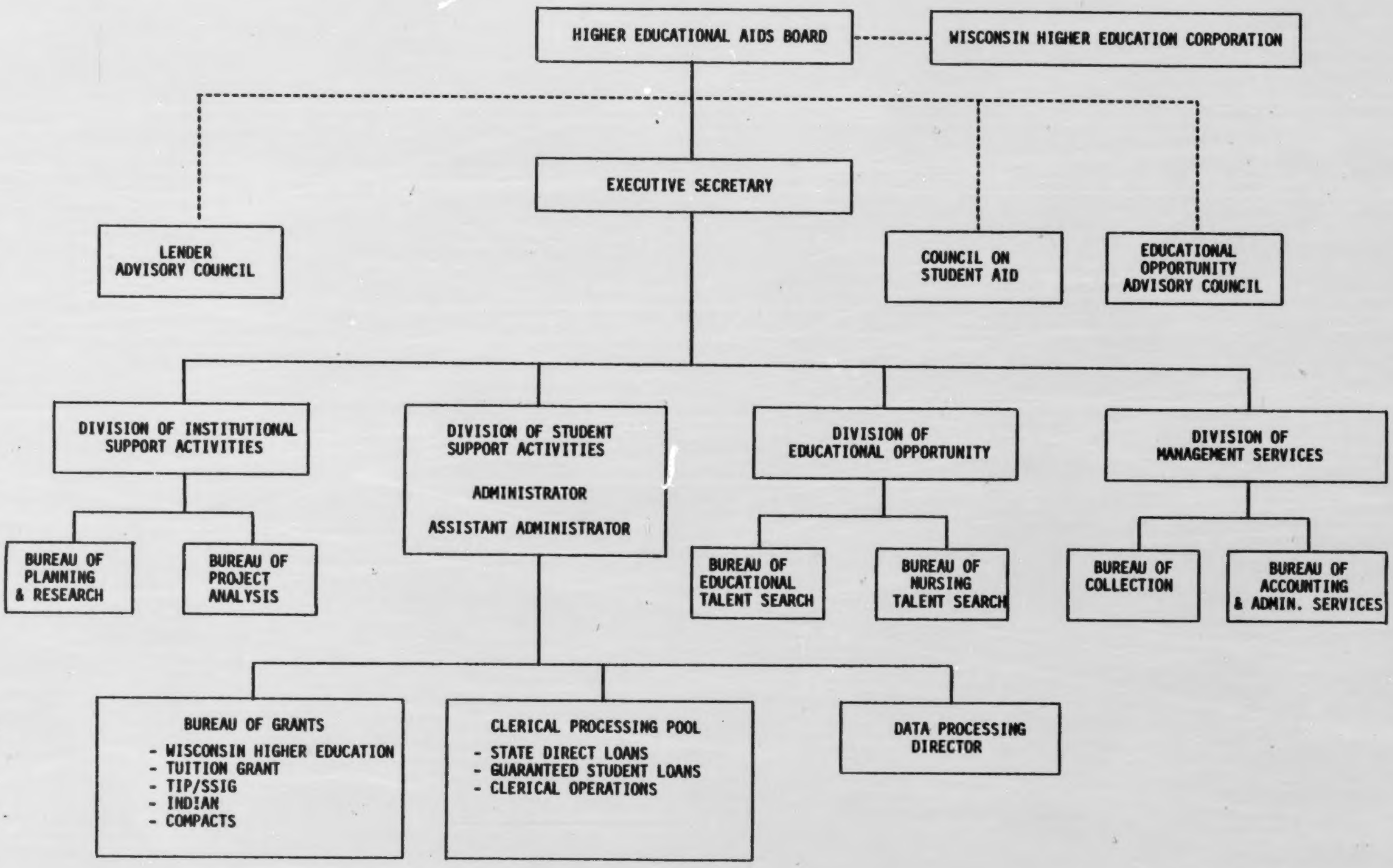
LEGISLATIVE
FISCAL BUREAU
(BUDGET)

LEGISLATIVE AUDIT
PROGRAM REVIEW

DEPARTMENT OF
ADMINISTRATION

BUREAU OF PLANNING
AND BUDGET

STATE AGENCY ORGANIZATION


HIGHER EDUCATIONAL AIDS BOARD
WISCONSIN HIGHER EDUCATION CORPORATION
EXECUTIVE SECRETARY
LENDER ADVISORY COUNCIL
COUNCIL ON STUDENT AID
EDUCATIONAL OPPORTUNITY ADVISORY COUNCIL
DIVISION OF INSTITUTIONAL SUPPORT ACTIVITIES
DIVISION OF STUDENT SUPPORT ACTIVITIES
ADMINISTRATOR
ASSISTANT ADMINISTRATOR
DIVISION OF EDUCATIONAL OPPORTUNITY
DIVISION OF MANAGEMENT SERVICES
BUREAU OF PLANNING & RESEARCH
BUREAU OF PROJECT ANALYSIS
BUREAU OF EDUCATIONAL TALENT SEARCH
BUREAU OF NURSING TALENT SEARCH
BUREAU OF COLLECTION
BUREAU OF ACCOUNTING & ADMIN. SERVICES
BUREAU OF GRANTS
- WISCONSIN HIGHER EDUCATION
- TUITION GRANT
- TIP/SSIG
- INDIAN
- COMPACTS
CLERICAL PROCESSING POOL
- STATE DIRECT LOANS
- GUARANTEED STUDENT LOANS
- CLERICAL OPERATIONS
DATA PROCESSING DIRECTOR

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X	X	
• Assignment of Priority to Awards	X	X	
• Formally Approves Award	X	X	
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications			
Publicizes Award Availability	X	X	

COMMENTS: Management responsibilities vary by program.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - As defined by the institution, i.e., whatever each school uses to define enrollment status (full-, half-, part-time) is used to determine enrollment status for state program.	Law	Yes	Yes	Yes
State Resident - Student or his/her parents must live in the state for one full year. In the case of the independent student, he/she must have not been enrolled and contributed economically during the year.	Law	Yes	Yes	Yes
Independent Student - Wisconsin uses current federal definition: not claimed on tax return; no more than $600; and no more than two weeks at home.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - Appropriate state/federal statutes.	Law	Yes	Yes	Yes
Satisfactory Academic Progress - As defined by the institution. School may not use a different rule for those on aid as compared with those not on aid.	Interpretation	Yes	Yes	Yes
United States Citizenship - As defined by U.S. Government. Aid not awarded to non-citizens on student visas, but available to resident aliens who are residents of Wisconsin.	Interpretation	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Summaries Regulations Litigation	Adequate[1]
BEOG Office		Recipients Regulations Applications	Adequate for 1977-78[2]
Regional OE Student-Assistance Office (Campus-Based Programs)	Summaries	Summaries[3] Regulations[3]	Marginal[4]
Washington OE Office (Campus-Based Programs)	Summaries	Summaries[3] Regulations[3]	Marginal[4]
FISL/GSL Office	Recipients Regulations Terminations	Terminations	Inadequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Litigation Recipients Regulations Applications Terminations	Recipients	Adequate
Private Institutions	Summaries Litigation Recipients Regulations Applications Terminations	Recipients	Adequate
Governor/Budget Office	Summaries Litigation Regulations	Summaries (Budgetary Directives)	Adequate
State Legislature (Including Committee Staffs)	Summaries Litigation Regulations	Summaries (Policy Reports, Audits)	Adequate

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[1] SSIG provides the best on-going flow of important information of all USOE offices.

[2] Tape exchange in 1977-78 to include full data for the first time; this should help.

[3] Those available, only by request.

[4] Both OE Regional and OEDC do not provide information on an on-going basis to state agency.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	75[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	7[1]	Assistance is given by Financial Aid Officer, but FTE cannot be given.
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	1[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	2[1]	Financial Aid Officer (FTE = 5)
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	15[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR		EVENTS
The 1976-77 school year is the second year of Wisconsin's 1975-76 Biennium	JULY - 1974	Agency budget presented to governors office or budget bureau
	JANUARY - 1975	Agency budget presented to legislature
	JULY - 1975	Legislature appropriates student assistance funds
	OCTOBER - 1975	Agency completes planning workshops for students or counselors
	NOVEMBER - 1975	Agency makes student-aid applications available
Rolling deadline--no set date	ONGOING	Deadline for student-aid applications
Begins	JANUARY - 1976	BEOG applications information received from federal office
Begins	APRIL - 1976	Agency (or institutions) announces award winners
	JUNE - 1977	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sample	Institution	Delayed action pending clarification
2. Match Against BEOG Applications?	Yes, Sample	Institution	
3. Match Against Institutional Student Records?	Yes, All	Institution	Delay check distribution
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Continued aid elibibility delayed or suspended
2. Check on Mid-Term Attendance?	Yes, All	Institution	
3. Check on Course Completion?	Yes, All	Institution	
4. Check on Academic Progress Before Award?	Yes, All	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution	
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, Sample	Institution	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, Sample	State	Note 1
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, State Audit	State	Take corrective action
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?			

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[1] Suggest corrective actions/follow-up

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	
2. College Financial-Aid Officers	X	X	X	X	X	
3. Direct Mailings to Graduating High School Students or Parents	X	X				X[1]
4. High School Meetings or Workshops	X	X	X	X	X	
5. College Admissions Office						X[2]
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X	X	X	
9. Other (Please Specify) State/Federal Talent Searth Program (TRIO)	X	X	X	X	X	X

[1] Only on specific request.

[2] Financial Aid Officers.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Wisconsin Tuition Grants - A need-based program to provide tuition equalization grants to resident students enrolled in Wisconsin independent institutions of postsecondary education, including nursing schools.

Wisconsin Higher Education Grants - A grant program, based on scholastic ability and financial need, to provide access for resident students interested in attending in-state public universities and vocational schools.

Wisconsin Indian Student Assistance Grants - A program to provide grants in cooperation with BIA, to needy Wisconsin Indian students, and in so doing ensure access to higher education. Recipients may enroll in in-state schools, including nursing schools.

Wisconsin State Student Loans - A loan program to insure access for all Wisconsin resident students with financial need to loan resources.

Wisconsin Guaranteed Student Loans - A program to provide access to private loans for all students.

Minnesota-Wisconsin Reciprocity Program - A tuition-reciprocity program to allow maximum freedom of choice to Wisconsin and Minnesota residents by removing the border between the two states for resident tuition rates in public institutions.

Wisconsin State Veterans Benefits - A grant program to provide grants to needy Vietnam Era veterans to offset educational expenses while attending in-state institutions, including nursing schools.

Wisconsin State Vocational Rehabilitation - A program which provides grants to needy handicapped persons and thereby enables them to attend postsecondary educational institutions.

Talent Incentive Program - A grant program to provide access to in-state postsecondary institutions for needy non-traditional, disadvantaged and minority students who are Wisconsin residents.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Wisconsin Tuition Grants	1970-71	6,001	$ 390	$ 2,338,194	Resident Undergraduates
	1975-76	8,262	$ 725	$ 5,991,297	
Wisconsin Higher Education Grants	1973-74	23,735	$ 370	$ 4,398,727	Resident Undergraduates
	1975-76	31,630	$ 432	$ 6,828,390	
Wisconsin Indian Student Assistance Grants	1971-72	330	$ 893	$ 294,835	Native Americans
	1975-76	1,246	$ 752	$ 937,120	
Wisconsin State Veteran's Benefits	1973-74	7,638	$ 240	$ 1,834,337	Veterans
	1975-76	7,289	$ 234	$ 1,709,000	
Wisconsin State Vocational Rehabilitation	1974-75	5,088	$ 372	$ 1,894,079	Handicapped
	1975-76	4,703	$ 399	$ 1,874,288	
Talent Incentive Program	1972-73	498	$ 826	$ 411,416	Non-Traditional, Disadvantaged, Minority
	1975-76	1,765	$ 888	$ 1,567,741	
Loans					
Wisconsin State Student Loans	1970-71	21,201	$ 654	$ 6,935,122	Resident Students
	1975-76	25,881	$1,114	$14,415,534	
Non Need-Based					
Wisconsin Guaranteed Student Loan	1970-71	14,458	$ 747	$10,795,282	Resident Students
	1975-76	15,020	$1,262	$18,961,721	
Minnesota-Wisconsin Reciprocity Program	1971-72	222	Note 1	Note 1	Students enrolled in Out-of-State Schools
	1975-76	1,845	Note 1	Note 1	

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[1] No award dollars provided. Non-resident tuition is waived.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Wisconsin Tuition Grants	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	$100
Wisconsin Higher Education Grants	Residents attending In-State	Half-Time Full-Time	All Undergraduates	No	Not Applicable	$100
Wisconsin Indian Student Assistance Grants	Residents attending In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	$100
Wisconsin State Veteran's Benefits	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	$ 10
Wisconsin State Vocational Rehabilitation	Residents - In/Out of State & Non-Residents	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Talent Incentive Program	Residents attending In-State	Half-Time Full-Time	Freshmen Sophomores	Yes	Not Applicable	$100
Loans						
Wisconsin State Student Loans	Residents attending In/Out of State	Half-Time	All	No	Not Applicable	$100
Non Need-Based						
Wisconsin Guaranteed Student Loan	Residents - In/Out of State & Non Residents	Half-Time	All	No	Not Applicable	Not Applicable
Minnesota-Wisconsin Reciprocity Program	Residents at Out-of-State Schools	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Wisconsin Tuition Grants	Private	No	No	No	Nursing Schools
Wisconsin Higher Education Grants	Public	Public	Public	No	No
Wisconsin Indian Student Assistance Grants	Public Private	Public	Public	Yes	Nursing Schools
Wisconsin State Veteran's Benefits	Public Private	Public	Public	Yes	Nursing Schools
Wisconsin State Vocational Rehabilitation	Public Private	Public	Public	Yes	Nursing & OJI Schools
Talent Incentive Program	Public Private	Public	Public	No	Nursing Schools
Loans					
Wisconsin State Student Loans	Public Private	Public	Public	Yes	Nursing Schools
Non Need-Based					
Wisconsin Guaranteed Student Loan	Public Private	Public	Public	Yes	Nursing Schools
Minnesota-Wisconsin Reciprocity Program	Public	Public	Public	No	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Wisconsin Tuition Grants	Need = Net Tuition - % of Family Contribution (net cost ÷ total cost x FC) up to $1,500	No	Consensus	Tuition/Fees
Wisconsin Higher Education Grants	Need = Standard Student Budgets - EFC	Yes	Consensus	General Student Costs
Wisconsin Indian Student Assistance Grants	Need = Budget - Family Contribution	Yes	Consensus	General Student Costs
Wisconsin State Veteran's Benefits	Need = Budget - Contribution	No	Consensus	General Student Costs
Wisconsin State Vocational Rehabilitation	Jointly determined by the institutions and Department of Health and Social Services	No	Consensus	General Student Costs
Talent Incentive Program				
Loans				
Wisconsin State Student Loans	Need = Budget - Family Contribution	Yes	Consensus	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Wisconsin Tuition Grants[1]	$1,500	(1) Net tuition (school's tuition-University of Wisconsin-Madison tuition); (2) Family contribution % (net tuition ÷ total cost); (3) tuition offset (FC % x total Family Contribution); (4) grant amount (net tuition - tuition offset).[1]	Not Applicable	State
Wisconsin Higher Education Grants	$1,500	Standard budget less family contribution and BEOG = net financial need award amount up to net need or pre-established equity level not to exceed $1,500.	On the basis of need	State[2]
Wisconsin Indian Student Assistance Grants	$1,500	(1) Determination of need; (2) determination of BIA eligibility; (3) if BIA eligible 50/50 sharing.	Not Applicable	Institution[3]
Wisconsin State Veteran's Benefits	$400/married $200/single	Net need	None	Institution
Wisconsin State Vocational Rehabilitation	No Maximum	Negotiation	Not Given	State
Talent Incentive Program	$1,500	Students are selected by counselors at the Agency's Talent Incentive Program. Award = Need - Other Aid up to $1,500.	Not Applicable	State[4]
Loans				
Wisconsin State Student Loans	$2,500	Need - Other Aid = Eligibility up to $2,500.	Not Applicable	Institution

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Non Need-Based				
Wisconsin Guaranteed Student Loan	$2,500	Student and his/her lender, but not to exceed costs certified by school.	Not Applicable	Student and Lender
Minnesota-Wisconsin Reciprocity Program	Not Applicable	Difference between non-resident and resident tuition.	Not Applicable	Not Applicable

[1] The award calculation described began in 1976-77 for freshmen and will be phased in one year at a time.

[2] Subject to adjustment by institution if appropriate.

[3] Subject to monitoring by state and BIA.

[4] Subject to adjustment by institution.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

NUMBER OF RECIPIENTS

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

AVERAGE AWARD SIZE

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

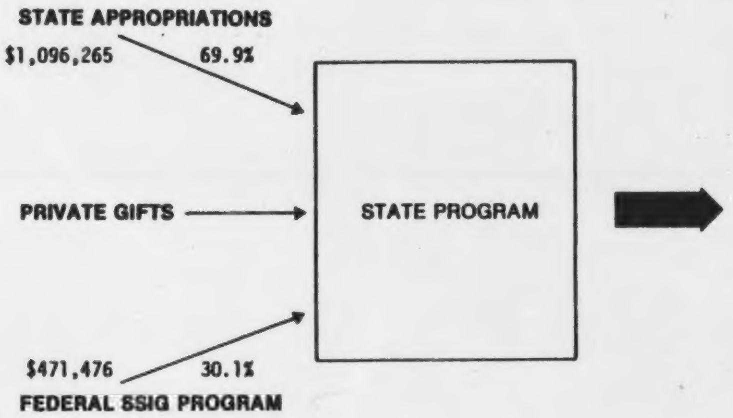
MEN	WOMEN

Sex

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

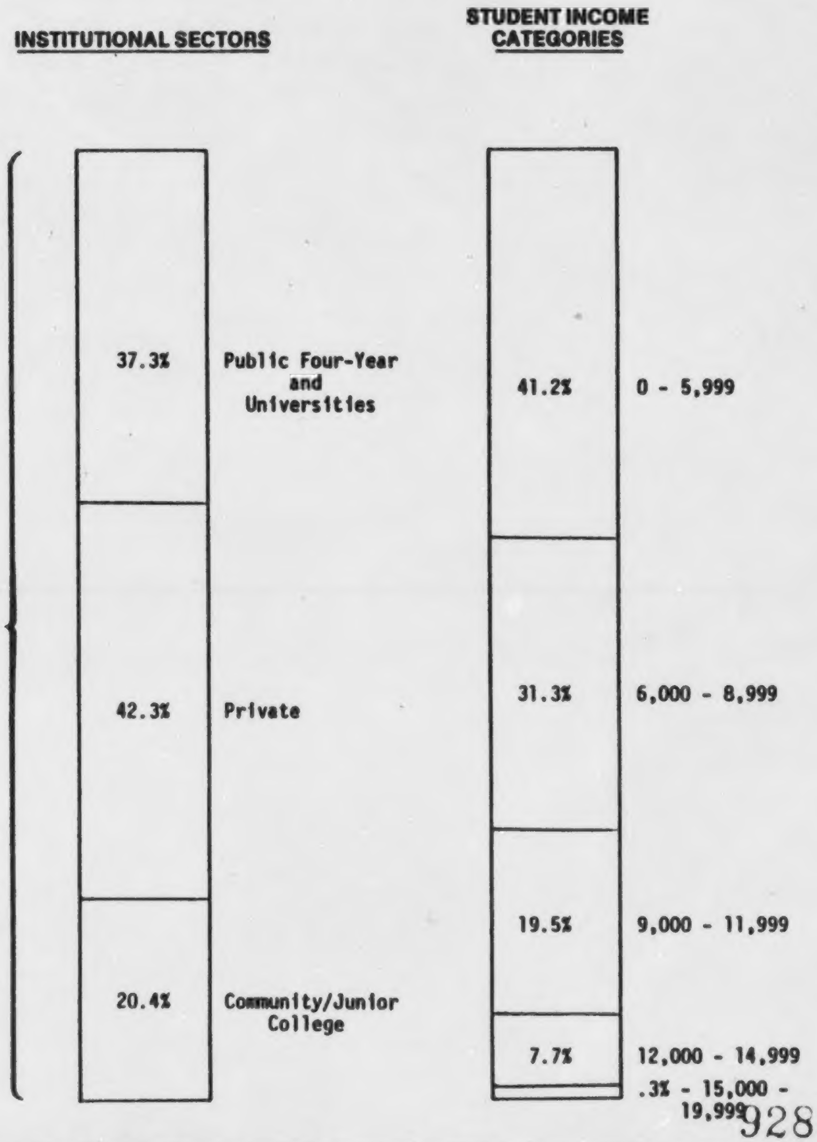
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- TALENT INCENTIVE PROGRAM

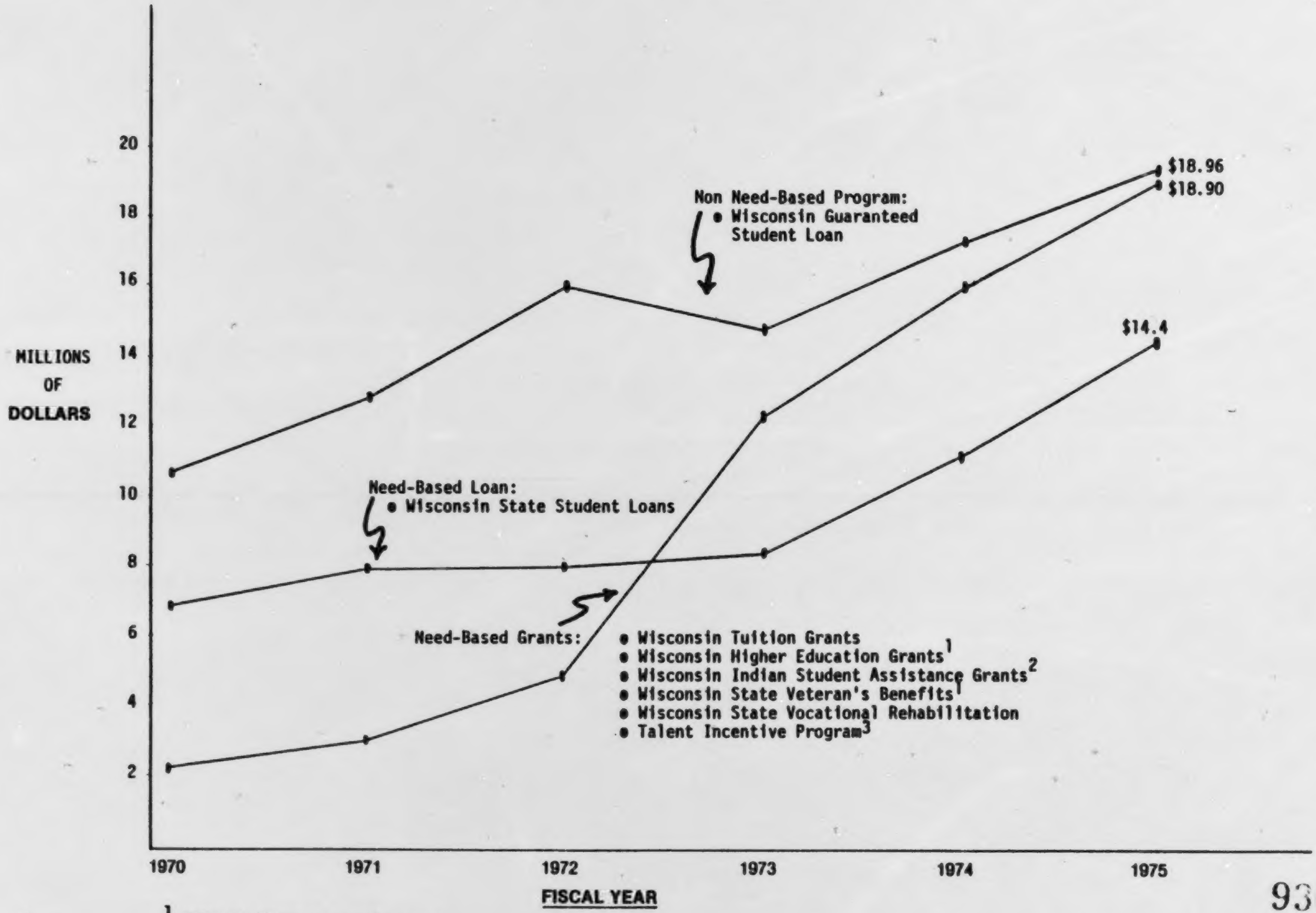


These data were taken from the Financial Status and Performance Report for the reporting period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.



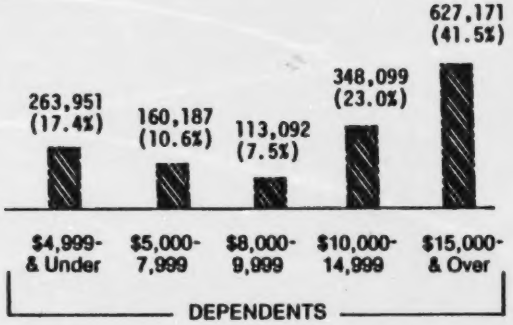
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1973-74 first year of program.
[2] 1971-72 first year of program.
[3] 1972-73 first year of program.

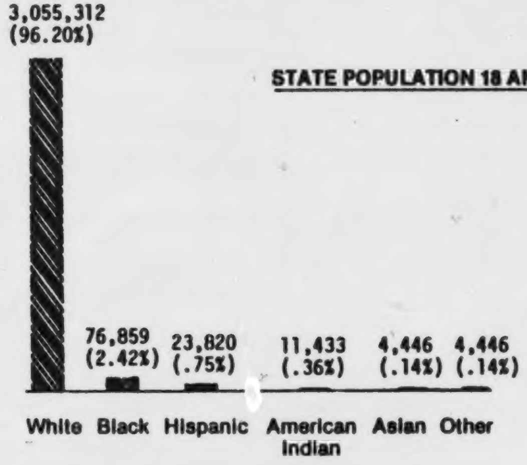
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

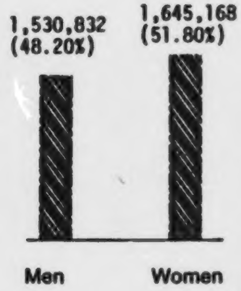


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

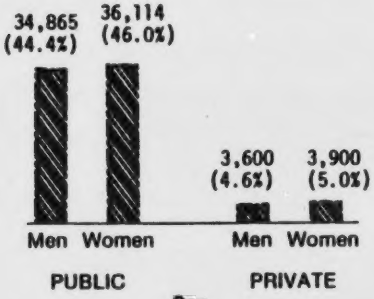


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 452 persons granted a high school equivalency certificate, nor 493 persons graduated from other than regular day school programs.

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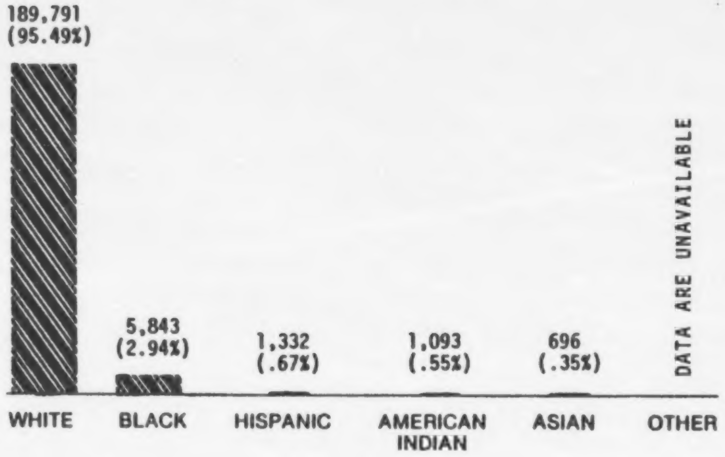
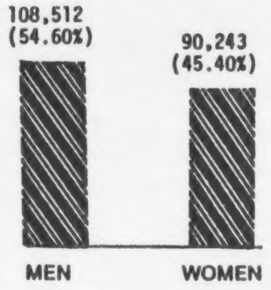
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

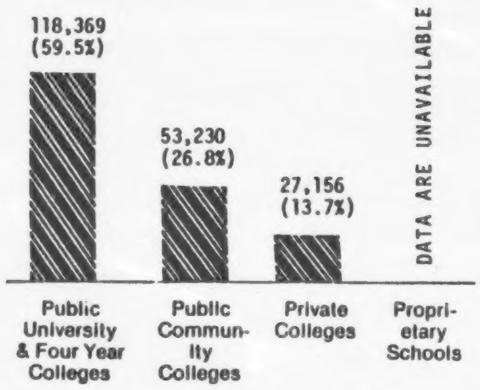
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



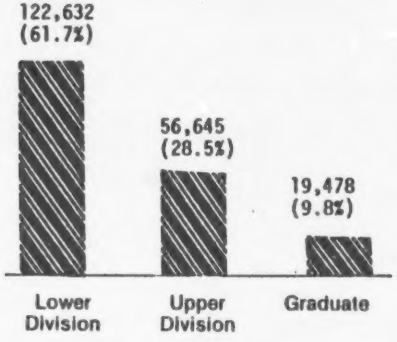
Ethnic Status



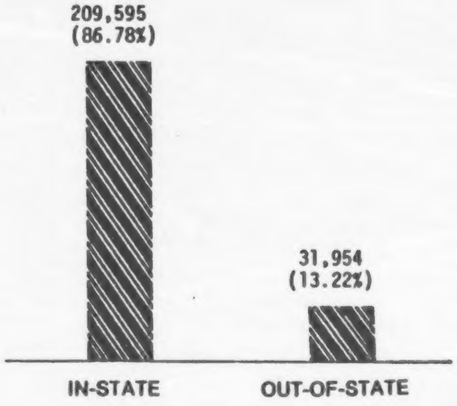
Sex



Institutional Sector

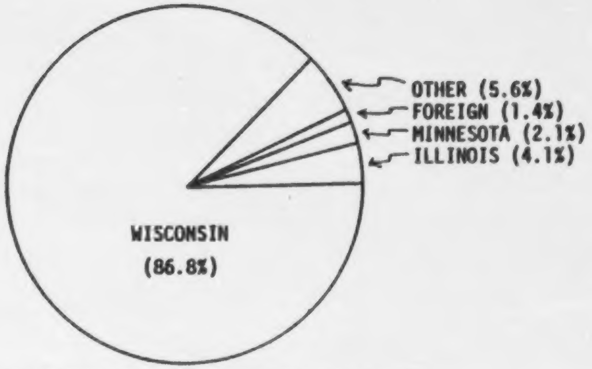


Student Level

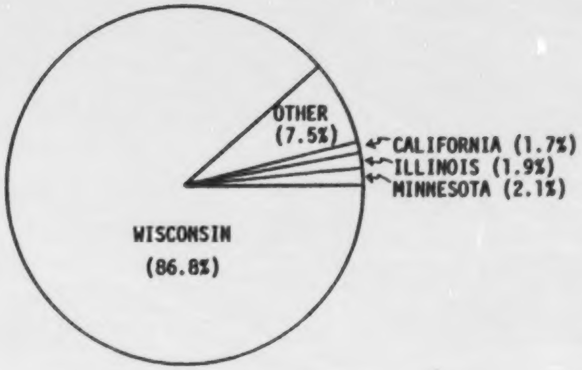


Residency

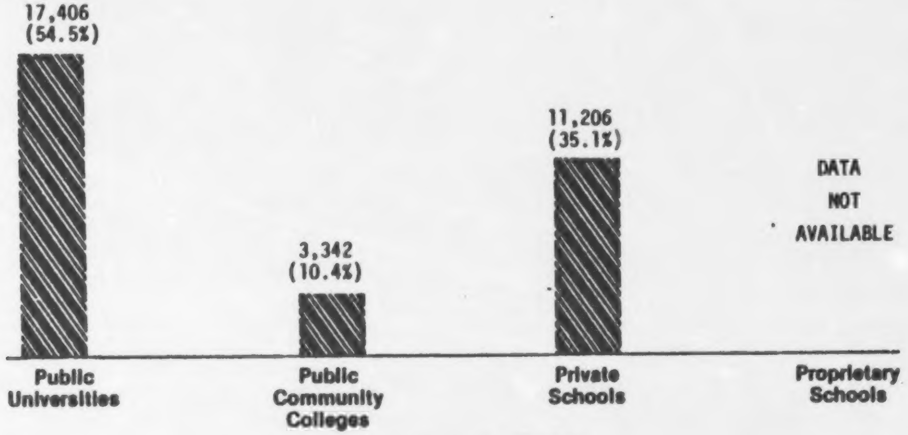
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



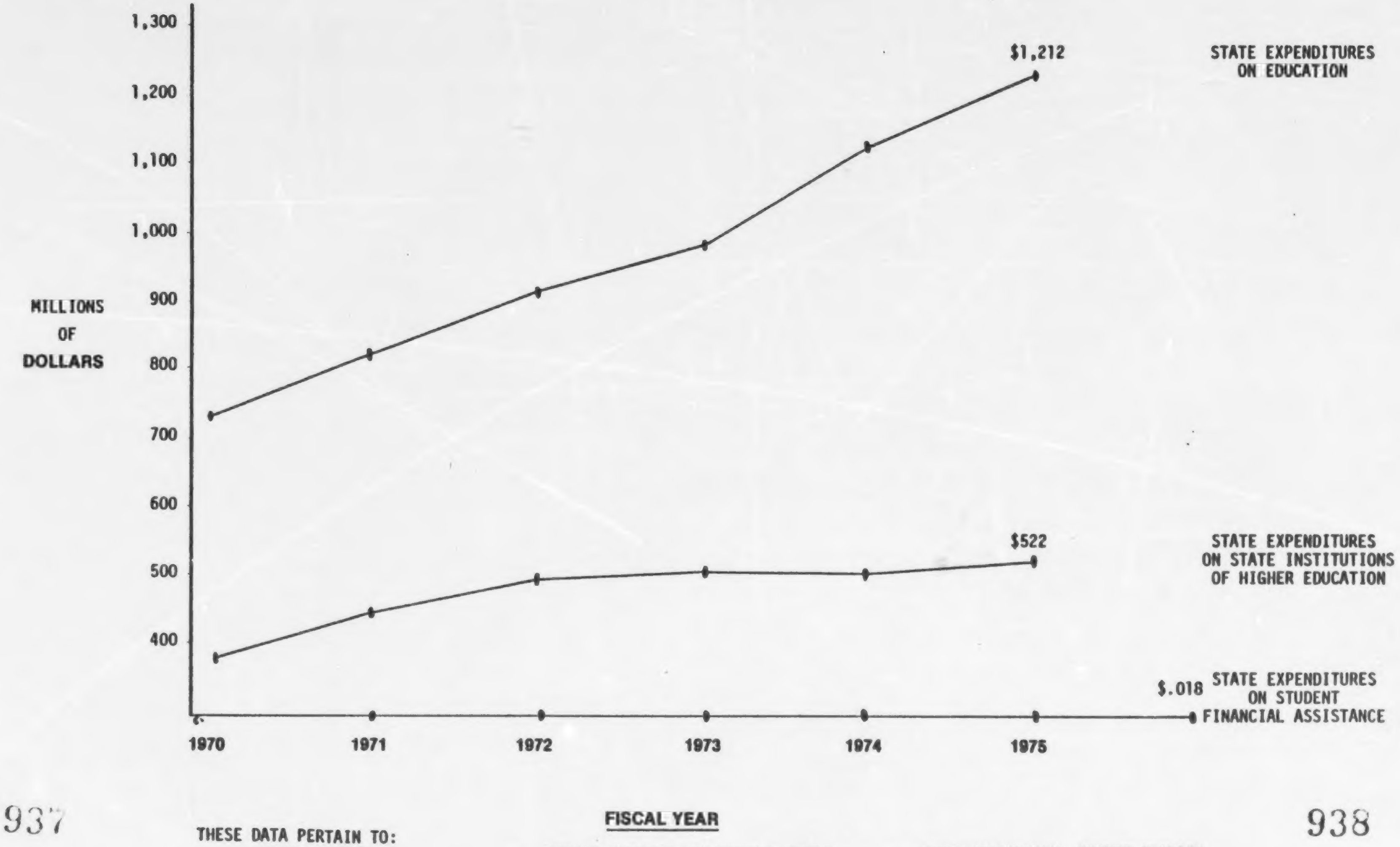
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

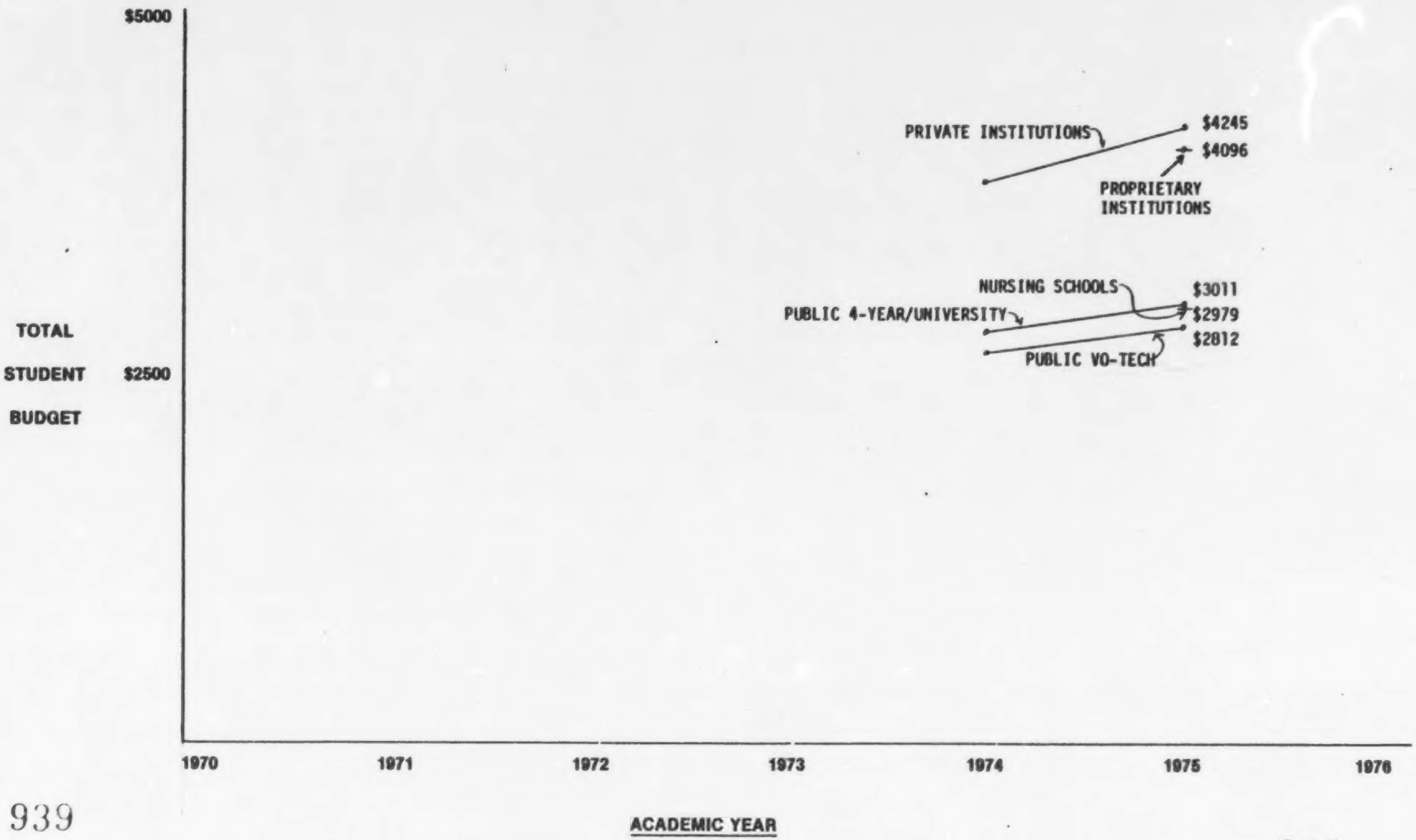
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

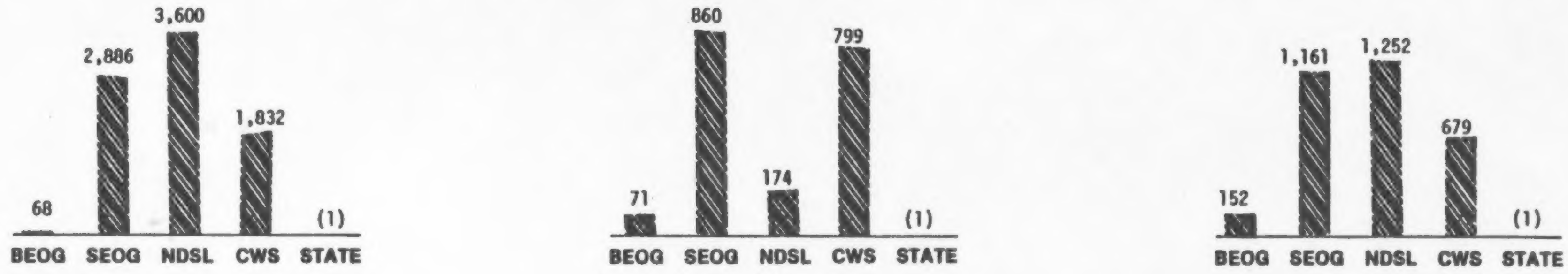
- TUITION GRANTS
- HIGHER EDUCATION GRANTS
- INDIAN STUDENT ASSISTANCE GRANTS
- STATE VETERAN'S BENEFITS
- STATE VOCATIONAL REHABILITATION
- TALENT INCENTIVE PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



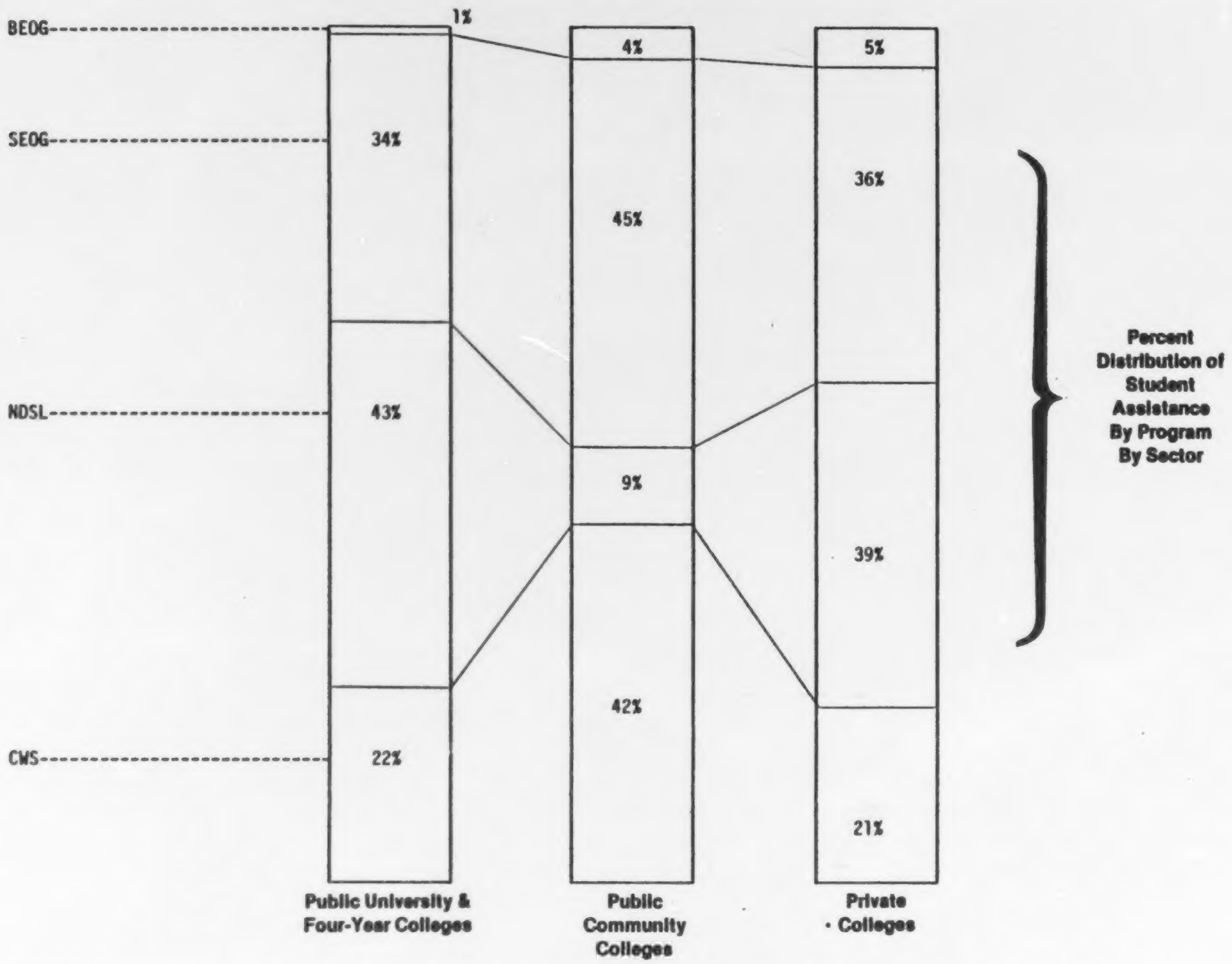
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

APPENDIX

APPENDIX

The data used in preparing the profiles have been assembled from a variety of data sources. These are listed below. The following table gives a detailed explanation of the use of these data in each of the profiles.

Bureau of the Census
- Data from the 1970 Decennial Census[1]
- 1976 Estimates of State Population[2]
- 1970-1975 Statistics of State Expenditures on Education[3]

National Association of State Student Grant Programs (NASSGP)
- NASSGP Eighth Annual Survey - Supplemental Survey[4]

National Center for Education Statistics
- 1975 HEGIS[5] - Residency and Migration of College Students
- 1975 and 1976 HEGIS - Opening Fall Enrollments (Preliminary Statistics for 1976)
- 1975 High School Graduation Statistics

Office of Civil Rights
- 1974 Higher Education Civil Rights Survey

Office of Education
- Higher Education Data Base: FY 1967 to FY 1975[6]
- Data from the Financial Status and Performance Report--1975-76[7]

Sales and Marketing Management Magazine
- Statistics from the 1977 Survey of Buying Power[8]

Notes on Following Pages

[1] Data from the 1970 Census (various special tabulations and published statistics) were used to derive percentage distributions of the population 15 years old and over by racial/ethnic background, and sex. These data were then used to estimate 1976 data by applying the 1970 percentage distributions to the 1976 population estimates.

[2] 1976 estimates of the population 18 and over were obtained from Table 1 of the following publication:

> Population Estimates and Projections - Estimates of the Populations of State by Age: July 1, 1975 and 1976 Advanced Report. Series P-25, No. 646, February, 1977. Bureau of the Census, U. S. Department of Commerce

[3] These data were taken from statistics annually published by the Bureau of the Census. Specifically, they were taken from: State Government Finances--Table 9: Expenditures by Type and Function, for years 1970 through 1975. Descriptions of these and other tables are contained in the following publication.

> Guide to Recurrent and Special Governmental Statistics--State and Local Government Special Studies No. 62, May, 1972. Bureau of the Census, Department of Commerce.

[4] The National Association for State Student Grant Programs (NASSGP) annually conducts a survey to collect information about state-level

student aid offices and grant programs. For further information contact:

Dr. Joseph D. Boyd
Illinois State Scholarship Commission
102 Wilmot Road
Deerfield, Illinois 60015
(312) 945-1500

[5] HEGIS - Higher Education General Information Survey

[6] Higher Education Data Base - FY 1967 to FY 1975 (Specifically, the Student Financial-Aid File). Data on federal student-aid program expenditures were obtained from this machine-readable source. A preliminary release version of this data base was obtained so that it could be utilized. This data base is being developed for the Office of Planning and Budget Evaluation, Office of Education, Department of Health, Education and Welfare - O.E. Contract No. 500-75-0382, titled Student Access to Postsecondary Education: Comparative Analyses of Federal State Student Aid Programs (SISFAP/Study D).

[7] This is a reporting form used to collect annual operating information from the state offices administering the SSIG program by the federal SSIG program office.

[8] For more information about this data source, contact:

Sales and Marketing Management Magazine
633 Third Avenue
New York, New York 10017
(212) 986-4800

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
A. Office Relationships and Program Responsibilities		
1. Description of Program Offices and Agencies and Corresponding Program Responsibilities	NASSGP - Supplemental Survey	
2. Relationships of State Agencies	NASSGP - Supplemental Survey	
B. Office Program Management		
1. State Agency Organization	NASSGP - Supplemental Survey	
2. Management Relationships Between State Agency and Other State Agencies/Institutions	NASSGP - Supplemental Survey	
3. State Program Office Definitions for Selected Terms	NASSGP - Supplemental Survey	
4. Information Flow Between State Program Office and Various Federal Offices	NASSGP - Supplemental Survey	
5. Management Staffing of State Program Offices	NASSGP - Supplemental Survey	
6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year	NASSGP - Supplemental Survey	
7. Program and Fiscal Controls of Programs Operated by State Agency	NASSGP - Supplemental Survey	
C. Consumer-Oriented Efforts		
1. Basic Program Information Need-Based Dissemination Procedures Used for State Agency Programs	NASSGP - Supplemental Survey	
2. Consumer Grievance Procedures Used for State Agency Programs	SSIG Program Review Record	
D. Brief Program Summaries		
1. Descriptions of State-Sponsored Student Assistance Programs	NASSGP - Supplemental Survey	
2. Basic State Program Information	NASSGP - Supplemental Survey	
E. Criteria for Determination of Need, Award Size, Etc.		
1. Student Eligibility Criteria for State Programs	NASSGP - Supplemental Survey	
2. Institutional Eligibility Criteria for State Student-Assistance Programs	NASSGP - Supplemental Survey	
3. Need Determination Criteria for State Programs	NASSGP - Supplemental Survey	
4. Award Size Determination Criteria for State Programs	NASSGP - Supplemental Survey	
F. Counts of Eligibles, Recipients, Applicants, Etc.		
1. Number of Need-Based Grant Program Applicants	NASSGP - Supplemental Survey	
2. Number of Need-Based Grant Program Recipients and Average Award Size	NASSGP - Supplemental Survey	The figures shown for Average Award Size were computed by dividing the Total Dollars Awarded by the number of recipients. This calculation was done for each of the categories shown.

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
G. Program Financing		
1. Sources and Distribution of Need-Based Grant Program Funds	NASSGP - Supplemental Survey or the Financial Status and Performance Report	Where the desired data (i.e. distribution of SSIG program funds by student income and institutional sector) were unavailable from the NASSGP Supplemental Survey, the second data source was used. In most cases, the distributions shown only reflect the distribution of the federal portion of the total dollars.
2. Historic State Expenditures on Student-Assistance Programs	NASSGP - Supplemental Survey	These data reflect dollars awarded through state programs including both state and federal dollars for matching programs (e.g. SSIG, Vocational-Rehabilitation). Because the information collected may not have been totally comprehensive, that is, there may have been state programs which were overlooked or for which the dollars awarded data were not available, these figures may not reflect total state dollars to student-aid. The figures do not include BEOG or the campus based programs.
H. Counts of State Populations, Students, etc.		
1. State Population Profile	Effective Buying Income: Sales and Marketing Management-1977 Survey of Buying Power	Effective Buying Income: A classification exclusively developed by Sales & Marketing Management, it is personal income less personal tax and nontax payments. Personal income is the aggregate of wages and salaries, other labor income (such as employer contributions to private pension funds), proprietors' income, rental income (which includes imputed rental income of owner-occupants of nonfarm dwellings), dividends paid by corporations, personal interest income from all sources, and transfer payments (such as pensions and welfare assistance). Deducted from this total are personal taxes (federal, state, and local), nontax payments (such as fines, fees, penalties), and personal contributions for social insurance. The resultant figure is commonly known as "disposable personal income." Market Statistics removes from this figure compensation paid to military and diplomatic personnel stationed overseas to arrive at Effective Buying Income

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
H. Counts of State Populations, Students, Etc. (continued) 1. State Population Profile (continued)		Effective Buying Income is a bulk measurement of market potential. It indicates the general ability to buy and is essential in comparing, selecting, and grouping markets on that basis. But for products that appeal to specific income classes, the percent of households by Effective Buying Income groups should be considered.
	Population: Bureau of the Census 1970 Decennial Census	The population distributions-by ethnic status and by sex, were derived in the following manner. For the population 15 and over, the percent distribution by sex was taken and the percent distribution by ethnic status was derived from 1970 census data. These percentages were then applied to 1976 state population estimates (Bureau of Census) to arrive at the estimates shown.
	High School Graduates: National Center for Education Statistics	The data on high school graduations were obtained from published statistics.
2. State Postsecondary Education Student Enrollments	Office of Civil Rights (OCR) 1974 Higher Education Civil Rights Survey National Center for Education Statistics (NCES) 1975 Residency & Migration Survey 1975 Opening Fall Enrollment Survey (OFE) 1976 Opening Fall Enrollment Survey (OFE)	All figures are in terms of FTE except for the Residency-In/Out of State data which are in terms of headcount. 1 FTE = 1 full time student or 2 part-time students. The data on Ethnic Status were estimated by obtaining a percentage distribution of FTE enrollments by ethnic status from the 1974 OCR survey. These percentages were then applied to the 1976 FTE total enrollment (obtained from the NCES 1976 OFE survey) to obtain the distribution shown. The data on enrollments by sex were obtained from the NCES 1976 OFE Survey. The data on institutional sector were obtained from the NCES 1976 OFE survey. The data on student level were estimated by obtaining a percentage distribution from the NCES 1975 OFE survey and applied to the total obtained from the 1976 OFE survey. (The 1976 OFE survey did not collect data by the lower/upper division, graduate, professional categories, thus the 1975 data were utilized).

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
H. Counts of State Populations, Students, etc. (Continued) 2. State Postsecondary Education Student Enrollments (Continued)		The graduate category combines graduate and professional students. The Residency-In-State/Out-of-State data were obtained directly from the NCES 1975 Residency and Migration Survey. The data shown are in terms of head count and pertain to 1975.
3. Interstate Migration of Students	National Center for Education Statistics 1975 Residency and Migration Survey	All the data shown are from the NCES 1975 Residency and Migration Survey and pertain to 1975; these data are in terms of or based on headcount data.
I. State and Federal Financing of Education 1. State Expenditure on Postsecondary Education Student Assistance	Bureau of the Census (governmental statistics program) NASSGP - Supplemental Survey	The data on state expenditures on education and state expenditures on state institutions of higher education were taken from the census data. The data on state expenditures or student financial assistance were taken from the NASSGP survey and pertain only to those programs that are specifically listed on the profile. (In many instances the data were not given for all the years.)
2. Gross Costs to Students Attending School by Postsecondary Education Sectors	NASSGP Supplemental Survey	
3. Levels of Federal and State Program Funding by Institutional Sector	Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey	
4. Relative Importance of Federal and State Program Funding by Sector	Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey	The data pertaining to the federal programs (BEOG, SEOG, CW-S, NDSL) pertain to FY 1974, the last year available for all sources. The data pertaining to state programs are for FY 1976 (taken from the NASSGP survey).